

CORNERSTONE STRATEGIC VALUE FUND INC  
Form N-PX  
August 13, 2013

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-05150

Cornerstone Strategic Value Fund, Inc.

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(Exact name of registrant as specified in charter)

200A Executive Drive Edgewood, NY

11717

(Address of principal executive offices)

(Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 200A Executive Drive Edgewood, NY 11717

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(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2012 - June 30, 2013

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## ITEM 1. PROXY VOTING RECORD.

Company Name	Ticker	CUSIP	Meeting Date	Proposal Number	Proposal Description	Proponent	Mgmt Recommendation	V
3M Co.	MMM	88579Y101	5/14/2013	1	Elect Linda G. Alvarado	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	2	Elect Vance D. Coffman	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	3	Elect Michael L. Eskew	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	4	Elect W. James Farrell	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	5	Elect Herbert L. Henkel	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	6	Elect Muhtar Kent	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	7	Elect Edward M. Liddy	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	8	Elect Robert S. Morrison	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	9	Elect Aulana L. Peters	Management	For	A
3M Co.	MMM	88579Y101	5/14/2013	10	Elect Inge G. Thulin	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	11	Elect Robert J. Ulrich	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	12	Ratification of Auditor	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	13	Advisory Vote on Executive Compensation	Management	For	
3M Co.	MMM	88579Y101	5/14/2013	14	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against	
3M Co.	MMM	88579Y101	5/14/2013	15	Shareholder Proposal Regarding Feasibility Study on Prohibition of Political Spending	Shareholder	Against	A
Abbott Laboratories	ABT	002824100	4/26/2013	1.1	Elect Robert J. Alpern	Management	For	
Abbott Laboratories	ABT	002824100	4/26/2013	1.2	Elect Roxanne S. Austin	Management	For	
Abbott Laboratories	ABT	002824100	4/26/2013	1.3	Elect Sally E. Blount	Management	For	
	ABT	002824100	4/26/2013	1.4	Elect W. James Farrell	Management	For	

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Abbott  
Laboratories

Abbott Laboratories	ABT	002824100	4/26/2013	1.5	Elect Edward M. Liddy	Management	For
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Abbott Laboratories	ABT	002824100	4/26/2013	1.6	Elect Nancy McKinstry	Management	For
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Abbott Laboratories	ABT	002824100	4/26/2013	1.7	Elect Phebe N. Novakovic	Management	For
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Abbott Laboratories	ABT	002824100	4/26/2013	1.8	Elect William A. Osborn	Management	For
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Abbott Laboratories	ABT	002824100	4/26/2013	1.9	Elect Samuel C. Scott III	Management	For
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Abbott Laboratories	ABT	002824100	4/26/2013	1.10	Elect Glenn F. Tilton	Management	For
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Abbott Laboratories	ABT	002824100	4/26/2013	1.11	Elect Miles D. White	Management	For
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Abbott Laboratories	ABT	002824100	4/26/2013	2	Ratification of Auditor	Management	For
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Abbott Laboratories	ABT	002824100	4/26/2013	3	Advisory Vote on Executive Compensation	Management	For
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Abbott Laboratories	ABT	002824100	4/26/2013	4	Shareholder Proposal Regarding Genetically Modified Organisms	Shareholder	Against
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Abbott Laboratories	ABT	002824100	4/26/2013	5	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
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Abbott Laboratories	ABT	002824100	4/26/2013	6	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
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Abbott Laboratories	ABT	002824100	4/26/2013	7	Shareholder Proposal Regarding Retention of Shares	Shareholder	Against
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Abbott Laboratories	ABT	002824100	4/26/2013	8	Shareholder Proposal Regarding Excluding Compliance Costs for the Purposes of Executive Compensation	Shareholder	Against
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Abbott Laboratories	ABT	002824100	4/26/2013	9	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against
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Abbvie Inc.	ABBV	00287Y109	5/6/2013	1.1	Elect William Burnside	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	1.2	Elect Edward Rapp	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	1.3	Elect Roy Roberts	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	2	Ratification of Auditor	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	3	Advisory Vote on Executive Compensation	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	4	Frequency of Advisory Vote on Executive Compensation	Management	1 Year
Abbvie Inc.	ABBV	00287Y109	5/6/2013	5	2013 Stock Incentive Plan	Management	For
Aberdeen Emerging Markets Smaller Company Opportunities Fund, Inc.	ETF	00301T102	3/14/2013	1	Amendments to Investment Objective/Investment Restriction	Management	For
Aberdeen Emerging Markets Smaller Company Opportunities Fund, Inc.	ETF	00301TA00	3/14/2013	1.1	Elect Enrique R. Arzac	Management	For
Aberdeen Emerging Markets Smaller Company Opportunities Fund, Inc.	ETF	00301TA00	3/14/2013	1.2	Elect James J. Cattano	Management	For
Aberdeen Israel Fund Inc.	ISL	00301L109	3/14/2013	1.1	Elect Lawrence J. Fox	Management	For
Adams Express Co.	ADX	006212104	3/19/2013	1.1	Elect Enrique R. Arzac	Management	For

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Adams Express Co.	ADX	006212104	3/19/2013	1.2	Elect Phyllis O. Bonanno	Management	For
Adams Express Co.	ADX	006212104	3/19/2013	1.3	Elect Kenneth J. Dale	Management	For
Adams Express Co.	ADX	006212104	3/19/2013	1.4	Elect Frederic A. Escherich	Management	For
Adams Express Co.	ADX	006212104	3/19/2013	1.5	Elect Roger W. Gale	Management	For
Adams Express Co.	ADX	006212104	3/19/2013	1.6	Elect Kathleen T. McGahran	Management	For
Adams Express Co.	ADX	006212104	3/19/2013	1.7	Elect Craig R. Smith	Management	For
Adams Express Co.	ADX	006212104	3/19/2013	1.8	Elect Mark E. Stoeckle	Management	For
Adams Express Co.	ADX	006212104	3/19/2013	2	Ratification of Auditor	Management	For
Advent Claymore Convertible Securities and Income Fund	AGC	007639107	10/24/2012	1	Elect Tracy Maitland	Management	For
Advent/Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/24/2012	1	Elect Tracy Maitland	Management	For
Advent/Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/24/2012	2	Elect Ronald Nyberg	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	1	Elect Daniel P. Amos	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	2	Elect John Shelby Amos II	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	3	Elect Paul S. Amos II	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	4	Elect W. Paul Bowers	Management	For
	AFL	001055102	5/6/2013	5		Management	For

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Aflac Incorporated					Elect Kriss Cloninger III		
Aflac Incorporated	AFL	001055102	5/6/2013	6	Elect Elizabeth J. Hudson	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	7	Elect Douglas W. Johnson	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	8	Elect Robert B. Johnson	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	9	Elect Charles B. Knapp	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	10	Elect E. Stephen Purdom	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	11	Elect Barbara K. Rimer	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	12	Elect Melvin T. Stith	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	13	Elect David Gary Thompson	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	14	Elect Takuro Yoshida	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	15	Advisory Vote on Executive Compensation	Management	For
Aflac Incorporated	AFL	001055102	5/6/2013	16	Ratification of Auditor	Management	For
Air Products & Chemicals Inc.	APD	009158106	1/24/2013	1	Elect William Davis, III	Management	For
Air Products & Chemicals Inc.	APD	009158106	1/24/2013	2	Elect W. Douglas Ford	Management	For
Air Products & Chemicals Inc.	APD	009158106	1/24/2013	3	Elect Evert Henkes	Management	For
Air Products & Chemicals Inc.	APD	009158106	1/24/2013	4	Elect Margaret McGlynn	Management	For
Air Products & Chemicals Inc.	APD	009158106	1/24/2013	5	Ratification of Auditor	Management	For
Air Products & Chemicals Inc.	APD	009158106	1/24/2013	6	Advisory Vote on Executive Compensation	Management	For
Air Products & Chemicals Inc.	APD	009158106	1/24/2013	7	Amendment to the Long-Term Incentive Plan	Management	For
Air Products & Chemicals Inc.	APD	009158106	1/24/2013	8	Shareholder Proposal Regarding Declassification of the	Shareholder	Against

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Board

Allergan Inc.	AGN	018490102	4/30/2013	1	Elect David E.I. Pyott	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	2	Elect Michael R. Gallagher	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	3	Elect Deborah Dunsire	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	4	Elect Dawn E. Hudson	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	5	Elect Trevor M. Jones	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	6	Elect Louis J. Lavigne, Jr.	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	7	Elect Peter J. McDonnell	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	8	Elect Timothy D. Proctor	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	9	Elect Russell T. Ray	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	10	Ratification of Auditor	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	11	Advisory Vote on Executive Compensation	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	12	Right to Call a Special Meeting	Management	For
Allergan Inc.	AGN	018490102	4/30/2013	13	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against
Allergan Inc.	AGN	018490102	4/30/2013	14	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
AllianzGI Equity and Convertible Income Fund	NIE	00119P102	7/19/2012	1.1	Elect James Jacobson	Management	For
AllianzGI Equity and Convertible Income Fund	NIE	00119P102	7/19/2012	1.2	Elect John Maney	Management	For
AllianzGI Global Equity & Convertible Income Fund	NGZ	00119R108	12/19/2012	1.1	Elect Deborah DeCotis	Management	For
AllianzGI Global Equity & Convertible Income Fund	NGZ	00119R108	12/19/2012	1.2	Elect John Maney	Management	For

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Allstate Corp.	ALL	020002101	5/21/2013	2	Elect Robert D. Beyer	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	3	Elect Kermit R. Crawford	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	4	Elect Jack M. Greenberg	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	5	Elect Herbert L. Henkel	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	6	Elect Ronald T. LeMay	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	7	Elect Andrea Redmond	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	8	Elect H. John Riley, Jr.	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	9	Elect John W. Rowe	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	10	Elect Judith A. Sprieser	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	11	Elect Mary Alice Taylor	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	12	Elect Thomas J. Wilson	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	13	Advisory Vote on Executive Compensation	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	14	2013 Equity Incentive Plan	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	15	Ratification of Auditor	Management	For	
Allstate Corp.	ALL	020002101	5/21/2013	16	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against	A
Allstate Corp.	ALL	020002101	5/21/2013	17	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against	A
Allstate Corp.	ALL	020002101	5/21/2013	1	Elect F. Duane Ackerman	Management	For	
Alpine Total Dynamic Dividend Fund	AOD	021060108	5/21/2013	1.1	Elect James A. Jacobson	Management	For	F W
Alpine Total Dynamic Dividend Fund	AOD	021060108	5/21/2013	1.2	Elect H. Guy Leibler	Management	For	F W
Alpine Total Dynamic	AOD	021060108	5/21/2013	2	Transaction of Other Business	Management	For	F



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Dividend Fund

Altria Group Inc.	MO	02209S103	5/16/2013	1	Elect Gerald L. Baliles	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	2	Elect Martin J. Barrington	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	3	Elect John T. Casteen III	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	4	Elect Dinyar S. Devitre	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	5	Elect Thomas F. Farrell II	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	6	Elect Thomas W. Jones	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	7	Elect Debra J. Kelly-Ennis	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	8	Elect W. Leo Kiely III	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	9	Elect Kathryn B. McQuade	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	10	Elect George Muñoz	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	11	Elect Nabil Y. Sakkab	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	12	Ratification of Auditor	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	13	Advisory Vote on Executive Compensation	Management	For
Altria Group Inc.	MO	02209S103	5/16/2013	14	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
American Express Co.	AXP	025816109	4/29/2013	1.1	Elect Charlene Barshefsky	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.2	Elect Ursula M. Burns	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.3	Elect Kenneth I. Chenault	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.4	Elect Peter Chernin	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.5	Elect Anne Lauvergeon	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.6	Elect Theodore J. Leonsis	Management	For

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American Express Co.	AXP	025816109	4/29/2013	1.7	Elect Richard C. Levin	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.8	Elect Richard A. McGinn	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.9	Elect Samuel J. Palmisano	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.10	Elect Steven S. Reinemund	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.11	Elect Daniel L. Vasella	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.12	Elect Robert D. Walter	Management	For
American Express Co.	AXP	025816109	4/29/2013	1.13	Elect Ronald A. Williams	Management	For
American Express Co.	AXP	025816109	4/29/2013	2	Ratification of Auditor	Management	For
American Express Co.	AXP	025816109	4/29/2013	3	Advisory Vote on Executive Compensation	Management	For
American Express Co.	AXP	025816109	4/29/2013	4	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	1	Elect James M. Cracchiolo	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	2	Elect Lon R. Greenberg	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	3	Elect Warren D. Knowlton	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	4	Elect W. Walker Lewis	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	5	Elect Siri S. Marshall	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	6	Elect Jeffrey Noddle	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	7	Elect H. Jay Sarles	Management	For

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Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	8	Elect Robert F. Sharpe, Jr.	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	9	Elect William H. Turner	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	10	Advisory Vote on Executive Compensation	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	11	Ratification of Auditor	Management	For
Ameriprise Financial Inc.	AMP	03076C106	4/24/2013	12	Shareholder Proposal Regarding Simple Majority Vote	Shareholder	Against
Amgen Inc.	AMGN	031162100	5/22/2013	1	Elect David Baltimore	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	2	Elect Frank J. Biondi, Jr.	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	3	Elect Robert A. Bradway	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	4	Elect François de Carbonnel	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	5	Elect Vance D. Coffman	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	6	Elect Robert A. Eckert	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	7	Elect Rebecca M. Henderson	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	8	Elect Frank C. Herringer	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	9	Elect Tyler Jacks	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	10	Elect Gilbert S. Omenn	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	11	Elect Judith C. Pelham	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	12	Elect Leonard D. Schaeffer	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	13	Elect Ronald D. Sugar	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	14	Ratification of Auditor	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	15	Advisory Vote on Executive Compensation	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	16	Amendment to the	Management	For

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2009 Equity Incentive Plan

Aon plc	AON	G0408V102	5/17/2013	1	Elect Lester B. Knight	Management	For
Aon plc	AON	G0408V102	5/17/2013	2	Elect Gregory C. Case	Management	For
Aon plc	AON	G0408V102	5/17/2013	3	Elect Fulvio Conti	Management	For
Aon plc	AON	G0408V102	5/17/2013	4	Elect Cheryl A. Francis	Management	For
Aon plc	AON	G0408V102	5/17/2013	5	Elect Edgar D. Jannotta	Management	For
Aon plc	AON	G0408V102	5/17/2013	6	Elect J. Michael Losh	Management	For
Aon plc	AON	G0408V102	5/17/2013	7	Elect Robert S. Morrison	Management	For
Aon plc	AON	G0408V102	5/17/2013	8	Elect Richard B. Myers	Management	For
Aon plc	AON	G0408V102	5/17/2013	9	Elect Richard C. Notebaert	Management	For
Aon plc	AON	G0408V102	5/17/2013	10	Elect Gloria Santona	Management	For
Aon plc	AON	G0408V102	5/17/2013	11	Elect Carolyn Y. Woo	Management	For
Aon plc	AON	G0408V102	5/17/2013	12	Accounts and Reports	Management	For
Aon plc	AON	G0408V102	5/17/2013	13	Ratification of Auditor	Management	For
Aon plc	AON	G0408V102	5/17/2013	14	Ratification of Auditor	Management	For
Aon plc	AON	G0408V102	5/17/2013	15	Authority to Set Auditor's Fees	Management	For
Aon plc	AON	G0408V102	5/17/2013	16	Advisory Vote on Executive Compensation	Management	For
Aon plc	AON	G0408V102	5/17/2013	17	U.K. Remuneration Report	Management	For
Aon plc	AON	G0408V102	5/17/2013	18	Global Share Purchase Plan	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.1	Elect William V. Campbell	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.2	Elect Timothy D. Cook	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.3	Elect Millard S. Drexler	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.4	Elect Albert A. Gore, Jr.	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.5	Elect Robert A. Iger	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.6	Elect Andrea Jung	Management	For

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Apple Inc.	AAPL	037833100	2/27/2013	1.7	Elect Arthur D. Levinson	Management	For	
Apple Inc.	AAPL	037833100	2/27/2013	1.8	Elect Ronald D. Sugar	Management	For	
Apple Inc.	AAPL	037833100	2/27/2013	2	Amendments to Articles of Incorporation	Management	For	
Apple Inc.	AAPL	037833100	2/27/2013	3	Ratification of Auditor	Management	For	
Apple Inc.	AAPL	037833100	2/27/2013	4	Advisory Vote on Executive Compensation	Management	For	
Apple Inc.	AAPL	037833100	2/27/2013	5	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against	A
Apple Inc.	AAPL	037833100	2/27/2013	6	Shareholder Proposal Regarding Formation of Human Rights Committee	Shareholder	Against	A
AT&T, Inc.	T	00206R102	4/26/2013	1	Elect Randall L. Stephenson	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	2	Elect Gilbert F. Amelio	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	3	Elect Reuben V. Anderson	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	4	Elect James H. Blanchard	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	5	Elect Jaime Chico Pardo	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	6	Elect Scott T. Ford	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	7	Elect James P. Kelly	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	8	Elect Jon C. Madonna	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	9	Elect Michael B. McCallister	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	10	Elect John B. McCoy	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	11	Elect Joyce M. Roché	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	12	Elect Matthew K. Rose	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	13	Elect Laura D'Andrea Tyson	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	14	Ratification of Auditor	Management	For	
AT&T, Inc.	T	00206R102	4/26/2013	15		Management	For	

