

Edgar Filing: SPOTT FOCUS TRUST INC. - Form NSAR-A

SPOTT FOCUS TRUST INC.

Form NSAR-A

August 28, 2017

PAGE 1
000 A000000 06/30/2017
000 C000000 0000825202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SPOTT FOCUS TRUST, INC.
001 B000000 811-05379
001 C000000 4169434065
002 A000000 200 BAY STREET, SUITE 2700
002 B000000 TORONTO
002 E010000 CANADA
002 E020000 M5J 2J1
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 SPOTT ASSET MANAGEMENT LP
008 B000001 A
008 C000001 801-72899
008 D010001 TORONTO
008 D050001 CANADA
008 D060001 M5J 2J1
008 A000002 SPOTT ASSET MANAGEMENT USA, INC.
008 B000002 S
008 C000002 801-66131
008 D010002 CARLSBAD
008 D020002 CA
008 D030002 92008
010 A000001 STATE STREET BANK AND TRUST COMPANY
010 B000001 85-05003
010 C010001 BOSTON
010 C020001 MA
010 C030001 02111
012 A000001 COMPUTERSHARE TRUST COMPANY
012 B000001 84-05925
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 TAIT, WELLER & BAKER
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 SPOTT GLOBAL RESOURCE INVESTMENT LTD.
014 B000001 8-47039
PAGE 2
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 QUINCY
015 C020001 MA
015 C030001 02169
015 E010001 X

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015 A000002 CANADA SELF CUSTODY CDSX DEBT CLEARING SYSTEM
015 B000002 S
015 C010002 TORONTO
015 D010002 CANADA
015 E040002 X
015 A000003 STATE STREET BK LONDON CREST
015 B000003 S
015 C010003 LONDON
015 D010003 UNITED KINGDOM
015 E040003 X
015 A000004 STANDARD CHARTERED BANK (HONG KONG) LIMITED
015 B000004 S
015 C010004 HONG KONG
015 D010004 HONG KONG
015 E040004 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 FIDELITY CLEARING CANADA
020 B000001 06-1303651
020 C000001 30
020 A000002 CANTOR FITZGERALD & CO.
020 B000002 13-3680184
020 C000002 26
020 A000003 STIFEL, NICOLAUS & COMPANY, INCORPORATED
020 B000003 43-0538770
020 C000003 10
020 A000004 MERRILL LYNCH & CO, INC
020 B000004 13-5674085
020 C000004 3
020 A000005 MACQUARIE SECURITIES
020 B000005 98-0141094
020 C000005 3
020 A000006 CORMARK SECURITIES, INC.
020 B000006 00-0000000
020 C000006 3
020 A000007 CIBC WORLD MARKETS CORP.
020 B000007 58-1760354
020 C000007 2
020 A000008 ITG INC.
020 B000008 95-4339369
020 C000008 2
020 A000009 UBS SECURITIES LLC
020 B000009 13-1939216
020 C000009 2
PAGE 3
020 A000010 JP MORGAN CLEARING CORP
020 B000010 13-3604093
020 C000010 2
021 000000 92
022 A000001 STATE STREET BANK & TRUST COMPANY
022 B000001 04-1867445
022 C000001 1943658
022 D000001 0
023 C000000 1943658
023 D000000 0
024 000000 Y
025 A000001 STATE STREET BANK & TRUST COMPANY
025 B000001 04-1867445
025 C000001 D
025 D000001 13779
026 A000000 N

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| | | | |
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| 048 | J020000 | 0.000 |
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| 048 | K020000 | 0.000 |
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| 050 | 000000 | N |
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| 054 | B000000 | N |
| 054 | C000000 | N |
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| 054 | I000000 | N |
| 054 | J000000 | N |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
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| 055 | A000000 | N |
| 055 | B000000 | N |
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| 057 | 000000 | N |
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| 059 | 000000 | Y |
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| 060 | B000000 | Y |
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| | | |
|-----|---------|--------|
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| 070 | B010000 | Y |
| 070 | B020000 | N |
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| 070 | C020000 | N |
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| 070 | G010000 | Y |
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| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | Y |
| 070 | R020000 | N |
| 071 | A000000 | 29983 |
| 071 | B000000 | 33498 |
| 071 | C000000 | 190312 |

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| 071 D000000 | 16 |
| 072 A000000 | 6 |
| 072 B000000 | 5 |
| 072 C000000 | 2127 |
| 072 D000000 | 0 |
| PAGE 7 | |
| 072 E000000 | 291 |
| 072 F000000 | 1026 |
| 072 G000000 | 43 |
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| 072 I000000 | 14 |
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| 072 K000000 | 15 |
| 072 L000000 | 11 |
| 072 M000000 | 13 |
| 072 N000000 | 11 |
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| 072 X000000 | 1322 |
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| 074 H000000 | 0 |
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| 074 J000000 | 0 |
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| 074 L000000 | 302 |
| 074 M000000 | 15 |
| 074 N000000 | 222208 |
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| 074 P000000 | 172 |
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| 074 R010000 | 0 |
| PAGE 8 | |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 13965 |
| 074 S000000 | 0 |

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| | | |
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| 074 | T000000 | 208071 |
| 074 | U010000 | 24875 |
| 074 | U020000 | 0 |
| 074 | V010000 | 8.36 |
| 074 | V020000 | 0.00 |
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| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 206873 |
| 076 | 000000 | 7.37 |
| 077 | A000000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 427 |
| 086 | A020000 | 3122 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 78080N108 |
| 087 | A030000 | FUND |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | THOMAS ULRICH | |
| TITLE | PRESIDENT | |