

Enservco Corp  
Form 4  
May 09, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Kasch Rick D

(Last) (First) (Middle)  
501 S. CHERRY STREET, SUITE 1000  
(Street)

DENVER, CO 80246

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Enservco Corp [ENSV]

3. Date of Earliest Transaction (Month/Day/Year)  
05/05/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President and CEO

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------|
| Common Stock                    |                                      |                                                    |                                | (A)<br>or<br>(D)                                                  | 1,849,324                                                                                     | D                                                        |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                      |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------|---------------------------------------------------------------|----------------------|
|                                            |                                                        |                                      |                                                    |                                |                                                                                         | Date Exercisable                                         | Expiration Date | Title                                                         | Amount Number Shares |
| Stock Option                               | \$ 0.6                                                 | 05/05/2016                           |                                                    | A                              | 350,000                                                                                 | 12/31/2016                                               | 05/05/2021      | Common Stock                                                  | 350,000              |
| Stock Option                               | \$ 0.6                                                 | 05/05/2016                           |                                                    | A                              | 500,000                                                                                 | 12/31/2016                                               | 05/05/2021      | Common Stock                                                  | 500,000              |
| Stock Option                               | \$ 1.74                                                |                                      |                                                    |                                |                                                                                         | 01/01/2016                                               | 04/08/2020      | Common Stock                                                  | 210,000              |
| Stock Option                               | \$ 0.46                                                |                                      |                                                    |                                |                                                                                         | 06/06/2013                                               | 06/06/2017      | Common Stock                                                  | 425,000              |
| Stock Option                               | \$ 1.1                                                 |                                      |                                                    |                                |                                                                                         | 07/19/2012                                               | 07/19/2016      | Common Stock                                                  | 600,000              |
| Warrants                                   | \$ 0.55                                                |                                      |                                                    |                                |                                                                                         | 11/02/2012                                               | 11/30/2017      | Common Stock                                                  | 37,000               |

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |                      |       |
|----------------------------------------------------------------------|---------------|-----------|----------------------|-------|
|                                                                      | Director      | 10% Owner | Officer              | Other |
| Kasch Rick D<br>501 S. CHERRY STREET, SUITE 1000<br>DENVER, CO 80246 | X             |           | President<br>and CEO |       |

## Signatures

/s/ Rick D.  
Kasch

05/08/2016

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 5/5/16. Options to acquire 116,667 shares vest on 12/31/16; 116,667 on 12/31/17; and the remaining options vest on 12/31/18.
- (2) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 5/5/16. Options to acquire 250,000 shares vest on 12/31/16 and the remaining options vest on 12/31/17.
- (3) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 4/8/15. Options to acquire 70,000 shares vested on 1/1/16; 70,000 vest on 1/1/17; and the remaining options vest on 1/1/18.

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- (4) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 6/5/12. Options to acquire 150,000 shares vested on 6/5/12; 150,000 on 6/5/13; and the remaining options vested on 6/5/14.
- (5) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 7/19/11. Options to acquire 300,000 shares vested on 7/19/11 and the remaining options vested on 7/19/12.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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