Jackson Spencer D. Form 4 September 18, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

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OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Jackson Spencer D.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

OOMA INC [OOMA]

(Last) (First) (Middle)

3. Date of Earliest Transaction

Director 10% Owner

C/O OOMA, INC., 525 ALMANOR

09/15/2018

(Month/Day/Year)

_X__ Officer (give title Other (specify below)

(Check all applicable)

VP, General Counsel & Sec.

AVE., SUITE 200

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SUNNYVALE, CA 94085

| (City) | (State) | (Zip) Tab | le I - Non- | Derivativ | e Secu | rities Acc | quired, Disposed | of, or Benefic | cially Owned |
|--------------------------------------|---|---|--|---------------------------------------|--------|-------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securi or(A) or D (Instr. 3, | ispose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 09/15/2018 | | F | 3,957 (1) | D | | 99,341 | D | |
| Common Stock | 09/15/2018 | | A | 621 (2) | A | \$ 10.03 | 99,962 | D | |
| Common Stock | | | | | | | 25,000 | I | By Millenniun |

m Trust

Company, LLC, Custodian **FBO** Account

Owner Spencer D Jackson Roth/IRA (3)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (Instr. 8 | 5. etionNum of B) Deriv Secur Acqu (A) o Dispo of (D (Instr | ber Expi (Monovative rities suired for cosed f | eate Exerci iration Da nth/Day/Y | | 7. Titl Amou Under Secur (Instr. | nt of lying | 8. Price of Derivative Security (Instr. 5) |
|---|---|---|---|------------------------------------|---|--|--|--------------------|--|--|---|
| | | | | Code | V (A) | | | Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Jackson Spencer D. C/O OOMA, INC. 525 ALMANOR AVE., SUITE 200 SUNNYVALE, CA 94085

VP, General Counsel & Sec.

Signatures

/s/ Spencer D. 09/18/2018 Jackson

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares delivered by Reporting Person to Issuer in payment of the withholding tax liability upon vesting of restricted stock units.

Reporting Owners 2

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- (2) Represents shares acquired under the Issuer's Employee Stock Purchase Plan.
- (3) Shares held by Millennium Trust Company, LLC, Custodian FBO Account Owner Spencer D Jackson Roth/IRA. Mr. Jackson holds voting and dispositive power with respect to the shares held of record by this entity.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.