					Advisory Vote on Executive Compensation		
AT&T, Inc.	T	00206R102	4/26/2013	16	Amendment to the Stock Purchase and Deferral Plan	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	17	Shareholder Proposal Regarding Political Spending	Shareholder	Against
AT&T, Inc.	T	00206R102	4/26/2013	18	Shareholder Proposal Regarding Lead Batteries	Shareholder	Against
AT&T, Inc.	T	00206R102	4/26/2013	19	Shareholder Proposal Regarding Shareholder Approval of Severance Agreements	Shareholder	Against
AT&T, Inc.	T	00206R102	4/26/2013	20	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
BB&T Corp.	BBT	054937107	4/23/2013	1	Amendment to Terms of Preferred Stock	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	2	Right to Adjourn Meeting	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.1	Elect John A. Allison IV	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.2	Elect Jennifer S. Banner	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.3	Elect K. David Boyer, Jr.	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.4	Elect Anna R. Cablik	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.5	Elect Ronald E. Deal	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.6	Elect James A. Faulkner	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.7	Elect I. Patricia Henry	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.8	Elect John P. Howe III	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.9	Elect Eric C. Kendrick	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.10	Elect Kelly S. King	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.11	Elect Louis B. Lynn	Management	For
BB&T Corp.	BBT	054937107	4/23/2013	1.12	Elect Edward C. Milligan	Management	For

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BB&T Corp.	BBT	054937107	4/23/2013	1.13	Elect Charles A. Patton	Management	For	
BB&T Corp.	BBT	054937107	4/23/2013	1.14	Elect Nido R. Qubein	Management	For	W
BB&T Corp.	BBT	054937107	4/23/2013	1.15	Elect Tollie W. Rich, Jr.	Management	For	
BB&T Corp.	BBT	054937107	4/23/2013	1.16	Elect Thomas E. Skains	Management	For	
BB&T Corp.	BBT	054937107	4/23/2013	1.17	Elect Thomas N. Thompson	Management	For	
BB&T Corp.	BBT	054937107	4/23/2013	1.18	Elect Edwin H. Welch Ph.D.	Management	For	
BB&T Corp.	BBT	054937107	4/23/2013	1.19	Elect Stephen T. Williams	Management	For	W
BB&T Corp.	BBT	054937107	4/23/2013	2	Ratification of Auditor	Management	For	
BB&T Corp.	BBT	054937107	4/23/2013	3	Advisory Vote on Executive Compensation	Management	For	
BB&T Corp.	BBT	054937107	4/23/2013	4	Shareholder Proposal Regarding Political Spending	Shareholder	Against	
BB&T Corp.	BBT	054937107	4/23/2013	5	Shareholder Proposal Regarding Majority Vote for Election of Directors	Shareholder	Against	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.1	Elect Warren Buffett	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.2	Elect Charles Munger	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.3	Elect Howard Buffett	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.4	Elect Stephen Burke	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.5	Elect Susan Decker	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.6	Elect William Gates III	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.7	Elect David Gottesman	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.8	Elect Charlotte Guyman	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.9	Elect Donald Keough	Management	For	

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Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.10	Elect Thomas Murphy	Management	For	W
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.11	Elect Ronald Olson	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.12	Elect Walter Scott, Jr.	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	1.13	Elect Meryl B. Witmer	Management	For	
Berkshire Hathaway Inc.	BRKA	084670702	5/4/2013	2	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	Shareholder	Against	A
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	1	Elect Caroline Dorsa	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	2	Elect Stelios Papadopoulos	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	3	Elect George A. Scangos	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	4	Elect Lynn Schenk	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	5	Elect Alexander J. Denner	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	6	Elect Nancy L. Leaming	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	7	Elect Richard C. Mulligan	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	8	Elect Robert W. Pangia	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	9	Elect Brian S. Posner	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	10	Elect Eric K. Rowinsky	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	11	Elect Stephen A. Sherwin	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	12	Elect William D. Young	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	13	Ratification of Auditor	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	14	Advisory Vote on Executive Compensation	Management	For	
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	15	Amendment to the 2008 Performance-Based Management Incentive Plan	Management	For	



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Biogen Idec Inc.	BIIB	09062X103	6/12/2013	16	Amendment to the 2008 Omnibus Incentive Plan	Management	For
Biogen Idec Inc.	BIIB	09062X103	6/12/2013	17	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.1	Elect Paul Audet	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.2	Elect Michael Castellano	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.3	Elect Richard Cavanagh	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.4	Elect Frank Fabozzi	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.5	Elect Kathleen Feldstein	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.6	Elect James Flynn	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.7	Elect Henry Gabbay	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.8	Elect Jerrold Harris	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.9	Elect R. Glenn Hubbard	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.10	Elect W. Carl Kester	Management	For

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BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.11	Elect Karen Robards	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	9/13/2012	1	BlackRock Floating Rate Income Strategies Fund II, Inc.	Management	For
BlackRock Ecosolutions Investment Trust	BQR	092546100	7/27/2012	1.2	Elect James Flynn	Management	For
BlackRock Ecosolutions Investment Trust	BQR	092546100	7/27/2012	1.3	Elect Karen Robards	Management	For
BlackRock EcoSolutions Investment Trust	BQR	092546100	7/27/2012	1.1	Elect Frank Fabozzi	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.1	Elect Paul Audet	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.2	Elect Michael Castellano	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.3	Elect Richard Cavanagh	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.4	Elect Frank Fabozzi	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.5	Elect Kathleen Feldstein	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.6	Elect James Flynn	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.7	Elect Henry Gabbay	Management	For

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BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.8	Elect Jerrold Harris	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.9	Elect R. Glenn Hubbard	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.10	Elect W. Carl Kester	Management	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/27/2012	1.11	Elect Karen Robards	Management	For
BlackRock Enhanced Dividend Achievers Trust	BDJ	09251A104	7/27/2012	1.1	Elect Frank Fabozzi	Management	For
BlackRock Enhanced Dividend Achievers Trust	BDJ	09251A104	7/27/2012	1.2	Elect James Flynn	Management	For
BlackRock Enhanced Dividend Achievers Trust	BDJ	09251A104	7/27/2012	1.3	Elect Karen Robards	Management	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/27/2012	1.1	Elect Frank Fabozzi	Management	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/27/2012	1.2	Elect James Flynn	Management	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/27/2012	1.3	Elect Karen Robards	Management	For
BlackRock Inc.	BLK	09247X101	5/30/2013	1	Elect Abdlatif Yousef Al-Hamad	Management	For
BlackRock Inc.	BLK	09247X101	5/30/2013	2	Elect Mathis Cabiallavetta	Management	For
BlackRock Inc.	BLK	09247X101	5/30/2013	3	Elect Dennis D. Dammerman	Management	For
BlackRock Inc.	BLK	09247X101	5/30/2013	4	Elect Jessica P. Einhorn	Management	For

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BlackRock Inc.	BLK	09247X101	5/30/2013	5	Elect Fabrizio Freda	Management	For
BlackRock Inc.	BLK	09247X101	5/30/2013	6	Elect David H. Komansky	Management	For
BlackRock Inc.	BLK	09247X101	5/30/2013	7	Elect James E. Rohr	Management	For
BlackRock Inc.	BLK	09247X101	5/30/2013	8	Elect Susan L. Wagner	Management	For
BlackRock Inc.	BLK	09247X101	5/30/2013	9	Advisory Vote on Executive Compensation	Management	For
BlackRock Inc.	BLK	09247X101	5/30/2013	10	Ratification of Auditor	Management	For
BlackRock International Growth and Income Trust	BGY	092524107	7/27/2012	1.1	Elect Frank Fabozzi	Management	For
BlackRock International Growth and Income Trust	BGY	092524107	7/27/2012	1.2	Elect James Flynn	Management	For
BlackRock International Growth and Income Trust	BGY	092524107	7/27/2012	1.3	Elect Karen Robards	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	1	Elect Lamberto Andreotti	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	2	Elect Lewis B. Campbell	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	3	Elect James M. Cornelius	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	4	Elect Laurie H. Glimcher	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	5	Elect Michael Grobstein	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	6	Elect Alan J. Lacy	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	7	Elect Vicki L. Sato	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	8	Elect Elliott Sigal	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	9	Elect Gerald L. Storch	Management	For
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	10	Elect Togo D. West, Jr.	Management	For
	BMY	110122108	5/7/2013	11		Management	For

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Bristol-Myers Squibb Co.						Ratification of Auditor		
Bristol-Myers Squibb Co.	BMY	110122108	5/7/2013	12		Advisory Vote on Executive Compensation	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	1		Elect Richard D. Fairbank	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	2		Elect W. Ronald Dietz	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	3		Elect Lewis Hay, III	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	4		Elect Benjamin P. Jenkins, III	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	5		Elect Peter E. Raskind	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	6		Elect Mayo A. Shattuck III	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	7		Elect Bradford H. Warner	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	8		Elect Catherine G. West	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	9		Ratification of Auditor	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	10		Advisory Vote on Executive Compensation	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	11		Elimination of Supermajority Requirements for Future Amendments to the Bylaws and the Certificate	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	12		Elimination of Supermajority Requirement for the Removal of Directors	Management	For
Capital One Financial Corp.	COF	14040H105	5/2/2013	13		Elimination of Supermajority Requirement for Certain Business Combinations	Management	For
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	1		Elect Colleen Arnold	Management	For
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	2		Elect George Barrett	Management	For

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Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	3	Elect Glenn Britt	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	4	Elect Carrie Cox	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	5	Elect Calvin Darden	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	6	Elect Bruce Downey	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	7	Elect John Finn	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	8	Elect Clayton Jones	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	9	Elect Gregory Kenny	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	10	Elect David King	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	11	Elect Richard Notebaert	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	12	Elect Jean Spaulding	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	13	Ratification of Auditor	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	14	Advisory Vote on Executive Compensation	Management	For	
Cardinal Health, Inc.	CAH	14149Y108	11/2/2012	15	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against	A
Celgene Corp.	CELG	151020104	6/12/2013	1.1	Elect Robert J. Hugin	Management	For	
Celgene Corp.	CELG	151020104	6/12/2013	1.2	Elect Richard W. Barker D. Phil	Management	For	
Celgene Corp.	CELG	151020104	6/12/2013	1.3	Elect Michael D. Casey	Management	For	W
Celgene Corp.	CELG	151020104	6/12/2013	1.4	Elect Carrie S. Cox	Management	For	
Celgene Corp.	CELG	151020104	6/12/2013	1.5	Elect Rodman L. Drake	Management	For	W
Celgene Corp.	CELG	151020104	6/12/2013	1.6	Elect Michael Friedman	Management	For	W
Celgene Corp.	CELG	151020104	6/12/2013	1.7	Elect Gilla Kaplan	Management	For	
Celgene Corp.	CELG	151020104	6/12/2013	1.8	Elect James J. Loughlin	Management	For	
Celgene Corp.	CELG	151020104	6/12/2013	1.9	Elect Ernest Mario	Management	For	W

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Celgene Corp.	CELG	151020104	6/12/2013	2	Ratification of Auditor	Management	For
Celgene Corp.	CELG	151020104	6/12/2013	3	Amendment to the 2008 Stock Incentive Plan	Management	For
Celgene Corp.	CELG	151020104	6/12/2013	4	Advisory Vote on Executive Compensation	Management	For
Celgene Corp.	CELG	151020104	6/12/2013	5	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against
Central Europe, Russia and Turkey Fund Inc.	CEE	153436100	4/19/2013	1	Amendment to Investment Objective	Management	For
Central Europe, Russia and Turkey Fund Inc.	CEE	153436100	6/26/2013	1.1	Elect Wilhelm Bender	Management	For
Central Europe, Russia and Turkey Fund Inc.	CEE	153436100	6/26/2013	1.2	Elect Detlef Bierbaum	Management	For
Central Europe, Russia and Turkey Fund Inc.	CEE	153436100	6/26/2013	1.3	Elect Richard Karl Goeltz	Management	For
Central Europe, Russia and Turkey Fund Inc.	CEE	153436100	6/26/2013	2	Ratification of Auditor	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	1	Elect Linnet F. Deily	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	2	Elect Robert E. Denham	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	3	Elect Alice P. Gast	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	4	Elect Enrique Hernandez, Jr.	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	5	Elect George L. Kirkland	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	6	Elect Charles W. Moorman, IV	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	7	Elect Kevin W. Sharer	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	8	Elect John G. Stumpf	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	9	Elect Ronald D. Sugar	Management	For
Chevron Corp.	CVX	166764100	5/29/2013	10	Elect Carl Ware	Management	For

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Chevron Corp.	CVX	166764100	5/29/2013	11	Elect John S. Watson	Management	For	
Chevron Corp.	CVX	166764100	5/29/2013	12	Ratification of Auditor	Management	For	
Chevron Corp.	CVX	166764100	5/29/2013	13	Advisory Vote on Executive Compensation	Management	For	
Chevron Corp.	CVX	166764100	5/29/2013	14	Amendment to the Long-Term Incentive Plan	Management	For	
Chevron Corp.	CVX	166764100	5/29/2013	15	Shareholder Proposal Regarding Hydraulic Fracturing	Shareholder	Against	A
Chevron Corp.	CVX	166764100	5/29/2013	16	Shareholder Proposal Regarding Offshore Drilling Report	Shareholder	Against	A
Chevron Corp.	CVX	166764100	5/29/2013	17	Shareholder Proposal Regarding Report on Climate Change	Shareholder	Against	A
Chevron Corp.	CVX	166764100	5/29/2013	18	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against	A
Chevron Corp.	CVX	166764100	5/29/2013	19	Shareholder Proposal Regarding Prohibition on Political Spending	Shareholder	Against	A
Chevron Corp.	CVX	166764100	5/29/2013	20	Shareholder Proposal Regarding Cumulative Voting	Shareholder	Against	A
Chevron Corp.	CVX	166764100	5/29/2013	21	Shareholder Proposal Regarding Right to Call a Special Meeting	Shareholder	Against	A
Chevron Corp.	CVX	166764100	5/29/2013	22	Shareholder Proposal Regarding Environmental Expertise on Board	Shareholder	Against	A
Chevron Corp.	CVX	166764100	5/29/2013	23	Shareholder Proposal Regarding Country Selection Guidelines	Shareholder	Against	A
Cigna Corp.	CI	125509109	4/24/2013	1	Elect David M. Cordani	Management	For	
Cigna Corp.	CI	125509109	4/24/2013	2	Elect Isaiah Harris, Jr.	Management	For	
Cigna Corp.	CI	125509109	4/24/2013	3	Elect Jane E. Henney	Management	For	
Cigna Corp.	CI	125509109	4/24/2013	4	Elect Donna F. Zarcone	Management	For	



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Cigna Corp.	CI	125509109	4/24/2013	5	Ratification of Auditor	Management	For	
Cigna Corp.	CI	125509109	4/24/2013	6	Advisory Vote on Executive Compensation	Management	For	
Cigna Corp.	CI	125509109	4/24/2013	7	Amendment to the Long-Term Incentive Plan	Management	For	
Cigna Corp.	CI	125509109	4/24/2013	8	Shareholder Proposal Regarding Political Spending	Shareholder	Against	A
Clough Global Allocation Fund	GLV	18913Y103	7/16/2012	1.1	Elect James Canty	Management	For	
Clough Global Allocation Fund	GLV	18913Y103	7/16/2012	1.2	Elect Robert Butler	Management	For	
Clough Global Allocation Fund	GLV	18913Y103	7/16/2012	1.3	Elect Richard Rantzow	Management	For	
Clough Global Equity Fund	GLQ	18914C100	7/16/2012	1.1	Elect Andrew Boynton	Management	For	
Clough Global Equity Fund	GLQ	18914C100	7/16/2012	1.2	Elect Adam Crescenzi	Management	For	
Clough Global Equity Fund	GLQ	18914C100	7/16/2012	1.3	Elect Jerry Rutledge	Management	For	
Clough Global Opportunities Fund	GLO	18914E106	7/16/2012	1.1	Elect Edmund Burke	Management	For	
Clough Global Opportunities Fund	GLO	18914E106	7/16/2012	1.2	Elect John Mee	Management	For	
Coca-Cola Company	KO	191216100	7/10/2012	1	Approval of Stock Split	Management	For	
Coca-Cola Company	KO	191216100	4/24/2013	1	Elect Herbert A. Allen	Management	For	
Coca-Cola Company	KO	191216100	4/24/2013	2	Elect Ronald W. Allen	Management	For	A
Coca-Cola Company	KO	191216100	4/24/2013	3	Elect Howard G. Buffett	Management	For	
Coca-Cola Company	KO	191216100	4/24/2013	4	Elect Richard M. Daley	Management	For	
Coca-Cola Company	KO	191216100	4/24/2013	5	Elect Barry Diller	Management	For	A
Coca-Cola Company	KO	191216100	4/24/2013	6	Elect Helene D. Gayle	Management	For	
	KO	191216100	4/24/2013	7		Management	For	

