

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

FORT DEARBORN INCOME SECURITIES INC

Form NSAR-B/A

October 11, 2013

000 B000000 09/30/2011
000 C000000 0000038188
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 Y
000 H000000 N
000 I000000 6.1
000 J000000 B
001 A000000 FORT DEARBORN INCOME SECURITIES, INC.
001 B000000 811-02319
001 C000000 2128213000
002 A000000 ONE NORTH WACKER DRIVE
002 B000000 CHICAGO
002 C000000 IL
002 D010000 60606
002 D020000 2807
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 UBS GLOBAL ASSET MANAGEMENT (AMERICAS) INC.
008 B000001 A
008 C000001 801-34910
008 D010001 CHICAGO
008 D020001 IL
008 D030001 60606
008 D040001 1295
010 A000001 J.P. MORGAN INVESTOR SERVICES, CO.
010 B000001 84-1719
010 C010001 BOSTON
010 C020001 MA
010 C030001 02108
010 C040001 3913
012 A000001 Computershare Trust Company, N.A.
012 B000001 84-0000
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3069
013 A000001 ERNST & YOUNG LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
014 A000001 CAISSE CENTRALE DE REESCOMPTE
014 B000001 8-00000
014 A000002 CCR ACTIONS SA
014 B000002 8-00000
014 A000003 CCR GESTION SA
014 B000003 8-00000
014 A000004 FONDCENTER AG
014 B000004 8-00000
014 A000005 OOO UBS BANK
014 B000005 8-00000
014 A000006 PT UBS SECURITIES INDONESIA
014 B000006 8-00000
014 A000007 UBS (BAHAMAS) LTD.

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

014 B000007 8-00000
014 A000008 UBS (FRANCE) SA
014 B000008 8-00000
014 A000009 UBS (GRAND CAYMAN) LTD.
014 B000009 8-00000
014 A000010 UBS (ITALIA) SPA
014 B000010 8-00000
014 A000011 UBS (LUXEMBOURG) SA
014 B000011 8-00000
014 A000012 UBS (MONACO) SA
014 B000012 8-00000
014 A000013 UBS ALTERNATIVE AND QUANTITATIVE INVESTMENTS LLC
014 B000013 8-00000
014 A000014 UBS ALTERNATIVE AND QUANTITATIVE INVESTMENTS LTD.
014 B000014 8-00000
014 A000015 UBS AMERICAS, INC.
014 B000015 8-00000
014 A000016 UBS ASESORES SA
014 B000016 8-00000
014 A000017 UBS BANK (CANADA)
014 B000017 8-00000
014 A000018 UBS BANK MEXICO, SA INSTITUCION DE BANCA MULTIPLE
014 B000018 8-00000
014 A000019 UBS BANK SA
014 B000019 8-00000
014 A000020 UBS BANK USA
014 B000020 8-00000
014 A000021 UBS BELGIUM SA/NV
014 B000021 8-00000
014 A000022 UBS CAPITAL (JERSEY) LTD.
014 B000022 8-00000
014 A000023 UBS CAPITAL BV
014 B000023 8-00000
014 A000024 UBS CARD CENTER AG
014 B000024 8-00000
014 A000025 UBS CLEARING AND EXECUTION SERVICES LTD.
014 B000025 8-00000
014 A000026 UBS CONVERTIBLE SECURITIES (JERSEY) LTD.
014 B000026 8-00000
014 A000027 UBS DERIVATIVES HONG KONG LTD.
014 B000027 8-00000
014 A000028 UBS DEUTSCHLAND AG
014 B000028 8-00000
014 A000029 UBS FACTORING AG
014 B000029 8-00000
014 A000030 UBS FIDUCIARIA SPA
014 B000030 8-00000
014 A000031 UBS FINANCE (CAYMAN ISLAND) LTD.
014 B000031 8-00000
014 A000032 UBS FINANCE (CURACAO) NV
014 B000032 8-00000
014 A000033 UBS FINANCE (DELAWARE) LLC
014 B000033 8-00000
014 A000034 UBS FINANCIAL SERVICES, INC.
014 B000034 8-00000
014 A000035 UBS FINANCIAL SERVICES, INC. OF PUERTO RICO
014 B000035 8-00000
014 A000036 UBS FUND ADVISOR, L.L.C.
014 B000036 8-00000
014 A000037 UBS FUND HOLDING (LUXEMBOURG) S.A.
014 B000037 8-00000
014 A000038 UBS FUND HOLDING (SWITZERLAND) AG

