

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND

Form NSAR-B/A

March 18, 2014

PAGE 1
000 B000000 11/30/2013
000 C000000 1392994
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FT SPECIALTY FINANCE & FINANCIAL OPP FUND
001 B000000 811-22039
001 C000000 6307658000
002 A000000 120 E. Liberty Drive, Suite 400
002 B000000 Wheaton
002 C000000 IL
002 D010000 60187
003 000000 N
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005 000000 N
006 000000 N
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007 B000000 0
007 C010100 1
008 A000001 FIRST TRUST ADVISORS L.P.
008 B000001 A
008 C000001 801-39950
008 D010001 Wheaton
008 D020001 IL
008 D030001 60187
008 A000002 CONFLUENCE INVESTMENT MANAGEMENT LLC
008 B000002 S
008 C000002 801-68723
008 D010002 Saint Louis
008 D020002 MO
008 D030002 63119
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
010 C010001 WILMINGTON
010 C020001 DE
010 C030001 19809
011 A000001 A.G. EDWARDS & SONS INC.
011 B000001 8-013580
011 C010001 ST. LOUIS
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013 A000001 DELOITTE & TOUCHE LLP
PAGE 2
013 B010001 CHICAGO
013 B020001 IL
013 B030001 60606
013 B040001 4301
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C

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020 A000001 STIFEL, NICOLAUS & CO. INC.
020 B000001 43-0538770
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022 A000005 RAYMOND JAMES & ASSOCIATES INC.
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PAGE 3
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PAGE 5

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PAGE 8

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| 087 | A030000 | FGB |

088 A000000 N

088 B000000 N

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088 D000000 N

SIGNATURE JAMES M. DYKAS

TITLE TREASURER