

AMERICAN ELECTRIC POWER CO INC
Form 11-K
June 25, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 11-K

(Mark One)

ANNUAL REPORT PURSUANT TO SECTION 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934

For the year ended December 31, 2014

OR

TRANSITION REPORT PURSUANT TO SECTION 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934

For the transition period from _____ to _____

Commission File Number: 1 - 3525

AMERICAN ELECTRIC POWER SYSTEM
RETIREMENT SAVINGS PLAN
(Full title of the plan)

AMERICAN ELECTRIC POWER COMPANY, INC.
1 Riverside Plaza, Columbus, Ohio 43215
(Name of issuer of the securities held
pursuant to the plan and the address
of its principal executive office)

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Benefits Finance Committee has duly caused this annual report to be signed by the undersigned thereunto duly authorized.

By: /s/ Julia A. Sloat
Julia A. Sloat, Secretary

Date: June 25, 2015

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

To the Trustees and Participants of the American Electric Power System Retirement Savings Plan

We have audited the accompanying statements of net assets available for benefits of the American Electric Power System Retirement Savings Plan (the "Plan") as of December 31, 2014 and 2013, and the related statements of changes in net assets available for benefits for the years then ended. These financial statements are the responsibility of the Plan's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. The Plan is not required to have, nor were we engaged to perform, an audit of its internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, such financial statements present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2014 and 2013, and the changes in net assets available for benefits for the years then ended in conformity with accounting principles generally accepted in the United States of America.

The supplemental schedules listed in the table of contents have been subjected to audit procedures performed in conjunction with the audit of the Plan's financial statements. The supplemental schedules are the responsibility of the Plan's management. Our audit procedures included determining whether the supplemental schedules reconcile to the financial statements or the underlying accounting and other records, as applicable, and performing procedures to test the completeness and accuracy of the information presented in the supplemental schedules. In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, including their form and content, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. In our opinion, such schedules are fairly stated, in all material respects, in relation to the financial statements as a whole.

/s/ Deloitte & Touche LLP
Columbus, Ohio
June 25, 2015

AMERICAN ELECTRIC POWER SYSTEM RETIREMENT SAVINGS PLAN
 STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
 December 31, 2014 and 2013

| | 2014 | 2013 |
|--|-----------------|-----------------|
| ASSETS | | |
| Investments at Fair Value: | | |
| Participant Directed Investments | \$3,979,052,099 | \$3,717,371,173 |
| Wrap Contracts | 29,723 | — |
| TOTAL INVESTMENTS AT FAIR VALUE | 3,979,081,822 | 3,717,371,173 |
| Notes Receivable from Participants | 85,735,539 | 83,912,060 |
| TOTAL ASSETS | 4,064,817,361 | 3,801,283,233 |
| Adjustment from Fair Value to Contract Value for Fully Benefit Responsive Wrap Contracts | (7,328,563 |) (866,725) |
| NET ASSETS AVAILABLE FOR BENEFITS | \$4,057,488,798 | \$3,800,416,508 |

See Notes to Financial Statements beginning on page 6.

AMERICAN ELECTRIC POWER SYSTEM RETIREMENT SAVINGS PLAN
 STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
 For the Years Ended December 31, 2014 and 2013

| | 2014 | | 2013 |
|--|-----------------|---|-----------------|
| INVESTMENT INCOME | | | |
| Net Appreciation in Fair Value of Investments | \$252,616,091 | | \$496,359,189 |
| Interest | 3,989,150 | | 9,537,438 |
| Dividends | 28,595,594 | | 31,870,905 |
| Total Investment Income | 285,200,835 | | 537,767,532 |
| CONTRIBUTIONS | | | |
| Participants | 159,338,477 | | 150,007,060 |
| Employer | 69,502,858 | | 65,707,451 |
| Total Contributions | 228,841,335 | | 215,714,511 |
| DISTRIBUTIONS TO PARTICIPANTS | | | |
| | (253,597,210 |) | (280,061,184 |
| Administrative and Management Fees | | |) |
| Professional Fees | (645,113 |) | (670,734 |
| Investment Advisory and Management Fees | (6,332,592 |) | (5,975,010 |
| Other Fees | (266,265 |) | (524,779 |
| Total Administrative and Management Fees | (7,243,970 |) | (7,170,523 |
| | | |) |
| INTEREST INCOME ON NOTES RECEIVABLE FROM PARTICIPANTS | 3,871,300 | | 3,774,208 |
| INCREASE IN NET ASSETS | 257,072,290 | | 470,024,544 |
| NET ASSETS AVAILABLE FOR BENEFITS BEGINNING OF YEAR | 3,800,416,508 | | 3,330,391,964 |
| NET ASSETS AVAILABLE FOR BENEFITS END OF YEAR | \$4,057,488,798 | | \$3,800,416,508 |

See Notes to Financial Statements beginning on page 6.

AMERICAN ELECTRIC POWER SYSTEM RETIREMENT SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
For the Years Ended December 31, 2014 and 2013

1. PLAN DESCRIPTION

The following description of the American Electric Power System Retirement Savings Plan (Plan) is provided for general information purposes only. Participants should refer to the Plan documents for a more complete description of the Plan's information.

General

The Plan is a defined contribution plan that became effective and commenced operations on January 1, 1978. The Plan covers full-time and part-time employees of the participating subsidiaries of American Electric Power Company, Inc. (AEP or the Company) who are not covered through a unionized collective bargaining agreement. American Electric Power Service Corporation (AEPSC) is the plan administrator (Plan Administrator) and plan sponsor (Plan Sponsor). AEPSC is a wholly-owned subsidiary of AEP. JPMorgan Chase Bank N.A. (JPMorgan or the Trustee) is the custodian and trustee. JPMorgan Retirement Plan Services, LLC was the record keeper with respect to the Plan through August 30, 2014, when it was acquired by Great West Financial Retirement Plan Services, LLC (Empower Retirement). Empower Retirement began record keeping at the date of acquisition. JPMorgan Retirement Plan Services, LLC and Empower Retirement are collectively known as the (Record Keeper).

Contributions

Newly eligible employees are automatically enrolled in the Plan with a 3% pretax deferral. Employees may opt out of the automatic enrollment or revise their elections after they are notified of their right not to have such pretax deferrals made on their behalf (or to have such pretax deferrals made at a different percentage) and how their account will be invested in the absence of their making an investment election. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). Generally, eligible employees participating in the Plan may make contributions (pretax, after-tax or Roth 401(k) contributions) in 1% increments up to 50% of their eligible pay (within Internal Revenue Service (IRS) limits). Participants who are age 50 and older are eligible to contribute additional pretax or Roth 401(k) amounts as catch-up contributions. The catch-up contribution limit was \$5,500 for both 2014 and 2013. An employee who is eligible to participate in the Plan also may roll eligible retirement benefits into the Plan. The Company contributes to the Plan, on behalf of each participant, an amount equal to 100% of the participant's non-rollover contributions up to 1% of the participant's eligible compensation for each payroll period, plus 70% of the participant's contributions for the next 5% of the participant's eligible compensation for each payroll period, subject to certain limitations. All contributions that are withheld from a participant's pay or are made by the Company are deposited in the American Electric Power System Retirement Savings Plan Trust after each pay period. The Plan, in a manner consistent with the requirements under section 401 of the Internal Revenue Code (IRC), restricts the amount that certain participants who are deemed highly compensated may contribute to the Plan, provided that it is AEPSC's intent that the Plan include a "qualified automatic contribution arrangement" (as defined in Section 401(k)(13) of the IRC), such that only the after-tax contributions made by such highly compensated participants may be subject to such restrictions.

Investments

The investment options offered by the Plan are a series of separately managed accounts, interests in commingled and collective trusts, the AEP Stock Fund and self-directed mutual fund brokerage accounts. Affiliates of JPMorgan provide custody, trustee, recordkeeping and other services with regard to investments.

Notes Receivable from Participants

Participants may borrow from their savings plan accounts a minimum of \$1,000 but no more than the lesser of \$50,000 or 50% of their account balance. Loan terms range from 12 months to 60 months (or up to 180 months for certain residential loans), or any monthly increment in-between. Interest rates, fixed for the life of the loan, are calculated by adding 1% to the prime rate, as reported in the Wall Street Journal as of the first business day of the calendar month in which the loan is taken. Active employees repay principal and interest payments through payroll deductions.

Participant loans and the accrued interest are collateralized by the account balance, and upon default, the outstanding balance is subject to income taxes and possible tax penalty.

Participant Accounts

Individual accounts are maintained for each Plan participant. Each participant's account is credited with the participant's contributions, the Company's matching contributions and investment earnings and losses and charged with benefit payments and allocations of Plan expenses. The benefit to which a participant is entitled is the benefit that can be provided from the participant's account.

Participants may transfer the value of their cumulative contributions, in any whole percentage or dollar amount, among investments, and change their investment elections on a daily basis. Participants may change their payroll contribution elections coinciding with the Company's payroll periods.

Vesting and Distribution

Participants are immediately vested in their pretax, after-tax, Roth 401(k) and the Company matching contributions, including earnings thereon. Excluding participants' pretax and Roth 401(k) contributions and post-2008 Company matching contributions, all participants may make an unlimited number of withdrawals of their interest in the Plan, including their pre-2009 Company matching contributions. Pretax and Roth 401(k) contributions are eligible for withdrawal by participants only after age 59-1/2, or earlier upon hardship (as defined by the Plan) or following termination of employment. Post-2008 Company matching contributions are eligible for withdrawal by participants only after age 59-1/2, or earlier following earlier termination of employment, but not upon hardship.

The AEP Stock Fund, a Plan investment option, is an Employee Stock Ownership Plan. As a result, participants can elect to have dividends generated from their AEP Stock Fund holdings paid out in cash, rather than automatically reinvested in the fund. The dividend payouts are made periodically (at least annually) and are treated as ordinary income to the participants for tax purposes.

2. ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements are prepared on an accrual basis of accounting, in conformity with accounting principles generally accepted in the United States of America (GAAP).

Investment Valuation and Income Recognition

Participants direct the investment of their contributions into various investment options offered by the Plan. Investments are reported in the Statements of Net Assets Available for Benefits at fair value while benefit responsive

investment contracts are reported at fair value with an adjustment to contract value. The Statement of Changes in Net Assets Available for Benefits is prepared using contract value basis. Fair value of a financial instrument is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities have been recorded on a trade-date basis. Net appreciation includes the Plan's gains or losses on investments bought or sold as well as held throughout the year. Interest income is recorded on an accrual basis. Dividends are recorded on the ex-dividend date. These amounts are reinvested by the Trustee in the funds that generated such income with the exception of the AEP Stock Fund, which pays or reinvests dividends at the direction of each participant.

Notes Receivable from Participants

Notes Receivable from Participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are not recorded as distributions until actually distributed based on the terms of the Plan document.

Administrative and Management Fees

Administrative and Management Fees incurred relating to JPMorgan during 2014 and 2013 totaled \$2,657,969 and \$2,274,351, respectively. The Plan directly pays for administrative, recordkeeping and management fees.

Distributions to Participants

Distributions to participants are recorded when paid. There were no material amounts of distributions due to participants who requested distributions from the Plan as of December 31, 2014 and 2013.

Use of Estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of net assets available for benefits and changes therein and disclosure of contingent assets. Actual results could differ from the estimates.

Fair Value Measurements of Assets

The accounting guidance for "Fair Value Measurements and Disclosures" establishes a fair value hierarchy that prioritizes the inputs used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). AEPSC's staff independently monitors valuation policies and procedures and provides members of the Benefits Finance Committee (BFC) and its Investment Subcommittee (IC) various monthly and quarterly reports, regarding compliance with policies and procedures. The BFC consists of AEPSC's Chief Financial Officer, Treasurer, Chief Administrative Officer, Chief Risk Officer, Executive Vice President General Counsel in addition to the President of Energy Supply. The IC consists of AEPSC's Treasurer, Chief Risk Officer, Director of Trusts and Investments and Managing Director of Corporate Finance.