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Coca-Cola Company						Elect Evan G. Greenberg		
Coca-Cola Company	KO	191216100	4/24/2013	8		Elect Alexis M. Herman	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	9		Elect Muhtar Kent	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	10		Elect Robert A. Kotick	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	11		Elect Maria Elena Lagomasino	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	12		Elect Donald F. McHenry	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	13		Elect Sam Nunn	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	14		Elect James D. Robinson III	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	15		Elect Peter V. Ueberroth	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	16		Elect Jacob Wallenberg	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	17		Ratification of Auditor	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	18		Advisory Vote on Executive Compensation	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	19		Amendment to By-Laws Regarding the Right to Call Special Meetings	Management	For
Coca-Cola Company	KO	191216100	4/24/2013	20		Shareholder Proposal Regarding Formation of a Human Rights Committee	Shareholder	Against
Cognizant Technology Solutions Corp.	CTSH	192446102	6/4/2013	1		Elect Maureen Breakiron-Evans	Management	For
Cognizant Technology Solutions Corp.	CTSH	192446102	6/4/2013	2		Elect John E. Klein	Management	For
Cognizant Technology Solutions Corp.	CTSH	192446102	6/4/2013	3		Elect Lakshmi Narayanan	Management	For
Cognizant Technology	CTSH	192446102	6/4/2013	4		Advisory Vote on Executive	Management	For

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					Compensation		
Solutions Corp.							
Cognizant Technology Solutions Corp.	CTSH	192446102	6/4/2013	5	Repeal of Classified Board	Management	For
Cognizant Technology Solutions Corp.	CTSH	192446102	6/4/2013	6	Amendment to the 2004 Employee Stock Purchase Plan	Management	For
Cognizant Technology Solutions Corp.	CTSH	192446102	6/4/2013	7	Ratification of Auditor	Management	For
Cognizant Technology Solutions Corp.	CTSH	192446102	6/4/2013	8	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against
Colgate-Palmolive Company	CL	194162103	5/10/2013	1	Elect Nikesh Arora	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	2	Elect John T. Cahill	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	3	Elect Ian M. Cook	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	4	Elect Helene D. Gayle	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	5	Elect Ellen M. Hancock	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	6	Elect Joseph Jimenez	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	7	Elect Richard J. Kogan	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	8	Elect Delano E. Lewis	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	9	Elect J. Pedro Reinhard	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	10	Elect Stephen I. Sadove	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	11	Ratification of Auditor	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	12	Advisory Vote on Executive Compensation	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	13	2013 Incentive Compensation Plan	Management	For
Colgate-Palmolive Company	CL	194162103	5/10/2013	14	Shareholder Proposal Regarding Retention of Shares Until	Shareholder	Against

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					Retirement			
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.1	Elect Kenneth J. Bacon	Management	For	W
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.2	Elect Sheldon M. Bonovitz	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.3	Elect Joseph J. Collins	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.4	Elect J. Michael Cook	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.5	Elect Gerald L. Hassell	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.6	Elect Jeffrey A. Honickman	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.7	Elect Eduardo G. Mestre	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.8	Elect Brian L. Roberts	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.9	Elect Ralph J. Roberts	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.10	Elect Johnathan A. Rodgers	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.11	Elect Judith Rodin	Management	For	W
Comcast Corp.	CMCSA	20030N101	5/15/2013	2	Ratification of Auditor	Management	For	
Comcast Corp.	CMCSA	20030N101	5/15/2013	3	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against	
Comcast Corp.	CMCSA	20030N101	5/15/2013	4	Shareholder Proposal Regarding Recapitalization	Shareholder	Against	
ConocoPhillips	COP	20825C104	5/14/2013	8	Elect Robert A. Niblock	Management	For	
ConocoPhillips	COP	20825C104	5/14/2013	9	Elect Harald J. Norvik	Management	For	
ConocoPhillips	COP	20825C104	5/14/2013	10	Elect William E. Wade, Jr.	Management	For	
ConocoPhillips	COP	20825C104	5/14/2013	11	Ratification of Auditor	Management	For	A
ConocoPhillips	COP	20825C104	5/14/2013	12	Advisory Vote on Executive Compensation	Management	For	A
ConocoPhillips	COP	20825C104	5/14/2013	13	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against	A
ConocoPhillips	COP	20825C104	5/14/2013	14	Shareholder Proposal Regarding Reporting	Shareholder	Against	A

						and Reducing Greenhouse Gas Emissions		
ConocoPhillips	COP	20825C104	5/14/2013	15	Shareholder Proposal Regarding Adopting Gender Identity and Expression Anti-Bias Policy	Shareholder	Against	
ConocoPhillips	COP	20825C104	5/14/2013	1	Elect Richard L. Armitage	Management	For	
ConocoPhillips	COP	20825C104	5/14/2013	2	Elect Richard H. Auchinleck	Management	For	
ConocoPhillips	COP	20825C104	5/14/2013	3	Elect James E. Copeland, Jr.	Management	For	
ConocoPhillips	COP	20825C104	5/14/2013	4	Elect Jody L Freeman	Management	For	
ConocoPhillips	COP	20825C104	5/14/2013	5	Elect Gay Huey Evans	Management	For	
ConocoPhillips	COP	20825C104	5/14/2013	6	Elect Ryan M. Lance	Management	For	
ConocoPhillips	COP	20825C104	5/14/2013	7	Elect Mohd H. Marican	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	1	Elect Kevin Burke	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	2	Elect Vincent A. Calarco	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	3	Elect George Campbell, Jr.	Management	For	A
Consolidated Edison, Inc.	ED	209115104	5/20/2013	4	Elect Gordon J. Davis	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	5	Elect Michael J. Del Giudice	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	6	Elect Ellen V. Futter	Management	For	A
Consolidated Edison, Inc.	ED	209115104	5/20/2013	7	Elect John F. Hennessy III	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	8	Elect John F. Killian	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	9	Elect Eugene R. McGrath	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	10	Elect Sally H. Piñero	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	11	Elect Michael W. Ranger	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	12	Elect L. Frederick Sutherland	Management	For	

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Consolidated Edison, Inc.	ED	209115104	5/20/2013	13	Ratification of Auditor	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	14	Long Term Incentive Plan	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	15	Advisory Vote on Executive Compensation	Management	For	
Consolidated Edison, Inc.	ED	209115104	5/20/2013	16	Shareholder Proposal Regarding Compensation Benchmarking	Shareholder	Against	A
Costco Wholesale Corporation	COST	22160K105	1/24/2013	1.1	Elect Benjamin Carson, Sr.	Management	For	
Costco Wholesale Corporation	COST	22160K105	1/24/2013	1.2	Elect William Gates	Management	For	
Costco Wholesale Corporation	COST	22160K105	1/24/2013	1.3	Elect Hamilton James	Management	For	
Costco Wholesale Corporation	COST	22160K105	1/24/2013	1.4	Elect W. Craig Jelinek	Management	For	
Costco Wholesale Corporation	COST	22160K105	1/24/2013	1.5	Elect Jill Ruckelshaus	Management	For	
Costco Wholesale Corporation	COST	22160K105	1/24/2013	2	Ratification of Auditor	Management	For	
Costco Wholesale Corporation	COST	22160K105	1/24/2013	3	Advisory Vote on Executive Compensation	Management	For	
Costco Wholesale Corporation	COST	22160K105	1/24/2013	4	Shareholder Proposal Regarding Declassification of the Board	Shareholder	Against	
Covidien plc	COV	G2554F113	3/20/2013	1	Elect Jose E. Almeida	Management	For	
Covidien plc	COV	G2554F113	3/20/2013	2	Elect Joy A. Amundson	Management	For	
Covidien plc	COV	G2554F113	3/20/2013	3	Elect Craig Arnold	Management	For	
Covidien plc	COV	G2554F113	3/20/2013	4	Elect Robert H. Brust	Management	For	
Covidien plc	COV	G2554F113	3/20/2013	5	Elect John M. Connors, Jr.	Management	For	
Covidien plc	COV	G2554F113	3/20/2013	6	Elect Christopher J. Coughlin	Management	For	
Covidien plc	COV	G2554F113	3/20/2013	7	Elect Randall J. Hogan, III	Management	For	
Covidien plc	COV	G2554F113	3/20/2013	8	Elect Martin D. Madaus	Management	For	

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Covidien plc	COV	G2554F113	3/20/2013	9	Elect Dennis H. Reilley	Management	For
Covidien plc	COV	G2554F113	3/20/2013	10	Elect Joseph A. Zaccagnino	Management	For
Covidien plc	COV	G2554F113	3/20/2013	11	Appointment of Auditor and Authority to Set Remuneration	Management	For
Covidien plc	COV	G2554F113	3/20/2013	12	Advisory Vote on Executive Compensation	Management	For
Covidien plc	COV	G2554F113	3/20/2013	13	Amendment to the Stock and Incentive Plan	Management	For
Covidien plc	COV	G2554F113	3/20/2013	14	Authority to Repurchase Shares	Management	For
Covidien plc	COV	G2554F113	3/20/2013	15	Authority to Reissue Treasury Shares	Management	For
Covidien plc	COV	G2554F113	3/20/2013	16	Amendments to Articles to Update Share Transfer Procedures	Management	For
Covidien plc	COV	G2554F113	3/20/2013	17	Advisory Vote on the Reduction of Share Premium Account in Connection with Spin Off	Management	For
Cutwater Select Income Fund	CSI	232229104	6/26/2013	1.1	Elect W. Thacher Brown	Management	For
Cutwater Select Income Fund	CSI	232229104	6/26/2013	1.2	Elect Ellen D. Harvey	Management	For
Cutwater Select Income Fund	CSI	232229104	6/26/2013	1.3	Elect Thomas E. Spock	Management	For
Cutwater Select Income Fund	CSI	232229104	6/26/2013	1.4	Elect Suzanne P. Welsh	Management	For
CVS Caremark Corporation	CVS	126650100	5/9/2013	1	Elect C. David Brown II	Management	For
CVS Caremark Corporation	CVS	126650100	5/9/2013	2	Elect David W. Dorman	Management	For
CVS Caremark Corporation	CVS	126650100	5/9/2013	3	Elect Anne M. Finucane	Management	For
	CVS	126650100	5/9/2013	4		Management	For

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CVS Caremark Corporation						Elect Kristen E. Gibney Williams			
CVS Caremark Corporation	CVS	126650100	5/9/2013	5		Elect Larry J. Merlo	Management	For	
CVS Caremark Corporation	CVS	126650100	5/9/2013	6		Elect Jean-Pierre Millon	Management	For	
CVS Caremark Corporation	CVS	126650100	5/9/2013	7		Elect Richard J. Swift	Management	For	
CVS Caremark Corporation	CVS	126650100	5/9/2013	8		Elect William C. Weldon	Management	For	
CVS Caremark Corporation	CVS	126650100	5/9/2013	9		Elect Tony L. White	Management	For	
CVS Caremark Corporation	CVS	126650100	5/9/2013	10		Ratification of Auditor	Management	For	
CVS Caremark Corporation	CVS	126650100	5/9/2013	11		Advisory Vote on Executive Compensation	Management	For	
CVS Caremark Corporation	CVS	126650100	5/9/2013	12		Amendment to the 2007 Employee Stock Purchase Plan	Management	For	
CVS Caremark Corporation	CVS	126650100	5/9/2013	13		Reduction of Voting Thresholds in the Fair Price Provision	Management	For	
CVS Caremark Corporation	CVS	126650100	5/9/2013	14		Shareholder Proposal Regarding Report on Political Spending	Shareholder	Against	A
CVS Caremark Corporation	CVS	126650100	5/9/2013	15		Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against	A
CVS Caremark Corporation	CVS	126650100	5/9/2013	16		Shareholder Proposal Regarding Lobbying Report	Shareholder	Against	A
Deere & Co.	DE	244199105	2/27/2013	1		Elect Samuel R. Allen	Management	For	
Deere & Co.	DE	244199105	2/27/2013	2		Elect Crandall C. Bowles	Management	For	
Deere & Co.	DE	244199105	2/27/2013	3		Elect Vance D. Coffman	Management	For	
Deere & Co.	DE	244199105	2/27/2013	4		Elect Charles O. Holliday, Jr.	Management	For	
Deere & Co.	DE	244199105	2/27/2013	5		Elect Dipak C. Jain	Management	For	
Deere & Co.	DE	244199105	2/27/2013	6			Management	For	



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						Elect Clayton M. Jones		
Deere & Co.	DE	244199105	2/27/2013	7	Elect Joachim Milberg	Management	For	
Deere & Co.	DE	244199105	2/27/2013	8	Elect Richard B. Myers	Management	For	
Deere & Co.	DE	244199105	2/27/2013	9	Elect Thomas H. Patrick	Management	For	
Deere & Co.	DE	244199105	2/27/2013	10	Elect Aulana L. Peters	Management	For	
Deere & Co.	DE	244199105	2/27/2013	11	Elect Sherry M. Smith	Management	For	
Deere & Co.	DE	244199105	2/27/2013	12	Advisory Vote on Executive Compensation	Management	For	
Deere & Co.	DE	244199105	2/27/2013	13	Re-approval of Mid-Term Incentive Plan	Management	For	
Deere & Co.	DE	244199105	2/27/2013	14	Ratification of Auditor	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	1	Elect Jeffrey S. Aronin	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	2	Elect Mary K. Bush	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	3	Elect Gregory C. Case	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	4	Elect Cynthia A. Glassman	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	5	Elect Richard H. Lenny	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	6	Elect Thomas G. Maheras	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	7	Elect Michael H. Moskow	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	8	Elect David W. Nelms	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	9	Elect E. Follin Smith	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	10	Elect Mark A. Thierer	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	11	Elect Lawrence A. Weinbach	Management	For	
Discover Financial Services	DFS	254709108	4/17/2013	12	Advisory Vote on Executive Compensation	Management	For	
	DFS	254709108	4/17/2013	13		Management	For	

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Discover Financial Services					Elect			
					Ratification of Auditor			
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.1	Elect John Amboian	Management		For
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.2	Elect Robert Bremner	Management		For
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.3	Elect Jack Evans	Management		For
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.4	Elect David Kundert	Management		For
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.5	Elect Judith Stockdale	Management		For
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.6	Elect Carole Stone	Management		For
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.7	Elect Virginia Stringer	Management		For
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.8	Elect Terence Toth	Management		For
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.9	Elect William Hunter	Management		For
Dow 30 Premium & Dividend Income Fund Inc.	DPD	260582101	4/3/2013	1.10	Elect William Schneider	Management		For
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.1	Elect William Barnet III	Management		For
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.2	Elect G. Alex Bernhardt, Sr.	Management		For
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.3	Elect Michael G. Browning	Management		For
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.4	Elect Harris E. DeLoach, Jr.	Management		For
	DUK	26441C204	5/2/2013	1.5		Management		For

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Duke Energy Corp.					Elect Daniel R. DiMicco				
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.6	Elect John H. Forsgren	Management	For	W	
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.7	Elect Ann Maynard Gray	Management	For	W	
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.8	Elect James H. Hance, Jr.	Management	For	W	
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.9	Elect John T. Herron	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.10	Elect James B. Hyler, Jr.	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.11	Elect E. Marie McKee	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.12	Elect E. James Reinsch	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.13	Elect James T. Rhodes	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.14	Elect James E. Rogers	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.15	Elect Carlos A. Saladrigas	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	1.16	Elect Philip R. Sharp	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	2	Ratification of Auditor	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	3	Advisory Vote on Executive Compensation	Management	For	A	
Duke Energy Corp.	DUK	26441C204	5/2/2013	4	Amended Short-Term Incentive Plan	Management	For		
Duke Energy Corp.	DUK	26441C204	5/2/2013	5	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against		
Duke Energy Corp.	DUK	26441C204	5/2/2013	6	Shareholder Proposal Regarding Majority Vote for Election of Directors	Shareholder	Against		
Eaton Vance Enhanced Equity Income Fund	EOI	278274105	7/20/2012	1.1	Elect Scott Eston	Management	For	Fo W	
Eaton Vance Enhanced Equity	EOI	278274105	7/20/2012	1.2	Elect William Park	Management	For	Fo	

