

INTREXON CORP
Form 4
March 24, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Frank Steven

(Last) (First) (Middle)

20374 SENECA MEADOWS
PARKWAY

(Street)

GERMANTOWN, MD 20876

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
INTREXON CORP [XON]

3. Date of Earliest Transaction
(Month/Day/Year)
03/20/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock	03/20/2014		A	1,953 A \$ 30.72	5,964	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock (Right to Buy)	\$ 30.72	03/20/2014		A		15,000		03/20/2014	03/20/2024	Common Stock	15,000
Option to Purchase Common Stock (Right to Buy)	\$ 2.74							<u>(1)</u>	02/20/2018	Common Stock	8,571
Option to Purchase Common Stock (Right to Buy)	\$ 3.29							<u>(1)</u>	02/20/2019	Common Stock	2,857
Option to Purchase Common Stock (Right to Buy)	\$ 3.29							<u>(1)</u>	06/30/2020	Common Stock	2,857
Option to Purchase Common Stock (Right to Buy)	\$ 5.91							<u>(2)</u>	03/07/2021	Common Stock	2,857
Option to Purchase Common Stock (Right to Buy)	\$ 7.12							<u>(3)</u>	12/02/2021	Common Stock	8,571
	\$ 7.12							<u>(4)</u>	03/15/2022		2,857

Option to Purchase Common Stock (Right to Buy)				Common Stock	
Option to Purchase Common Stock (Right to Buy)	\$ 9.67	(5)	05/28/2023	Common Stock	2,858

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Frank Steven 20374 SENECA MEADOWS PARKWAY GERMANTOWN, MD 20876	X			

Signatures

/s/ Steven Frank, by Donald P. Lehr, Power of
Attorney

03/24/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are immediately exercisable.
- (2) 2,142 options are exercisable immediately; the remaining 715 options vest on January 1, 2015.
- (3) 4,285 options are exercisable immediately; the remaining options vest annually in increments of 2,143 on each of December 1, 2014 and 2015, respectively
- (4) 1,428 options are exercisable immediately; the remaining options vest annually in increments of 714 and 715 on each of January 1, 2015 and 2016, respectively.
- (5) 714 options are exercisable immediately; the remaining options vest annually in increments of 715, 714 and 715 on each of January 1, 2015, 2016 and 2017, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.