

GEORGENS THOMAS  
Form 4  
June 03, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GEORGENS THOMAS**

2. Issuer Name and Ticker or Trading Symbol  
**NetApp, Inc. [NTAP]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**495 EAST JAVA DRIVE**

3. Date of Earliest Transaction (Month/Day/Year)  
**06/01/2011**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
**CEO and President**

(Street)  
**SUNNYVALE, CA 94089**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Common Stock                    | 06/01/2011                           |  | M                              |   | 8,334   | A  | \$ 0  |
| Common Stock                    | 06/01/2011                           |  | F                              |   | 3,890   | D  | \$ 53.22  |
| Common Stock                    | 06/01/2011                           |  | M                              |   | 20,833  | A  | \$ 0  |
| Common Stock                    | 06/01/2011                           |  | F                              |   | 9,724   | D  | \$ 53.22  |
| Common Stock                    | 06/02/2011                           |  | S                              |   | 15,553  | D  | \$ 53.5132  |
|                                 |                                      |  |                                |   |   |  | <u>(1)</u>  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Underlying (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|---------|-----|--|-----------------|--|
|  |  |                                      |  |                                | V   | (A)     | (D) | Date Exercisable   | Expiration Date |  |
| Non-Qualified Stock Option (right to buy)  | \$ 53.22   | 06/01/2011                           |  | A                              |   | 275,000 |     | (2)  | 05/31/2018      | Common Stock                             |
| Restricted Stock Unit                      | \$ 0   | 06/01/2011                           |  | M                              |   | 8,334   |     | (3)  | (3)             | Common Stock                             |
| Restricted Stock Unit                      | \$ 0   | 06/01/2011                           |  | M                              |   | 20,833  |     | (3)  | (3)             | Common Stock                             |
| Restricted Stock Unit                      | \$ 0   | 06/01/2011                           |  | A                              |   | 25,000  |     | (3)  | (3)             | Common Stock                             |

## Reporting Owners

| Reporting Owner Name / Address                                | Relationships |           |                   |       |
|---|---------------|-----------|-------------------|-------|
|   | Director      | 10% Owner | Officer           | Other |
| GEORGENS THOMAS<br>495 EAST JAVA DRIVE<br>SUNNYVALE, CA 94089 |               |           | CEO and President |       |

## Signatures

By: Janice Mahoney by Power of Attorney For: Thomas Georgens 06/03/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price in Column 4 is a weighted average price. The prices actually received ranged from \$53.47 to \$53.56. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(2)

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Option vests in a series of equal monthly installments over 48 months of service beginning with the one-month anniversary of the grant date.

- (3) The restricted stock unit shares vest as to 25% of the shares on the one-year anniversary of the grant date, and 25% of the shares on each annual anniversary thereafter for the next 3 years.

### **Remarks:**

Non-Derivative Securities Beneficially Owned by Direct Ownership includes 479 shares of Issuer's common stock acquired on

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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