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

014 B000038 8-00000
014 A000039 UBS FUND MANAGEMENT (SWITZERLAND) AG
014 B000039 8-00000
014 A000040 UBS FUND SERVICES (CAYMAN) LTD.
014 B000040 8-00000
014 A000041 UBS FUND SERVICES (IRELAND) LTD.
014 B000041 8-00000
014 A000042 UBS FUND SERVICES (LUXEMBOURG) S.A.
014 B000042 8-00000
014 A000043 UBS FUND SERVICES (LUXEMBOURG) S.A. POLAND BRANCH
014 B000043 8-00000
014 A000044 UBS FUTURES SINGAPORE LTD.
014 B000044 8-00000
014 A000045 UBS GLOBAL ASSET MANAGEMENT (AMERICAS) INC.
014 B000045 8-00000
014 A000046 UBS GLOBAL ASSET MANAGEMENT (AUSTRALIA) LTD.
014 B000046 8-00000
014 A000047 UBS GLOBAL ASSET MANAGEMENT (CANADA) CO
014 B000047 8-00000
014 A000048 UBS GLOBAL ASSET MANAGEMENT (DEUTSCHLAND) GMBH
014 B000048 8-00000
014 A000049 UBS GLOBAL ASSET MANAGEMENT (FRANCE) S.A.
014 B000049 8-00000
014 A000050 UBS GLOBAL ASSET MANAGEMENT (HONG KONG) LTD.
014 B000050 8-00000
014 A000051 UBS GLOBAL ASSET MANAGEMENT (ITALIA) SGR SPA
014 B000051 8-00000
014 A000052 UBS GLOBAL ASSET MANAGEMENT (JAPAN) LTD.
014 B000052 8-00000
014 A000053 UBS GLOBAL ASSET MANAGEMENT (SINGAPORE) LTD.
014 B000053 8-00000
014 A000054 UBS GLOBAL ASSET MANAGEMENT (TAIWAN) LTD.
014 B000054 8-00000
014 A000055 UBS GLOBAL ASSET MANAGEMENT (UK) LTD.
014 B000055 8-00000
014 A000056 UBS GLOBAL ASSET MANAGEMENT (US) INC.
014 B000056 8-00000
014 A000057 UBS GLOBAL ASSET MANAGEMENT FUNDS LTD.
014 B000057 8-00000
014 A000058 UBS GLOBAL ASSET MANAGEMENT HOLDING LTD.
014 B000058 8-00000
014 A000059 UBS GLOBAL ASSET MANAGEMENT LIFE LTD.
014 B000059 8-00000
014 A000060 UBS GLOBAL LIFE AG
014 B000060 8-00000
014 A000061 UBS GLOBAL TRUST CORPORATION
014 B000061 8-00000
014 A000062 UBS GRUPO FINANCIERO
014 B000062 8-00000
014 A000063 UBS GRUPO FINANCIERO, S.A. DE C.V.
014 B000063 8-00000
014 A000064 UBS HANA ASSET MANAGEMENT COMPANY LTD.
014 B000064 8-00000
014 A000065 UBS INTERNATIONAL HOLDINGS B.V.
014 B000065 8-00000
014 A000066 UBS INTERNATIONAL LIFE LTD.
014 B000066 8-00000
014 A000067 UBS INTERNATIONAL, INC.
014 B000067 8-00000
014 A000068 UBS INVESTMENT MANAGEMENT CANADA INC.
014 B000068 8-00000
014 A000069 UBS INVESTMENTS PHILIPPINES, INC.

