## Edgar Filing: Main Street Capital CORP - Form 4

Main Street Capital CORP Form 4 September 23, 2016

Common

Common

Stock

Stock

09/15/2016

09/15/2016

September 2	23, 2016												
<b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL				
		ECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287				
Check th if no lon subject t Section Form 4 o Form 5 obligatio may con <i>See</i> Instu 1(b).	ger 50 16. 50 Filed pur 50 50 50 50 50 50 50 50 50 50	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									January 31 Expires: 2005 Estimated average burden hours per response 0.5		
Print or Type	Responses)												
Hartman Curtis L. S						I Ticker or T tal CORP			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)					•	ransaction	[-·	<b>,</b>					
(Month/ 1300 POST OAK BLVD., STE. 800 09/15/2					ar)				Director10% Owner XOfficer (give titleOther (specify below) below) Vice Chairman, CCO, and SMD				
				nendment, Date Original Ionth/Day/Year)					<ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>				
HOUSTON	I, TX 77056								Form filed by Mo Person	ore than One Rej	porting		
(City)	(State)	(Zip)	Tab	le I - N	on-E	Derivative S	ecurit	ies Acqu	uired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transa Code (Instr.	8)	4. Securitie n(A) or Disp (Instr. 3, 4 a Amount	osed o		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	08/23/2016			<u>G(1)</u>	V	150	D	\$0	237,492.6696	D			

P<sup>(2)</sup> V 93.244 A

P<sup>(2)</sup> V 38.7935 A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

237,585.9136 D

D

237,624.7071

\$ 33.8

\$ 33.8

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
Hartman Curtis L. 1300 POST OAK BLVD. STE. 800 HOUSTON, TX 77056			Vice Chairman, CCO, and	SMD				
Signatures								
/s/ Jason B. Beauvais as Attorn Hartman		5						

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person transferred these shares as a charitable gift pursuant to a transaction exempt from Section 16(b) under Rule 16b-5.

Date

(2) The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.