

Holding Olivia Britton
Form 4
November 16, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Holding Olivia Britton

2. Issuer Name **and** Ticker or Trading
Symbol

FIRST CITIZENS BANCSHARES
INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

11/16/2018

____ Director ____ ☒ 10% Owner
____ Officer (give title below) ____ Other (specify below)

PO BOX 1352

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

SMITHFIELD, NC 27577

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|--|--|--|---|
| Class A Common | | | Code | V Amount (D) Price | 424,127 | D | |
| Class A Common | | | | | 10,544 | I | As beneficiary of Trust |
| Class A Common | | | | | 365 | I | As Trustee for Frank B. Holding Marital GST Trust |
| | | | | | 2,675 | I ⁽¹⁾ | |

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| | | | | | | | | |
|-------------------|------------|---|-------|---|-----------|--------------|--------------|--|
| Class A Common | | | | | | | | By Holding Properties, LLC |
| Class A Common | | | | | 827 | I <u>(1)</u> | | By E&F Properties, Inc. |
| Class A Common | | | | | 12,530 | I <u>(1)</u> | | By Twin States Farming, Inc. |
| Class A Common | 11/15/2018 | S | 1,000 | D | \$ 435 | 203,563 | I <u>(1)</u> | By Southern BancShares (N.C.), Inc. and subsidiary |
| Class A Common | 11/16/2018 | S | 1,000 | D | \$ 438 | 202,563 | I <u>(1)</u> | By Southern BancShares (N.C.), Inc. and subsidiary |
| Class A Common | | | | | 174,469 | I <u>(1)</u> | | By Yadkin Valley Company and subsidiary |
| Class A Common | | | | | 100,000 | I <u>(1)</u> | | By Fidelity BancShares (N.C.), Inc. |
| Class B Common | | | | | 3,219 | D | | |
| Class B Common | | | | | 1,225 | I | | As beneficiary of Trust |
| Class B Common | | | | | 116,914 | I | | By: Olivia B. Holding Revocable Trust |
| Class B Common | | | | | 2,156 | I <u>(1)</u> | | By Holding Properties, LLC |
| Class B Common | | | | | 200 | I <u>(1)</u> | | By E&F Properties, Inc. |
| Class B Common | | | | | 1,355 | I <u>(1)</u> | | By Twin States Farming, Inc. |

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| | | | |
|-------------------|--------|--------------|--|
| Class B Common | 22,619 | I <u>(1)</u> | By Southern BancShares (N.C.), INc. and subsidiary |
| Class B Common | 1,900 | I <u>(1)</u> | By Yadkin Valley Company and subsidiary |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|---|----------------------------------|
| | Director 10% Owner Officer Other |
| Holding Olivia Britton PO BOX 1352 SMITHFIELD, NC 27577 | X |

Signatures

Olivia B. Holding, By: William R. Lathan, Jr.,
Attorney-in-Fact 11/16/2021

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person is a director, officer, manager and/or shareholder of the companies that own these shares, but she disclaims beneficial ownership of the listed shares except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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