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Income Fund

Eaton Vance Enhanced Equity Income Fund	EOI	278274105	7/20/2012	1.3	Elect Ronald Pearlman	Management	For
Eaton Vance Enhanced Equity Income Fund	EOI	278274105	7/20/2012	1.4	Elect Harriett Taggart	Management	For
Eaton Vance Enhanced Equity Income Fund II	EOS	278277108	4/19/2013	1.1	Elect Lynn A. Stout	Management	For
Eaton Vance Enhanced Equity Income Fund II	EOS	278277108	4/19/2013	1.2	Elect Harriet T. Taggart	Management	For
Eaton Vance Enhanced Equity Income Fund II	EOS	278277108	4/19/2013	1.3	Elect Ralph F. Verni	Management	For
Eaton Vance Risk-Managed Diversified Equity Income Fund	ETJ	27829G106	4/19/2013	1.1	Elect Lynn A. Stout	Management	For
Eaton Vance Risk-Managed Diversified Equity Income Fund	ETJ	27829G106	4/19/2013	1.2	Elect Harriet T. Taggart	Management	For
Eaton Vance Risk-Managed Diversified Equity Income Fund	ETJ	27829G106	4/19/2013	1.3	Elect Ralph F. Verni	Management	For
Eaton Vance Tax-Advantaged Global Dividend Opportunities Fund	ETO	27828U106	2/15/2013	1.1	Elect Ronald Pearlman	Management	For
Eaton Vance Tax-Advantaged Global Dividend Opportunities Fund	ETO	27828U106	2/15/2013	1.2	Elect Helen Peters	Management	For
Eaton Vance Tax-Advantaged Global Dividend Opportunities Fund	ETO	27828U106	2/15/2013	1.3	Elect Ralph Verni	Management	For
Eaton Vance Tax-Managed	ETY	27828N102	8/24/2012	1.1	Elect Scott Eston	Management	For

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Diversified Equity Income Fund								
Eaton Vance Tax-Managed Diversified Equity Income Fund	ETY	27828N102	8/24/2012	1.2	Elect Harriett Taggart	Management	For	
Eaton Vance Tax-Managed Diversified Equity Income Fund	ETY	27828N102	8/24/2012	1.3	Elect Helen Peters	Management	For	
Eaton Vance Tax-Managed Diversified Equity Income Fund	ETY	27828N102	8/24/2012	1.4	Elect Lynn Stout	Management	For	
Eaton Vance Tax-Managed Diversified Equity Income Fund	ETY	27828N102	8/24/2012	1.5	Elect Ralph Verni	Management	For	
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	ETW	27829C105	4/19/2013	1.1	Elect William H. Park	Management	For	
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	ETW	27829C105	4/19/2013	1.2	Elect Ronald A. Pearlman	Management	For	
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	ETW	27829C105	4/19/2013	1.3	Elect Harriet T. Taggart	Management	For	
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG	27829F108	8/24/2012	1.1	Elect Scott Eston	Management	For	
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG	27829F108	8/24/2012	1.2	Elect Ronald Pearlman	Management	For	
Eaton Vance Tax-Managed Global Diversified Equity Income	EXG	27829F108	8/24/2012	1.3	Elect Helen Peters	Management	For	

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Fund

Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG	27829F108	8/24/2012	1.4	Elect Lynn Stout	Management	For	F W
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG	27829F108	8/24/2012	1.5	Elect Ralph Verni	Management	For	F W
eBay Inc.	EBAY	278642103	4/18/2013	1	Elect David M. Moffett	Management	For	
eBay Inc.	EBAY	278642103	4/18/2013	2	Elect Richard T. Schlosberg, III	Management	For	
eBay Inc.	EBAY	278642103	4/18/2013	3	Elect Thomas J. Tierney	Management	For	
eBay Inc.	EBAY	278642103	4/18/2013	4	Advisory Vote on Executive Compensation	Management	For	A
eBay Inc.	EBAY	278642103	4/18/2013	5	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against	A
eBay Inc.	EBAY	278642103	4/18/2013	6	Shareholder Proposal Regarding Privacy and Data Security	Shareholder	Against	A
eBay Inc.	EBAY	278642103	4/18/2013	7	Ratification of Auditor	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	1	Elect Douglas M. Baker, Jr.	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	2	Elect Barbara J. Beck	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	3	Elect Leslie S. Biller	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	4	Elect Stephen I. Chazen	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	5	Elect Jerry A. Grundhofer	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	6	Elect Arthur J. Higgins	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	7	Elect Joel W. Johnson	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	8	Elect Michael Larson	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	9	Elect Jerry W. Levin	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	10	Elect Robert L. Lumpkins	Management	For	

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Ecolab, Inc.	ECL	278865100	5/2/2013	11	Elect Victoria J. Reich	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	12	Elect Mary M. VanDeWeghe	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	13	Elect John J. Zillmer	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	14	Ratification of Auditor	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	15	Amendment to the 2010 Stock Incentive Plan	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	16	Advisory Vote on Executive Compensation	Management	For	
Ecolab, Inc.	ECL	278865100	5/2/2013	17	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against	A
Ecolab, Inc.	ECL	278865100	5/2/2013	18	Shareholder Proposal Regarding Incorporation of Values in Political Spending	Shareholder	Against	A
EOG Resources, Inc.	EOG	26875P101	5/2/2013	1	Elect Charles Crisp	Management	For	
EOG Resources, Inc.	EOG	26875P101	5/2/2013	2	Elect James Day	Management	For	
EOG Resources, Inc.	EOG	26875P101	5/2/2013	3	Elect Mark Papa	Management	For	
EOG Resources, Inc.	EOG	26875P101	5/2/2013	4	Elect H. Leighton Steward	Management	For	
EOG Resources, Inc.	EOG	26875P101	5/2/2013	5	Elect Donald Textor	Management	For	
EOG Resources, Inc.	EOG	26875P101	5/2/2013	6	Elect William Thomas	Management	For	
EOG Resources, Inc.	EOG	26875P101	5/2/2013	7	Elect Frank Wisner	Management	For	
EOG Resources, Inc.	EOG	26875P101	5/2/2013	8	Ratification of Auditor	Management	For	
EOG Resources, Inc.	EOG	26875P101	5/2/2013	9	Amendment to the 2008 Omnibus Equity Compensation Plan	Management	For	
EOG Resources, Inc.	EOG	26875P101	5/2/2013	10	Advisory Vote on Executive Compensation	Management	For	
	XOM	30231G102	5/29/2013	1.7		Management	For	

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Exxon Mobil Corp.						Elect Kenneth C. Frazier		
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.8		Elect William W. George	Management	For
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.9		Elect Samuel J. Palmisano	Management	For
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.10		Elect Steven S. Reinemund	Management	For
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.11		Elect Rex W. Tillerson	Management	For
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.12		Elect William C. Weldon	Management	For
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.13		Elect Edward E. Whitacre, Jr.	Management	For
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	2		Ratification of Auditor	Management	For
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	3		Advisory Vote on Executive Compensation	Management	For
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	4		Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	5		Shareholder Proposal Regarding Majority Vote in the Election of Directors	Shareholder	Against
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	6		Shareholder Proposal Regarding Multiple Board Service	Shareholder	Against
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	7		Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	8		Shareholder Proposal Regarding Feasibility Study on Prohibition of Political Spending	Shareholder	Against
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	9		Shareholder Proposal Regarding Adopting Sexual Orientation and Gender Identity Anti-Bias Policy	Shareholder	Against
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	10		Shareholder Proposal Regarding Hydraulic Fracturing	Shareholder	Against



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Exxon Mobil Corp.	XOM	30231G102	5/29/2013	11	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	Shareholder	Against	A
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.1	Elect Michael J. Boskin	Management	For	
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.2	Elect Peter Brabeck-Letmathe	Management	For	
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.3	Elect Ursula M. Burns	Management	For	
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.4	Elect Larry R. Faulkner	Management	For	
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.5	Elect Jay S. Fishman	Management	For	
Exxon Mobil Corp.	XOM	30231G102	5/29/2013	1.6	Elect Henrietta H. Fore	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.1	Elect Mark Bernstein	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.2	Elect Pamela Davies	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.3	Elect Sharon Decker	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.4	Elect Edward Dolby	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.5	Elect Glenn Eisenberg	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.6	Elect Edward Garden	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.7	Elect Howard Levine	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.8	Elect George Mahoney, Jr.	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.9	Elect James Martin	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.10	Elect Harvey Morgan	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	1.11	Elect Dale Pond	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	2	Advisory Vote on Executive Compensation	Management	For	
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	3	Employee Stock Purchase Plan	Management	For	

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Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	4	Ratification of Auditor	Management	For
Family Dollar Stores, Inc.	FDO	307000109	1/17/2013	5	Shareholder Proposal Regarding Adoption of ILO Conventions in Business Partner Code of Conduct	Shareholder	Against
Federated Enhanced Treasury Income Fund	FTT	314162108	9/14/2012	1.3	Elect Charles Mansfield, Jr.	Management	For
Federated Enhanced Treasury Income Fund	FTT	314162108	9/14/2012	1.1	Elect J. Christopher Donahue	Management	For
Federated Enhanced Treasury Income Fund	FTT	314162108	9/14/2012	1.2	Elect Peter Madden	Management	For
Federated Enhanced Treasury Income Fund	FTT	314162108	9/14/2012	2	Amendment to Declaration of Trust Regarding Director Age	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	1	Elect James Barksdale	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	2	Elect John Edwardson	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	3	Elect Shirley Jackson	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	4	Elect Steven Loranger	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	5	Elect Gary Loveman	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	6	Elect R. Brad Martin	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	7	Elect Joshua Ramo	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	8	Elect Susan Schwab	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	9	Elect Frederick Smith	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	10	Elect Joshua Smith	Management	For
FedEx Corporation	FDX	31428X106	9/24/2012	11	Elect David Steiner	Management	For

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FedEx Corporation									
FedEx Corporation	FDX	31428X106	9/24/2012	12	Elect Paul Walsh	Management	For		
FedEx Corporation	FDX	31428X106	9/24/2012	13	Ratification of Auditor	Management	For		
FedEx Corporation	FDX	31428X106	9/24/2012	14	Advisory Vote on Executive Compensation	Management	For		
FedEx Corporation	FDX	31428X106	9/24/2012	15	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against		
FedEx Corporation	FDX	31428X106	9/24/2012	16	Shareholder Proposals Regarding Political Contributions and Expenditures Report	Shareholder	Against		
Fifth Third Bancorp	FITB	316773100	4/16/2013	1	Elect Darryl F. Allen	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	2	Elect Evan Bayh	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	3	Elect Ulysses L. Bridgeman, Jr.	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	4	Elect Emerson L. Brumback	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	5	Elect James P. Hackett	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	6	Elect Gary R. Heminger	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	7	Elect Jewell D. Hoover	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	8	Elect William M. Isaac	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	9	Elect Kevin T. Kabat	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	10	Elect Mitchel D. Livingston	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	11	Elect Michael B. McCallister	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	12	Elect Hendrick G. Meijer	Management	For		
Fifth Third Bancorp	FITB	316773100	4/16/2013	13	Elect John J. Schiff, Jr.	Management	For		
	FITB	316773100	4/16/2013	14		Management	For		

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Fifth Third Bancorp						Elect Marsha C. Williams		
Fifth Third Bancorp	FITB	316773100	4/16/2013	15		Ratification of Auditor	Management	For
Fifth Third Bancorp	FITB	316773100	4/16/2013	16		Advisory Vote on Executive Compensation	Management	For
Fifth Third Bancorp	FITB	316773100	4/16/2013	17		Frequency of Advisory Vote on Executive Compensation	Management	1 Year
First Trust High Income Long/Short Fund	FSD	33738E109	4/17/2013	1.1		Elect James A. Bowen	Management	For
First Trust High Income Long/Short Fund	FSD	33738E109	4/17/2013	1.2		Elect Niel B. Nielson	Management	For
First Trust/Aberdeen Emerging Opportunity Fund	FEO	33731L100	4/17/2013	1.1		Elect James A. Bowen	Management	For
First Trust/Aberdeen Emerging Opportunity Fund	FEO	33731L100	4/17/2013	1.2		Elect Niel B. Nielson	Management	For
First Trust/Aberdeen Emerging Opportunity Fund	FEO	337353304	4/17/2013	1.1		Elect James A. Bowen	Management	For
First Trust/Aberdeen Emerging Opportunity Fund	FEO	337353304	4/17/2013	1.2		Elect Niel B. Nielson	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	1		Elect Samuel H. Armacost	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	2		Elect Peter K. Barker	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	3		Elect Charles Crocker	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	4		Elect Charles B. Johnson	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	5		Elect Gregory E. Johnson	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	6		Elect Rupert H. Johnson, Jr.	Management	For

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Franklin Resources, Inc.	BEN	354613101	3/13/2013	7	Elect Mark C. Pigott	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	8	Elect Chutta Ratnathicam	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	9	Elect Laura Stein	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	10	Elect Anne M. Tatlock	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	11	Elect Geoffrey Y. Yang	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	12	Ratification of Auditor	Management	For
Franklin Resources, Inc.	BEN	354613101	3/13/2013	13	Shareholder Proposal Regarding Genocide-Free Investing	Shareholder	Against
Gap, Inc. (The)	GPS	364760108	5/21/2013	1	Elect Adrian D.P. Bellamy	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	2	Elect Domenico De Sole	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	3	Elect Robert J. Fisher	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	4	Elect William S. Fisher	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	5	Elect Isabella D. Goren	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	6	Elect Bob L. Martin	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	7	Elect Jorge P. Montoya	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	8	Elect Glenn K. Murphy	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	9	Elect Mayo A. Shattuck III	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	10	Elect Katherine Tsang	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	11	Ratification of Auditor	Management	For
Gap, Inc. (The)	GPS	364760108	5/21/2013	12	Advisory Vote on Executive Compensation	Management	For
GDL Fund (The)	GDL	361570104	5/13/2013	1.1	Elect Edward T. Tokar	Management	For
GDL Fund (The)	GDL	361570104	5/13/2013	1.2	Elect Salvatore J. Zizza	Management	For

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General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.1	Elect Rodney B. Berens	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.2	Elect Lewis B. Cullman	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.3	Elect Spencer Davidson	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.4	Elect Gerald M. Edelman	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.5	Elect John D. Gordan, III	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.6	Elect Betsy F. Gotbaum	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.7	Elect Sidney R. Knafel	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.8	Elect Daniel M. Neidich	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.9	Elect Jeffrey W. Priest	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	2	Ratification of Auditor	Management	For
General Electric Co.	GE	369604103	4/24/2013	1	Elect W. Geoffrey Beattie	Management	For
General Electric Co.	GE	369604103	4/24/2013	2	Elect John J. Brennan	Management	For
General Electric Co.	GE	369604103	4/24/2013	3	Elect James I. Cash, Jr.	Management	For
General Electric Co.	GE	369604103	4/24/2013	4	Elect Francisco D'Souza	Management	For
General Electric Co.	GE	369604103	4/24/2013	5	Elect Marijn E. Dekkers	Management	For
General Electric Co.	GE	369604103	4/24/2013	6	Elect Ann M. Fudge	Management	For
General Electric Co.	GE	369604103	4/24/2013	7	Elect Susan Hockfield	Management	For

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Co.								
General Electric Co.	GE	369604103	4/24/2013	8	Elect Jeffrey R. Immelt	Management	For	
General Electric Co.	GE	369604103	4/24/2013	9	Elect Andrea Jung	Management	For	
General Electric Co.	GE	369604103	4/24/2013	10	Elect Robert W. Lane	Management	For	
General Electric Co.	GE	369604103	4/24/2013	11	Elect Ralph S. Larsen	Management	For	
General Electric Co.	GE	369604103	4/24/2013	12	Elect Rochelle B. Lazarus	Management	For	A
General Electric Co.	GE	369604103	4/24/2013	13	Elect James J. Mulva	Management	For	
General Electric Co.	GE	369604103	4/24/2013	14	Elect Mary L. Schapiro	Management	For	
General Electric Co.	GE	369604103	4/24/2013	15	Elect Robert J. Swieringa	Management	For	
General Electric Co.	GE	369604103	4/24/2013	16	Elect James S. Tisch	Management	For	
General Electric Co.	GE	369604103	4/24/2013	17	Elect Douglas A. Warner III	Management	For	
General Electric Co.	GE	369604103	4/24/2013	18	Advisory Vote on Executive Compensation	Management	For	
General Electric Co.	GE	369604103	4/24/2013	19	Ratification of Auditor	Management	For	
General Electric Co.	GE	369604103	4/24/2013	20	Shareholder Proposal Regarding Cessation of All Stock Options and Bonuses	Shareholder	Against	A
General Electric Co.	GE	369604103	4/24/2013	21	Shareholder Proposal Regarding Term Limits	Shareholder	Against	A
General Electric Co.	GE	369604103	4/24/2013	22	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against	
General Electric Co.	GE	369604103	4/24/2013	23	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against	
General Electric Co.	GE	369604103	4/24/2013	24	Shareholder Proposal Regarding Retention of Shares Until	Shareholder	Against	A