The Plan utilizes its Trustee's external pricing service to estimate the fair value of the underlying investments held in the Plan. The Plan's investment managers review and validate the prices utilized by the Trustee to determine fair value. The Company performs its own valuation testing to verify the fair values of the securities, in part by reviewing audit reports of the Trustee's operating controls and valuation processes.

Assets in the Plan are classified using the following methods. Equities are classified as Level 1 holdings if they are actively traded on exchanges. Items classified as Level 1 are investments in money market funds, fixed income and equity mutual funds and domestic equity securities. They are valued based on observable inputs primarily unadjusted quoted prices in active markets for identical assets. Items classified as Level 2 are primarily investments in individual

fixed income securities and cash equivalents funds. Fixed income securities do not trade on an exchange and do not have an official closing price but their valuation inputs are based on observable market data.

The Trustee uses multiple pricing vendors for the assets held in trust. The Trustee's pricing vendors calculate bond valuations using financial models and matrices. The models use observable inputs including yields on benchmark securities, quotes by securities brokers, rating agency actions, discounts or premiums on securities compared to par prices, changes in yields for U.S. Treasury securities, corporate actions by bond issuers, prepayment schedules and histories, economic events and, for certain securities, adjustments to yields to reflect changes in the rate of inflation. Cash equivalent funds are held to provide liquidity and meet short term cash needs. The underlying holdings in the cash funds consist of commercial paper, certificates of deposit, treasury bills, and other short-term debt securities. Short-term debt securities are valued based on observable market data by the trust banks pricing vendor. Other securities with model-derived valuation inputs that are observable are also classified as Level 2 investments. Investments with unobservable valuation inputs are classified as Level 3 investments. Plan assets included in Level 3 are primarily real estate and emerging market investments that are valued using methods requiring judgment, including appraisals.

Equities and Registered Investment Companies are valued based on observable inputs, primarily unadjusted quoted prices in active markets for identical assets.

Investment Descriptions

Common Collective Trusts and the Managed Income Fund are valued at the net asset value per share (NAV). The basis of the reported NAV is the total fair value of all underlying holdings less expenses and liabilities. The value of each unit is determined by dividing the net asset value of the fund by the number of applicable units outstanding on the valuation date. These investments are categorized as Level 2 if they can be redeemed at the NAV price. The JPMorgan Strategic Property Fund has been categorized as a Level 3 investment since the underlying holdings are diversified real estate assets that are difficult to value and rely on unobservable inputs to measure fair value. The JPMorgan Emerging Markets Fund and the Mellon Capital Emerging Markets Stock Index Fund have been classified as a Level 3 investment since the majority of the holdings are equity securities traded on foreign stock exchanges in emerging nations that may have limited liquidity.

JPMorgan Liquidity Fund

The objective of this fund is to provide liquidity and meet short-term cash needs while preserving principal. The underlying holdings in the fund are investment grade money market instruments including commercial paper, certificates of deposit, treasury bills and other types of investment grade short-term debt securities. The fund is valued each business day.

JPMorgan US Treasury Plus Money Market Fund

The objective of this fund is to provide liquidity and meet short-term cash needs while preserving principal. The underlying holdings in the fund include U.S. Treasury obligations, including Treasury bills, bonds and notes and other obligations issued or guaranteed by the U.S. Treasury, and repurchase agreements fully collateralized by U.S. Treasury securities.

Mellon Capital Small Cap Stock Index Fund

The objective of this fund is to track the performance of the Russell 2000 Index. The underlying equity holdings of this fund are actively traded on major domestic stock exchanges and have readily available market quotes.

Mellon Capital Stock Index Fund

The objective of this fund is to track the performance of the S&P 500 Index. The underlying equity holdings of this fund are actively traded on major domestic stock exchanges and have readily available market quotes.

Mellon Capital International Stock Index Fund

The objective of this fund is to track the performance of the MSCI Europe, Australia, and Far East (MSCI EAFE) Index. The underlying equity holdings of this fund are actively traded on the major non-U.S stock exchanges and have readily available market quotes.

Mellon Capital Mid Cap Stock Index Fund

The objective of this fund is to track the performance of the S&P Mid Cap 400 Index. The underlying equity holdings of this fund are actively traded on major domestic stock exchanges and have readily available market quotes.

Mellon Capital REIT Index Fund

The objective of this fund is to track the performance of the Dow Jones U.S. Select REIT Index. The underlying real estate investment trust equity holdings of this fund are actively traded on the major domestic stock exchanges and have readily available market quotes.

Mellon Capital Aggregate Bond Index Fund

The objective of this fund is to track the performance of the Barclay's Capital U.S. Aggregate Bond Index. Fixed income securities do not trade on an exchange and do not have an official closing price.

Mellon Capital Treasury Inflation-Protected Securities Fund

The objective of this fund is to track the performance of the Barclays Capital U.S. Treasury Inflation-Protected Securities Index. Treasury Inflation-Protected Securities are backed by the U.S. government and protect investors from the effects of inflation. The securities are not actively traded on exchanges and do not have an official closing price.

Metlife Separate Account No. 690

The objective of the fund is to exceed the performance of the Barclays Capital 1-3 year Government/Credit Index. The fund seeks to preserve principal and an above average level of income with the goal of minimizing overall portfolio risk. Fixed income securities do not trade on an exchange and do not have an official closing price.

Wells Fargo Fixed Income Fund N

The objective of the fund is to exceed the performance of the Barclays Capital Intermediate Government/Credit Index. The fund seeks to preserve principal and an above average level of income with the goal of minimizing overall portfolio risk. Fixed income securities do not trade on an exchange and do not have an official closing price.

JPMorgan Strategic Property Fund

The objective of this fund is to exceed the performance of the National Council of Real Estate Investment Fiduciaries (NCREIF) Property Index. The underlying holdings in the fund are diversified real estate assets. This diversified fund consists of multiple properties and no single asset, tenant or location has undue influence over the fund's value or performance. The fund's diversified holdings help mitigate the risk of default and concentration risk.

Mellon Capital Emerging Markets Stock Index Fund

The objective of this fund is to track the performance of the MSCI Emerging Markets Index. The majority of the underlying holdings of this fund are traded on foreign stock exchanges in emerging markets. The securities in these economies are typically less efficient and less liquid than those in developed markets.

JPMorgan US Real Estate Securities Fund

The objective of this fund is to exceed the performance of the MSCI Real Estate Investment Trust (MSCI U.S. REIT) Index. The underlying real estate investment trust equity holdings of this fund are actively traded on the major domestic stock exchanges and have readily available market quotes.

This fund was liquidated and replaced with the Mellon Capital REIT Index Fund effective August 15, 2014.

JP Morgan Emerging Markets Fund

The objective of this fund is to exceed the performance of the MSCI Emerging Markets (MSCI EM) Free Index. The majority of the underlying holdings of this fund are traded on foreign stock exchanges in emerging markets. The securities in these economies are typically less efficient and less liquid than those in developed markets.

This fund was liquidated and replaced with the Mellon Capital Emerging Markets Stock Index Fund effective August 15, 2014.

3. PLAN TERMINATION

Although it has not expressed any intent to do so, AEPSC has the right to take such actions as will allow contributions to the Plan to be discontinued at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants remain 100 percent vested in their accounts.

4. INVESTMENT CONTRACTS

The Managed Income Fund provides a stable value investment option that includes fully benefit-responsive wrap contracts which assure the book value of investments for plan participants. The fund's underlying assets, which are held in a trust, utilize wrap contracts issued by four financial institutions as of December 31, 2014 and 2013. The contracts provide that participants execute plan transactions at contract value. Contract value represents contributions made to the fund, plus credited interest, less participant withdrawals, without regard to changes in the fair value of the investments and securities underlying the fund. The rates for crediting interest are reset periodically based on market rates of other similar investments, the current yield of the underlying investments and the spread between the market value and contract value. The interest crediting rate cannot be less than 0%. Certain events initiated by the Plan Sponsor, such as plan termination or a plan merger, would limit the ability of the Plan to administer participant-level transactions at contract value or may allow for the termination of the wrap contract at market value, rather than contract value.

The Plan Sponsor does not believe that any events that may limit the ability of the plan to transact at contract value are probable as of December 31, 2014 or the date these financial statements are issued.

During the year ended December 31, 2014, the average yield based on underlying earnings and the average yield based on interest credited to participants were 1.33% and 1.37%, respectively. During the year ended December 31, 2013, the average yield based on underlying earnings and the average yield based on interest credited to participants were 1.33% and 1.22%, respectively.

5. INVESTMENTS EXCEEDING FIVE PERCENT OF THE PLAN'S NET ASSETS

Investments exceeding five percent of the Plan's net assets as of December 31, 2014 and 2013 were as follows:

| | December 31, | |
|---|---------------|---------------|
| | 2014 | 2013 |
| AEP Stock | \$328,831,224 | \$294,612,643 |
| Mellon Capital Aggregate Bond Index Fund | 562,375,327 | 454,335,541 |
| Mellon Capital Stock Index Fund | 683,575,296 | 637,979,183 |
| Mellon Capital International Stock Index Fund | 376,228,524 | 382,529,284 |
| Mellon Capital Small Cap Stock Index Fund | (a) | 218,730,345 |

(a) Investment was less than five percent for reportable period.

6. NET APPRECIATION OF INVESTMENTS

During 2014 and 2013, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated (depreciated) in value as follows:

| | Years Ended December 31, | |
|---|--------------------------|---------------|
| | 2014 | 2013 |
| AEP Stock | \$82,918,337 | \$27,559,707 |
| Common/Collective Trusts | 115,230,132 | 281,942,154 |
| Corporate Stocks | 54,994,496 | 175,717,078 |
| Fixed Income | 1,321,933 | (2,343,892) |
| Registered Investment Companies | (1,848,807) | 13,484,142 |
| Net Appreciation in Fair Value of Investments | \$252,616,091 | \$496,359,189 |

7. PARTY-IN-INTEREST TRANSACTIONS

Certain transactions involving the Plan and its assets involved parties in interest with respect to the Plan, but those transactions were not prohibited transactions under ERISA because of the applicability of one or more exemptions. The exempt party-in-interest transactions involving the Plan included the following: JPMorgan Chase Bank, N.A. has been acting as trustee and custodian under the Plan, while its affiliates have been acting as (a) investment manager for a number of the Plan's investment options, (b) the Plan's record keeper (until August 30, 2014, when Empower Retirement, which is not affiliated with JPMorgan, became the Plan's record keeper) and (c) investment advisor or investment manager for a number of plan participants with respect to the amounts held in their Plan accounts.

As of December 31, 2014 and 2013, the Plan held 5,415,534 and 6,303,223 shares, respectively, of common stock of American Electric Power Company, Inc., the Plan Sponsor, with a cost basis of \$204,336,056 and \$228,985,169, respectively. During the years ended December 31, 2014 and 2013, the Plan recorded dividend income of \$12,155,855 and \$12,470,399, respectively, related to its investment in that common stock.

The Plan entered into a non-exempt prohibited transaction when it made a series of overpayments to the Trustee between July 2006 and July 2014. ERISA Section 406(a)(1)(D) prohibits the use of plan assets by, or transfer of plan assets to, a party in interest (such as a fiduciary investment manager or Trustee). Although an exemption under ERISA 408(b)(2) generally is applicable to contracts with parties (such as Trustees) for services necessary for the operation of a plan where no more than reasonable compensation is paid therefor, the described payments represent the amount invoiced and paid by the Plan in excess of the amount required by the applicable contract.

The Trustee had agreed to apply different fee rates to different plan accounts, depending on the classification of the activity transacted in those accounts, and had agreed to waive normal fees with regard to other accounts. However, upon a review of the invoices generated by the Trustee, it was discovered that (a) for the period between July 2006 and June 2009, the Trustee had mistakenly applied certain fee rates applicable to active accounts to two of the Plan's

composite accounts, and (b) between July 2009 and July 2014, it had mistakenly applied fees that it had agreed to waive with respect to certain accounts or that were otherwise not applicable and had charged certain other fees with regard to another account at an incorrect rate. These resulted in an effective overcharge for their services. Following confirmation of the overpayments in 2014, the Plan secured a commitment from the Trustee to repay the excess charges plus lost earnings thereon. The Company has identified the steps to remediate the transactions involving the Trustee in 2015.

