#### HALLADOR ENERGY CO

Form 4 July 09, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Expires:

5 Relationship of Reporting Person(s) to

Check this box if no longer

subject to Section 16.

3235-0287 Number: January 31,

**OMB APPROVAL** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

2005 Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

(Print or Type Responses)

1 Name and Address of Reporting Person \*

07/07/2014

| HEUVELEN JOHN VAN |            |                                 |          | Symbol HALLADOR ENERGY CO [HNRG]                     |                                     |                      |   | Issuer (Check all applicable)  |                            |                         |
|-------------------|------------|---------------------------------|----------|--|-------------------------------------|----------------------|---|--|----------------------------|-------------------------|
|                   | (Last)     |                                 |          | 3. Date of (Month/Da                                 | f Earliest Transaction<br>Day/Year) |                      |   | _X_ Director<br>Officer (give<br>below)                              | title Other                | Owner er (specify       |
| 363 HIGH STREET   |            |                                 |          | 07/07/2014   |                                     |                      |   |  |                            |                         |
| (Street)          |            |                                 |          | 4. If Amendment, Date Original Filed(Month/Day/Year) |                                     |                      | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |                            |                         |
| DENVER, CO 80218  |            |                                 |          |  |                                     |                      | Person  |  |                            |                         |
|                   | (City)     | (State) (Z                      | Zip)     | Table  | I - Non-D                           | erivative S          | ecurities Acq   | quired, Disposed o   | f, or Beneficial           | ly Owned                |
|                   | 1.Title of | 2. Transaction Date             | 2A. Deem | ned  | 3.                                  | 4. Securiti          | es Acquired   | 5. Amount of   | 6. Ownership               | 7. Nature of            |
|                   | Security   | Security (Month/Day/Year) Execu |          | on Date, if Transaction(A) or Disposed of            |                                     |                      | posed of  | Securities Form: Direct Indirect                                     |                            |                         |
|                   | (Instr. 3) |                                 | any      |  | Code                                | (D)                  |   | Beneficially   | (D) or                     | Beneficial              |
|                   |            |                                 | (Month/D | ay/Year)   | (Instr. 8)  Code V                  | (Instr. 3, 4) Amount | (A) or (D) Price  | Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Indirect (I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |
|                   |            |                                 |          |  |                                     |                      | , ,   |  |                            |                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

G

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

13,483

(1)

D

\$0

70,074

#### Edgar Filing: HALLADOR ENERGY CO - Form 4

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exerc |                  | 7. Title |        | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|------------------|----------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D  | ate              | Amour    |        | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)            | Underl   | ying   | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |                  | Securit  | ies    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               | (Instr. 3 and 4) |          | Owne   |             |        |
|             | Security    |                     |                    |            | Acquired   |               |                  |          |        |             | Follo  |
|             | ·           |                     |                    |            | (A) or     |               |                  |          |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |                  |          |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |                  |          |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |                  |          |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |                  |          |        |             |        |
|             |             |                     |                    |            | , )        |               |                  |          |        |             |        |
|             |             |                     |                    |            |            |               |                  |          | Amount |             |        |
|             |             |                     |                    |            |            | Date          | Expiration       |          | or     |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date             | Title    | Number |             |        |
|             |             |                     |                    |            |            |               |                  |          | of     |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |                  |          | Shares |             |        |

# **Reporting Owners**

| Relationships |           |                    |                            |  |  |  |
|---------------|-----------|--------------------|----------------------------|--|--|--|
| Director      | 10% Owner | Officer            | Other                      |  |  |  |
| X             |           |                    |                            |  |  |  |
|               |           |                    |                            |  |  |  |
|               |           | Director 10% Owner | Director 10% Owner Officer |  |  |  |

# **Signatures**

John Van
Heuvelen

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gifted to two granddaughters in equal shares. Reporting person disclaims any beneficial ownership of such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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