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General Electric Co.	GE	369604103	4/24/2013	25	Retirement Shareholder Proposal Regarding Double Board Nominees	Shareholder	Against	A
Global Income & Currency Fund Inc.	GCF	378968101	11/16/2012	1	Reorganization	Management	For	F A
Google Inc.	GOOG	38259P508	6/6/2013	1.1	Elect Larry Page	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	1.2	Elect Sergey Brin	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	1.3	Elect Eric E. Schmidt	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	1.4	Elect L. John Doerr	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	1.5	Elect Diane B. Greene	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	1.6	Elect John L. Hennessy	Management	For	W
Google Inc.	GOOG	38259P508	6/6/2013	1.7	Elect Ann Mather	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	1.8	Elect Paul S. Otellini	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	1.9	Elect K. Ram Shriram	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	1.10	Elect Shirley M. Tilghman	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	2	Ratification of Auditor	Management	For	
Google Inc.	GOOG	38259P508	6/6/2013	3	Shareholder Proposal Regarding Lead Batteries	Shareholder	Against	A
Google Inc.	GOOG	38259P508	6/6/2013	4	Shareholder Proposal Regarding Recapitalization	Shareholder	Against	
Google Inc.	GOOG	38259P508	6/6/2013	5	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against	A
Google Inc.	GOOG	38259P508	6/6/2013	6	Shareholder Proposal Regarding CEO Succession Planning	Shareholder	Against	A
Home Depot, Inc.	HD	437076102	5/23/2013	1	Elect F. Duane Ackerman	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	2	Elect Francis S. Blake	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	3	Elect Ari Bousbib	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	4	Elect Gregory D. Brenneman	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	5	Elect J. Frank Brown	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	6	Elect Albert P. Carey	Management	For	



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Home Depot, Inc.	HD	437076102	5/23/2013	7	Elect Armando M. Codina	Management	For	A
Home Depot, Inc.	HD	437076102	5/23/2013	8	Elect Bonnie G. Hill	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	9	Elect Karen Katen	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	10	Elect Mark Vadon	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	11	Ratification of Auditor	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	12	Advisory Vote on Executive Compensation	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	13	Approval of the Material Terms under the Management Incentive Plan	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	14	Amendment to the 2005 Omnibus Stock Incentive Plan	Management	For	
Home Depot, Inc.	HD	437076102	5/23/2013	15	Shareholder Proposal Regarding Employment Diversity Report	Shareholder	Against	A
Home Depot, Inc.	HD	437076102	5/23/2013	16	Shareholder Proposal Regarding Stormwater Management Policy	Shareholder	Against	A
Honeywell International, Inc.	HON	438516106	4/22/2013	1	Elect Gordon M. Bethune	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	2	Elect Kevin Burke	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	3	Elect Jaime Chico Pardo	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	4	Elect David M. Cote	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	5	Elect D. Scott Davis	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	6	Elect Linnet F. Deily	Management	For	A
Honeywell International, Inc.	HON	438516106	4/22/2013	7	Elect Judd Gregg	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	8	Elect Lord Clive Hollick	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	9	Elect Grace D. Lieblein	Management	For	
	HON	438516106	4/22/2013	10	Elect George Paz	Management	For	

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Honeywell International, Inc.								
Honeywell International, Inc.	HON	438516106	4/22/2013	11	Elect Bradley T. Sheares	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	12	Elect Robin L. Washington	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	13	Ratification of Auditor	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	14	Advisory Vote on Executive Compensation	Management	For	
Honeywell International, Inc.	HON	438516106	4/22/2013	15	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against	
Honeywell International, Inc.	HON	438516106	4/22/2013	16	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against	
Honeywell International, Inc.	HON	438516106	4/22/2013	17	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	1	Elect Daniel J. Brutto	Management	For	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	2	Elect Susan Crown	Management	For	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	3	Elect Don H. Davis, Jr.	Management	For	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	4	Elect James W. Griffith	Management	For	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	5	Elect Robert C. McCormack	Management	For	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	6	Elect Robert S. Morrison	Management	For	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	7	Elect E. Scott Santi	Management	For	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	8	Elect James A. Skinner	Management	For	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	9	Elect David B. Smith, Jr.	Management	For	
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	10	Elect Pamela B. Strobel	Management	For	

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Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	11	Elect Kevin M. Warren	Management	For
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	12	Elect Anré D. Williams	Management	For
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	13	Ratification of Auditor	Management	For
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	14	Advisory Vote on Executive Compensation	Management	For
Illinois Tool Works, Inc.	ITW	452308109	5/3/2013	15	Shareholder Proposal Regarding Simple Majority Vote	Shareholder	Against
India Fund Inc.	IFN	454089103	5/17/2013	1.1	Elect J. Marc Hardy	Management	For
India Fund Inc.	IFN	454089103	5/17/2013	1.2	Elect Hugh Young	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	7/5/2012	1.1	Elect Colleen Baldwin	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	7/5/2012	1.2	Elect John Boyer	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	7/5/2012	1.3	Elect Patricia Chadwick	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	1	Approval of a New Investment Advisory Agreement	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	2	Approval of a New Sub-Advisory Agreement	Management	For

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ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.1	Elect John V. Boyer	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.2	Elect Patricia W. Chadwick	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.3	Elect Albert E. DePrince, Jr.	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.4	Elect Martin J. Gavin	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.5	Elect Sheryl K. Pressler	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	4	Approval of a New Sub-Advisory Agreement	Management	For
ING Risk Managed Natural Resources Fund	IRR	449810100	7/5/2012	1.1	Elect Colleen Baldwin	Management	For
ING Risk Managed Natural Resources Fund	IRR	449810100	7/5/2012	1.2	Elect John Boyer	Management	For
ING Risk Managed Natural Resources Fund	IRR	449810100	7/5/2012	1.3	Elect Patricia Chadwick	Management	For
ING Risk Managed Natural Resources Fund	IRR	449810100	6/6/2013	1	Approval of a New Investment Advisory Agreement	Management	For
ING Risk Managed Natural Resources Fund	IRR	449810100	6/6/2013	2	Approval of a New Sub-Advisory	Management	For

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Resources Fund		Agreement						
ING Risk Managed Natural Resources Fund	IRR	449810100	6/6/2013	3.1	Elect John V. Boyer	Management	For	
ING Risk Managed Natural Resources Fund	IRR	449810100	6/6/2013	3.2	Elect Patricia W. Chadwick	Management	For	
ING Risk Managed Natural Resources Fund	IRR	449810100	6/6/2013	3.3	Elect Albert E. DePrince, Jr.	Management	For	
ING Risk Managed Natural Resources Fund	IRR	449810100	6/6/2013	3.4	Elect Martin J. Gavin	Management	For	
ING Risk Managed Natural Resources Fund	IRR	449810100	6/6/2013	3.5	Elect Sheryl K. Pressler	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	1	Elect Alain J. P. Belda	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	2	Elect William R. Brody	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	3	Elect Kenneth I. Chenault	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	4	Elect Michael L. Eskew	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	5	Elect David N. Farr	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	6	Elect Shirley A. Jackson	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	7	Elect Andrew N. Liveris	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	8	Elect W. James McNerney, Jr.	Management	For	

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International Business Machines Corp.	IBM	459200101	4/30/2013	9	Elect James W. Owens	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	10	Elect Virginia M. Rometty	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	11	Elect Joan E. Spero	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	12	Elect Sidney Taurel	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	13	Elect Lorenzo H Zambrano	Management	For	A
International Business Machines Corp.	IBM	459200101	4/30/2013	14	Ratification of Auditor	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	15	Advisory Vote on Executive Compensation	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	16	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against	A
International Business Machines Corp.	IBM	459200101	4/30/2013	17	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against	
International Business Machines Corp.	IBM	459200101	4/30/2013	18	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against	
International Business Machines Corp.	IBM	459200101	4/30/2013	19	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against	A
International Paper Co.	IP	460146103	5/13/2013	1	Elect David J. Bronczek	Management	For	
International Paper Co.	IP	460146103	5/13/2013	2	Elect Ahmet C. Dördüncü	Management	For	
International Paper Co.	IP	460146103	5/13/2013	3	Elect John V. Faraci	Management	For	
International Paper Co.	IP	460146103	5/13/2013	4	Elect Ilene S. Gordon	Management	For	
	IP	460146103	5/13/2013	5		Management	For	

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International Paper Co.					Elect Stacey J. Mobley			
International Paper Co.	IP	460146103	5/13/2013	6	Elect Joan E. Spero	Management	For	
International Paper Co.	IP	460146103	5/13/2013	7	Elect John L. Townsend, III	Management	For	
International Paper Co.	IP	460146103	5/13/2013	8	Elect John F. Turner	Management	For	
International Paper Co.	IP	460146103	5/13/2013	9	Elect William G. Walter	Management	For	
International Paper Co.	IP	460146103	5/13/2013	10	Elect J. Steven Whisler	Management	For	
International Paper Co.	IP	460146103	5/13/2013	11	Ratification of Auditor	Management	For	
International Paper Co.	IP	460146103	5/13/2013	12	Right to Act by Written Consent	Management	For	
International Paper Co.	IP	460146103	5/13/2013	13	Advisory Vote on Executive Compensation	Management	For	
International Paper Co.	IP	460146103	5/13/2013	14	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against	A
Intuitive Surgical Inc.	ISRG	46120E602	4/25/2013	1	Elect Amal M. Johnson	Management	For	
Intuitive Surgical Inc.	ISRG	46120E602	4/25/2013	2	Elect Eric H. Halvorson	Management	For	
Intuitive Surgical Inc.	ISRG	46120E602	4/25/2013	3	Elect Alan J. Levy	Management	For	A
Intuitive Surgical Inc.	ISRG	46120E602	4/25/2013	4	Amendment to the 2010 Incentive Award Plan	Management	For	A
Intuitive Surgical Inc.	ISRG	46120E602	4/25/2013	5	Advisory Vote on Executive Compensation	Management	For	
Intuitive Surgical Inc.	ISRG	46120E602	4/25/2013	6	Ratification of Auditor	Management	For	
Invesco Quality Municipal Income Trust	IQI	61745P874	6/24/2013	1.1	Elect Michael F. Klein	Management	For	F W
Invesco Quality Municipal Income Trust	IQI	61745P874	6/24/2013	1.2	Elect Michael E. Nugent	Management	For	F W

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Invesco Quality Municipal Income Trust	IQI	61745P874	6/24/2013	1.3	Elect W. Allen Reed	Management	For
Invesco Senior Income Trust	VVR	46132R104	7/17/2012	1	Amendment and Restatement of the Agreement and Declaration of Trust	Management	For
Invesco Senior Income Trust	VVR	46132R104	7/17/2012	2.1	Elect Linda Hutton Heagy	Management	For
Invesco Senior Income Trust	VVR	46132R104	7/17/2012	2.2	Elect Wayne Whalen	Management	For
Japan Smaller Capitalization Fund Inc.	JOF	47109U104	12/4/2012	1.1	Elect Rodney Buck	Management	For
Japan Smaller Capitalization Fund Inc.	JOF	47109U104	12/4/2012	1.2	Elect David Chemidlin	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	1	Elect Mary Sue Coleman	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	2	Elect James G. Cullen	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	3	Elect Ian E.L. Davis	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	4	Elect Alex Gorsky	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	5	Elect Michael M. E. Johns	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	6	Elect Susan L. Lindquist	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	7	Elect Anne M. Mulcahy	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	8	Elect Leo F. Mullin	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	9	Elect William D. Perez	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	10	Elect Charles Prince	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	11	Elect A. Eugene Washington	Management	For
Johnson & Johnson	JNJ	478160104	4/25/2013	12	Elect Ronald A. Williams	Management	For



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Johnson & Johnson	JNJ	478160104	4/25/2013	13	Advisory Vote on Executive Compensation	Management	For	
Johnson & Johnson	JNJ	478160104	4/25/2013	14	Ratification of Auditor	Management	For	
Johnson & Johnson	JNJ	478160104	4/25/2013	15	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against	A
Johnson & Johnson	JNJ	478160104	4/25/2013	16	Shareholder Proposal Regarding Political Contributions and Corporate Values Report	Shareholder	Against	A
Johnson & Johnson	JNJ	478160104	4/25/2013	17	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against	
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	1	Elect James A. Bell	Management	For	A
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	2	Elect Crandall C. Bowles	Management	For	A
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	3	Elect Stephen B. Burke	Management	For	
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	4	Elect David M. Cote	Management	For	A
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	5	Elect James S. Crown	Management	For	A
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	6	Elect James Dimon	Management	For	
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	7	Elect Timothy P. Flynn	Management	For	
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	8	Elect Ellen V. Futter	Management	For	A
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	9	Elect Laban P. Jackson, Jr.	Management	For	A
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	10	Elect Lee R. Raymond	Management	For	
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	11	Elect William C. Weldon	Management	For	
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	12	Ratification of Auditor	Management	For	
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	13	Advisory Vote on Executive	Management	For	

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Compensation

Allow Shareholders to  
Act by Written

Consent

Management

For

Key Executive

Performance Plan

Management

For

Shareholder Proposal  
Regarding

Independent Board

Chairman

Shareholder

Against

Shareholder Proposal  
Regarding Retention

of Shares Until

Retirement

Shareholder

Against

Shareholder Proposal  
Regarding

Genocide-Free

Investing

Shareholder

Against

Shareholder Proposal  
Regarding Lobbying

Report

Shareholder

Against

Elect Charles L.  
Carroll

Management

For

Elect Leon M. Pollack

Management

For

Elect Robert M.  
Solmson

Management

For

Elect Thomas Brock

Management

For

Elect John Neuhauser

Management

For

Elect Edmund J.  
Burke

Management

For

Shareholder Proposal  
Regarding

Self-Tender Offer

Shareholder

Against

Approval of New

Management

For

JPMorgan Chase  
& Co.

JPM

46625H100

5/21/2013

14

JPMorgan Chase  
& Co.

JPM

46625H100

5/21/2013

15

JPMorgan Chase  
& Co.

JPM

46625H100

5/21/2013

16

JPMorgan Chase  
& Co.

JPM

46625H100

5/21/2013

17

JPMorgan Chase  
& Co.

JPM

46625H100

5/21/2013

18

JPMorgan Chase  
& Co.

JPM

46625H100

5/21/2013

19

Lazard World  
Dividend &  
Income Fund Inc.

LOR

521076109

4/26/2013

1.1

Lazard World  
Dividend &  
Income Fund Inc.

LOR

521076109

4/26/2013

1.2

Lazard World  
Dividend &  
Income Fund Inc.

LOR

521076109

4/26/2013

1.3

Liberty All-Star  
Growth Fund Inc.

ASG

529900102

7/30/2012

1.1

Liberty All-Star  
Growth Fund Inc.

ASG

529900102

7/30/2012

1.2

Liberty All-Star  
Growth Fund Inc.

ASG

529900102

7/30/2012

1.3

Liberty All-Star  
Growth Fund Inc.

