#### Edgar Filing: BOK FINANCIAL CORP ET AL - Form 3

#### **BOK FINANCIAL CORP ET AL**

Form 3

March 10, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

**Â** Grauer Scott

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/01/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BOK FINANCIAL CORP ET AL [BOKF]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O FREDERIC DORWART LAWYERS, 124 EAST FOURTH STREET

(Street)

Director

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) EVP - Wealth Management

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

TULSA. OKÂ 74103

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Â Common Stock  $28,797 \frac{(1)}{2}$ D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
2008 Stock Options	(2)	(3)	Common Stock	1,093	\$ 48.46	D	Â
2009 Stock Options	(2)	(3)	Common Stock	3,320	\$ 36.65	D	Â
2010 Stock Options	(2)	(3)	Common Stock	1,621	\$ 48.3	D	Â
2011 Stock Options	(2)	(3)	Common Stock	2,048	\$ 55.94	D	Â
2012 Stock Options	(2)	(3)	Common Stock	7,779	\$ 58.76	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
coporaing of their state of	Director	10% Owner	Officer	Other	
Grauer Scott C/O FREDERIC DORWART LAWYERS 124 EAST FOURTH STREET TULSA, OK 74103	Â	Â	EVP - Wealth Management	Â	

# **Signatures**

/s/ Frederic Dorwart, Power of Attorney 03/10/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

anniversary each year commencing on the first anniversary after the grant.

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 22,701 of these shares represent restricted stock which vests 5 years from the date of grant. Shares are subject to forfeiture (i) upon (1) termination of employment prior to vesting, and (ii) certain performance earnings per share targets established pursuant to BOKF
- Executive Incentive Plan are not met.

  For options granted in any one year, one-seventh of the options of such grant vest and become exercisable on the grant date of the
- (3) Options expire 3 years after vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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