

IMAX CORP

Form 4

November 12, 2015

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
GELFOND RICHARD L

(Last) (First) (Middle)

**110 EAST 59TH STREET, SUITE
2100**

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
IMAX CORP [IMAX]

3. Date of Earliest Transaction
(Month/Day/Year)
11/09/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
common shares (opening balance)				(A) or (D)	Price		by "Pamela Gelfond Trust"
common shares (opening balance)						I	
common shares (opening balance)						I	by "Claudia Gelfond Trust"
common shares	11/09/2015		C	33,333 (1)	A \$ 18.38	156,926	D
	11/09/2015		S			123,593	D

Edgar Filing: IMAX CORP - Form 4

common shares			33,333 (1)		\$ 39.2385			
common shares	11/10/2015	C	33,333 (1)	A	\$ 18.38	156,926	D	
common shares	11/10/2015	S	33,333 (1)	D	\$ 39.2726	123,593	D	
common shares	11/11/2015	C	33,334 (1)	A	\$ 18.38	156,927	D	
common shares	11/11/2015	S	33,334 (1)	D	\$ 39.4161	123,593	D	
common shares	11/09/2015	S	25,000 (1)	D	\$ 39.2398	98,593	D	
common shares	11/09/2015	S	2,500 (1)	D	\$ 39.2502	7,550	I	by "Pamela Gelfond Trust"
common shares	11/09/2015	S	2,500 (1)	D	\$ 39.2494	7,550	I	by "Claudia Gelfond Trust"

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
stock options (to buy)	\$ 18.38	11/09/2015		C	33,333 (1)	12/31/2013 12/31/2021	common shares	33,333
stock options	\$ 18.38	11/10/2015		C	33,333 (1)	12/31/2013 12/31/2021	common shares	33,333

(to buy)

stock										
options	\$ 18.38	11/11/2015		C	33,334	12/31/2013	12/31/2021	common	33,334	
(to buy)					<u>(1)</u>			shares		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GELFOND RICHARD L 110 EAST 59TH STREET SUITE 2100 NEW YORK, NY 10022			Chief Executive Officer	

Signatures

Richard L.
Gelfond 11/12/2015

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options were exercised and common shares sold pursuant to Rule 10b5-1 Sales Plan adopted on August 14, 2015. Mr. Gelfond's 10b5-1 Sales Plan is scheduled to terminate on September 16, 2016.
This represents the remaining balance of the options granted on the issue date, December 31, 2011, following this transaction. Mr.
- (2) Gelfond's aggregate remaining outstanding option, RSU and long share balances following all transactions will be 1,677,648; 59,978 and 113,693 respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.