ASG

529900102

7/30/2012

2

Liberty All-Star

ASG

529900102

12/20/2012

1

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Growth Fund Inc.					Portfolio Management Agreement		
Liberty All-Star Growth Fund Inc.	ASG	529900102	12/20/2012	2	Approval of a Manager of Managers Arrangement	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	1	Elect Nolan D. Archibald	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	2	Elect Rosalind G. Brewer	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	3	Elect David B. Burritt	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	4	Elect James O. Ellis, Jr.	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	5	Elect Thomas J. Falk	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	6	Elect Marillyn A. Hewson	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	7	Elect Gwendolyn S. King	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	8	Elect James M. Loy	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	9	Elect Douglas H. McCorkindale	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	10	Elect Joseph W. Ralston	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	11	Elect Anne L. Stevens	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	12	Elect Robert J. Stevens	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	13	Ratification of Auditor	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	14	Advisory Vote on Executive Compensation	Management	For
Lockheed Martin Corp.	LMT	539830109	4/25/2013	15	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against
Lockheed Martin Corp.	LMT	539830109	4/25/2013	16	Shareholder Proposal Regarding	Shareholder	Against

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Lockheed Martin Corp.	LMT	539830109	4/25/2013	17	Independent Board Chairman			
					Shareholder Proposal Regarding Lobbying Report	Shareholder	Against	A
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.1	Elect Raul Alvarez	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.2	Elect David W. Bernauer	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.3	Elect Leonard L. Berry	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.4	Elect Peter C. Browning	Management	For	W
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.5	Elect Richard W. Dreiling	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.6	Elect Dawn E. Hudson	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.7	Elect Robert L. Johnson	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.8	Elect Marshall O. Larsen	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.9	Elect Richard K. Lochridge	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.10	Elect Robert A. Niblock	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	1.11	Elect Eric C. Wiseman	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	2	Ratification of Auditor	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	3	Advisory Vote on Executive Compensation	Management	For	
Lowe's Companies, Inc.	LOW	548661107	5/31/2013	4	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against	A
Madison Strategic Sector Premium Fund	MSP	558268108	7/27/2012	1.1	Elect Philip Blake	Management	For	F W
Marathon Oil Corporation	MRO	565849106	4/24/2013	1	Elect Gregory H. Boyce	Management	For	
Marathon Oil Corporation	MRO	565849106	4/24/2013	2	Elect Pierre R. Brondeau	Management	For	
	MRO	565849106	4/24/2013	3		Management	For	

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Marathon Oil Corporation					Elect Clarence P. Cazalot, Jr.		
Marathon Oil Corporation	MRO	565849106	4/24/2013	4	Elect Linda Z. Cook	Management	For
Marathon Oil Corporation	MRO	565849106	4/24/2013	5	Elect Shirley A. Jackson	Management	For
Marathon Oil Corporation	MRO	565849106	4/24/2013	6	Elect Philip Lader	Management	For
Marathon Oil Corporation	MRO	565849106	4/24/2013	7	Elect Michael E.J. Phelps	Management	For
Marathon Oil Corporation	MRO	565849106	4/24/2013	8	Elect Dennis H. Reilley	Management	For
Marathon Oil Corporation	MRO	565849106	4/24/2013	9	Ratification of Auditor	Management	For
Marathon Oil Corporation	MRO	565849106	4/24/2013	10	Advisory Vote on Executive Compensation	Management	For
Marathon Oil Corporation	MRO	565849106	4/24/2013	11	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	1	Elect Zachary W. Carter	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	2	Elect Óscar Fanjul Martín	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	3	Elect Daniel S. Glaser	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	4	Elect H. Edward Hanway	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	5	Elect Ian Lang	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	6	Elect Elaine La Roche	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	7	Elect Steven A. Mills	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	8	Elect Bruce P. Nolop	Management	For

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Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	9	Elect Marc D. Oken	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	10	Elect Morton O. Schapiro	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	11	Elect Adele S. Simmons	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	12	Elect Lloyd M. Yates	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	13	Elect R. David Yost	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	14	Advisory Vote on Executive Compensation	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	15	Ratification of Auditor	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	13	Advisory Vote on Executive Compensation	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	14	Ratification of Auditor	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	1	Elect Richard Haythornthwaite	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	2	Elect Ajay S. Banga	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	3	Elect Silvio Barzi	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	4	Elect David R. Carlucci	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	5	Elect Steven J. Freiberg	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	6	Elect Nancy J. Karch	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	7	Elect Marc Olivié	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	8	Elect Rima Qureshi	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	9	Elect José Octavio Reyes Lagunes	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	10	Elect Mark Schwartz	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	11	Elect Jackson P. TAI	Management	For
MasterCard Inc.	MA	57636Q104	6/18/2013	12	Elect Edward Suning Tian	Management	For

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McDonald's Corp.	MCD	580135101	5/23/2013	1	Elect Walter E. Massey	Management	For	
McDonald's Corp.	MCD	580135101	5/23/2013	2	Elect John W. Rogers, Jr.	Management	For	
McDonald's Corp.	MCD	580135101	5/23/2013	3	Elect Roger W. Stone	Management	For	
McDonald's Corp.	MCD	580135101	5/23/2013	4	Elect Miles D. White	Management	For	
McDonald's Corp.	MCD	580135101	5/23/2013	5	Advisory Vote on Executive Compensation	Management	For	
McDonald's Corp.	MCD	580135101	5/23/2013	6	Ratification of Auditor	Management	For	
McDonald's Corp.	MCD	580135101	5/23/2013	7	Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay	Shareholder	Against	A
McDonald's Corp.	MCD	580135101	5/23/2013	8	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against	A
McDonald's Corp.	MCD	580135101	5/23/2013	9	Shareholder Proposal Regarding Review of Human Rights Policies	Shareholder	Against	A
McDonald's Corp.	MCD	580135101	5/23/2013	10	Shareholder Proposal Regarding Report on Childhood Obesity	Shareholder	Against	A
McKesson Corporation	MCK	58155Q103	7/25/2012	1	Elect Andy Bryant	Management	For	
McKesson Corporation	MCK	58155Q103	7/25/2012	2	Elect Wayne Budd	Management	For	
McKesson Corporation	MCK	58155Q103	7/25/2012	3	Elect John Hammergren	Management	For	
McKesson Corporation	MCK	58155Q103	7/25/2012	4	Elect Alton Irby III	Management	For	
McKesson Corporation	MCK	58155Q103	7/25/2012	5	Elect M. Christine Jacobs	Management	For	
McKesson Corporation	MCK	58155Q103	7/25/2012	6	Elect Marie Knowles	Management	For	
McKesson Corporation	MCK	58155Q103	7/25/2012	7	Elect David Lawrence	Management	For	
McKesson Corporation	MCK	58155Q103	7/25/2012	8	Elect Edward Mueller	Management	For	
McKesson Corporation	MCK	58155Q103	7/25/2012	9	Elect Jane Shaw	Management	For	

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McKesson Corporation	MCK	58155Q103	7/25/2012	10	Ratification of Auditor	Management	For
McKesson Corporation	MCK	58155Q103	7/25/2012	11	Advisory Vote on Executive Compensation	Management	For
McKesson Corporation	MCK	58155Q103	7/25/2012	12	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against
McKesson Corporation	MCK	58155Q103	7/25/2012	13	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
McKesson Corporation	MCK	58155Q103	7/25/2012	14	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against
McKesson Corporation	MCK	58155Q103	7/25/2012	15	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	1	Elect Leslie A. Brun	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	2	Elect Thomas R. Cech	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	3	Elect Kenneth C. Frazier	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	4	Elect Thomas H. Glocer	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	5	Elect William B. Harrison, Jr.	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	6	Elect C. Robert Kidder	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	7	Elect Rochelle B. Lazarus	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	8	Elect Carlos E. Represas	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	9	Elect Patricia F. Russo	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	10	Elect Craig B. Thompson	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	11	Elect Wendell P. Weeks	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	12	Elect Peter C. Wendell	Management	For
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	13		Management	For



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						Ratification of Auditor		
						Advisory Vote on Executive Compensation		
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	14		Management		For
						Shareholder Proposal Regarding Right to Act by Written Consent		
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	15		Shareholder		Against
						Shareholder Proposal Regarding Right to Call a Special Meeting		
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	16		Shareholder		Against
						Shareholder Proposal Regarding Political and Charitable Contributions Report		
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	17		Shareholder		Against
						Shareholder Proposal Regarding Report on Public Policy Advocacy		
Merck & Co., Inc.	MRK	58933Y105	5/28/2013	18		Shareholder		Against
						Elect R. Glenn Hubbard		
MetLife Inc.	MET	59156R108	4/23/2013	1		Management		For
						Elect Steven A. Kandarian		
MetLife Inc.	MET	59156R108	4/23/2013	2		Management		For
						Elect John M. Keane		
MetLife Inc.	MET	59156R108	4/23/2013	3		Management		For
						Elect Alfred F. Kelly, Jr.		
MetLife Inc.	MET	59156R108	4/23/2013	4		Management		For
						Elect James M. Kilts		
MetLife Inc.	MET	59156R108	4/23/2013	5		Management		For
						Elect Catherine R. Kinney		
MetLife Inc.	MET	59156R108	4/23/2013	6		Management		For
						Elect Hugh B. Price		
MetLife Inc.	MET	59156R108	4/23/2013	7		Management		For
						Elect Kenton J. Sicchitano		
MetLife Inc.	MET	59156R108	4/23/2013	8		Management		For
						Ratification of Auditor		
MetLife Inc.	MET	59156R108	4/23/2013	9		Management		For
						Advisory Vote on Executive Compensation		
MetLife Inc.	MET	59156R108	4/23/2013	10		Management		For
Microsoft Corporation	MSFT	594918104	11/28/2012	1		Management		For
						Elect Steven Ballmer		
Microsoft Corporation	MSFT	594918104	11/28/2012	2		Management		For
						Elect Dina Dublon		
Microsoft Corporation	MSFT	594918104	11/28/2012	3		Management		For

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Microsoft Corporation					Elect William Gates III			
Microsoft Corporation	MSFT	594918104	11/28/2012	4	Elect Maria Klawe	Management	For	
Microsoft Corporation	MSFT	594918104	11/28/2012	5	Elect Stephen Luczo	Management	For	
Microsoft Corporation	MSFT	594918104	11/28/2012	6	Elect David Marquardt	Management	For	
Microsoft Corporation	MSFT	594918104	11/28/2012	7	Elect Charles Noski	Management	For	
Microsoft Corporation	MSFT	594918104	11/28/2012	8	Elect Helmut Panke	Management	For	
Microsoft Corporation	MSFT	594918104	11/28/2012	9	Elect John Thompson	Management	For	
Microsoft Corporation	MSFT	594918104	11/28/2012	10	Advisory Vote on Executive Compensation	Management	For	
Microsoft Corporation	MSFT	594918104	11/28/2012	11	Amendment to the Employee Stock Purchase Plan	Management	For	
Microsoft Corporation	MSFT	594918104	11/28/2012	12	Ratification of Auditor	Management	For	
Microsoft Corporation	MSFT	594918104	11/28/2012	13	Shareholder Proposal Regarding Cumulative Voting	Shareholder	Against	
Morgan Stanley China A Share Fund Inc.	CAF	617468103	7/24/2012	1.1	Elect Michael Bozic	Management	For	
Morgan Stanley China A Share Fund Inc.	CAF	617468103	7/24/2012	1.2	Elect Michael Klein	Management	For	
Morgan Stanley China A Share Fund Inc.	CAF	617468103	7/24/2012	1.3	Elect W. Allen Reed	Management	For	
Morgan Stanley Emerging Markets Domestic Debt Fund Inc.	EDD	617477104	6/24/2013	1.1	Elect Frank L. Bowman	Management	For	
Morgan Stanley Emerging Markets Domestic Debt Fund Inc.	EDD	617477104	6/24/2013	1.2	Elect James F. Higgins	Management	For	
Morgan Stanley Emerging Markets Domestic Debt Fund Inc.	EDD	617477104	6/24/2013	1.3	Elect Manuel H. Johnson	Management	For	

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Fund Inc.

Morgan Stanley India Investment Fund Inc.	IIF	61745C105	7/24/2012	1.1	Elect Joseph Kearns	Management	For
Morgan Stanley India Investment Fund Inc.	IIF	61745C105	7/24/2012	1.2	Elect M.J. Marcel Descroizilles	Management	For
Morgan Stanley India Investment Fund Inc.	IIF	61745C105	6/24/2013	1.1	Elect Arthur Lev	Management	For
Morgan Stanley India Investment Fund Inc.	IIF	61745C105	6/24/2013	1.2	Elect Fergus Reid	Management	For
New Germany Fund Inc.	GF	644465106	6/26/2013	1.1	Elect Detlef Bierbaum	Management	For
New Germany Fund Inc.	GF	644465106	6/26/2013	1.2	Elect Christian H. Strenger	Management	For
New Germany Fund Inc.	GF	644465106	6/26/2013	1.3	Elect Robert H. Wadsworth	Management	For
New Germany Fund Inc.	GF	644465106	6/26/2013	1.4	Elect Wilhelm Bender	Management	For
New Germany Fund Inc.	GF	644465106	6/26/2013	2	Ratification of Auditor Certificate Amendment Regarding Separation	Management	For
News Corp.	NWSA	65248E203	6/11/2013	1	Transactions	Management	For
News Corp.	NWSA	65248E203	6/11/2013	2	Certificate Amendment Regarding Non-Cash Distributions	Management	For
News Corp.	NWSA	65248E203	6/11/2013	3	Company Name Change	Management	For
News Corp.	NWSA	65248E203	6/11/2013	4	Resident Status	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	1	Elect Sherry S. Barrat	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	2	Elect Robert M. Beall II	Management	For

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NextEra Energy Inc.	NEE	65339F101	5/23/2013	3	Elect James L. Camaren	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	4	Elect Kenneth B. Dunn	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	5	Elect Lewis Hay, III	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	6	Elect Toni Jennings	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	7	Elect James L. Robo	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	8	Elect Rudy E. Schupp	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	9	Elect John L. Skolds	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	10	Elect William H. Swanson	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	11	Elect Michael H. Thaman	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	12	Elect Hansel E. Tookes II	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	13	Ratification of Auditor	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	14	Approval of the Material Terms under the 2013 Executive Annual Incentive Plan	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	15	Advisory Vote on Executive Compensation	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	16	Shareholder Regarding Nuclear Fuel Storage and Safety	Shareholder	Against
Nuveen Diversified Currency Opportunities Fund	JGT	67090N109	4/3/2013	1.1	Elect William Hunter	Management	For
Nuveen Diversified Currency Opportunities Fund	JGT	67090N109	4/3/2013	1.2	Elect Judith Stockdale	Management	For
Nuveen Diversified	JGT	67090N109	4/3/2013	1.3	Elect Carole Stone	Management	For

Currency Opportunities Fund									
Nuveen Diversified Currency Opportunities Fund	JGT	67090N109	4/3/2013	1.4	Elect Virginia Stringer	Management		For	
Nuveen Global Value Opportunities Fund	JGV	6706EH103	4/3/2013	1.1	Elect William Hunter	Management		For	
Nuveen Global Value Opportunities Fund	JGV	6706EH103	4/3/2013	1.2	Elect Judith Stockdale	Management		For	
Nuveen Global Value Opportunities Fund	JGV	6706EH103	4/3/2013	1.3	Elect Carole Stone	Management		For	
Nuveen Global Value Opportunities Fund	JGV	6706EH103	4/3/2013	1.4	Elect Virginia Stringer	Management		For	
Nuveen Quality Preferred Income Fund	JTP	67071S101	4/3/2013	1.1	Elect William Hunter	Management		For	
Nuveen Quality Preferred Income Fund	JTP	67071S101	4/3/2013	1.2	Elect Judith Stockdale	Management		For	
Nuveen Quality Preferred Income Fund	JTP	67071S101	4/3/2013	1.3	Elect Carole Stone	Management		For	
Nuveen Quality Preferred Income Fund	JTP	67071S101	4/3/2013	1.4	Elect Virginia Stringer	Management		For	
Nuveen Quality Preferred Income Fund III	JHP	67072W101	4/3/2013	1.1	Elect William Hunter	Management		For	
Nuveen Quality Preferred Income Fund III	JHP	67072W101	4/3/2013	1.2	Elect Judith Stockdale	Management		For	

Nuveen Quality Preferred Income Fund III	JHP	67072W101	4/3/2013	1.3	Elect Carole Stone	Management	For
Nuveen Quality Preferred Income Fund III	JHP	67072W101	4/3/2013	1.4	Elect Virginia Stringer	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	1	Elect John D. Wren	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	2	Elect Bruce Crawford	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	3	Elect Alan R. Batkin	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	4	Elect Mary C. Choksi	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	5	Elect Robert C. Clark	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	6	Elect Leonard S. Coleman, Jr.	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	7	Elect Errol M. Cook	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	8	Elect Susan S. Denison	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	9	Elect Michael A. Henning	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	10	Elect John R. Murphy	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	11	Elect John R. Purcell	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	12	Elect Linda Johnson Rice	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	13	Elect Gary L. Roubos	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	14	Ratification of Auditor	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	15	2013 Incentive Award Plan	Management	For
Omnicom Group, Inc.	OMC	681919106	5/21/2013	16	Advisory Vote on Executive Compensation	Management	For
	OMC	681919106	5/21/2013	17		Shareholder	Against

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Omnicom Group, Inc.					Shareholder Proposal Regarding Employment Diversity Report				
Omnicom Group, Inc.	OMC	681919106	5/21/2013	18	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against		
Oracle Corp.	ORCL	68389X105	11/7/2012	1.1	Elect Jeffrey Berg	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	1.2	Elect H. Raymond Bingham	Management	For		W
Oracle Corp.	ORCL	68389X105	11/7/2012	1.3	Elect Michael Boskin	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	1.4	Elect Safra Catz	Management	For		W
Oracle Corp.	ORCL	68389X105	11/7/2012	1.5	Elect Bruce Chizen	Management	For		W
Oracle Corp.	ORCL	68389X105	11/7/2012	1.6	Elect George Conrades	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	1.7	Elect Lawrence Ellison	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	1.8	Elect Hector Garcia-Molina	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	1.9	Elect Jeffrey Henley	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	1.10	Elect Mark Hurd	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	1.11	Elect Donald Lucas	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	1.12	Elect Naomi Seligman	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	2	Advisory Vote on Executive Compensation	Management	For		A
Oracle Corp.	ORCL	68389X105	11/7/2012	3	Amendment to the 1993 Directors' Stock Plan	Management	For		A
Oracle Corp.	ORCL	68389X105	11/7/2012	4	Ratification of Auditor	Management	For		
Oracle Corp.	ORCL	68389X105	11/7/2012	5	Shareholder Proposal Regarding the Use of Multiple Metrics for Executive Performance Measures	Shareholder	Against		
Oracle Corp.	ORCL	68389X105	11/7/2012	6	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against		
Oracle Corp.	ORCL	68389X105	11/7/2012	7	Shareholder Proposal Regarding Retention	Shareholder	Against		A

