

MITEK SYSTEMS INC  
Form 4  
November 10, 2015

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
THORNTON JOHN M

2. Issuer Name and Ticker or Trading Symbol  
MITEK SYSTEMS INC [MITK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
8911 BALBOA AVENUE, SUITE B  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/06/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)

SAN DIEGO, CA 92123

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V Amount (A) or (D) Price			
Common Stock	11/06/2015		S		137,834 (1)	D \$ 4 1,760,595	I	By Trust
Common Stock	11/06/2015		S		900 (2)	D \$ 4.005 1,759,695	I	By Trust
Common Stock	11/06/2015		S		30,020 (2)	D \$ 4.01 1,729,675	I	By Trust
Common Stock	11/06/2015		S		600 (2)	D \$ 4.015 1,729,075	I	By Trust
Common Stock	11/06/2015		S		5,000 (2)	D \$ 4.03 1,724,075	I	By Trust
Common Stock	11/06/2015		S		5,000 (2)	D \$ 4.04 1,719,075	I	By Trust

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Common Stock									
Common Stock	11/09/2015	S	15,191 (2)	D	\$ 4	1,703,884	I		By Trust
Common Stock	11/09/2015	S	300 (2)	D	\$ 4.02	1,703,584	I		By Trust
Common Stock						101,246	I		By Wife
Common Stock						15,000	I		By Foundation
Common Stock						171,044 (3)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
THORNTON JOHN M 8911 BALBOA AVENUE SUITE B SAN DIEGO, CA 92123	X			

## Signatures

/s/ James B. DeBello, by Power of  
Attorney

11/10/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on January 31, 2015 (the "Trading Plan").
- (2) This sale was effected pursuant to the Trading Plan.
- (3) Comprised of 81,044 shares of common stock and 90,000 shares subject to restricted stock units held by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.