

BASSWOOD CAPITAL MANAGEMENT, L.L.C.

Form 4

January 03, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BASSWOOD CAPITAL  
MANAGEMENT, L.L.C.**

(Last) (First) (Middle)

**645 MADISON AVENUE, 10TH  
FLOOR,**

(Street)

**NEW YORK, NY 10022**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**Regional Management Corp. [RM]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**12/31/2018**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner  
☐ Officer (give title below) ☒ Other (specify  
below)

Director-by-Deputization

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☐ Form filed by One Reporting Person  
☒ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D) Price			
Common Stock, par value \$0.01 per share ("Common Stock")	12/31/2018		J <sup>(2)</sup>		19,736	D \$ 24.05	236,899	I	See footnotes <u>(1)</u> <u>(3)</u>
Common Stock	12/31/2018		J <sup>(2)</sup>		2,200	A \$ 24.05	30,123	I	See footnotes <u>(1)</u> <u>(4)</u>
Common Stock	12/31/2018		J <sup>(2)</sup>		33,854	D \$ 24.05	91,076	I	See footnotes <u>(1)</u> <u>(5)</u>

Edgar Filing: BASSWOOD CAPITAL MANAGEMENT, L.L.C. - Form 4

Common Stock	12/31/2018	J <sup>(2)</sup>	2,999	D	\$ 24.05	79,465	I	See footnotes (1) (6)
Common Stock	12/31/2018	J <sup>(2)</sup>	7,934	A	\$ 24.05	87,399	I	See footnotes (1) (6)
Common Stock	12/31/2018	J <sup>(2)</sup>	3,436	D	\$ 24.05	24,548	I	See footnotes (1) (7)
Common Stock	12/31/2018	J <sup>(2)</sup>	122,500	D	\$ 24.05	567,680	I	See footnotes (1) (8)
Common Stock	12/31/2018	J <sup>(2)</sup>	40,171	D	\$ 24.05	276,019	I	See footnotes (1) (9)
Common Stock	12/31/2018	J <sup>(2)</sup>	212,562	A	\$ 24.05	488,581	I	See footnotes (1) (9)
Common Stock						3,407	I	See footnotes (1) (10)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
LINDENBAUM MATTHEW A BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE 10TH FLOOR NEW YORK, NY 10022	X	X		
LINDENBAUM BENNETT D BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD FINANCIAL FUND, INC. BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD FINANCIAL LONG ONLY FUND, L.P. BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD OPPORTUNITY FUND INC BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD OPPORTUNITY PARTNERS, L.P. BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD FINANCIAL FUND, L.P. BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD ENHANCED LONG SHORT FUND LP BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization

## Signatures

BASSWOOD CAPITAL MANAGEMENT, L.L.C.; /s/ Matthew  
Lindenbaum

01/03/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) See Exhibit 99.1
- (2) See Exhibit 99.1
- (3) See Exhibit 99.1
- (4) See Exhibit 99.1
- (5) See Exhibit 99.1
- (6) See Exhibit 99.1
- (7) See Exhibit 99.1
- (8) See Exhibit 99.1
- (9) See Exhibit 99.1
- (10) See Exhibit 99.1

### Remarks:

Explanation of Responses:

Notes are included on Exhibit 99.1 hereto.

### Exhibit List:

-----

Exhibit 99.1 - Explanation of Responses

Exhibit 99.2 - Joint Filer Information

Exhibit 99.3 - Joint Filers' Signatures

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.