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Oracle Corp.	ORCL	68389X105	11/7/2012	8	of Shares Until Retirement Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.1	Elect Enrique R. Arzac	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.2	Elect Phyllis O. Bonanno	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.3	Elect Kenneth J. Dale	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.4	Elect Frederic A. Escherich	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.5	Elect Roger W. Gale	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.6	Elect Kathleen T. McGahran	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.7	Elect Craig R. Smith	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.8	Elect Mark E. Stoeckle	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	2	Ratification of Auditor	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	1	Elect Harold Brown	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	2	Elect Mathis Cabiallavetta	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	3	Elect Andre Calantzopoulos	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	4	Elect Louis Camilleri	Management	For
	PM	718172109	5/8/2013	5		Management	For



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Philip Morris International Inc.					Elect J. Dudley Fishburn		
Philip Morris International Inc.	PM	718172109	5/8/2013	6	Elect Jennifer Li	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	7	Elect Graham Mackay	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	8	Elect Sergio Marchionne	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	9	Elect Kalpana Morparia	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	10	Elect Lucio Noto	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	11	Elect Robert Polet	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	12	Elect Carlos Slim Helú	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	13	Elect Stephen Wolf	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	14	Ratification of Auditor	Management	For
Philip Morris International Inc.	PM	718172109	5/8/2013	15	Advisory Vote on Executive Compensation	Management	For
Phillips 66	PSX	718546104	5/8/2013	1	Elect Greg C. Garland	Management	For
Phillips 66	PSX	718546104	5/8/2013	2	Elect John E. Lowe	Management	For
Phillips 66	PSX	718546104	5/8/2013	3	Ratification of Auditor	Management	For
Phillips 66	PSX	718546104	5/8/2013	4	2013 Omnibus Stock and Performance Incentive Plan	Management	For
Phillips 66	PSX	718546104	5/8/2013	5	Advisory Vote on Executive Compensation	Management	For
Phillips 66	PSX	718546104	5/8/2013	6	Frequency of Advisory Vote on Executive Compensation	Management	1 Year
PPG Industries, Inc.	PPG	693506107	4/18/2013	4	Ratification of Auditor	Management	For
PPG Industries, Inc.	PPG	693506107	4/18/2013	5	Shareholder Proposal Regarding Simple Majority Vote	Shareholder	Against
PPG Industries, Inc.	PPG	693506107	4/18/2013	1.1	Elect James G. Berges	Management	For

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PPG Industries, Inc.	PPG	693506107	4/18/2013	1.2	Elect John V. Faraci	Management	For
PPG Industries, Inc.	PPG	693506107	4/18/2013	1.3	Elect Victoria F. Haynes	Management	For
PPG Industries, Inc.	PPG	693506107	4/18/2013	1.4	Elect Martin H. Richenhagen	Management	For
PPG Industries, Inc.	PPG	693506107	4/18/2013	2	Advisory Vote on Executive Compensation	Management	For
PPG Industries, Inc.	PPG	693506107	4/18/2013	3	Repeal of Classified Board	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	1	Elect Stephen F. Angel	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	2	Elect Oscar Bernardes	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	3	Elect Bret K. Clayton	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	4	Elect Nance K. Dicciani	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	5	Elect Edward G. Galante	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	6	Elect Claire W. Gargalli	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	7	Elect Ira D. Hall	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	8	Elect Raymond W. LeBoeuf	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	9	Elect Larry D. McVay	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	10	Elect Wayne T. Smith	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	11	Elect Robert L. Wood	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	12	Advisory Vote on Executive Compensation	Management	For
Praxair, Inc.	PX	74005P104	4/23/2013	13	Shareholder Proposal Regarding Incorporation of Values in Political Spending	Shareholder	Against
Praxair, Inc.	PX	74005P104	4/23/2013	14	Ratification of Auditor	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	1	Elect Albert R. Gamper, Jr.	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	2	Elect William V. Hickey	Management	For

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Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	3	Elect Ralph Izzo	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	4	Elect Shirley A. Jackson	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	5	Elect David Lilley	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	6	Elect Thomas A. Renyi	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	7	Elect Hak Cheol Shin	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	8	Elect Richard J. Swift	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	9	Elect Susan Tomasky	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	10	Elect Alfred W. Zollar	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	11	Advisory Vote on Executive Compensation	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	12	Amendment to the 2004 Long-Term Incentive Plan	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	13	Amendment to the Employee Stock Purchase Plan	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	14	Ratification of Auditor	Management	For
Public Service Enterprise Group Inc.	PEG	744573106	4/16/2013	15	Shareholder Proposal Regarding Simple Majority Vote	Shareholder	Against
Public Storage	PSA	74460D109	5/9/2013	1.1	Elect Ronald L. Havner, Jr.	Management	For
Public Storage	PSA	74460D109	5/9/2013	1.2	Elect Tamara Hughes Gustavson	Management	For
Public Storage	PSA	74460D109	5/9/2013	1.3	Elect Uri P. Harkham	Management	For
Public Storage	PSA	74460D109	5/9/2013	1.4		Management	For

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					Elect B. Wayne Hughes, Jr.		
					Elect Avedick B. Poladian	Management	For
Public Storage	PSA	74460D109	5/9/2013	1.5			
Public Storage	PSA	74460D109	5/9/2013	1.6	Elect Gary E. Pruitt	Management	For
Public Storage	PSA	74460D109	5/9/2013	1.7	Elect Ronald P. Spogli	Management	For
Public Storage	PSA	74460D109	5/9/2013	1.8	Elect Daniel C. Staton	Management	For
					Ratification of Auditor	Management	For
Public Storage	PSA	74460D109	5/9/2013	2			
					Advisory Vote on Executive Compensation	Management	For
Public Storage	PSA	74460D109	5/9/2013	3			
Putnam Premier Income Trust	PPT	746853100	1/31/2013	1	Change in Board Size	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.1	Elect Liaquat Ahamed	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.2	Elect Ravi Akhoury	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.3	Elect Jameson Baxter	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.4	Elect Barbara Baumann	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.5	Elect Charles Curtis	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.6	Elect Robert Darretta	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.7	Elect Katinka Domotorffy	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.8	Elect John Hill	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.9	Elect Paul Joskow	Management	For

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Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.10	Elect Elizabeth Kennan	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.11	Elect Kenneth Leibler	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.12	Elect Robert Patterson	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.13	Elect George Putnam, III	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.14	Elect Robert Reynolds	Management	For
Putnam Premier Income Trust	PPT	746853100	1/31/2013	2.15	Elect W. Thomas Stephens	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	1	Elect Barbara T. Alexander	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	2	Elect Donald G. Cruickshank	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	3	Elect Raymond V. Dittamore	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	4	Elect Susan Hockfield	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	5	Elect Thomas W. Horton	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	6	Elect Paul E. Jacobs	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	7	Elect Sherry Lansing	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	8	Elect Duane A. Nelles	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	9	Elect Francisco Ros	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	10	Elect Brent Scowcroft	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	11	Elect Marc I. Stern	Management	For
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	12	Amendment to the 2006 Long-Term Incentive Plan	Management	For
	QCOM	747525103	3/5/2013	13		Management	For

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QUALCOMM, Inc.						Ratification of Auditor		
QUALCOMM, Inc.	QCOM	747525103	3/5/2013	14		Advisory Vote on Executive Compensation	Management	For
Reaves Utility Income Fund	UTG	756158101	5/3/2013	1.1		Elect Jeremy W. Deems	Management	For
Reaves Utility Income Fund	UTG	756158101	5/3/2013	1.2		Elect Jeremy O. May	Management	For
Royce Focus Trust Inc.	FUND	78080N108	9/20/2012	1.1		Elect Charles Royce	Management	For
Royce Focus Trust Inc.	FUND	78080N108	9/20/2012	1.2		Elect G. Peter O'Brien	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	1		Elect Peter L.S. Currie	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	2		Elect Anthony Isaac	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	3		Elect K.V. Kamath	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	4		Elect Paal Kibsgaard	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	5		Elect Nikolay Kudryavtsev	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	6		Elect Adrian Lajous	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	7		Elect Michael E. Marks	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	8		Elect Lubna Olayan	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	9		Elect Leo Rafael Reif	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	10		Elect Tore I. Sandvold	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	11		Elect Henri Seydoux	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	12		Advisory Vote on Executive Compensation	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	13		Approval of Financial Statements/Dividends	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	14		Ratification of Auditor	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	15		2013 Omnibus Incentive Plan	Management	For
Schlumberger Ltd.	SLB	806857108	4/10/2013	16		Amendment to the Discounted Stock Purchase Plan	Management	For
	SGF	82929L109	6/10/2013	1			Management	For

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Singapore Fund Inc.					Elect David G. Harmert			
Singapore Fund Inc.	SGF	82929L109	6/10/2013	2	Elect Rahn K. Portert	Management	For	
Southern Company	SO	842587107	5/22/2013	1	Elect Juanita Powell Baranco	Management	For	
Southern Company	SO	842587107	5/22/2013	2	Elect Jon A. Boscia	Management	For	
Southern Company	SO	842587107	5/22/2013	3	Elect Henry A. Clark III	Management	For	
Southern Company	SO	842587107	5/22/2013	4	Elect Thomas A. Fanning	Management	For	
Southern Company	SO	842587107	5/22/2013	5	Elect David J. Grain	Management	For	
Southern Company	SO	842587107	5/22/2013	6	Elect H. William Habermeyer, Jr.	Management	For	
Southern Company	SO	842587107	5/22/2013	7	Elect Veronica M. Hagen	Management	For	
Southern Company	SO	842587107	5/22/2013	8	Elect Warren A. Hood, Jr.	Management	For	
Southern Company	SO	842587107	5/22/2013	9	Elect Donald M. James	Management	For	
Southern Company	SO	842587107	5/22/2013	10	Elect Dale E. Klein	Management	For	
Southern Company	SO	842587107	5/22/2013	11	Elect William G. Smith, Jr.	Management	For	
Southern Company	SO	842587107	5/22/2013	12	Elect Steven R. Specker	Management	For	
Southern Company	SO	842587107	5/22/2013	13	Elect E. Jenner Wood III	Management	For	
Southern Company	SO	842587107	5/22/2013	14	Ratification of Auditor	Management	For	
Southern Company	SO	842587107	5/22/2013	15	Advisory Vote on Executive Compensation	Management	For	
Southern Company	SO	842587107	5/22/2013	16	Amendment to Bylaws to Remove the Mandatory Retirement Age for Non-Employee Directors	Management	For	
Southern Company	SO	842587107	5/22/2013	17	Elimination of Certain Supermajority Vote	Management	For	

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Requirements

Reduction of Voting  
Thresholds in the Fair

Price Provision

Elect Robert M. Beall  
II

Elect Alston D.  
Correll

Elect Jeffrey C.  
Crowe

Elect David H.  
Hughes

Elect M. Douglas  
Ivester

Elect Kyle P. Legg

Elect William A.  
Linnenbringer

Elect Donna S. Morea

Elect David M.  
Ratcliffe

Elect William H.  
Rogers, Jr.

Elect Frank W.  
Scruggs

Elect Thomas R.  
Watjen

Elect Phail Wynn, Jr.

Advisory Vote on  
Executive

Compensation

Ratification of  
Auditor

Elect Jean-Marc  
Boillat

Elect R. Clark Hooper

Elect Alexandre de  
Takacsy

Southern Company	SO	842587107	5/22/2013	18	Price Provision	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	1	Elect Robert M. Beall II	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	2	Elect Alston D. Correll	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	3	Elect Jeffrey C. Crowe	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	4	Elect David H. Hughes	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	5	Elect M. Douglas Ivester	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	6	Elect Kyle P. Legg	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	7	Elect William A. Linnenbringer	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	8	Elect Donna S. Morea	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	9	Elect David M. Ratcliffe	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	10	Elect William H. Rogers, Jr.	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	11	Elect Frank W. Scruggs	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	12	Elect Thomas R. Watjen	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	13	Elect Phail Wynn, Jr.	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	14	Advisory Vote on Executive Compensation	Management	For
SunTrust Banks, Inc.	STI	867914103	4/23/2013	15	Ratification of Auditor	Management	For
Swiss Helvetia Fund Inc.	SWZ	870875101	6/19/2013	1.1	Elect Jean-Marc Boillat	Management	For
Swiss Helvetia Fund Inc.	SWZ	870875101	6/19/2013	1.2	Elect R. Clark Hooper	Management	For
Swiss Helvetia Fund Inc.	SWZ	870875101	6/19/2013	1.3	Elect Alexandre de Takacsy	Management	For



Swiss Helvetia Fund Inc.	SWZ	870875101	6/19/2013	2	Ratification of Auditor	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	1	Elect Edward C. Bernard	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	2	Elect James T. Brady	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	3	Elect Mary K. Bush	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	4	Elect Donald B. Hebb, Jr.	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	5	Elect Freeman A. Hrabowski, III	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	6	Elect James A.C. Kennedy	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	7	Elect Robert F. MacLellan	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	8	Elect Brian C. Rogers	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	9	Elect Alfred Sommer	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	10	Elect Dwight S. Taylor	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	11	Elect Anne Marie Whittemore	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	12	Advisory Vote on Executive Compensation	Management	For
T. Rowe Price Group, Inc.	TROW	74144T108	4/23/2013	13	Ratification of Auditor	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.1	Elect Michael F. Holland	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.2	Elect Joe O. Rogers	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.3	Elect Bing Shen	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.4	Elect M. Christopher Canavan, Jr.	Management	For

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Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.5	Elect Anthony Kai Yiu Lo	Management	For	F W
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.6	Elect William C. Kirby	Management	For	F W
Target Corp.	TGT	87612E106	6/12/2013	1	Elect Roxanne S. Austin	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	2	Elect Douglas M. Baker, Jr.	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	3	Elect Henrique de Castro	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	4	Elect Calvin Darden	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	5	Elect Mary N. Dillon	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	6	Elect James A. Johnson	Management	For	A
Target Corp.	TGT	87612E106	6/12/2013	7	Elect Mary E. Minnick	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	8	Elect Anne M. Mulcahy	Management	For	A
Target Corp.	TGT	87612E106	6/12/2013	9	Elect Derica W. Rice	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	10	Elect Gregg W. Steinhafel	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	11	Elect John G. Stumpf	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	12	Elect Solomon D. Trujillo	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	13	Ratification of Auditor	Management	For	
Target Corp.	TGT	87612E106	6/12/2013	14	Advisory Vote on Executive Compensation	Management	For	A
Target Corp.	TGT	87612E106	6/12/2013	15	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against	
Target Corp.	TGT	87612E106	6/12/2013	16	Shareholder Proposal Regarding Report on Electronic Waste	Shareholder	Against	A
Templeton Dragon Fund Inc.	TDF	88018T101	5/31/2013	1.1	Elect Edith E. Holiday	Management	For	F W
Templeton Dragon Fund Inc.	TDF	88018T101	5/31/2013	1.2	Elect Larry D. Thompson	Management	For	F W

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Templeton Dragon Fund Inc.	TDF	88018T101	5/31/2013	1.3	Elect Rupert H. Johnson, Jr.	Management	For
Templeton Dragon Fund Inc.	TDF	88018T101	5/31/2013	1.4	Elect Gregory E. Johnson	Management	For
Templeton Dragon Fund Inc.	TDF	88018T101	5/31/2013	2	Ratification of Auditor	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	1.1	Elect Frank A. Olson	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	1.2	Elect Constantine D. Tseretopoulos	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	1.3	Elect Charles B. Johnson	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	1.4	Elect Gregory E. Johnson	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	2	Ratification of Auditor	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	3	Shareholder Proposal Regarding Genocide-Free Investing	Shareholder	Against
Thai Fund Inc.	TTF	882904105	6/24/2013	1.1	Elect Frank L. Bowman	Management	For
Thai Fund Inc.	TTF	882904105	6/24/2013	1.2	Elect James F. Higgins	Management	For
Thai Fund Inc.	TTF	882904105	6/24/2013	1.3	Elect Manuel H. Johnson	Management	For
Thai Fund Inc.	TTF	882904105	6/24/2013	2	Termination of Contractual Investment Plan	Management	For
Thai Fund Inc.	TTF	882904105	6/24/2013	3	Shareholder Proposal Regarding Termination of Management	Shareholder	Against

## Agreements

Shareholder Proposal  
Regarding  
Compensation in the  
Event of a Change in  
Control

Time Warner Cable Inc.	TWC	88732J207	5/16/2013	16		Shareholder	Against
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	1	Elect Carole Black	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	2	Elect Glenn A. Britt	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	3	Elect Thomas H. Castro	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	4	Elect David C. Chang	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	5	Elect James E. Copeland, Jr.	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	6	Elect Peter R. Haje	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	7	Elect Donna A. James	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	8	Elect Don Logan	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	9	Elect N.J. Nicholas, Jr.	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	10	Elect Wayne H. Pace	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	11	Elect Edward D. Shirley	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	12	Elect John E. Sununu	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	13	Ratification of Auditor	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	14	Advisory Vote on Executive Compensation	Management	For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	15	Shareholder Proposal Regarding Political Spending Report	Shareholder	Against
Time Warner Inc.	TWX	887317303	5/23/2013	1	Elect James L. Barksdale	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	2	Elect William P. Barr	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	3	Elect Jeffrey L. Bewkes	Management	For