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

014 B000069 8-00000
014 A000070 UBS ITALIA SIM SPA
014 B000070 8-00000
014 A000071 UBS LEASING AG
014 B000071 8-00000
014 A000072 UBS LIFE AG
014 B000072 8-00000
014 A000073 UBS LIFE INSURANCE COMPANY USA
014 B000073 8-00000
014 A000074 UBS LIMITED
014 B000074 8-00000
014 A000075 UBS LOAN FINANCE LLC
014 B000075 8-00000
014 A000076 UBS MENKUL DEGERLER AS
014 B000076 8-00000
014 A000077 UBS NEW ZEALAND LTD.
014 B000077 8-00000
014 A000078 UBS O'CONNOR LLC
014 B000078 8-00000
014 A000079 UBS O'CONNOR LTD.
014 B000079 8-00000
014 A000080 UBS PREFERRED FUNDING COMPANY LLC I
014 B000080 8-00000
014 A000081 UBS PREFERRED FUNDING COMPANY LLC II
014 B000081 8-00000
014 A000082 UBS PREFERRED FUNDING COMPANY LLC IV
014 B000082 8-00000
014 A000083 UBS PREFERRED FUNDING COMPANY LLC V
014 B000083 8-00000
014 A000084 UBS REAL ESTATE KAPITALANLAGEGESELLSCHAFT MBH
014 B000084 8-00000
014 A000085 UBS REAL ESTATE SECURITIES INC
014 B000085 8-00000
014 A000086 UBS REALTY INVESTORS LLC
014 B000086 8-00000
014 A000087 UBS SAUERBORN PRIVATE EQUITY KOMPLEMENTAR GMBH
014 B000087 8-00000
014 A000088 UBS SECURITIES (CANADA), INC.
014 B000088 8-00000
014 A000089 UBS SECURITIES (THAILAND) LTD.
014 B000089 8-00000
014 A000090 UBS SECURITIES ASIA LTD.
014 B000090 8-00000
014 A000091 UBS SECURITIES AUSTRALIA LTD.
014 B000091 8-00000
014 A000092 UBS SECURITIES FRANCE SA
014 B000092 8-00000
014 A000093 UBS SECURITIES HONG KONG LTD.
014 B000093 8-00000
014 A000094 UBS SECURITIES INDIA PRIVATE LTD.
014 B000094 8-00000
014 A000095 UBS SECURITIES INTERNATIONAL LTD.
014 B000095 8-00000
014 A000096 UBS SECURITIES JAPAN LTD.
014 B000096 8-00000
014 A000097 UBS SECURITIES LLC
014 B000097 8-00000
014 A000098 UBS SECURITIES MALAYSIA SDN. BHD.
014 B000098 8-00000
014 A000099 UBS SECURITIES PHILIPPINES, INC.
014 B000099 8-00000
014 A000100 UBS SECURITIES PTE. LTD.

