

ROCKWELL AUTOMATION INC

Form 4

December 04, 2006

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
EISENBROWN STEVEN A

2. Issuer Name **and** Ticker or Trading
Symbol

ROCKWELL AUTOMATION INC
[ROK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1201 SOUTH SECOND STREET

(Street)

MILWAUKEE, WI 53204

3. Date of Earliest Transaction
(Month/Day/Year)

11/30/2006

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

Sr. Vice President

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock							5,251.24	I	By Savings Plan ⁽¹⁾
Common Stock	11/30/2006		S		300	D	\$ 63.69	27,001	D
Common Stock	11/30/2006		S		711	D	\$ 63.64	26,290	D
Common Stock	11/30/2006		S		100	D	\$ 63.62	26,190	D
Common Stock	11/30/2006		S		200	D	\$ 63.61	25,990	D

By
Savings
Plan ⁽¹⁾

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Common Stock	11/30/2006	S	1,100	D	\$ 63.59	24,890	D
Common Stock	11/30/2006	S	1,000	D	\$ 63.58	23,890	D
Common Stock	11/30/2006	S	700	D	\$ 63.55	23,190	D
Common Stock	11/30/2006	S	400	D	\$ 63.54	22,790	D
Common Stock	11/30/2006	S	803	D	\$ 63.53	21,987	D
Common Stock	11/30/2006	S	200	D	\$ 63.51	21,787	D
Common Stock	11/30/2006	S	2,000	D	\$ 63.5	19,787	D
Common Stock	11/30/2006	S	100	D	\$ 63.49	19,687	D
Common Stock	11/30/2006	S	1,000	D	\$ 63.48	18,687	D
Common Stock	11/30/2006	S	400	D	\$ 63.47	18,287	D
Common Stock	11/30/2006	S	1,500	D	\$ 63.46	16,787	D
Common Stock	11/30/2006	S	600	D	\$ 63.45	16,187	D
Common Stock	11/30/2006	S	200	D	\$ 63.65	15,987	D
Common Stock	11/30/2006	S	100	D	\$ 63.66	15,887	D
Common Stock	11/30/2006	S	400	D	\$ 63.67	15,487	D
Common Stock	11/30/2006	S	100 ⁽²⁾	D	\$ 63.68	15,387	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
EISENBROWN STEVEN A 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204	Sr. Vice President

Signatures

Karen A. Balistreri, Attorney-in-Fact for Steven A. Eisenbrown 12/04/2006

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares represented by Company stock fund units acquired under the Company's Savings Plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 10/31/2006.

(2) 3,400 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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