8. FAIR VALUE MEASUREMENTS

For a discussion of fair value accounting and the classification of assets within the fair value hierarchy, see the “Fair Value Measurements of Assets” section of Note 2.

Plan Assets within the Fair Value Hierarchy as of December 31, 2014

| | Level 1 | Level 2 | Level 3 | Total |
|---|---------------|---------------|------------|---------------|
| Equities | | | | |
| Corporate Stocks | \$670,804,490 | \$— | \$— | \$670,804,490 |
| AEP Stock | 328,831,224 | — | — | 328,831,224 |
| Subtotal Equities | 999,635,714 | — | — | 999,635,714 |
| Fixed Income | | | | |
| Government Bonds | — | 102,672,464 | — | 102,672,464 |
| Corporate Debt Securities | — | 189,792,401 | — | 189,792,401 |
| Mortgage Backed Securities | — | 156,578,175 | — | 156,578,175 |
| Subtotal Fixed Income | — | 449,043,040 | — | 449,043,040 |
| Common/Collective Trusts | | | | |
| JPMorgan Liquidity Fund | — | 11,537,076 | — | 11,537,076 |
| JPMorgan US Treasury Plus Money Market Fund | — | 96,677,567 | — | 96,677,567 |
| Mellon Capital Small Cap Stock Index Fund | — | 186,655,588 | — | 186,655,588 |
| Mellon Capital Mid Cap Stock Index Fund | — | 46,993,184 | — | 46,993,184 |
| Mellon Capital Stock Index Fund | — | 683,575,296 | — | 683,575,296 |
| Mellon Capital International Stock Index Fund | — | 376,228,524 | — | 376,228,524 |
| Mellon Capital REIT Index Fund | — | 25,011,659 | — | 25,011,659 |
| Mellon Capital Aggregate Bond Index Fund | — | 562,375,327 | — | 562,375,327 |
| Mellon Capital Treasury Inflation-Protected Securities Fund | — | 17,061,173 | — | 17,061,173 |
| Metlife Separate Account No. 690 | — | 162,456,671 | — | 162,456,671 |
| Wells Fargo Fixed Income Fund N | — | 120,107,068 | — | 120,107,068 |
| JPMorgan Strategic Property Fund | — | — | 43,471,057 | 43,471,057 |
| Mellon Capital Emerging Markets Stock Index Fund | — | — | 23,593,030 | 23,593,030 |
| Subtotal Common/Collective Trusts | — | 2,288,679,133 | 67,064,087 | 2,355,743,220 |
| Registered Investment Companies | 166,797,451 | — | — | 166,797,451 |

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| | | | | |
|---|------------------|------------------|---------------|------------------|
| Cash Equivalents | — | 14,642,032 | — | 14,642,032 |
| Accrued Items and Unsettled Trades | (5,703,792) | (1,105,566) | — | (6,809,358) |
| Wrap Contracts | — | — | 29,723 | 29,723 |
| Total Assets Reflecting Investments at Fair Value | \$ 1,160,729,373 | \$ 2,751,258,639 | \$ 67,093,810 | \$ 3,979,081,822 |

Plan Assets within the Fair Value Hierarchy as of December 31, 2013

| | Level 1 | Level 2 | Level 3 | Total |
|---|------------------------|------------------------|---------------------|------------------------|
| Equities | | | | |
| Corporate Stocks | \$643,479,327 | \$— | \$— | \$643,479,327 |
| AEP Stock | 294,612,643 | — | — | 294,612,643 |
| Subtotal Equities | 938,091,970 | — | — | 938,091,970 |
| Fixed Income | | | | |
| Government Bonds | — | 99,954,594 | — | 99,954,594 |
| Corporate Debt Securities | — | 173,612,943 | — | 173,612,943 |
| Mortgage Backed Securities | — | 171,693,244 | — | 171,693,244 |
| Subtotal Fixed Income | — | 445,260,781 | — | 445,260,781 |
| Common/Collective Trusts | | | | |
| JPMorgan Liquidity Fund | — | 20,014,690 | — | 20,014,690 |
| JPMorgan US Treasury Plus Money Market Fund | — | 78,372,654 | — | 78,372,654 |
| Mellon Capital Small Cap Stock Index Fund | — | 218,730,345 | — | 218,730,345 |
| Mellon Capital Stock Index Fund | — | 637,979,183 | — | 637,979,183 |
| Mellon Capital International Stock Index Fund | — | 382,529,284 | — | 382,529,284 |
| JPMorgan US Real Estate Securities Fund | — | 21,321,034 | — | 21,321,034 |
| Mellon Capital Aggregate Bond Index Fund | — | 454,335,541 | — | 454,335,541 |
| Mellon Capital Treasury Inflation-Protected Securities Fund | — | 14,878,293 | — | 14,878,293 |
| Metlife Separate Account No. 690 | — | 160,604,028 | — | 160,604,028 |
| Wells Fargo Fixed Income Fund N | — | 122,945,600 | — | 122,945,600 |
| JPMorgan Strategic Property Fund | — | — | 37,903,552 | 37,903,552 |
| JPMorgan Emerging Markets Fund | — | — | 21,301,049 | 21,301,049 |
| Subtotal Common/Collective Trusts | — | 2,111,710,652 | 59,204,601 | 2,170,915,253 |
| Registered Investment Companies | 156,155,678 | — | — | 156,155,678 |
| Cash Equivalents | — | 16,534,243 | — | 16,534,243 |
| Accrued Items and Unsettled Trades | (422,240) | (9,164,512) | — | (9,586,752) |
| Total Assets Reflecting Investments at Fair Value | \$1,093,825,408 | \$2,564,341,164 | \$59,204,601 | \$3,717,371,173 |

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The following tables set forth a summary of the Plan's investments with a reported Net Asset Value as of December 31, 2014 and 2013:

Fair Value Estimated Using Net Asset Value per Share as of December 31, 2014

| Common/Collective Trusts | Fair Value | Redemption Frequency (If currently eligible) | Redemption Notice Period |
|---|-----------------|---|--------------------------|
| JPMorgan Liquidity Fund | \$11,537,076 | Daily | 1 Day |
| JPMorgan US Treasury Plus Money Market Fund | 96,677,567 | Daily | Trade Date + 0 |
| Mellon Capital Small Cap Stock Index Fund | 186,655,588 | Daily | Trade Date + 1 |
| Mellon Capital Mid Cap Stock Index Fund | 46,993,184 | Daily | Trade Date + 1 |
| Mellon Capital Stock Index Fund | 683,575,296 | Daily | Trade Date + 1 |
| Mellon Capital International Stock Index Fund | 376,228,524 | Daily | Trade Date + 1 |
| Mellon Capital REIT Index Fund | 25,011,659 | Daily | Trade Date + 1 |
| Mellon Capital Aggregate Bond Index Fund | 562,375,327 | Daily | Trade Date + 1 |
| Mellon Capital Treasury Inflation-Protected Securities Fund | 17,061,173 | Daily | Trade Date + 1 |
| Metlife Separate Account No. 690 | 162,456,671 | Monthly | 1 Month |
| Wells Fargo Fixed Income Fund N | 120,107,068 | Monthly | 1 Month |
| JPMorgan Strategic Property Fund | 43,471,057 | Quarterly | 45 Days |
| Mellon Capital Emerging Markets Stock Index Fund | 23,593,030 | Daily | Trade Date + 1 |
| Total Assets | \$2,355,743,220 | | |

Fair Value Estimated Using Net Asset Value per Share as of December 31, 2013

| Common/Collective Trusts | Fair Value | Redemption Frequency (If currently eligible) | Redemption Notice Period |
|---|-----------------|---|--------------------------|
| JPMorgan Liquidity Fund | \$20,014,690 | Daily | 1 Day |
| JPMorgan US Treasury Plus Money Market Fund | 78,372,654 | Daily | Trade Date + 0 |
| Mellon Capital Small Cap Stock Index Fund | 218,730,345 | Daily | Trade Date + 1 |
| Mellon Capital Stock Index Fund | 637,979,183 | Daily | Trade Date + 1 |
| Mellon Capital International Stock Index Fund | 382,529,284 | Daily | Trade Date + 1 |
| JPMorgan US Real Estate Securities Fund | 21,321,034 | Daily | 1 Day |
| Mellon Capital Aggregate Bond Index Fund | 454,335,541 | Daily | Trade Date + 1 |
| Mellon Capital Treasury Inflation-Protected Securities Fund | 14,878,293 | Daily | Trade Date + 1 |
| Metlife Separate Account No. 690 | 160,604,028 | Monthly | 1 Month |
| Wells Fargo Fixed Income Fund N | 122,945,600 | Monthly | 1 Month |
| JPMorgan Strategic Property Fund | 37,903,552 | Quarterly | 45 Days |
| JPMorgan Emerging Markets Fund | 21,301,049 | Daily | 1 Day |
| Total Assets | \$2,170,915,253 | | |

It is the Plan's policy to record transfers in and transfers out of each level at the end of each reporting period. There have been no transfers between Level 1, Level 2, and Level 3 during the years ended December 31, 2014 and 2013.

The following tables set forth a reconciliation of changes in the fair value of investments classified as Level 3 in the fair value hierarchy:

Changes in Fair Value Measurements for the Year Ended December 31, 2014

| | JPMorgan Strategic Property Fund | JPMorgan Emerging Markets Fund | Mellon Capital Emerging Markets Stock Index Fund | Wrap Contracts | Total |
|------------------------------|---|---|---|----------------|---------------|
| Balance at Beginning of Year | \$37,903,552 | \$21,301,049 | \$— | \$— | \$59,204,601 |
| Realized Gains (Losses) | 149,113 | 6,521,839 | (196,087 |) — | 6,474,865 |
| Unrealized Gains (Losses) | 4,198,421 | (4,309,936 |) (2,439,325 |) 29,723 | (2,521,117) |
| Purchases | 3,969,971 | 2,208,893 | 31,850,213 | — | 38,029,077 |
| Sales | (2,750,000 |) (25,721,845 |) (5,621,771 |) — | (34,093,616) |
| Balance at End of Year | \$43,471,057 | \$— | \$23,593,030 | \$29,723 | \$67,093,810 |

Changes in Fair Value Measurements for the Year Ended December 31, 2013

| | JPMorgan Strategic Property Fund | JPMorgan Emerging Markets Fund | Total |
|------------------------------|---|---|----------------|
| Balance at Beginning of Year | \$31,791,671 | \$18,068,713 | \$49,860,384 |
| Realized Gains | — | 373,032 | 373,032 |
| Unrealized Gains (Losses) | 5,131,893 | (1,020,696 |) 4,111,197 |
| Purchases | 979,988 | 5,475,000 | 6,454,988 |
| Sales | — | (1,595,000 |) (1,595,000) |
| Balance at End of Year | \$37,903,552 | \$21,301,049 | \$59,204,601 |

9. RISK AND UNCERTAINTIES

The Plan utilizes various investment instruments, including common stock, bonds, commingled funds and investment contracts. Investment securities are exposed to various risks, such as interest rate, credit and market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such change could materially affect the amounts reported in the financial statements.

10. FEDERAL INCOME TAX

The IRS has issued a favorable determination letter dated September 24, 2013 with respect to the Plan. A favorable determination letter indicates that, in the opinion of the IRS, the terms of that the Plan meets the requirements of Section 401(a) of the IRC, and thereby recognizes the exempt status of the Plan's trust pursuant to Section 501(a) of the IRC.

The Plan has been amended subsequent to the issuance of that IRS determination letter. Plan management believes that the Plan is currently designed and operated in compliance with the applicable requirements of the IRC and that the Plan's trust continues to be tax-exempt. Therefore, no provision for income taxes has been included in the Plan's financial statements.

GAAP requires Plan management to evaluate tax positions taken by the Plan and to recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2014 and 2013, there are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions. However, there are currently no audits for any tax periods in progress. The Plan Administrator believes it is no longer subject to income tax examinations for years prior to 2011.

