#### ROCKWELL AUTOMATION INC

Form 4

Stock

Common

11/15/2013

November 18, 2013

FORM	ПΔ						OMB APPROVAL			
	UNITEDSIAI		AND EXCHANGE COMMISSION a, D.C. 20549				OMB Number:	3235-0287		
Check the characteristic of the characteristis of the characteristic of the characteristic of the characterist	statement statem	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF						Expires: January 31 2005 Estimated average burden hours per		
Form 4 of Form 5 obligation may con See Institution 1(b).	Filed pursuant Section 17(a) of truction Section 30	response Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								
(Print or Type	Responses)									
1. Name and A Kulaszewic	Address of Reporting Person cz Frank C	Symbol					5. Relationship of Reporting Person(s) to Issuer			
		ROCKWELL A [ROK]	UTOMA	TION	N INC	(Check all applicable)				
(Last)	(First) (Middle) TH SECOND STREET	3. Date of Earliest T (Month/Day/Year) 11/15/2013	•					Owner r (specify		
MILWAUI	4. If Amendment, D Filed(Month/Day/Yea	_	al		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State) (Zip)	Table I - Non-	Derivative	Secur		ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	any	tion Date, if Transacti Code h/Day/Year) (Instr. 8)	4. Securion(A) or D (Instr. 3,	(A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock						182.6689	I	By Savings Plan (1)		
Common Stock	11/15/2013	M	934	A	\$ 56.36	17,674	D			
Common Stock	11/15/2013	M	800	A	\$ 63.59	18,474	D			
Common Stock	11/15/2013	M	1,200	A	\$ 29.37	19,674	D			

M

2,000 A \$ 97

21,674

D

#### Edgar Filing: ROCKWELL AUTOMATION INC - Form 4

Common Stock 11/15/2013 S 4,934 D \$ 16,740 D (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number op Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 56.36	11/15/2013		M		934	11/07/2008	11/07/2015	Common Stock	934
Employee Stock Option (Right to Buy)	\$ 63.59	11/15/2013		M		800	12/06/2009	12/06/2016	Common Stock	800
Employee Stock Option (Right to Buy)	\$ 29.37	11/15/2013		M		1,200	12/03/2009	12/03/2018	Common Stock	1,200
Employee Stock Option (right to buy)	\$ 97	11/15/2013		M		2,000	04/01/2012	04/01/2021	Common Stock	2,000

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kulaszewicz Frank C

1201 SOUTH SECOND STREET SVP

MILWAUKEE, WI 53204

## **Signatures**

Karen A. Balistreri, Attorney-in-fact for Frank C. Kulaszewicz

11/18/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes shares represented by Company stock fund units acquired under the Company Savings Plan since the date last reported for this person based on information furnished by the Plan Administrator as of 10/31/2013. The number of stock fund units represented by the
- (1) balance of the participant's Company stock fund account may not exactly equal the number of stock fund units represented by a prior balance due to variance in the proportion of uninvested cash held in the reference fund used to determine unit values of the Company stock fund under the Plan.
- (2) Includes 4,760 shares held by the Company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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