## Edgar Filing: CA, INC. - Form 4

| CA, INC.<br>Form 4   |                                 |  |   |                    |  |   |  |  |   |  |  |  |
|--|---------------------------------|--|---|--------------------|--|---|--|--|---|--|--|--|
| April 04, 2017   |                                 |  |   |                    |  |   |  |  |   |  |  |  |
| <b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION   |                                 |  |   |                    |  |   |  |  | PPROVAL   |  |  |  |
| Check this box Washington, D.C. 20549  |                                 |  |   |                    |  |   | OMB<br>Number:   | 3235-0287<br>January 31,   |   |  |  |  |
| if no longer<br>subject to<br>Section 16.<br>Form 4 or   | STATEN                          | AENT OI                                    | F CHAN  | IGES IN<br>SECUI   | Expires:<br>Estimated<br>burden hou<br>response                | 2005<br>average<br>urs per  |  |  |   |  |  |  |
| Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |                                 |  |   |                    |  |   |  |  |   |  |  |  |
| (Print or Type Respo   | onses)                          |  |   |                    |  |   |  |  |   |  |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>SULPIZIO RICHARD   |                                 |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol |                    |  | 5. Relationship of Reporting Person(s) to Issuer  |  |  |   |  |  |  |
| (Last)   | (First) (I                      | Middle)                                    | CA, INC. [CA]<br>3. Date of Earliest Transaction      |                    |  |   | (Che   | ck all applicabl   | k all applicable)   |  |  |  |
| 520 MADISON AVENUE   |                                 |  | (Month/Day/Year)<br>03/31/2017                        |                    |  | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)  |  |  |   |  |  |  |
| (Street)   |                                 |  | 4. If Amendment, Date Original Filed(Month/Day/Year)  |                    |  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting</li> </ul> |  |  |   |  |  |  |
| NEW YORK, N  | Y 10022                         |  |   |                    |  |   | Person   | More than One K  | epotting  |  |  |  |
| (City)   | (State)                         | (Zip)                                      | Tab   | le I - Non-J       | Derivative   | Securities A  | cquired, Disposed  | of, or Beneficia   | lly Owned   |  |  |  |
|  | ansaction Date<br>1th/Day/Year) | 2A. Deema<br>Execution<br>any<br>(Month/Da | Date, if  | Code<br>(Instr. 8) | 4. Securit<br>onAcquired<br>Disposed<br>(Instr. 3, 4<br>Amount | (A) or<br>of (D)<br>4 and 5)<br>(A)<br>or   | Securities   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |  |
| Reminder: Report or  | n a senarate line               | for each cl                                | ass of sec  |                    |  |   | or indirectly  |  |   |  |  |  |
| Kenninder, Keport of   | r a separate fille              | in each ch                                 |   |                    | Perso<br>inform<br>requir                                      | ns who res<br>nation cont<br>red to resp<br>nys a curre   | spond to the colle<br>ained in this form<br>ond unless the fo<br>ntly valid OMB co | i are not<br>rm  | SEC 1474<br>(9-02)  |  |  |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5. Number of    | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|-------------|-----------------|-------------------------|------------------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orDerivative    | Expiration Date         | Underlying Securities  |
| Security    | or Exercise |                     | any                | Code        | Securities      | (Month/Day/Year)        | (Instr. 3 and 4)       |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Acquired (A) or |                         |                        |

|                                | Derivative<br>Security |            |      |   | Disposed of (D)<br>(Instr. 3, 4, and 5) |     |                     |                    |   |                                  |
|--------------------------------|------------------------|------------|------|---|---|-----|---------------------|--------------------|---|----------------------------------|
|                                |                        |            | Code | V | (A)                                     | (D) | Date<br>Exercisable | Expiration<br>Date | Title                                   | Amount or<br>Number of<br>Shares |
| Deferred<br>Stock<br>Units (1) | (2)                    | 03/31/2017 | А    |   | 1,832.439                               |     | <u>(1)</u>          | <u>(1)</u>         | Common<br>Stock,<br>\$0.10 par<br>value | 1,832.439                        |

## **Reporting Owners**

| Reporting Owner Name / Address                               |           | Relationsh |         |            |  |  |  |
|--|-----------|------------|---------|------------|--|--|--|
| i O  | Director  | 10% Owner  | Officer | Other      |  |  |  |
| SULPIZIO RICHARD<br>520 MADISON AVENUE<br>NEW YORK, NY 10022 | Х         |            |         |            |  |  |  |
| Signatures   |           |            |         |            |  |  |  |
| /s/ Richard Sulpizio by Aaron 2<br>attorney-in-fact          | M. Schlei | cher as    |         | 04/04/2017 |  |  |  |
| <u>**</u> Signature of Rep                                   |           | Date       |         |            |  |  |  |
| Explanation of Responses:                                    |           |            |         |            |  |  |  |

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Issued under the 2012 Compensation Plan for Non-Employee Directors and to be settled by issuance of shares of Common Stock either in a lump sum or in installments following termination of service as a director.
- (2) Deferred Stock Units are convertible into Common Stock on a one-for-one basis.
- (3) Includes Deferred Stock Units having similar terms issued under the Company's prior compensation plans for non-employee directors.

## **Remarks:**

Exhibit List Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.