11. RECONCILIATION OF FINANCIAL STATEMENTS TO THE FORM 5500

The following is a reconciliation of participant loans and net assets available for benefits per the financial statements to Form 5500 as of and for the years ended December 31, 2014 and 2013, and for the year ended December 31, 2014.

| | | |
|---|-----------------|-----------------|
| | January 1, | |
| Participant Loans - Schedule H, Part I, Line 1c(8), Column (a) | 2014 | 2013 |
| Beginning Balance per Financial Statements | \$83,912,060 | \$79,060,932 |
| Less: Loans Deemed Distributed with No Post-Default Payments | (2,135,738) | (2,053,161) |
| Balance Reported on Form 5500 | \$81,776,322 | \$77,007,771 |
| | December 31, | |
| Participant Loans - Schedule H, Part I, Line 1c(8), Column (b) | 2014 | 2013 |
| Ending Balance per Financial Statements | \$85,735,539 | \$83,912,060 |
| Less: Assets and Activity Related to Loans Deemed Distributed with No Post-Default Payments | (2,386,756) | (2,135,738) |
| Balance Reported on Form 5500 | \$83,348,783 | \$81,776,322 |
| | January 1, | |
| Net Assets - Schedule H, Part I, Line 11, Column (a) | 2014 | 2013 |
| Beginning Balance per Financial Statements | \$3,800,416,508 | \$3,330,391,964 |
| Plus: Adjustment from Contract Value to Fair Value | 866,725 | 17,178,669 |
| Less: Loans Deemed Distributed with No Post-Default Payments | (2,135,738) | (2,053,161) |
| Beginning Balance Reported on Form 5500 | \$3,799,147,495 | \$3,345,517,472 |
| | December 31, | |
| Net Assets - Schedule H, Part I, Line 11, Column (b) | 2014 | 2013 |
| Ending Balance per Financial Statements | \$4,057,488,798 | \$3,800,416,508 |
| Plus: Adjustment from Contract Value to Fair Value | 7,328,563 | 866,725 |
| Less: Assets and Activity Related to Loans Deemed Distributed with No Post-Default Payments | (2,386,756) | (2,135,738) |
| Balance Reported on Form 5500 | \$4,062,430,605 | \$3,799,147,495 |
| | December 31, | |
| Increase in Net Assets - Schedule H, Part II, Line 2k | 2014 | 2013 |
| Per Financial Statements | \$257,072,290 | \$470,024,544 |
| Plus (Less): Change in Contract Value to Fair Value | 6,461,838 | (16,311,944) |
| Less: Loans Deemed Distributed | (251,018) | (82,577) |
| Reported on Form 5500 | \$263,283,110 | \$453,630,023 |

AMERICAN ELECTRIC POWER SYSTEM RETIREMENT SAVINGS PLAN
 PLAN #002 EIN #13-4922641
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 DECEMBER 31, 2014

INVESTMENT CONTRACTS:

| | | |
|--|--|-----------|
| Stable Value Wrap | | |
| Prudential Insurance Company of America | | |
| variable annual yield (1.66 at December 31, 2014) | | |
| with an indeterminate maturity date | | \$ — |
| Stable Value Wrap | | |
| Met Life Inc. | | |
| variable annual yield (1.04% at December 31, 2014) | | |
| with an indeterminate maturity date | | 29,723 |
| Stable Value Wrap | | |
| Voya Life Ins. and Annuity Co. | | |
| variable annual yield (1.76% at December 31, 2014) | | |
| with an indeterminate maturity date | | — |
| Stable Value Wrap | | |
| American General Life Ins. Co. | | |
| variable annual yield (1.67% at December 31, 2014) | | |
| with an indeterminate maturity date | | — |
| Subtotal Wrapper Contracts | | \$ 29,723 |

| Shares | Identity of Issuer, Borrower, Lessor or Similar Party | Fair Value |
|------------|---|----------------|
| | Common / Collective Trusts | |
| | Wells Fargo Fixed Income Fund N | \$ 120,107,068 |
| | Metlife Separate Account No 690 | 162,456,671 |
| 91,419,842 | JPMorgan US Treasury Plus Money Market Fund | 96,677,567 |
| | Total Common / Collective Trusts | \$ 379,241,306 |
| | Corporate Debt Securities | |
| | Ally Auto Receivables Trust 2013-2, 0.79%, \$850,000 par, due 1/15/2018 | \$ 849,275 |
| | Ally Auto Receivables Trust 2014-3, 1.28%, \$2,060,000 par, due 6/17/2019 | 2,055,793 |
| | Altera Corp, 2.5%, \$1,400,000 par, due 11/15/2018 | 1,408,918 |
| | American Express Crdt Acct Mstr Trst AMXCA 2014-3 A 3.0-YR, 1.43%, \$2,000,000 par, due 6/15/2020 | 1,996,100 |
| | American Express Credit Corp, 2.25%, \$1,030,000 par, due 8/15/2019 | 1,030,165 |
| | American Honda Finance Corp, 1.13%, \$340,000 par, due 10/7/2016 | 341,572 |
| | American Honda Finance Corp, 1.55%, \$220,000 par, due 12/11/2017 | 220,938 |
| | American Honda Finance Corp, 2.13%, \$700,000 par, due 2/28/2017 | 712,762 |
| | AmeriCredit Automobile Receivables Trust 2014-2, 0.94%, \$2,000,000 par, due 2/8/2019 | 1,992,112 |
| | Anheuser-Busch InBev Worldwide Inc, 1.38%, \$620,000 par, due 7/15/2017 | 619,449 |
| | Anheuser-Busch InBev Worldwide Inc, 4.13%, \$2,535,000 par, due 1/15/2015 | 2,537,365 |
| | AT&T Inc, 2.4%, \$415,000 par, due 8/15/2016 | 423,393 |
| | AT&T Inc, 2.95%, \$1,680,000 par, due 5/15/2016 | 1,722,415 |
| | BA Credit Card Trust, 0.45%, \$2,000,000 par, due 1/15/2020 | 1,999,640 |
| | Bank of America Corp, 3.63%, \$1,085,000 par, due 3/17/2016 | 1,115,483 |

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| | |
|---|-----------|
| Bank of America Corp, 3.75%, \$770,000 par, due 7/12/2016 | 797,534 |
| Bank of America Corp, 3.88%, \$1,085,000 par, due 3/22/2017 | 1,135,231 |
| Bank of New York Mellon Corp/The, 2.1%, \$750,000 par, due 8/1/2018 | 758,060 |
| Bank of New York Mellon Corp/The, 2.2%, \$1,000,000 par, due 3/4/2019 | 1,003,642 |
| Bank of Nova Scotia/The, 2.05%, \$1,245,000 par, due 10/7/2015 | 1,259,044 |
| Barclays Dryrock Issuance Trust, 0.5%, \$2,000,000 par, due 3/16/2020 | 1,997,698 |
| Bayer US Finance LLC, 2.38%, \$850,000 par, due 10/8/2019 | 853,373 |

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| | |
|---|-----------|
| BB&T Corp, 2.05%, \$700,000 par, due 6/19/2018 | 704,612 |
| Becton Dickinson and Co, 2.67%, \$700,000 par, due 12/15/2019 | 709,206 |
| BMW Vehicle Lease Trust 2014-1, 0.73%, \$2,000,000 par, due 2/21/2017 | 1,998,172 |
| BMW Vehicle Owner Trust 2013-A, 0.67%, \$1,100,000 par, due 11/27/2017 | 1,099,926 |
| BNP Paribas SA, 1.38%, \$1,300,000 par, due 3/17/2017 | 1,296,347 |
| BP Capital Markets PLC, 1.38%, \$1,400,000 par, due 5/10/2018 | 1,375,149 |
| Branch Banking & Trust Co, 2.3%, \$400,000 par, due 10/15/2018 | 404,162 |
| Capital Auto Receivables Asset Trust, 0.85%, \$2,000,000 par, due 2/21/2017 | 2,000,850 |
| Capital Auto Receivables Asset Trust, 1.18%, \$2,000,000 par, due 12/20/2017 | 2,000,018 |
| Capital Auto Receivables Asset, 0.68%, \$2,000,000 par, due 5/20/2016 | 2,000,984 |
| Capital One Multi-Asset Execut, 1.26%, \$1,800,000 par, due 1/15/2020 | 1,797,806 |
| Capital One Multi-Asset Execution Tr, 1.48%, \$1,000,000 par, due 7/15/2020 | 1,000,225 |
| Cargill Inc, 6%, \$1,300,000 par, due 11/27/2017 | 1,452,721 |
| Caterpillar Financial Services Corp, 1.35%, \$580,000 par, due 9/6/2016 | 584,806 |
| Caterpillar Financial Services Corp, 2.25%, \$430,000 par, due 12/1/2019 | 430,471 |
| Chase Issuance Trust, 0.21%, \$1,000,000 par, due 4/15/2019 | 994,418 |
| Chase Issuance Trust, 1.38%, \$1,200,000 par, due 11/15/2019 | 1,195,231 |
| Chevron Corp, 0.4%, \$290,000 par, due 11/15/2017 | 289,662 |
| Chevron Corp, 0.89%, \$370,000 par, due 6/24/2016 | 370,783 |
| Chevron Corp, 1.1%, \$500,000 par, due 12/5/2017 | 496,909 |
| Chevron Corp, 1.34%, \$390,000 par, due 11/15/2017 | 390,316 |
| Cisco Systems Inc, 1.1%, \$60,000 par, due 3/3/2017 | 60,025 |
| Citigroup Inc, 0.51%, \$525,000 par, due 6/9/2016 | 519,978 |
| Citigroup Inc, 3.95%, \$1,355,000 par, due 6/15/2016 | 1,406,586 |
| Citigroup Inc, 4.59%, \$700,000 par, due 12/15/2015 | 723,323 |
| CNH Equipment Trust 2014-B, 0.91%, \$2,000,000 par, due 5/15/2019 | 1,994,210 |
| Commonwealth Edison Co, 4%, \$1,361,000 par, due 8/1/2020 | 1,460,240 |
| Cooperatieve Centrale Rabobank Nederland, 2.13%, \$780,000 par, due 10/13/2015 | 789,362 |
| Covidien International Finance SA, 2.8%, \$2,000,000 par, due 6/15/2015 | 2,019,000 |
| Credit Suisse/New York NY, 1.38%, \$370,000 par, due 5/26/2017 | 368,910 |
| Credit Suisse/New York NY, 3.5%, \$1,280,000 par, due 3/23/2015 | 1,288,646 |
| CVS Health Corp, 3.25%, \$1,340,000 par, due 5/18/2015 | 1,352,908 |
| DIRECTV Holdings LLC / DIRECTV Financing Co Inc, 2.4%, \$755,000 par, due 3/15/2017 | 769,246 |
| Discover Card Execution Note Trust, 1.22%, \$2,000,000 par, due 10/15/2019 | 1,996,588 |
| Duke Energy Florida Inc, 4.55%, \$1,300,000 par, due 4/1/2020 | 1,429,643 |
| Duke Realty LP, 5.95%, \$1,175,000 par, due 2/15/2017 | 1,274,115 |
| Edsouth Indenture No 2 LLC, 1.32%, \$1,585,421 par, due 9/25/2040 | 1,601,133 |
| Education Loan Asset-Backed Trust I, 0.97%, \$986,896 par, due 6/25/2026 | 990,560 |
| EMC Corp/MA, 1.88%, \$930,000 par, due 6/1/2018 | 926,839 |
| Extended Stay America Trust 2013-ESH, 1.28%, \$2,100,000 par, due 12/5/2031 | 2,083,410 |
| Extended Stay America Trust 2013-ESH, 2.96%, \$2,000,000 par, due 12/5/2019 | 2,026,452 |
| Fifth Third Auto Trust 2014-2, 0.89%, \$2,000,000 par, due 11/15/2018 | 1,995,304 |
| Ford Credit Auto Lease Trust 2014-B, 0.89%, \$2,000,000 par, due 9/15/2017 | 1,997,098 |
| Ford Credit Auto Owner Trust 2014-A, 0.79%, \$2,000,000 par, due 5/15/2018 | 1,998,456 |
| Ford Motor Credit Co LLC, 3%, \$1,595,000 par, due 6/12/2017 | 1,636,481 |
| Ford Motor Credit Co LLC, 4.25%, \$435,000 par, due 2/3/2017 | 456,780 |
| GE Dealer Floorplan Master Note Trust, 0.55%, \$2,000,000 par, due 7/20/2019 | 1,998,068 |
| GE Equipment Midticket LLC Series 2013-1, 0.95%, \$2,000,000 par, due 4/22/2016 | 2,005,582 |