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

014 B0000100 8-00000
014 A0000101 UBS SECURITIES PTE. LTD. SEOUL BRANCH
014 B0000101 8-00000
014 A0000102 UBS SECURITIES, ESPANA, SV SA
014 B0000102 8-00000
014 A0000103 UBS SERVICE CENTRE (INDIA) PRIVATE LTD.
014 B0000103 8-00000
014 A0000104 UBS SERVICE CENTRE (POLAND) SP. ZO.O
014 B0000104 8-00000
014 A0000105 UBS SERVICES (USA) LLC
014 B0000105 8-00000
014 A0000106 UBS SOUTH AFRICA (PROPRIETARY) LTD.
014 B0000106 8-00000
014 A0000107 UBS SWISS FINANCIAL ADVISERS AG
014 B0000107 8-00000
014 A0000108 UBS TRUST COMPANY NATIONAL ASSOCIATION
014 B0000108 8-00000
014 A0000109 UBS TRUSTEES (BAHAMAS) LTD.
014 B0000109 8-00000
014 A0000110 UBS TRUSTEES (CAYMAN) LTD.
014 B0000110 8-00000
014 A0000111 UBS TRUSTEES (JERSEY) LTD.
014 B0000111 8-00000
014 A0000112 UBS TRUSTEES (SINGAPORE) LTD.
014 B0000112 8-00000
014 A0000113 UBS UK HOLDING LTD.
014 B0000113 8-00000
014 A0000114 UBS UK PROPERTIES LTD.
014 B0000114 8-00000
014 A0000115 UBS WEALTH MANAGEMENT (UK) LTD.
014 B0000115 8-00000
014 A0000116 UBS WEALTH MANAGEMENT AUSTRALIA LTD.
014 B0000116 8-00000
014 A0000117 VERMOGENS ADVIES HOLDING B.V.
014 B0000117 8-00000
015 A000001 JPMORGAN CHASE BANK
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10003
015 C040001 9598
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 100
019 C000000 UBSGLOBLAM
021 000000 0
022 A000001 J.P. MORGAN CHASE BANK & CO.
022 B000001 13-3379014
022 C000001 54716
022 D000001 55590
022 A000002 MORGAN STANLEY & CO., INC.
022 B000002 36-2655985
022 C000002 58892
022 D000002 39729
022 A000003 DEUTSCHE BANK
022 B000003 52-1319768
022 C000003 40629
022 D000003 52894
022 A000004 CREDIT SUISSE FIRST BOSTON
022 B000004 13-5659485
022 C000004 30781

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

022 D000004 29723
022 A000005 BANK OF AMERICA CORP.
022 B000005 56-0906609
022 C000005 25844
022 D000005 27630
022 A000006 GOLDMAN SACHS & CO.
022 B000006 13-5108880
022 C000006 21273
022 D000006 20968
022 A000007 BARCLAYS CAPITAL, INC.
022 B000007 06-1031656
022 C000007 9629
022 D000007 11264
022 A000008 JEFFERIES & CO.
022 B000008 95-2622900
022 C000008 9993
022 D000008 5976
022 A000009 BNP PARIBAS
022 B000009 13-3235334
022 C000009 5180
022 D000009 6192
022 A000010 CITIGROUP INC.
022 B000010 52-1568099
022 C000010 2837
022 D000010 8056
023 C000000 268446
023 D000000 267675
024 000000 Y
025 A000001 BANK OF AMERICA CORP.
025 B000001 56-0906609
025 C000001 D
025 D000001 3045
025 A000002 BARCLAYS CAPITAL, INC.
025 B000002 06-1031656
025 C000002 D
025 D000002 49
025 A000003 CITIGROUP
025 B000003 13-2614988
025 C000003 D
025 D000003 2328
025 A000004 CREDIT SUISSE SECURITIES (USA) LLC
025 B000004 13-2853402
025 C000004 D
025 D000004 375
025 A000005 GOLDMAN SACHS & CO.
025 B000005 13-5108880
025 C000005 D
025 D000005 521
025 A000006 JPMORGAN CHASE & CO.
025 B000006 13-3379014
025 C000006 D
025 D000006 3298
025 A000007 MORGAN STANLEY & CO., INC.
025 B000007 13-2655985
025 C000007 D
025 D000007 1219
026 A000000 N
026 B000000 Y
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 100000
 048 A020000 0.500
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 100000
 048 K020000 0.400
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 N
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 Y
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 Y
 062 B000000 0.0
 062 C000000 0.0

