#### LIVERIS ANDREW N

Form 4

October 02, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Estimated average

**OMB APPROVAL** 

response...

burden hours per

subject to Section 16. Form 4 or Form 5 obligations

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

LIVERIS ANDREW N

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

INTERNATIONAL BUSINESS MACHINES CORP [IBM]

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable) Director 10% Owner

Other (specify

Officer (give title

3. Date of Earliest Transaction (Month/Day/Year)

09/29/2017

C/O SECRETARY'S OFFICE, IBM CORPORATION, NEW ORCHARD

(Street)

(First)

ROAD

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

3.

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

ARMONK, NY 10504

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(9-02)

(A)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: LIVERIS ANDREW N - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number		<ol><li>Date Exercisable and</li></ol>		7. Title and Amount of		8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactionof			Expiration Date		<b>Underlying Securities</b>		Derivati
Security	or Exercise	•	any (Month/Day/Year)	Code	Deri	vative	(Month/Day/Year)		(Instr. 3 and 4)		Security (Instr. 5
(Instr. 3)	Price of			(Instr. 8)	) Secu	ırities					
	Derivative				Acq	uired					
	Security				(A)	or					
	-				Dist	osed					
					of (I	<b>O</b> )					
					(Inst	r. 3, 4,					
					and	5)					
				Code	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number	
										of	
										Shares	
Promised Fee Share	\$ 0 (1)	09/29/2017		A(2)	517	7	<u>(3)</u>	<u>(3)</u>	Common Stock	517	\$ 145.

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LIVERIS ANDREW N C/O SECRETARY'S OFFICE, IBM CORPORATION NEW ORCHARD ROAD ARMONK, NY 10504

### **Signatures**

A. Gomes da Silva on behalf of A. N. Liveris

10/02/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan are paid out after retirement in the company's common stock or cash.
- (2) Deferral of fees into Promised Fee Shares under the terms of the IBM Board of Directors Deferred Compensation and Equity Award Plan.
- (3) Distribution of Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan is deferred until retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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