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| | |
|--|-----------|
| GE Equipment Transportation LLC Series 2013-2, 0.92%, \$2,090,000 par, due 9/25/2017 | 2,094,569 |
| General Electric Capital Corp, 2.3%, \$2,130,000 par, due 4/27/2017 | 2,181,653 |
| General Mills Inc, 2.2%, \$520,000 par, due 10/21/2019 | 515,631 |
| Georgia-Pacific LLC, 2.54%, \$380,000 par, due 11/15/2019 | 379,869 |
| GlaxoSmithKline Capital PLC, 1.5%, \$900,000 par, due 5/8/2017 | 903,903 |
| Goldman Sachs Group Inc/The, 3.63%, \$2,140,000 par, due 2/7/2016 | 2,195,764 |

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| | |
|--|-----------|
| Hartford Financial Services Group Inc/The, 4%, \$860,000 par, due 10/15/2017 | 914,375 |
| Hewlett-Packard Co, 3%, \$895,000 par, due 9/15/2016 | 918,965 |
| Honda Auto Receivables 2013-4 Owner Trust, 0.69%, \$1,200,000 par, due 9/18/2017 | 1,199,098 |
| Honda Auto Receivables Owner Trust 2014-3, 0.88%, \$2,100,000 par, due 6/15/2018 | 2,092,955 |
| HSBC USA Inc, 2.38%, \$1,330,000 par, due 11/13/2019 | 1,329,612 |
| Hyundai Auto Receivables Trust 2013-C, 1.01%, \$1,750,000 par, due 2/15/2018 | 1,755,031 |
| Illinois Tool Works Inc, 1.95%, \$1,000,000 par, due 3/1/2019 | 1,000,540 |
| Intel Corp, 1.35%, \$1,400,000 par, due 12/15/2017 | 1,397,950 |
| International Business Machines Corp, 1.95%, \$2,000,000 par, due 2/12/2019 | 2,007,368 |
| JPMorgan Chase & Co, 3.15%, \$500,000 par, due 7/5/2016 | 513,969 |
| JPMorgan Chase & Co, 3.45%, \$910,000 par, due 3/1/2016 | 934,027 |
| Kentucky Higher Education Student Loan Corp, 0.66%, \$1,554,737 par, due 6/1/2026 | 1,550,602 |
| KeyBank NA/Cleveland OH, 2.5%, \$250,000 par, due 12/15/2019 | 251,024 |
| Kraft Foods Group Inc, 2.25%, \$1,350,000 par, due 6/5/2017 | 1,372,268 |
| Lowe's Cos Inc, 1.63%, \$555,000 par, due 4/15/2017 | 559,382 |
| M&T Bank Auto Receivables Trust 2013-1, 1.06%, \$1,500,000 par, due 11/15/2017 | 1,504,676 |
| Macy's Retail Holdings Inc, 5.9%, \$404,000 par, due 12/1/2016 | 438,349 |
| Massachusetts Health & Educational Facilities Authority, 5.26%, \$1,125,000 par, due 10/1/2018 | 1,278,540 |
| MassMutual Global Funding II, 2.1%, \$1,000,000 par, due 8/2/2018 | 1,009,429 |
| Medtronic Inc, 2.5%, \$420,000 par, due 3/15/2020 | 421,102 |
| Micron Semiconductor Asia Pte Ltd, 1.26%, \$2,176,200 par, due 1/15/2019 | 2,172,681 |
| MidAmerican Energy Co, 2.4%, \$530,000 par, due 3/15/2019 | 538,136 |
| Missouri Higher Education Loan, 0.72%, \$1,561,677 par, due 5/25/2032 | 1,562,879 |
| Morgan Stanley Capital I Trust 2007-IQ13, 5.36%, \$1,325,000 par, due 3/15/2044 | 1,419,938 |
| Morgan Stanley Capital I Trust 2007-TOP27, 5.65%, \$1,600,000 par, due 6/11/2042 | 1,741,630 |
| Morgan Stanley Capital I Trust 2011-C3, 4.12%, \$1,900,000 par, due 7/15/2049 | 2,056,902 |
| Morgan Stanley, 4%, \$1,615,000 par, due 7/24/2015 | 1,645,399 |
| Morgan Stanley, 4.75%, \$1,380,000 par, due 3/22/2017 | 1,469,966 |
| Morgan Stanley, 5.55%, \$545,000 par, due 4/27/2017 | 591,562 |
| Nevada Power Co, 6.5%, \$697,000 par, due 5/15/2018 | 802,959 |
| New Hampshire Higher Education Loan Corp, 0.66%, \$1,229,657 par, due 10/25/2028 | 1,230,161 |
| New York Life Global Funding, 1.3%, \$1,000,000 par, due 10/30/2017 | 995,022 |
| Nissan Auto Lease Trust 2014-B, 1.12%, \$2,000,000 par, due 9/15/2017 | 1,991,894 |
| Nissan Auto Receivables 2013-B Owner Trust, 0.84%, \$1,400,000 par, due 11/15/2017 | 1,401,344 |
| Ohio Phase-In-Recovery Funding LLC, 2.05%, \$1,800,000 par, due 7/1/2019 | 1,806,824 |
| Oracle Corp, 2.25%, \$710,000 par, due 10/8/2019 | 715,072 |
| Oracle Corp, 2.38%, \$640,000 par, due 1/15/2019 | 651,075 |
| Phillips 66, 2.95%, \$1,470,000 par, due 5/1/2017 | 1,518,191 |
| PNC Bank NA, 1.5%, \$1,400,000 par, due 10/18/2017 | 1,398,429 |
| Porsche Innovative Lease Owner Trust 2012-1, 0.54%, \$153,224 par, due 12/21/2015 | 153,217 |
| Public Service Electric & Gas Co, 2%, \$1,400,000 par, due 8/15/2019 | 1,396,240 |
| Reckitt Benckiser Treasury Services PLC, 2.13%, \$900,000 par, due 9/21/2018 | 905,081 |

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| | |
|---|-----------|
| Rio Tinto Finance USA Ltd, 2.25%, \$475,000 par, due 9/20/2016 | 483,738 |
| Rio Tinto Finance USA PLC, 2.25%, \$900,000 par, due 12/14/2018 | 901,815 |
| Royal Bank of Canada, 2%, \$1,400,000 par, due 10/1/2018 | 1,412,894 |
| Royal Bank of Scotland PLC/The, 4.88%, \$2,135,000 par, due 3/16/2015 | 2,151,427 |
| Shell International Finance BV, 3.1%, \$2,120,000 par, due 6/28/2015 | 2,146,852 |
| SLM Student Loan Trust 2012-7, 0.33%, \$151,753 par, due 2/27/2017 | 151,665 |
| Small Business Administration Participation Certificates, 2.09%, \$1,557,505 par, due 11/1/2032 | 1,526,590 |
| Small Business Administration Participation Certificates, 4.14%, \$2,038,614 par, due 2/1/2030 | 2,201,969 |
| Statoil ASA, 2.25%, \$920,000 par, due 11/8/2019 | 920,534 |
| Student Loan Consolidation Center, 1.39%, \$1,808,937 par, due 10/25/2027 | 1,856,541 |
| Student Loan Corp, 0.87%, \$1,567,653 par, due 7/25/2036 | 1,584,577 |
| Sysco Corp, 2.35%, \$590,000 par, due 10/2/2019 | 593,212 |

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| | |
|---|----------------|
| Toronto-Dominion Bank/The, 2.13%, \$1,400,000 par, due 7/2/2019 | 1,393,430 |
| Toronto-Dominion Bank/The, 2.25%, \$1,400,000 par, due 9/25/2019 | 1,410,875 |
| Total Capital International SA, 1.55%, \$995,000 par, due 6/28/2017 | 998,394 |
| Toyota Auto Receivables 2014-C Owner Trust, 0.93%, \$2,000,000 par, due 7/16/2018 | 1,999,584 |
| Toyota Motor Credit Corp, 2%, \$1,400,000 par, due 10/24/2018 | 1,413,516 |
| UBS AG/Stamford CT, 2.38%, \$400,000 par, due 8/14/2019 | 399,976 |
| UBS AG/Stamford CT, 3.88%, \$480,000 par, due 1/15/2015 | 480,507 |
| UBS AG/Stamford CT, 5.88%, \$375,000 par, due 12/20/2017 | 418,721 |
| Union Pacific Corp, 5.65%, \$1,400,000 par, due 5/1/2017 | 1,523,843 |
| United Technologies Corp, 1.8%, \$385,000 par, due 6/1/2017 | 390,033 |
| Verizon Communications Inc, 2%, \$1,080,000 par, due 11/1/2016 | 1,095,836 |
| Vermont Student Assistance Corp, 0.92%, \$1,518,356 par, due 4/30/2035 | 1,521,955 |
| Volkswagen Group of America Finance LLC, 1.6%, \$650,000 par, due 11/20/2017 | 647,622 |
| World Omni Auto Receivables Trust 2013-B, 0.83%, \$1,400,000 par, due 8/15/2018 | 1,399,097 |
| World Omni Auto Receivables Trust 2014-A, 0.94%, \$2,000,000 par, due 4/15/2019 | 1,996,452 |
| Total Corporate Debt Securities | \$ 178,498,587 |
| Government Bonds | |
| Arizona School Facilities Board, 1.75%, \$1,300,000 par, due 7/1/2019 | \$ 1,299,675 |
| Bear Stearns Commercial Mortgage Securities Trust 2006-PWR12, 5.7%, \$2,268,076 par, due 9/11/2038 | 2,380,593 |
| Bear Stearns Commercial Mortgage Securities Trust 2006-PWR11, 5.43%, \$1,362,348 par, due 3/11/2039 | 1,407,587 |
| Beaver County School District, 1.76%, \$1,000,000 par, due 2/1/2018 | 998,320 |
| Commonwealth of Massachusetts, 0.52%, \$1,200,000 par, due 4/1/2016 | 1,199,556 |
| Coos County School District No 13 North Bend, 2.01%, \$1,005,000 par, due 6/15/2020 | 988,267 |
| County of Harris TX, 1.37%, \$1,650,000 par, due 10/1/2018 | 1,629,590 |
| County of New Castle DE, 1%, \$300,000 par, due 7/15/2016 | 299,208 |
| County of Travis TX, 0.87%, \$1,110,000 par, due 3/1/2016 | 1,113,352 |
| Denver City & County School District No 1, 0.97%, \$1,500,000 par, due 12/1/2016 | 1,487,700 |
| Hashemite Kingdom of Jordan Government AID, 2.5%, \$1,725,000 par, due 10/30/2020 | 1,753,740 |
| Lake County Township High School District No 121 Warren, 1%, \$295,000 par, due 3/1/2015 | 295,168 |
| Manhattan Beach Unified School District, 2.01%, \$1,000,000 par, due 9/1/2019 | 995,230 |
| MSN 41079 and 41084 Ltd, 1.72%, \$1,367,804 par, due 7/13/2024 | 1,316,813 |
| New York State Dormitory Authority, 1%, \$925,000 par, due 2/15/2016 | 928,293 |
| North Carolina State Ed Assistance Auth, 0.96%, \$2,377,420 par, due 7/25/2039 | 2,374,472 |
| North Orange County Community College District/CA, 0.96%, \$1,000,000 par, due 8/1/2016 | 997,970 |
| Petroleos Mexicanos, 0.66%, \$2,497,500 par, due 2/15/2024 | 2,506,249 |
| Petroleos Mexicanos, 2%, \$1,120,000 par, due 12/20/2022 | 1,105,010 |
| Petroleos Mexicanos, 2.38%, \$2,000,000 par, due 4/15/2025 | 1,977,698 |
| Petroleos Mexicanos, 2.83%, \$2,066,250 par, due 2/15/2024 | 2,101,492 |
| Phoenix 2012 LLC, 1.61%, \$1,681,032 par, due 7/3/2024 | 1,611,530 |
| State of Maryland, 1.4%, \$1,250,000 par, due 8/1/2017 | 1,258,838 |
| State of Michigan, 1.38%, \$875,000 par, due 5/15/2016 | 882,018 |