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

| | | |
|-----|---------|--------|
| 062 | D000000 | 0.0 |
| 062 | E000000 | 0.0 |
| 062 | F000000 | 0.0 |
| 062 | G000000 | 0.0 |
| 062 | H000000 | 0.0 |
| 062 | I000000 | 0.0 |
| 062 | J000000 | 0.0 |
| 062 | K000000 | 0.0 |
| 062 | L000000 | 1.3 |
| 062 | M000000 | 23.8 |
| 062 | N000000 | 1.8 |
| 062 | O000000 | 6.0 |
| 062 | P000000 | 59.9 |
| 062 | Q000000 | 6.3 |
| 062 | R000000 | 0.0 |
| 063 | A000000 | 0 |
| 063 | B000000 | 16.6 |
| 064 | A000000 | Y |
| 064 | B000000 | Y |
| 065 | 000000 | Y |
| 066 | A000000 | N |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
| 070 | A010000 | Y |
| 070 | A020000 | N |
| 070 | B010000 | N |
| 070 | B020000 | N |
| 070 | C010000 | N |
| 070 | C020000 | N |
| 070 | D010000 | N |
| 070 | D020000 | N |
| 070 | E010000 | N |
| 070 | E020000 | N |
| 070 | F010000 | N |
| 070 | F020000 | N |
| 070 | G010000 | N |
| 070 | G020000 | N |
| 070 | H010000 | N |
| 070 | H020000 | N |
| 070 | I010000 | N |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | Y |
| 070 | K010000 | Y |
| 070 | K020000 | Y |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
| 070 | M010000 | Y |
| 070 | M020000 | N |
| 070 | N010000 | Y |
| 070 | N020000 | N |
| 070 | O010000 | Y |
| 070 | O020000 | N |
| 070 | P010000 | Y |
| 070 | P020000 | N |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | N |
| 070 | R020000 | N |
| 071 | A000000 | 220711 |

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

| | | |
|-------|---------|--------|
| 071 | B000000 | 224253 |
| 071 | C000000 | 143264 |
| 071 | D000000 | 154 |
| 072 | A000000 | 12 |
| 072 | B000000 | 7584 |
| 072 | C000000 | 3 |
| 072 | D000000 | 0 |
| 072 | E000000 | 0 |
| 072 | F000000 | 684 |
| 072 | G000000 | 0 |
| 072 | H000000 | 0 |
| 072 | I000000 | 43 |
| 072 | J000000 | 60 |
| 072 | K000000 | 0 |
| 072 | L000000 | 71 |
| 072 | M000000 | 17 |
| 072 | N000000 | 0 |
| 072 | O000000 | 9 |
| 072 | P000000 | 0 |
| 072 | Q000000 | 0 |
| 072 | R000000 | 44 |
| 072 | S000000 | 42 |
| 072 | T000000 | 0 |
| 072 | U000000 | 0 |
| 072 | V000000 | 0 |
| 072 | W000000 | 48 |
| 072 | X000000 | 1018 |
| 072 | Y000000 | 0 |
| 072 | Z000000 | 6569 |
| 072AA | 000000 | 6034 |
| 072BB | 000000 | 0 |
| 072CC | 010000 | 0 |
| 072CC | 020000 | 1302 |
| 072DD | 010000 | 8091 |
| 072DD | 020000 | 0 |
| 072EE | 000000 | 3756 |
| 073 | A010000 | 0.9200 |
| 073 | A020000 | 0.0000 |
| 073 | B000000 | 0.4300 |
| 073 | C000000 | 0.0000 |
| 074 | A000000 | 0 |
| 074 | B000000 | 0 |
| 074 | C000000 | 1913 |
| 074 | D000000 | 148443 |
| 074 | E000000 | 28 |
| 074 | F000000 | 0 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 0 |
| 074 | J000000 | 2576 |
| 074 | K000000 | 0 |
| 074 | L000000 | 1685 |
| 074 | M000000 | 15 |
| 074 | N000000 | 154660 |
| 074 | O000000 | 2498 |
| 074 | P000000 | 0 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 467 |
| 074 | S000000 | 0 |

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

| | | |
|-----|---------|------------------------------|
| 074 | T000000 | 151695 |
| 074 | U010000 | 8776 |
| 074 | U020000 | 0 |
| 074 | V010000 | 17.29 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 1430 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 145987 |
| 076 | 000000 | 16.07 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | Y |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 | C000000 | 70000 |
| 081 | A000000 | Y |
| 081 | B000000 | 99 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 347200107 |
| 087 | A030000 | FDI |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

SIGNATURE THOMAS DISBROW
TITLE Vice President and Treasurer