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Time Warner Inc.	TWX	887317303	5/23/2013	4	Elect Stephen F. Bollenbach	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	5	Elect Robert C. Clark	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	6	Elect Mathias Döpfner	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	7	Elect Jessica P. Einhorn	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	8	Elect Fred Hassan	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	9	Elect Kenneth J. Novack	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	10	Elect Paul D. Wachter	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	11	Elect Deborah C. Wright	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	12	Ratification of Auditor	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	13	Advisory Vote on Executive Compensation	Management	For
Time Warner Inc.	TWX	887317303	5/23/2013	14	2013 Stock Incentive Plan	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	1	Elect Zein Abdalla	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	2	Elect José B. Alvarez	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	3	Elect Alan M. Bennett	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	4	Elect Bernard Cammarata	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	5	Elect David T. Ching	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	6	Elect Michael F. Hines	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	7	Elect Amy B. Lane	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	8	Elect Dawn G. Lepore	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	9	Elect Carol Meyrowitz	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	10	Elect John F. O'Brien	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	11	Elect Willow B. Shire	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	12	Ratification of Auditor	Management	For

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TJX Companies, Inc.	TJX	872540109	6/11/2013	13	Amendment to the Stock Incentive Plan	Management	For
TJX Companies, Inc.	TJX	872540109	6/11/2013	14	Advisory Vote on Executive Compensation	Management	For
Tri-Continental Corp.	TY	895436103	4/17/2013	1.1	Elect Leroy C. Richie	Management	For
Tri-Continental Corp.	TY	895436103	4/17/2013	1.2	Elect William F. Truscott	Management	For
Tri-Continental Corp.	TY	895436103	4/17/2013	2	Ratification of Auditor	Management	For
Turkish Investment Fund Inc.	TKF	900145103	6/24/2013	1.1	Elect Frank L. Bowman	Management	For
Turkish Investment Fund Inc.	TKF	900145103	6/24/2013	1.2	Elect James F. Higgins	Management	For
Turkish Investment Fund Inc.	TKF	900145103	6/24/2013	1.3	Elect Manuel H. Johnson	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	1	Elect Douglas M. Baker, Jr.	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	2	Elect Y. Marc Belton	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	3	Elect Victoria Buyniski Gluckman	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	4	Elect Arthur D. Collins, Jr.	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	5	Elect Richard K. Davis	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	6	Elect Roland A. Hernandez	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	7	Elect Doreen Woo Ho	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	8	Elect Joel W. Johnson	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	9	Elect Olivia F. Kirtley	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	10	Elect Jerry W. Levin	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	11	Elect David B. O'Maley	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	12	Elect O'Dell M. Owens	Management	For

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U. S. Bancorp	USB	902973304	4/16/2013	13	Elect Craig D. Schnuck	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	14	Elect Patrick T. Stokes	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	15	Ratification of Auditor	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	16	Advisory Vote on Executive Compensation	Management	For
U. S. Bancorp	USB	902973304	4/16/2013	17	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
Union Pacific Corp.	UNP	907818108	5/16/2013	1	Elect Andrew H. Card, Jr.	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	2	Elect Erroll B. Davis, Jr.	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	3	Elect Thomas J. Donohue	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	4	Elect Archie W. Dunham	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	5	Elect Judith Richards Hope	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	6	Elect John J. Koraleski	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	7	Elect Charles C. Krulak	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	8	Elect Michael R. McCarthy	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	9	Elect Michael W. McConnell	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	10	Elect Thomas F. McLarty III	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	11	Elect Steven R. Rogel	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	12	Elect Jose H. Villarreal	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	13	Elect James R. Young	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	14	Ratification of Auditor	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	15	Advisory Vote on Executive Compensation	Management	For

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Union Pacific Corp.	UNP	907818108	5/16/2013	16	2013 Stock Incentive Plan	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	17	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
United Technologies Corp.	UTX	913017109	4/29/2013	1	Elect Louis Chênevert	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	2	Elect John V. Faraci	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	3	Elect Jean-Pierre Garnier	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	4	Elect Jamie S. Gorelick	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	5	Elect Edward A. Kangas	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	6	Elect Ellen J. Kullman	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	7	Elect Marshall O. Larsen	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	8	Elect Harold W. McGraw III	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	9	Elect Richard B. Myers	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	10	Elect H. Patrick Swygert	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	11	Elect André Villeneuve	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	12	Elect Christine Todd Whitman	Management	For
United Technologies Corp.	UTX	913017109	4/29/2013	13	Ratification of Auditor	Management	For
	UTX	913017109	4/29/2013	14		Management	For



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United Technologies Corp.						Advisory Vote on Executive Compensation		
Verizon Communications Inc.	VZ	92343V104	5/2/2013	1		Elect Richard L. Carrión	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	2		Elect Melanie L. Healey	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	3		Elect M. Frances Keeth	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	4		Elect Robert W. Lane	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	5		Elect Lowell C. McAdam	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	6		Elect Sandra O. Moose	Management	For
Verizon Communications Inc	VZ	92343V104	5/2/2013	7		Elect Joseph Neubauer	Management	For
Verizon Communications Inc	VZ	92343V104	5/2/2013	8		Elect Donald T. Nicolaisen	Management	For
Verizon Communications Inc	VZ	92343V104	5/2/2013	9		Elect Clarence Otis, Jr.	Management	For
Verizon Communications Inc	VZ	92343V104	5/2/2013	10		Elect Hugh B. Price	Management	For
Verizon Communications Inc	VZ	92343V104	5/2/2013	11		Elect Rodney E. Slater	Management	For
Verizon Communications Inc	VZ	92343V104	5/2/2013	12		Elect Kathryn A. Tesija	Management	For
Verizon Communications Inc	VZ	92343V104	5/2/2013	13		Elect Gregory D. Wasson	Management	For
Verizon Communications Inc	VZ	92343V104	5/2/2013	14		Ratification of Auditor	Management	For

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Verizon Communications Inc	VZ	92343V104	5/2/2013	15	Advisory Vote on Executive Compensation	Management	For	
Verizon Communications Inc	VZ	92343V104	5/2/2013	16	Amendment to the 2009 Long-Term Incentive Plan	Management	For	
Verizon Communications Inc	VZ	92343V104	5/2/2013	17	Shareholder Proposal Regarding Network Neutrality	Shareholder	Against	A
Verizon Communications Inc	VZ	92343V104	5/2/2013	18	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against	A
Verizon Communications Inc.	VZ	92343V104	5/2/2013	19	Shareholder Proposal Regarding Proxy Access	Shareholder	Against	
Verizon Communications Inc.	VZ	92343V104	5/2/2013	20	Shareholder Proposal Regarding Shareholder Approval of Severance Agreements	Shareholder	Against	A
Verizon Communications Inc.	VZ	92343V104	5/2/2013	21	Shareholder Proposal Regarding Right to Call a Special Meeting	Shareholder	Against	
Verizon Communications Inc.	VZ	92343V104	5/2/2013	22	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	1	Elect Aida M. Alvarez	Management	For	A
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	2	Elect James I. Cash, Jr.	Management	For	A
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	3	Elect Roger C. Corbett	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	4	Elect Douglas N. Daft	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	5	Elect Michael T. Duke	Management	For	A
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	6	Elect Timothy P. Flynn	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	7	Elect Marissa A. Mayer	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	8	Elect Gregory B. Penner	Management	For	
	WMT	931142103	6/7/2013	9		Management	For	

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Wal-Mart Stores, Inc.						Elect Steven S. Reinemund			
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	10		Elect H. Lee Scott, Jr.	Management	For	A
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	11		Elect Jim C. Walton	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	12		Elect S. Robson Walton	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	13		Elect Christopher J. Williams	Management	For	A
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	14		Elect Linda S. Wolf	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	15		Ratification of Auditor	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	16		Advisory Vote on Executive Compensation	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	17		Approval of the Management Incentive Plan	Management	For	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	18		Shareholder Proposal Regarding Right to Call Special Meeting	Shareholder	Against	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	19		Shareholder Proposal Regarding Retention of Shares	Shareholder	Against	A
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	20		Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against	
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	21		Shareholder Proposal Regarding Recoupment Report	Shareholder	Against	
Walt Disney Co.	DIS	254687106	3/6/2013	1		Elect Susan E. Arnold	Management	For	
Walt Disney Co.	DIS	254687106	3/6/2013	2		Elect John S. Chen	Management	For	
Walt Disney Co.	DIS	254687106	3/6/2013	3		Elect Judith L. Estrin	Management	For	
Walt Disney Co.	DIS	254687106	3/6/2013	4		Elect Robert A. Iger	Management	For	
Walt Disney Co.	DIS	254687106	3/6/2013	5		Elect Fred H. Langhammer	Management	For	
Walt Disney Co.	DIS	254687106	3/6/2013	6		Elect Aylwin B. Lewis	Management	For	
Walt Disney Co.	DIS	254687106	3/6/2013	7		Elect Monica C. Lozano	Management	For	

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Walt Disney Co.	DIS	254687106	3/6/2013	8	Elect Robert W. Matschullat	Management	For	
Walt Disney Co.	DIS	254687106	3/6/2013	9	Elect Sheryl Sandberg	Management	For	
Walt Disney Co.	DIS	254687106	3/6/2013	10	Elect Orin C. Smith	Management	For	A
Walt Disney Co.	DIS	254687106	3/6/2013	11	Ratification of Auditor	Management	For	
Walt Disney Co.	DIS	254687106	3/6/2013	12	Amendment to the 2002 Executive Performance Plan	Management	For	A
Walt Disney Co.	DIS	254687106	3/6/2013	13	Advisory Vote on Executive Compensation	Management	For	A
Walt Disney Co.	DIS	254687106	3/6/2013	14	Shareholder Proposal Regarding Proxy Access	Shareholder	Against	
Walt Disney Co.	DIS	254687106	3/6/2013	15	Shareholder Proposal Regarding Separation of Chairman and CEO	Shareholder	Against	
Wells Fargo & Co.	WFC	949746101	4/23/2013	1	Elect John D. Baker II	Management	For	A
Wells Fargo & Co.	WFC	949746101	4/23/2013	2	Elect Elaine L. Chao	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	3	Elect John S. Chen	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	4	Elect Lloyd H. Dean	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	5	Elect Susan E. Engel	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	6	Elect Enrique Hernandez, Jr.	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	7	Elect Donald M. James	Management	For	A
Wells Fargo & Co.	WFC	949746101	4/23/2013	8	Elect Cynthia H. Milligan	Management	For	A
Wells Fargo & Co.	WFC	949746101	4/23/2013	9	Elect Federico F. Peña	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	10	Elect Howard V. Richardson	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	11	Elect Judith M. Runstad	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	12	Elect Stephen W. Sanger	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	13	Elect John G. Stumpf	Management	For	

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Co.								
Wells Fargo & Co.	WFC	949746101	4/23/2013	14	Elect Susan G. Swenson	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	15	Advisory Vote on Executive Compensation	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	16	Approve the Company's Amended and Restated Long-Term Incentive Compensation Plan	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	17	Ratification of Auditor	Management	For	
Wells Fargo & Co.	WFC	949746101	4/23/2013	18	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against	
Wells Fargo & Co.	WFC	949746101	4/23/2013	19	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against	A
Wells Fargo & Co.	WFC	949746101	4/23/2013	20	Shareholder Proposal Regarding Discriminatory Mortgage Servicing and Foreclosure Practices	Shareholder	Against	A
Western Asset/Claymore Inflation-Linked Opportunities & Income Fund	WIW	95766R104	4/30/2013	1.1	Elect Kenneth D. Fuller	Management	For	F W
Western Asset/Claymore Inflation-Linked Opportunities & Income Fund	WIW	95766R104	4/30/2013	1.2	Elect Ronald E. Toupin, Jr.	Management	For	F W
Western Asset/Claymore Inflation-Linked Securities & Income Fund	WIA	95766Q106	4/30/2013	1.1	Elect Michael Larson	Management	For	F W
Weyerhaeuser Co.	WY	962166104	4/11/2013	1	Elect Debra Cafaro	Management	For	
Weyerhaeuser Co.	WY	962166104	4/11/2013	2	Elect Mark Emmert	Management	For	
Weyerhaeuser Co.	WY	962166104	4/11/2013	3	Elect Daniel Fulton	Management	For	
Weyerhaeuser Co.	WY	962166104	4/11/2013	4	Elect John Kieckhefer	Management	For	

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Weyerhaeuser Co.	WY	962166104	4/11/2013	5	Elect Wayne Murdy	Management	For
Weyerhaeuser Co.	WY	962166104	4/11/2013	6	Elect Nicole Piasecki	Management	For
Weyerhaeuser Co.	WY	962166104	4/11/2013	7	Elect Doyle Simons	Management	For
Weyerhaeuser Co.	WY	962166104	4/11/2013	8	Elect Richard Sinkfield	Management	For
Weyerhaeuser Co.	WY	962166104	4/11/2013	9	Elect D. Michael Steuert	Management	For
Weyerhaeuser Co.	WY	962166104	4/11/2013	10	Elect Kim Williams	Management	For
Weyerhaeuser Co.	WY	962166104	4/11/2013	11	Elect Charles Williamson	Management	For
Weyerhaeuser Co.	WY	962166104	4/11/2013	12	2013 Long Term Incentive Plan	Management	For
Weyerhaeuser Co.	WY	962166104	4/11/2013	13	Advisory Vote on Executive Compensation	Management	For
Weyerhaeuser Co.	WY	962166104	4/11/2013	14	Ratification of Auditor	Management	For
WPX Energy Inc.	WPX	98212B103	5/22/2013	1	Elect John A. Carrig	Management	For
WPX Energy Inc.	WPX	98212B103	5/22/2013	2	Elect Henry E. Lentz	Management	For
WPX Energy Inc.	WPX	98212B103	5/22/2013	3	Elect William G. Lowrie	Management	For
WPX Energy Inc.	WPX	98212B103	5/22/2013	4	Advisory Vote on Executive Compensation	Management	For
WPX Energy Inc.	WPX	98212B103	5/22/2013	5	Frequency of Advisory Vote on Executive Compensation	Management	1 Year
WPX Energy Inc.	WPX	98212B103	5/22/2013	6	2013 Incentive Plan	Management	For
WPX Energy Inc.	WPX	98212B103	5/22/2013	7	Ratification of Auditor	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	1	Elect Gail K. Boudreaux	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	2	Elect Fredric W. Corrigan	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	3	Elect Richard K. Davis	Management	For

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Xcel Energy, Inc.	XEL	98389B100	5/22/2013	4	Elect Benjamin G.S. Fowke III	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	5	Elect Albert F. Moreno	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	6	Elect Richard T. O'Brien	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	7	Elect Christopher J. Policinski	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	8	Elect A. Patricia Sampson	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	9	Elect James J. Sheppard	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	10	Elect David A. Westerlund	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	11	Elect Kim Williams	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	12	Elect Timothy V. Wolf	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	13	Ratification of Auditor	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	14	Advisory Vote on Executive Compensation	Management	For
Xcel Energy, Inc.	XEL	98389B100	5/22/2013	15	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
Yahoo Inc.	YHOO	984332106	6/25/2013	1	Elect John D. Hayes	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	2	Elect Susan M. James	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	3	Elect Max R. Levchin	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	4	Elect Peter Liguori	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	5	Elect Daniel S. Loeb	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	6	Elect Marissa A. Mayer	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	7	Elect Thomas J. McInerney	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	8	Elect Maynard G. Webb, Jr.	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	9	Elect Harry J. Wilson	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	10	Elect Michael J. Wolf	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	11	Advisory Vote on Executive Compensation	Management	For

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Yahoo Inc.	YHOO	984332106	6/25/2013	12	Ratification of Auditor	Management	For
Yahoo Inc.	YHOO	984332106	6/25/2013	13	Shareholder Regarding Corporate Social Responsibility Report	Shareholder	Against
Yahoo Inc.	YHOO	984332106	6/25/2013	14	Shareholder Proposal Regarding Report on Political Spending	Shareholder	Against
Zweig Fund Inc.	ZF	989834205	5/14/2013	1.1	Elect George R. Aylward	Management	For
Zweig Fund Inc.	ZF	989834205	5/14/2013	1.2	Elect William H. Wright II	Management	For
Zweig Total Return Fund Inc.	ZTR	989837208	5/14/2013	1.1	Elect George R. Aylward	Management	For
Zweig Total Return Fund Inc.	ZTR	989837208	5/14/2013	1.2	Elect William H. Wright II	Management	For

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SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Strategic Value Fund, Inc.

By (Signature and Title)

/s/ Ralph W. Bradshaw  
Ralph W. Bradshaw, President and  
Chairman  
(Principal Executive Officer)

Date August 13, 2013