

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-A

August 29, 2017

000 A000000 06/30/2017
000 C000000 0000897802
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 Special Opportunities Fund, Inc.
001 B000000 811-07528
001 C000000 8776070414
002 A000000 615 East Michigan Street
002 B000000 Milwaukee
002 C000000 WI
002 D010000 53202
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 BULLDOG INVESTORS, LLC
008 B000001 A
008 C000001 801-70596
008 D010001 SADDLE BROOK
008 D020001 NJ
008 D030001 07663
010 A000001 U.S. BANCORP FUND SERVICES, LLC
010 B000001 85-11357
010 C010001 MILWAUKEE
010 C020001 WI
010 C030001 53202
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 MILWAUKEE
015 C020001 WI
015 C030001 53212
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000
020 A000001 RBC DOMINION SECURITIES, INC.
020 B000001 00-0000000
020 C000001 32
020 A000002 WELLS FARGO BANK N.A.
020 B000002 00-0000000
020 C000002 26
020 A000003 CANTOR FITZGERALD & CO.
020 B000003 13-3680184

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020 C000003 14
 020 A000004 WALLACH BETH
 020 B000004 00-0000000
 020 C000004 12
 020 A000005 COMPASS POINT RESEARCH & TRADING, LLC
 020 B000005 43-593202
 020 C000005 2
 020 A000006 WALL STREET MANAGEMENT, INC.
 020 B000006 13-2508293
 020 C000006 1
 020 A000007 NATIONAL FINANCIAL SERVICES CORP.
 020 B000007 00-0000000
 020 C000007 1
 020 A000008 JONES & ASSOCIATES, INC.
 020 B000008 00-0000000
 020 C000008 1
 021 000000 89
 022 A000001 U.S. BANK, N.A.
 022 B000001 00-0000000
 022 C000001 617
 022 D000001 19847
 022 A000002 SECONDMARKET, INC.
 022 B000002 00-0000000
 022 C000002 7719
 022 D000002 0
 022 A000003 FREIDMAN BILLINGS & RAMSEY
 022 B000003 00-0000000
 022 C000003 2750
 022 D000003 0
 022 A000004 EARLY BIRD CAPITAL INC.
 022 B000004 65-0379410
 022 C000004 2082
 022 D000004 0
 022 A000005 UBS JERSEY CITY
 022 B000005 00-0000000
 022 C000005 1572
 022 D000005 0
 022 A000006 COWEN GROUP
 022 B000006 00-0000000
 022 C000006 300
 022 D000006 0
 023 C000000 15040
 023 D000000 19847
 024 000000 N
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 1.000
 048 A010000 0
 048 A020000 0.000
 048 B010000 0
 048 B020000 0.000

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048	C010000	0
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048	G020000	0.000
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048	H020000	0.000
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048	I020000	0.000
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048	J020000	0.000
048	K010000	0
048	K020000	0.000
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051	000000	N
052	000000	N
053	A000000	N
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054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	Y
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055	B000000	N
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060	B000000	Y
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062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0

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062	P000000	0.0
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066	F000000	N
066	G000000	Y
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068	B000000	N
069	000000	N
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070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	Y
070	E020000	N
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070	N020000	N
070	O010000	Y
070	O020000	Y
070	P010000	Y
070	P020000	N
070	Q010000	Y
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	42711
071	B000000	42256
071	C000000	162267
071	D000000	26
072	A000000	6
072	B000000	221
072	C000000	2583
072	D000000	0
072	E000000	0
072	F000000	961
072	G000000	55
072	H000000	26

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072 I000000	9
072 J000000	20
072 K000000	0
072 L000000	15
072 M000000	83
072 N000000	23
072 O000000	0
072 P000000	0
072 Q000000	22
072 R000000	26
072 S000000	46
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	39
072 X000000	1325
072 Y000000	0
072 Z000000	1479
072AA000000	4920
072BB000000	2145
072CC010000	8717
072CC020000	0
072DD010000	0
072DD020000	973
072EE000000	0
073 A010000	0.0000
073 A020000	0.1144
073 B000000	0.0000
073 C000000	0.0000
074 A000000	0
074 B000000	0
074 C000000	29814
074 D000000	2038
074 E000000	8143
074 F000000	43638
074 G000000	0
074 H000000	0
074 I000000	117606
074 J000000	336
074 K000000	0
074 L000000	151
074 M000000	13
074 N000000	201739
074 O000000	1453
074 P000000	164
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	55756
074 S000000	0
074 T000000	144366
074 U010000	8509
074 U020000	0
074 V010000	16.97
074 V020000	0.00
074 W000000	0.0000
074 X000000	3339
074 Y000000	0
075 A000000	0
075 B000000	138148
076 000000	15.20

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077 A000000 N
077 B000000 N
077 C000000 N
077 D000000 N
077 E000000 N
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 C000000
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 84741T104
087 A030000 SPE
087 B010000 PREFERRED STOCK
087 B020000 84741T302
087 B030000 SPE B
088 A000000 Y
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE Andrew Dakos
TITLE President