Edgar Filing: PEDONTI PATRICK J - Form 4

PEDONTI I Form 4										
September (ЛЛ							OMB AF	PROVAL	
FORM	UNITED		CURITIES A Washington			NGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject to Section Form 4 Form 5 obligation may cor	to 16. or Filed put	MENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES arsuant to Section 16(a) of the Securities Exchange Act of 1934, 7(a) of the Public Utility Holding Company Act of 1935 or Section						Expires: January 31, 2005 Estimated average burden hours per response 0.5		
See Inst 1(b).		30(h) of th	ne Investment	t Compar	iy Ac	ct of 1940)			
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> PEDONTI PATRICK J			21 isouer i kanne und i tener of i trading				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) C/O SS&C TECHNOLOGIES HOLDINGS, INC., 80 LAMBERTON ROAD							Director 10% Owner _X Officer (give title Other (specify below) SVP and CFO			
WINDSOP	Filed(Month/Day/Year) Applicable _X_Form			Applicable Line) _X_ Form filed by Oi	l or Joint/Group Filing(Check ne) ed by One Reporting Person d by More than One Reporting					
(City)	(State)	(Zip)			~		Person			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed	3. , if Transactio Code		es Ac ed of (quired (A) (D)	ired, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	or Beneficial 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	y Owned 7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	09/01/2017		M	30,000	A	\$ 7.265	30,000	D		
Common Stock	09/01/2017		S <u>(1)</u>	30,000	D	\$ 38.5552 (2)	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: PEDONTI PATRICK J - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e Expiration Date (Month/Day/Year) (A) ed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 E S (]
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 7.265	09/01/2017		М	30,000	(3)	02/04/2020	Common Stock	30,000	

Reporting Owners

Reporting Owner Name / Address	Relationships					
r g a a a a a a a a	Director	10% Owner	Officer	Other		
PEDONTI PATRICK J C/O SS&C TECHNOLOGIES HOLDINGS, INC. 80 LAMBERTON ROAD WINDSOR, CT 06095			SVP and CFO			
Signatures						
Paul G. Igoe, Attorney-in-fact for Patrick J. Pedonti	09/	05/2017				
**Signature of Reporting Person		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Open market transaction pursuant to a 10b5-1 trading plan adopted by the Reporting Person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.

The price reported is the weighted average of the shares sold. The shares were sold at varying prices in the range of \$38.35 to \$39.08. The(2) reporting person undertakes, upon request by the Staff of the Securities and Exchange Commission, or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

(3) The option is a "time-based" option that vested in full on February 4, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.