22nd Century Group, Inc.

Form 4

November 20, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Clearwater Partners, LLC

(First)

(Street)

(State)

5. Relationship of Reporting Person(s) to Issuer

Symbol 22nd Century Group, Inc. [xxii]

(Check all applicable)

(Last)

(City)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title \_\_ 10% Owner Other (specify

Nature of

34 SUNBURST CIRCLE

11/18/2013

below)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Filed(Month/Day/Year)

EAST AMHERST, NY 14051

| Table I - Non-Derivative | Committee A commend | Diamond of a | m Danafiaially Owned |
|--------------------------|---------------------|--------------|----------------------|
|                          |                     |              |                      |

| 1.Title of      | 2. Transaction Date | 2A. Deemed         | 3. 4. Securities Acquired         |                        | 5. Amount of | 6. Ownership | 7. Nature of     |            |            |
|-----------------|---------------------|--------------------|-----------------------------------|------------------------|--------------|--------------|------------------|------------|------------|
| Security        | (Month/Day/Year)    | Execution Date, if | Transaction(A) or Disposed of (D) |                        |              | Securities   | Form: Direct     | Indirect   |            |
| (Instr. 3)      |                     | any                | Code                              | de (Instr. 3, 4 and 5) |              | Beneficially | (D) or           | Beneficial |            |
|                 |                     | (Month/Day/Year)   | (Instr. 8)                        | 3)                     |              | Owned        | Indirect (I)     | Ownership  |            |
|                 |                     |                    |                                   |                        |              |              | Following        | (Instr. 4) | (Instr. 4) |
|                 |                     |                    |                                   |                        | (4)          |              | Reported         |            |            |
|                 |                     |                    |                                   |                        | (A)          |              | Transaction(s)   |            |            |
|                 |                     |                    | Code V                            | Amount                 | or<br>(D)    | Price        | (Instr. 3 and 4) |            |            |
| Common<br>Stock | 11/18/2013          |                    | S                                 | 10,000                 | D            | \$ 1         | 3,867,590        | D          |            |
| Common<br>Stock | 11/18/2013          |                    | S                                 | 4,174                  | A            | \$<br>1.02   | 3,863,416        | D          |            |
| Common<br>Stock | 11/19/2013          |                    | S                                 | 15,900                 | D            | \$<br>1.16   | 3,847,516        | D          |            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer |            | 7. Title |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D | ate        | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)      | Under    | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |            | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |              |            | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |              |            |          |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |              |            |          |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |            |          |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |            |          |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |            |          |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |              |            |          |          |             |        |
|             |             |                     |                    |            |            |              |            |          |          |             |        |
|             |             |                     |                    |            |            |              |            |          | Amount   |             |        |
|             |             |                     |                    |            |            | Date         | Expiration |          | or       |             |        |
|             |             |                     |                    |            |            | Exercisable  | Date       | Title    | Number   |             |        |
|             |             |                     |                    |            |            | Excicisable  | Date       |          | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |              |            |          | Shares   |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| •                              | Director      | 10% Owner | Officer | Other |  |  |  |
| Clearwater Partners, LLC       |               |           |         |       |  |  |  |
| 34 SUNBURST CIRCLE             |               | X         |         |       |  |  |  |
| EAST AMHERST, NY 14051         |               |           |         |       |  |  |  |

## **Signatures**

Richard Saffire, managing member, on behalf of Clearwater Partners, LLC

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2