

New Mountain Finance Corp
Form 8-K
December 03, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): December 3, 2015 (December 1, 2015)

New Mountain Finance Corporation
(Exact name of registrant as specified in its charter)

| | | |
|------------------------------------------------------------------------|-----------------------------|-----------------------------------------|
| Delaware | 814-00832 | 27-2978010 |
| (State or other jurisdiction of incorporation or organization) | (Commission File Number) | (IRS Employer Identification Number) |
| 787 7 th Avenue, 48 th Floor, New York, NY 10019 | | |
| (Address of principal executive offices) | | |
| Registrant's telephone number, including area code (212) 720-0300 | | |

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))



Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers;
Compensatory Arrangements of Certain Officers

On December 1, 2015, the Board of Directors of New Mountain Finance Corporation (“NMFC” or “the Company”) appointed Shiraz Y. Kajee as the Company’s Chief Financial Officer and Treasurer. Mr. Kajee is replacing Melody L. Siu, who previously served in those roles on an interim basis. Ms. Siu will continue to serve as the Company’s Controller.

Prior to joining NMFC, Mr. Kajee, 35, was the Head of U.S. Finance at Man Investments from 2012 to 2015, where he was responsible for the accounting, tax and treasury functions for the U.S. operations of Man Group plc, a United Kingdom based alternative asset manager. From 2010 to 2012, Mr. Kajee was a Vice President of Private Wealth Finance at Goldman, Sachs & Co. and from 2006 to 2010 was a Senior Vice President of Corporate Loans Finance at Citigroup Inc. Mr. Kajee began his career at Ernst & Young LLP within their Financial Services Office Assurance practice. Mr. Kajee received both his Master of Science (“M.S.”) in Accounting and a Bachelor of Business Administration (“B.B.A.”) in Finance from Baruch College - City University of New York. He is a New York State Certified Public Accountant and a Chartered Global Management Accountant.

Mr. Kajee was not appointed as the Company’s Chief Financial Officer and Treasurer pursuant to any arrangement or understanding with any other person.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this Current Report on Form 8-K to be signed on its behalf by the undersigned hereunto duly authorized.

NEW MOUNTAIN FINANCE CORPORATION

Date: December 3, 2015

By: /s/ Karrie J. Jerry
Name: Karrie J. Jerry
Title: Corporate Secretary