Mackay Todd C Form 4 April 03, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Number: 3235-0287 Expires: January 31,

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16. Form 4 or

Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

obligations may continue.

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person *

Mackay Todd	IC		Symbol BLUCORA, INC. [BCOR]	Issuer (Check all applicable)
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	, , , , ,
6333 N. STA' FLOOR	TE HWY 1	61, 6TH	(Month/Day/Year) 04/01/2019	Director 10% Owner _X_ Officer (give title Other (specify below) below) Interim CEO HD Vest
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check
IRVING, TX	75038		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned

			Tubi	ci iton D	CIIVALIVE	occui	rues rreq	un eu, Disposeu o	i, or Deficileian	ij Owned	
1.Title	of	2. Transaction Date	2A. Deemed	3.	4. Securi	ities A	cquired	5. Amount of	6. Ownership	7. Nature of	
Securit	y	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or D	ispose	d of (D)	Securities	Form: Direct	Indirect	
(Instr.	3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial	
			(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership	
			•					Following	(Instr. 4)	(Instr. 4)	
						()		Reported			
						(A)		Transaction(s)			
				G 1 17		or	ъ.	(Instr. 3 and 4)			
				Code V	Amount	(D)	Price				
Comr		04/01/2019		M	7,084	A	\$ 16.32	43,939	D		
Comr		04/01/2019		S <u>(1)</u>	7,267	D	\$ 33.37	36,672	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Edgar Filing: Mackay Todd C - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

8. l De Sec (In

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acqu (A) o Disp (D)	or or osed of r. 3, 4,	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Lunderlying S (Instr. 3 and	Securities	3 3 3 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 16.32	04/01/2019		M		7,084	(2)	06/17/2022	Common Stock	7,084	

Reporting Owners

Reporting Owner Name / Address	Relationship
Reporting Owner Name / Address	

Director 10% Owner Officer Other

Mackay Todd C 6333 N. STATE HWY 161 6TH FLOOR IRVING, TX 75038

Interim CEO HD Vest

Signatures

/s/ Wendy Walton, as Attorney-in-Fact for Todd C. Mackay

04/03/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 29, 2018.
- (2) The option vests according to the following schedule: 33.33% vested on May 18, 2016, and approximately 16.67% vested at the end of each six-month period thereafter, such that the option was fully vested on May 18, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2