Edgar Filing: WACHOVIA CORP NEW - Form 4

WACHOVIA CORP NEW

Form 4

Common

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Stock

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December 17, 2004

									OMB AI	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								ОМВ	3235-0287		
Check this	Washington, D.C. 20549 CHANGES IN BENEFICIAL OWNERSHIP OF						Number: Expires:	January 31, 2005			
subject to Section 16 Form 4 or	ó.	SECURITIES					2011		burden hou	Estimated average burden hours per response 0.5	
Form 5 obligation may conti <i>See</i> Instru-1(b).	s Section 1	7(a) of the		lity Hold	ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	·		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person * BAKER JOHN D II			2. Issuer Name and Ticker or Trading Symbol WACHOVIA CORP NEW [WB]					5. Relationship of Reporting Person(s) to Issuer			
				OVIA CO	RP NEW	' [Wł	3]	(Check all applicable)			
(Last) (First) (Middle) FLORIDA ROCK INDUSTRIES, P.			3. Date of Earliest Transaction (Month/Day/Year) 12/15/2004					X Director Officer (give	titleOth	Owner er (specify	
O. BOX 466	7							below)	below)		
	(Street)	Filed(Mont			e Original			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
JACKSONV	TILLE, FL 322	.01						Form filed by N Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	I - Non-De	erivative S	ecurit	ies Ac	quired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution	med on Date, if Day/Year)	3. Transactio Code (Instr. 8)	Disposed (Instr. 3, 4	(A) or of (D) 4 and 3)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock								20,329	D		

by CLB

by JDB Irr.

Trust

Trust

by SAB

by wife

Irr. Trust

10,900

300

300

130

I

Ι

I

Ι

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Am Underlying Sec (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable Expiration Date	Title N
Phantom Stock Units	\$ 0 (1)	12/15/2004	12/15/2004	A	101.4081	12/15/2004 ⁽²⁾ 12/15/2004 ⁽²⁾	Common Stock

Reporting Owners

Relationships					
% Owner	Officer	Other			

Signatures

John D. Baker,

II

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock converts into common stock on a one-for-one basis.
- (2) Phantom stock units awarded pursuant to the Wachovia director deferred stock unit plan. These units are settled following the di rector's termination of the service as a member of the issuer's board of directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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