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|---|-----------|
| State of New York, 3.75%, \$1,000,000 par, due 3/1/2018 | 1,065,190 |
| State of Ohio, 3.18%, \$500,000 par, due 5/1/2018 | 528,470 |
| State of Tennessee, 2.33%, \$855,000 par, due 8/1/2017 | 879,504 |
| State of Texas, 2.84%, \$1,200,000 par, due 10/1/2022 | 1,232,676 |
| Tagua Leasing LLC, 1.58%, \$1,694,288 par, due 11/16/2024 | 1,615,603 |
| Tunisia Government AID Bonds, 2.45%, \$1,000,000 par, due 7/24/2021 | 1,015,351 |
| Ukraine Government AID Bonds, 1.84%, \$575,000 par, due 5/16/2019 | 578,881 |
| United States Small Business Administration, 3.19%, \$3,995,985 par, due 3/10/2024 | 4,140,719 |
| United States Small Business Administration, 3.64%, \$2,729,762 par, due 9/10/2023 | 2,870,874 |
| United States Treasury Inflation Indexed Bonds, 0.13%, \$1,775,000 par, due 7/15/2022 | 1,785,547 |
| United States Treasury Inflation Indexed Bonds, 0.13%, \$4,330,000 par, due 7/15/2024 | 4,169,785 |
| United States Treasury Inflation Indexed Bonds, 0.63%, \$6,000,000 par, due 1/15/2024 | 6,131,715 |

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|---|---------------|
| United States Treasury Note/Bond, 2%, \$7,000,000 par, due 5/31/2021 | 7,035,000 |
| United States Treasury Note/Bond, 2.25%, \$2,200,000 par, due 3/31/2021 | 2,246,064 |
| United States Treasury Note/Bond, 2.25%, \$3,000,000 par, due 4/30/2021 | 3,061,641 |
| United States Treasury Note/Bond, 2.25%, \$4,000,000 par, due 11/15/2024 | 4,026,876 |
| United States Treasury Note/Bond, 2.38%, \$2,300,000 par, due 8/15/2024 | 2,342,587 |
| United States Treasury Note/Bond, 2.5%, \$2,500,000 par, due 5/15/2024 | 2,575,390 |
| Virginia College Building Authority, 4.25%, \$1,000,000 par, due 2/1/2018 | 1,083,750 |
| Washington & Multnomah Counties School Dist No 48J Beaverton, 1.72%, \$735,000 par, due 6/15/2019 | 728,018 |
| Total Government Bonds | \$ 82,022,010 |

Mortgage Backed Securities

| | |
|---|--------------|
| Citigroup Commercial Mortgage Trust 2013-GC11, 2.69%, \$2,275,000 par, due 12/10/2022 | \$ 2,277,568 |
| Fannie Mae Pool, 1.27%, \$2,734,749 par, due 9/1/2017 | 2,739,231 |
| Fannie Mae Pool, 2%, \$1,376,342 par, due 11/1/2022 | 1,399,074 |
| Fannie Mae Pool, 2%, \$1,547,989 par, due 5/1/2023 | 1,573,532 |
| Fannie Mae Pool, 2%, \$1,741,116 par, due 8/1/2023 | 1,769,919 |
| Fannie Mae Pool, 2.11%, \$3,495,408 par, due 1/1/2020 | 3,507,254 |
| Fannie Mae Pool, 2.5%, \$1,635,048 par, due 8/1/2022 | 1,682,082 |
| Fannie Mae Pool, 2.5%, \$1,659,794 par, due 8/1/2023 | 1,707,440 |
| Fannie Mae Pool, 2.5%, \$1,681,623 par, due 10/1/2022 | 1,730,003 |
| Fannie Mae Pool, 2.5%, \$1,723,423 par, due 1/1/2028 | 1,756,764 |
| Fannie Mae Pool, 2.5%, \$1,733,065 par, due 9/1/2022 | 1,782,946 |
| Fannie Mae Pool, 2.5%, \$1,768,667 par, due 11/1/2022 | 1,819,551 |
| Fannie Mae Pool, 2.5%, \$1,852,317 par, due 11/1/2022 | 1,905,595 |
| Fannie Mae Pool, 2.5%, \$2,025,996 par, due 12/1/2022 | 2,084,325 |
| Fannie Mae Pool, 2.5%, \$2,239,106 par, due 8/1/2022 | 2,303,511 |
| Fannie Mae Pool, 2.5%, \$2,948,228 par, due 2/1/2023 | 3,032,924 |
| Fannie Mae Pool, 2.5%, \$3,241,099 par, due 6/1/2023 | 3,334,264 |
| Fannie Mae Pool, 2.5%, \$809,032 par, due 8/1/2023 | 832,223 |
| Fannie Mae Pool, 2.5%, \$827,845 par, due 9/1/2023 | 851,548 |
| Fannie Mae Pool, 2.89%, \$1,796,640 par, due 3/1/2044 | 1,856,678 |
| Fannie Mae Pool, 2.92%, \$1,779,990 par, due 2/1/2044 | 1,839,748 |
| Fannie Mae Pool, 3%, \$272,611 par, due 5/1/2027 | 284,086 |
| Fannie Mae Pool, 3%, \$791,710 par, due 10/1/2027 | 821,140 |
| Fannie Mae Pool, 4%, \$2,424,381 par, due 11/1/2025 | 2,586,446 |
| Fannie Mae Pool, 4%, \$3,147,998 par, due 6/1/2026 | 3,370,926 |
| Fannie Mae Pool, 4.5%, \$1,908,813 par, due 6/1/2018 | 2,006,621 |
| Fannie Mae Pool, 4.5%, \$670,487 par, due 12/1/2022 | 709,601 |
| Fannie Mae Pool, 5%, \$1,384,889 par, due 6/1/2023 | 1,500,690 |
| Fannie Mae Pool, 5%, \$1,880,467 par, due 3/1/2025 | 2,029,148 |
| Fannie Mae Pool, 5%, \$2,554,282 par, due 6/1/2020 | 2,741,810 |
| Fannie Mae Pool, 5.5%, \$1,664,747 par, due 9/1/2023 | 1,860,238 |
| Fannie Mae-Aces, 0.41%, \$1,981,770 par, due 5/25/2018 | 1,981,955 |
| Fannie Mae-Aces, 2.17%, \$5,000,000 par, due 9/25/2019 | 5,022,590 |
| FHLMC K710 A2 Multifam, 1.88%, \$2,000,000 par, due 5/25/2019 | 1,999,620 |
| FHLMC Multifamily Structured Pass Through Certificates, 2.31%, \$2,000,000 par, due 3/25/2020 | 2,022,010 |

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|---|-----------|
| FHLMC Multifamily Structured Pass Through Certificates, 3.13%, \$2,000,000 par, due 8/25/2047 | 2,089,020 |
| FHLMC Multifamily Structured Passthrough, 2.7%, \$2,175,000 par, due 5/25/2018 | 2,248,261 |
| FHMS K712 A2, 1.87%, \$4,125,000 par, due 11/25/2019 | 4,100,588 |
| FN 2.79 MultiFamily FWD January 2015, 2.79%, \$2,250,000 par, due 1/1/2045 | 2,259,844 |
| FN 3.11 MultiFamily FWD January 2015, 3.11%, \$2,000,000 par, due 1/1/2045 | 2,035,000 |
| FNA 2012 - M14 ASQ2, 1.11%, \$1,946,697 par, due 2/25/2017 | 1,950,888 |
| Freddie Mac Gold Pool, 2.5%, \$1,449,201 par, due 4/1/2023 | 1,489,419 |
| Freddie Mac Gold Pool, 2.5%, \$1,553,314 par, due 4/1/2023 | 1,596,416 |

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| Freddie Mac Gold Pool, 2.5%, \$1,652,075 par, due 1/1/2028 | 1,682,388 |
| Freddie Mac Gold Pool, 4%, \$1,340,582 par, due 7/1/2026 | 1,428,945 |
| Freddie Mac Gold Pool, 4.5%, \$1,569,311 par, due 6/1/2025 | 1,695,919 |
| Freddie Mac Gold Pool, 5%, \$2,030,192 par, due 10/1/2020 | 2,162,729 |
| Freddie Mac Gold Pool, 5%, \$469,649 par, due 4/1/2023 | 508,601 |
| Freddie Mac Gold Pool, 5%, \$835,627 par, due 2/1/2025 | 923,856 |
| Freddie Mac Non Gold Pool, 2.36%, \$1,764,597 par, due 10/1/2043 | 1,797,882 |
| Freddie Mac Non Gold Pool, 2.92%, \$2,246,963 par, due 2/1/2044 | 2,326,191 |
| Freddie Mac REMICS, 4.5%, \$1,080,630 par, due 7/15/2032 | 1,113,910 |
| Freddie Mac REMICS, 4.75%, \$1,148,973 par, due 6/15/2035 | 1,199,676 |
| Freddie Mac REMICS, 5%, \$38,710 par, due 6/15/2033 | 38,821 |
| Freddie Mac REMICS, 5%, \$678,077 par, due 4/15/2033 | 688,963 |
| Ginnie Mae I pool, 5%, \$234,835 par, due 10/15/2019 | 251,084 |
| Ginnie Mae II pool, 4.12%, \$3,070,837 par, due 8/1/2062 | 3,291,719 |
| Government National Mortgage Association, 3%, \$838,583 par, due 3/20/2038 | 859,962 |
| Government National Mortgage Association, 3.5%, \$1,144,951 par, due 1/20/2039 | 1,203,037 |
| Government National Mortgage Association, 3.5%, \$815,979 par, due 2/20/2039 | 837,458 |
| Government National Mortgage Association, 4%, \$1,348,220 par, due 9/20/2038 | 1,423,104 |
| Government National Mortgage Association, 4%, \$443,123 par, due 6/20/2040 | 468,555 |
| Government National Mortgage Association, 4%, \$453,839 par, due 12/16/2038 | 472,475 |
| Government National Mortgage Association, 4%, \$489,078 par, due 5/16/2035 | 505,175 |
| Government National Mortgage Association, 4.25%, \$1,439,897 par, due 10/20/2038 | 1,529,173 |
| Government National Mortgage Association, 4.25%, \$511,336 par, due 5/20/2039 | 544,927 |
| Government National Mortgage Association, 4.5%, \$1,304,030 par, due 9/20/2036 | 1,339,314 |
| Government National Mortgage Association, 4.5%, \$1,643,758 par, due 4/20/2036 | 1,715,261 |
| Government National Mortgage Association, 4.5%, \$314,014 par, due 7/20/2038 | 334,501 |
| Government National Mortgage Association, 4.5%, \$378,474 par, due 4/20/2040 | 395,051 |
| Government National Mortgage Association, 4.5%, \$551,488 par, due 5/20/2040 | 591,292 |
| Government National Mortgage Association, 5%, \$929,809 par, due 5/20/2038 | 959,328 |
| Government National Mortgage Association, 5.5%, \$771,756 par, due 2/16/2037 | 809,785 |
| GS Mortgage Securities Trust 2011-GC5, 3.71%, \$2,000,000 par, due 8/10/2044 | 2,115,962 |
| Helios Leasing I LLC, 1.56%, \$827,234 par, due 9/28/2024 | 788,290 |
| Hilton USA Trust 2013-HLF, 1.16%, \$1,970,930 par, due 11/5/2030 | 1,971,031 |
| JP Morgan Chase Commercial Mortgage Securities Trust 2011-C4, 4.39%, \$1,900,000 par, due 7/15/2046 | 2,085,229 |
| JP Morgan Chase Commercial Mortgage Securities Trust 2012-C8, 2.38%, \$2,000,000 par, due 4/15/2022 | 2,001,902 |
| JP Morgan Chase Commercial Mortgage Securities Trust 2013-LC11, 2.55%, \$2,000,000 par, due 4/15/2046 | 2,004,422 |
| LB-UBS Commercial Mortgage Trust 2005-C5, 4.95%, \$1,550,449 par, due 9/15/2030 | 1,558,186 |
| Merrill Lynch Mortgage Trust 2005-CKI1, 5.29%, \$1,893,437 par, due 11/12/2037 | 1,929,380 |
| Morgan Stanley Bank of America Merrill Lynch Trust 2012-C6, 0.66%, \$1,175,221 par, due 11/15/2045 | 1,168,651 |
| Morgan Stanley Bank of America Merrill Lynch Trust 2013-C9, 2.66%, \$2,000,000 par, due 1/15/2023 | 1,994,130 |
| SCG Trust 2013-SRP1, 1.56%, \$1,330,000 par, due 11/15/2016 | 1,331,616 |
| Wachovia Bank Commercial Mortgage Trust Series 2005-C21, 5.24%, \$234,576 par, due 10/15/2044 | 238,953 |

