#### **BOK FINANCIAL CORP ET AL**

Form 4

March 26, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* BALL C FRED JR

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol **BOK FINANCIAL CORP ET AL** 

(Check all applicable)

[BOKF]

(Last) (First) (Middle) 3. Date of Earliest Transaction

\_X\_\_ Director X\_ Officer (give title

10% Owner Other (specify

(Month/Day/Year)

below) Sr Chairman - Bank of Texas

C/O FREDERIC DORWART, 124 E 03/24/2014 FOURTH STREET

(Street)

(State)

(Zip)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

tive Committee Assuring Disposed of on De

**TULSA, OK 74103** 

(City)

|     | (,)                    | ()                                   | Table                         | e I - Non-D      | erivative               | Secur     | ities Acq   | uirea, Disposea oi         | , or Beneficial           | y Owned               |
|-----|------------------------|--------------------------------------|-------------------------------|------------------|-------------------------|-----------|-------------|----------------------------|---------------------------|-----------------------|
|     | 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3.<br>Transactio | 4. Securi<br>on(A) or D |           | •           | 5. Amount of Securities    | 6. Ownership Form: Direct | 7. Nature of Indirect |
| - ( | (Instr. 3)             |                                      | any                           | Code             | (Instr. 3, 4 and 5)     |           |             | Beneficially               | (D) or                    | Beneficial            |
|     |                        |                                      | (Month/Day/Year)              | (Instr. 8)       |                         |           |             | Owned                      | Indirect (I)              | Ownership             |
|     |                        |                                      |                               |                  |                         |           |             | Following                  | (Instr. 4)                | (Instr. 4)            |
|     |                        |                                      |                               |                  |                         | (A)       |             | Reported<br>Transaction(s) |                           |                       |
|     |                        |                                      |                               | Code V           | Amount                  | or<br>(D) | Price       | (Instr. 3 and 4)           |                           |                       |
|     | Common                 | 03/24/2014                           |                               | M                | 3,346                   | A         | \$          | 9,988                      | D                         |                       |
|     | Stock                  |                                      |                               |                  |                         |           | 47.05       |                            |                           |                       |
|     | Common<br>Stock        | 03/25/2014                           |                               | F                | 3,346                   | D         | \$<br>69.61 | 6,642                      | D                         |                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: BOK FINANCIAL CORP ET AL - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Price<br>Deriva<br>Securit<br>(Instr. |
|---|---|---|---|--|---|--|--------------------|---|--|--|
|   |   |   |   | Code V                                 | and 5) (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |
| 2006<br>Stock<br>Options                            | \$ 47.05  | 03/24/2014                              |   | M                                      | 3,346   | <u>(1)</u>   | (2)                | Common<br>Stock   | 3,346                                  | \$ (                                     |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                             |       |  |  |  |
|--|---------------|-----------|-----------------------------|-------|--|--|--|
| <b>FB</b>  | Director      | 10% Owner | Officer                     | Other |  |  |  |
| BALL C FRED JR<br>C/O FREDERIC DORWART<br>124 E FOURTH STREET<br>TULSA, OK 74103 | X             |           | Sr Chairman - Bank of Texas |       |  |  |  |

## **Signatures**

/s/ Frederic Dorwart, Power of
Attorney 03/26/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) For options granted in any one year, one-seventh of the options of such grant vest and become exercisable on the grant date of the anniversary each year commencing on the first anniversary after the grant.
- (2) Options expire 3 years after vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2