## Edgar Filing: CA, INC. - Form 4

CA, INC. Form 4 May 17, 2017 <b>FORM 4</b> Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).		Was F CHAN Section 1	shington, GES IN SECUR 6(a) of th tility Hold	D.C. 209 BENEFI ITIES e Securiti ding Com	549 CIA les E lipany	L OWN	NERSHIP OF e Act of 1934, 1935 or Sectior	OMB Number: Expires: Estimated a burden hou response	•	
1. Name and Address FLAHERTY LAU	r Name <b>and</b> Ticker or Trading C. [CA]				5. Relationship of Reporting Person(s) to Issuer					
· · ·			Earliest Transaction ay/Year)				(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below) <u>below</u> ) EVP, Chief Marketing Officer			
(S NEW YORK, NY	ndment, Date Original th/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>					
(City) (S	State) (Zip)	Tabl	e I - Non-D	Oerivative S	Securi	ties Acq	uired, Disposed of,	, or Beneficial	ly Owned	
	ansaction Date 2A. Dee th/Day/Year) Executio any (Month/	3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock, \$.10 05/1 par value	5/2017		Code V A	Amount 14,831 (1)	(D) A	Price \$ 0	(Instr. 3 and 4) 95,555	D		
Common Stock, \$.10 05/1 par value	5/2017		A	45,308 (2)	A	\$ 0	140,863	D		
Common Stock, \$.10 05/1 par value	5/2017		F	27,941	D	\$ 31.69	112,922	D		
Common Stock, \$.10							482.15	Ι	401(k) Plan <u>(3)</u>	

#### par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Transactio-Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration (Month/Da	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (	Date Exercisable D)	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 31.69	05/15/2017		A	97,885	<u>(4)</u>	05/15/2027	Common Stock, \$.10 par value	97,885	

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
FLAHERTY LAUREN PATRICIA 520 MADISON AVENUE NEW YORK, NY 10022			EVP, Chief Marketing Officer				
Signatures							

/s/ Lauren P. Flaherty by Kristen W. Prohl as attorney-in-fact

\*\*Signature of Reporting Person

# Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock which, subject to earlier forfeiture, vests over three years as follows: 34% on May 15, 2018, 33% on May 15, (1) 2019 and 33% on May 15, 2020.
- Represents shares granted in connection with the achievement of performance targets over a three-year performance period ended March (2)31, 2017.

05/17/2017

Date

### Edgar Filing: CA, INC. - Form 4

- (3) Shares held in the CA Savings Harvest Plan, a 401(k) Plan. Information presented as of May 12, 2017.
- (4) The option becomes exercisable over three years as follows: 34% on May 15, 2018, 33% on May 15, 2019 and 33% on May 15, 2020.

### **Remarks:**

Exhibit List Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.