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|--|-----------------|
| Total Mortgage Backed Securities | \$ 140,779,331 |
| Net Assets Pending Settlement | \$ (2,748,775) |
| Subtotal Stable Value | \$ 777,822,182 |
| TOTAL - INVESTMENT CONTRACTS | 777,822,182 |
| ADJUSTMENT FROM FAIR VALUE TO CONTRACT VALUE | (7,328,563) |
| TOTAL - INVESTMENT CONTRACTS AT CONTRACT VALUE | \$ 770,493,619 |

AMERICAN ELECTRIC POWER SYSTEM RETIREMENT SAVINGS PLAN
 PLAN #002 EIN #13-4922641
 SCHEDULE OF ASSETS (HELD AT END OF YEAR (continued))
 DECEMBER 31, 2014

| Shares | Identity of Issuer, Borrower, Lessor or Similar Party | Fair Value |
|------------|---|------------------|
| | Cash Equivalents | |
| 14,641,772 | Cash Equivalents | \$ 14,642,032 |
| | Total Cash Equivalents | \$ 14,642,032 |
| | Common / Collective Trusts | |
| 87,714 | Mellon Capital REIT Index Fund | \$ 25,011,659 |
| 4,288,479 | Mellon Capital Aggregate Bond Index Fund | 562,375,327 |
| 2,887,390 | Mellon Capital International Stock Index Fund | 376,228,524 |
| 891,199 | Mellon Capital Small Cap Stock Index Fund | 186,655,588 |
| 3,236,379 | Mellon Capital Stock Index Fund | 683,575,296 |
| 239,125 | Mellon Capital Mid Cap Stock Index Fund | 46,993,184 |
| 142,831 | Mellon Capital Treasury Inflation-Protected Securities Fund | 17,061,173 |
| 265,239 | Mellon Capital Emerging Markets Stock Index Fund | 23,593,030 |
| 17,978 | JPMorgan Strategic Property Fund | 43,471,057 |
| 11,537,076 | JPMorgan Liquidity Fund | 11,537,076 |
| | Total Common / Collective Trusts | \$ 1,976,501,914 |
| | AEP Stock | |
| 5,415,534 | American Electric Power Company, Inc. Common Stock \$6.50 par value | \$ 328,831,224 |
| | Total AEP Stock | \$ 328,831,224 |
| | Corporate Stocks | |
| 11,000 | 3M CO COMMON STOCK USD 0.01 | \$ 1,807,520 |
| 4,606 | AARON'S INC COMMON STOCK USD 0.5 | 140,911 |
| 117,376 | ABBOTT LABORATORIES COMMON STOCK USD 0 | 5,284,267 |
| 26,500 | ABBVIE INC COMMON STOCK USD 0.01 | 1,734,160 |
| 18,120 | ABERCROMBIE & FITCH CO COMMON STOCK USD 0.01 | 518,957 |
| 15,375 | ABM INDUSTRIES INC COMMON STOCK USD 0.01 | 442,954 |
| 11,900 | ACE LTD COMMON STOCK USD 24.77 | 1,374,807 |
| 10,877 | ACTAVIS PLC COMMON STOCK USD 0.0033 | 2,799,848 |
| 5,680 | ACTUANT CORP COMMON STOCK USD 0.2 | 154,723 |
| 50,123 | ADOBE SYSTEMS INC COMMON STOCK USD 0.0001 | 3,643,942 |
| 8,400 | ADVANCE AUTO PARTS INC COMMON STOCK USD 0.0001 | 1,338,456 |
| 6,900 | ADVANCE AUTO PARTS INC COMMON STOCK USD 0.0001 | 1,099,446 |
| 25,946 | AECOM COMMON STOCK USD 0.01 | 787,980 |
| 9,475 | AEGION CORP COMMON STOCK USD 0.01 | 176,330 |
| 20,200 | AETNA INC COMMON STOCK USD 0.01 | 1,794,366 |
| 38,000 | AGCO CORP COMMON STOCK USD 0.01 | 1,717,600 |
| 3,000 | AGRIUM INC COMMON STOCK CAD 0 | 286,500 |
| 16,143 | AIR METHODS CORP COMMON STOCK USD 0.06 | 710,776 |
| 2,000 | AIR PRODUCTS & CHEMICALS INC COMMON STOCK USD 1 | 290,000 |
| 6,561 | AIRCASTLE LTD COMMON STOCK USD 0.01 | 140,208 |
| 5,000 | ALBEMARLE CORP COMMON STOCK USD 0.01 | 302,025 |

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| 19,155 | ALEXION PHARMACEUTICALS INC COMMON STOCK USD | 3,544,250 |
| 34,003 | ALIBABA GROUP HOLDING LTD ADR USD 0.000025 | 3,534,272 |
| 13,782 | ALLERGAN INC/UNITED STATES COMMON STOCK 0.01 | 2,929,915 |
| 6,200 | ALLIANCE DATA SYSTEMS CORP COMMON STOCK USD 0.01 | 1,773,510 |
| 1,700 | ALLIANCE DATA SYSTEMS CORP COMMON STOCK USD 0.01 | 486,285 |

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| | | |
|---------|--|-----------|
| 19,800 | ALLSTATE CORP/THE COMMON STOCK USD 0.01 | 1,396,494 |
| 19,500 | ALLSTATE CORP/THE COMMON STOCK USD 0.01 | 1,375,335 |
| 8,700 | ALTRA INDUSTRIAL MOTION CORP | 248,037 |
| 22,477 | AMAZON.COM INC COMMON STOCK USD 0.01 | 6,975,737 |
| 16,375 | AMC NETWORKS INC COMMON STOCK USD | 1,044,234 |
| 8,785 | AMDOCS LTD COMMON STOCK USD 0.01 | 411,226 |
| 57,241 | AMEC FOSTER WHEELER PLC ADR USD | 740,698 |
| 19,800 | AMEREN CORP COMMON STOCK USD 0.01 | 913,374 |
| 24,300 | AMERICAN AIRLINES GROUP INC COMMON STOCK USD 0.01 | 1,303,209 |
| 11,240 | AMERICAN CAPITAL AGENCY CORP REIT USD 0.01 | 247,842 |
| 8,570 | AMERICAN CAPITAL MORTGAGE INVESTMENT CORP REIT USD | 167,029 |
| 41,280 | AMERICAN EAGLE OUTFITTERS INC COMMON STOCK USD | 572,966 |
| 22,100 | AMERICAN INTERNATIONAL GROUP INC COMMON STOCK USD | 1,237,821 |
| 53,200 | AMERICAN PUBLIC EDUCATION INC COMMON STOCK USD | 1,961,484 |
| 21,475 | AMERICAN RESIDENTIAL PROPERTIES INC REIT USD 0.01 | 377,316 |
| 26,834 | AMERICAN TOWER CORP REIT USD 0.01 | 2,662,738 |
| 9,800 | AMERIPRISE FINANCIAL INC COMMON STOCK USD 0.01 | 1,296,050 |
| 33,400 | AMPHENOL CORP COMMON STOCK USD 0.001 | 1,801,904 |
| 7,750 | AMSURG CORP COMMON STOCK USD 0 | 424,157 |
| 15,368 | AMSURG CORP COMMON STOCK USD 0 | 841,091 |
| 30,334 | ANADARKO PETROLEUM CORP COMMON STOCK USD 0.1 | 2,502,555 |
| 4,600 | ANN INC COMMON STOCK USD 0.0068 | 167,808 |
| 15,400 | ANTHEM INC COMMON STOCK USD 0.01 | 1,935,318 |
| 24,975 | ANWORTH MORTGAGE ASSET CORP REIT USD 0.01 | 134,615 |
| 9,800 | APACHE CORP USD0.625 | 614,166 |
| 11,490 | APOLLO INVESTMENT CORP COMMON STOCK USD 0.001 | 87,554 |
| 90,493 | APPLE INC COMMON STOCK USD 0.00001 | 9,988,617 |
| 16,200 | APPLE INC COMMON STOCK USD 0.00001 | 1,788,156 |
| 26,514 | APPLIED MATERIALS INC COMMON STOCK USD 0.01 | 660,729 |
| 92,300 | APPLIED MICRO CIRCUITS CORP COMMON STOCK USD 0.01 | 601,796 |
| 5,700 | ARCHER-DANIELS-MIDLAND CO COMMON STOCK USD 0 | 296,400 |
| 11,225 | ARES CAPITAL CORP COMMON STOCK USD 0.001 | 175,166 |
| 32,255 | ARES COMMERCIAL REAL ESTATE CORP REIT USD 0.01 | 378,351 |
| 6,730 | ARGO GROUP INTERNATIONAL HOLDINGS LTD COMMON STOCK | 373,313 |
| 57,197 | ARM HOLDINGS PLC ADR USD 0 | 2,648,221 |
| 135,400 | ARRAY BIOPHARMA INC USD0.001 | 640,442 |
| 73,700 | ARRIS GROUP INC COMMON STOCK USD 0.01 | 2,225,003 |
| 13,945 | ARROW ELECTRONICS INC COMMON STOCK USD 1 | 807,276 |
| 36,700 | ARUBA NETWORKS INC COMMON STOCK USD 0.0001 | 667,206 |
| 2,495 | ASBURY AUTOMOTIVE GROUP INC COMMON STOCK USD 0.01 | 189,420 |
| 33,290 | ASCENA RETAIL GROUP INC COMMON STOCK USD 0.01 | 418,122 |
| 11,300 | ASHLAND INC COMMON STOCK USD 0.01 | 1,353,288 |
| 7,600 | ASPEN INSURANCE HOLDINGS LTD COMMON STOCK USD | 332,652 |
| 11,600 | ASSOCIATED ESTATES REALTY CORP REIT USD 0 | 271,672 |
| 3,010 | ASSURANT INC COMMON STOCK USD 0.01 | 205,974 |
| 6,500 | ASSURANT INC COMMON STOCK USD 0.01 | 444,795 |
| 26,300 | ASTRAZENECA PLC ADR USD | 1,850,994 |
| 6,800 | ATLAS AIR WORLDWIDE HOLDINGS INC COMMON STOCK USD | 335,240 |
| 299,293 | ATMEL CORP COMMON STOCK USD 0.001 | 2,512,565 |

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|--------|---|-----------|
| 25,700 | ATWOOD OCEANICS INC COMMON STOCK USD 1 | 729,109 |
| 16,500 | AUTOLIV INC COMMON STOCK USD 1 | 1,750,980 |
| 4,400 | AVALONBAY COMMUNITIES INC REIT USD 0.01 | 724,020 |
| 35,400 | AVNET INC COMMON STOCK USD 1 | 1,522,908 |
| 15,990 | AVNET INC COMMON STOCK USD 1 | 687,890 |

