

APACHE CORP
Form 3
September 21, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|-------------------------------------------|---------|--------------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------------|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Ricotta Dominic | | (Month/Day/Year) | APACHE CORP [APA] | |
| (Last) | (First) | (Middle) | 09/13/2016 | |
| 2000 POST OAK BLVD.,Â STE 100 | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| HOUSTON,Â TXÂ 77056 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Sr. Vice President | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|-------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| Common Stock | 5,950.71 | I | Held by Trustee 401(k) Plan |
| Common Stock | 4,434.98 | I | Held by Trustee NQ Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------|------------------------------------|---------------------------------|-------------------------------------------------------|
|--------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------|------------------------------------|---------------------------------|-------------------------------------------------------|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|-------------------------------------|------------------|-----------------|--------------|----------------------------|---------------------|-------------------------------------------------|---|
| Restricted Stock / Units <u>(1)</u> | Â <u>(3)</u> | Â <u>(3)</u> | Common Stock | 17,341 | \$ 0 <u>(2)</u> | D | Â |
| Option (Buy \$82.55) <u>(1)</u> | Â <u>(4)</u> | 05/06/2019 | Common Stock | 1,370 | \$ 82.55 | D | Â |
| Option (Buy \$99.30) <u>(1)</u> | Â <u>(5)</u> | 05/05/2020 | Common Stock | 1,897 | \$ 99.3 | D | Â |
| Option (Buy \$126.61) <u>(1)</u> | Â <u>(6)</u> | 05/04/2021 | Common Stock | 3,109 | \$ 126.61 | D | Â |
| Option (Buy \$82.63) <u>(1)</u> | Â <u>(7)</u> | 05/22/2022 | Common Stock | 4,503 | \$ 82.63 | D | Â |
| Option - Buy \$80.89 <u>(1)</u> | Â <u>(8)</u> | 05/16/2023 | Common Stock | 7,165 | \$ 80.89 | D | Â |
| Option - Buy \$41.24 | Â <u>(9)</u> | 02/03/2026 | Common Stock | 6,107 | \$ 41.24 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|------------------------------------------------------------------------|---------------|-----------|----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Ricotta Dominic 2000 POST OAK BLVD. STE 100 HOUSTON, TX 77056 | Â | Â | Â Sr. Vice President | Â |

Signatures

Dominic Ricotta 09/21/2016

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) With tandem tax withholding right

(2) One share of Apache common stock for each restricted stock unit.

(3) Restricted stock units granted under employer plan. The units vest ratably over three, four, or five years beginning one year from the date of grant.

(4) Exercisable ratably over four years beginning 05/06/2010.

(5) Exercisable ratably over four years beginning 05/05/2011.

(6) Exercisable ratably over four years beginning 05/04/2012.

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- (7) Exercisable ratably over four years beginning 05/22/2013.
- (8) Exercisable ratably over four years beginning 05/16/2014.
- (9) Exercisable ratably over three years beginning 02/03/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.