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| 5,200 | AXIALL CORP COMMON STOCK USD 0.01 | 221,676 |
| 9,450 | AXIS CAPITAL HOLDINGS LTD COMMON STOCK USD 0.0125 | 485,541 |
| 4,500 | BALL CORP COMMON STOCK USD 0 | 306,765 |
| 10,800 | BALL CORP COMMON STOCK USD 0 | 736,236 |
| 1,100 | BANCFIRST CORP COMMON STOCK USD 1 | 70,103 |
| 12,632 | BBCN BANCORP INC COMMON STOCK USD 0.001 | 181,648 |
| 4,900 | BED BATH & BEYOND INC COMMON STOCK USD 0.01 | 373,233 |
| 3,000 | BEL FUSE INC COMMON STOCK USD 0.1 | 82,020 |
| 40,400 | BELDEN INC COMMON STOCK USD 0.01 | 3,185,864 |
| 2,530 | BELDEN INC COMMON STOCK USD 0.01 | 199,516 |
| 3,800 | BELDEN INC COMMON STOCK USD 0.01 | 299,668 |
| 130,300 | BELMOND LTD COMMON STOCK USD 0.01 | 1,611,811 |
| 6,510 | BEMIS CO INC COMMON STOCK USD 0.1 | 294,317 |
| 2,100 | BERKSHIRE HILLS BANCORP INC COMMON STOCK USD 0.01 | 55,986 |
| 5,900 | BIG LOTS INC COMMON STOCK USD 0.01 | 236,118 |
| 16,958 | BIOGEN INC COMMON STOCK USD 0.0005 | 5,756,393 |
| 46,543 | BIOMARIN PHARMACEUTICAL INC COMMON STOCK USD 0.001 | 4,207,487 |
| 11,770 | BIOMED REALTY TRUST INC REIT USD 0.01 | 256,586 |
| 31,427 | BOEING CO/THE COMMON STOCK USD 5 | 4,084,881 |
| 14,900 | BOEING CO/THE COMMON STOCK USD 5 | 1,936,702 |
| 7,625 | BOOZ ALLEN HAMILTON HOLDING CORP COMMON STOCK USD | 202,291 |
| 39,000 | BOSTON SCIENTIFIC CORP COMMON STOCK USD 0.01 | 516,750 |
| 76,700 | BOYD GAMING CORP COMMON STOCK USD 0.01 | 980,226 |
| 16,500 | BP PLC ADR USD | 628,980 |
| 15,235 | BRADY CORP COMMON STOCK USD 0.01 | 416,525 |
| 4,021 | BRAVO BRIO RESTAURANT GROUP INC COMMON STOCK USD 0 | 55,932 |
| 4,290 | BRINK'S CO/THE COMMON STOCK USD 1 | 104,719 |
| 88,808 | BRISTOL-MYERS SQUIBB CO COMMON STOCK USD 0.1 | 5,275,195 |
| 2,380 | BRISTOW GROUP INC COMMON STOCK USD 0.01 | 156,580 |
| 42,600 | BROADCOM CORP COMMON STOCK USD 0.0001 | 1,845,858 |
| 35,335 | BROCADE COMMUNICATIONS SYSTEMS INC COMMON STOCK | 419,603 |
| 13,500 | BROOKFIELD RESIDENTIAL PROPERTIES INC COMMON STOCK | 324,810 |
| 28,198 | BRUNSWICK CORP/DE COMMON STOCK USD 0.75 | 1,445,429 |
| 3,100 | BUNGE LTD COMMON STOCK USD 0.01 | 281,821 |
| 67,300 | CABLEVISION SYSTEMS CORP COMMON STOCK USD 0.01 | 1,389,072 |
| 4,505 | CABOT CORP COMMON STOCK USD 1 | 197,589 |
| 5,859 | CABOT CORP COMMON STOCK USD 1 | 256,976 |
| 3,700 | CACI INTERNATIONAL INC COMMON STOCK USD 0.1 | 318,866 |
| 115,400 | CAE INC COMMON STOCK CAD 0 | 1,501,354 |
| 97,800 | CAMECO CORP COMMON STOCK CAD 0 | 1,613,328 |
| 12,600 | CAMERON INTERNATIONAL CORP COMMON STOCK USD 0.01 | 629,370 |
| 18,745 | CANADIAN PACIFIC RAILWAY LTD COMMON STOCK CAD 0 | 3,617,629 |
| 14,600 | CAPITAL ONE FINANCIAL CORP COMMON STOCK USD 0.01 | 1,205,230 |
| 24,700 | CAPSTEAD MORTGAGE CORP REIT USD 0.01 | 311,714 |
| 10,700 | CAREFUSION CORP COMMON STOCK 0.01 | 634,938 |
| 3,490 | CARLISLE COS INC COMMON STOCK USD 1 | 314,938 |
| 448 | CARLISLE COS INC COMMON STOCK USD 1 | 40,427 |
| 5,500 | CARRIZO OIL & GAS INC COMMON STOCK USD 0.01 | 228,800 |
| 6,400 | CATHAY GENERAL BANCORP COMMON STOCK USD 0.01 | 163,776 |

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| 33,100 | CBL & ASSOCIATES PROPERTIES INC REIT USD 0.01 | 651,573 |
| 57,928 | CDW CORP/DE COMMON STOCK USD 0.01 | 2,037,328 |
| 18,450 | CDW CORP/DE COMMON STOCK USD 0.01 | 648,886 |
| 5,249 | CELANESE CORP COMMON STOCK USD 0.0001 | 314,730 |
| 41,562 | CELGENE CORP COMMON STOCK USD 0.01 | 4,649,125 |

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| 2,275 | CENTENE CORP COMMON STOCK USD 0.001 | 236,259 |
| 1,100 | CF INDUSTRIES HOLDINGS INC COMMON STOCK USD 0.01 | 299,794 |
| 17,450 | CHATHAM LODGING TRUST REIT USD | 506,923 |
| 10,145 | CHECKPOINT SYSTEMS INC COMMON STOCK USD 0.1 | 139,291 |
| 6,520 | CHEMED CORP COMMON STOCK USD 1 | 688,968 |
| 48,400 | CHESAPEAKE ENERGY CORP COMMON STOCK USD 0.01 | 947,188 |
| 5,500 | CHEVRON CORP COMMON STOCK USD 0.75 | 616,990 |
| 16,700 | CHICAGO BRIDGE & IRON CO NV COMMON STOCK USD 0.01 | 701,066 |
| — | CHICAGO BRIDGE & IRON CO NV COMMON STOCK USD 0.01 | 181 |
| 8,389 | CHICO'S FAS INC COMMON STOCK USD 0.01 | 135,986 |
| 3,283 | CHIPOTLE MEXICAN GRILL INC COMMON STOCK USD 0.01 | 2,247,246 |
| 17,400 | CIGNA CORP COMMON STOCK USD 0.25 | 1,790,634 |
| 7,900 | CIGNA CORP COMMON STOCK USD 0.25 | 812,989 |
| 275,400 | CINCINNATI BELL INC COMMON STOCK USD 0.01 | 878,526 |
| 65,200 | CISCO SYSTEMS INC COMMON STOCK USD 0.001 | 1,813,538 |
| 16,600 | CIT GROUP INC COMMON STOCK USD 0.01 | 793,978 |
| 25,195 | CLOUD PEAK ENERGY INC COMMON STOCK USD 0.01 | 231,290 |
| 32,300 | COGNEX CORP COMMON STOCK USD 0.002 | 1,334,959 |
| 33,600 | COGNIZANT TECHNOLOGY SOLUTIONS CORP COMMON STOCK | 1,769,376 |
| 10,500 | COGNIZANT TECHNOLOGY SOLUTIONS CORP COMMON STOCK | 552,930 |
| 4,495 | COHERENT INC COMMON STOCK USD 0.01 | 272,936 |
| 23,100 | COLONY CAPITAL INC REIT USD 0.01 | 558,789 |
| 4,405 | COLUMBIA BANKING SYSTEM INC COMMON STOCK USD 0 | 121,622 |
| 26,400 | COMCAST CORP COMMON STOCK USD 0.01 | 1,531,464 |
| 5,756 | COMMERCE BANCSHARES INC/MO COMMON STOCK USD 5 | 250,333 |
| 20,410 | COMPUTER SCIENCES CORP COMMON STOCK USD 1 | 1,291,545 |
| 24,614 | CONCHO RESOURCES INC COMMON STOCK USD 0.001 | 2,455,246 |
| 8,600 | CONOCOPHILLIPS COMMON STOCK USD 0.01 | 593,916 |
| 12,400 | CONSOLIDATED EDISON INC COMMON STOCK USD 0.1 | 818,524 |
| 7,195 | CONTANGO OIL & GAS CO COMMON STOCK USD 0.04 | 210,382 |
| 14,200 | CONTINENTAL RESOURCES INC/OK COMMON STOCK USD 0.01 | 544,712 |
| 29,515 | CONVERGYS CORP COMMON STOCK USD 0 | 603,287 |
| 2,300 | COPA HOLDINGS SA COMMON STOCK USD 0 | 238,372 |
| 34,482 | COSTCO WHOLESALE CORP COMMON STOCK USD 0.005 | 4,887,823 |
| 5,002 | COVANCE INC COMMON STOCK EUR 0.01 | 519,408 |
| 2,769 | CRA INTERNATIONAL INC COMMON STOCK USD 0 | 83,956 |
| 6,200 | CRACKER BARREL OLD COUNTRY STORE INC COMMON STOCK | 872,712 |
| 15,100 | CRANE CO COMMON STOCK USD 1 | 886,370 |
| 5,700 | CROWN HOLDINGS INC COMMON STOCK USD 5 | 290,130 |
| 11,310 | CROWN HOLDINGS INC COMMON STOCK USD 5 | 575,679 |
| 10,130 | CUBIC CORP COMMON STOCK USD 0 | 533,243 |
| 11,500 | CUMMINS INC COMMON STOCK USD 2.5 | 1,657,955 |
| 20,800 | CUMMINS INC COMMON STOCK USD 2.5 | 2,998,736 |
| 5,705 | CURTISS-WRIGHT CORP COMMON STOCK USD 1 | 402,716 |
| 3,630 | CUSTOMERS BANCORP INC COMMON STOCK USD 1 | 70,640 |
| 16,311 | CVB FINANCIAL CORP COMMON STOCK USD 0 | 262,933 |
| 21,500 | CYRUSONE INC REIT USD 0.01 | 596,840 |
| 75,380 | CYS INVESTMENTS INC REIT USD 0.01 | 657,314 |
| 38,266 | CYS INVESTMENTS INC REIT USD 0.01 | 333,679 |

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| 87,956 | DANA HOLDING CORP COMMON STOCK USD 0.01 | 1,912,163 |
| 17,300 | DARDEN RESTAURANTS INC COMMON STOCK USD 0 | 1,014,299 |
| 9,900 | DELPHI AUTOMOTIVE PLC COMMON STOCK USD 0.01 | 719,928 |
| 5,015 | DILLARD'S INC COMMON STOCK USD 0 | 628,079 |
| 18,800 | DISCOVER FINANCIAL SERVICES COMMON STOCK USD 0.01 | 1,231,212 |

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| 84,300 | DISCOVERY COMMUNICATIONS INC COMMON STOCK USD 0.01 | 2,842,596 |
| 13,200 | DOLLAR TREE INC COMMON STOCK USD 0.01 | 929,016 |
| 23,100 | DOVER CORP COMMON STOCK USD 1 | 1,656,732 |
| 9,200 | DOVER CORP COMMON STOCK USD 1 | 659,824 |
| 6,300 | DOW CHEMICAL CO/THE COMMON STOCK USD 2.5 | 289,989 |
| 54,700 | DR HORTON INC COMMON STOCK USD 0.01 | 1,383,363 |
| 25,900 | DR HORTON INC COMMON STOCK USD 0.01 | 655,011 |
| 25,000 | DR PEPPER SNAPPLE GROUP INC COMMON STOCK USD 0.01 | 1,803,521 |
| 13,925 | DREW INDUSTRIES INC COMMON STOCK USD 0.01 | 711,150 |
| 35,900 | DUKE REALTY CORP REIT USD 0.01 | 725,180 |
| 24,189 | DUNKIN' BRANDS GROUP INC COMMON STOCK USD 0.001 | 1,031,661 |
| 15,400 | DUPONT FABROS TECHNOLOGY INC REIT USD 0.001 | 518,364 |
| 59,900 | DYAX CORP COMMON STOCK USD 0.01 | 842,194 |
| 3,500 | EASTMAN CHEMICAL CO COMMON STOCK USD 0.01 | 266,910 |
| 12,200 | EDISON INTERNATIONAL COMMON STOCK USD 0 | 803,949 |
| 4,000 | EI DU PONT DE NEMOURS & CO COMMON STOCK USD 0.3 | 295,760 |
| 13,300 | EL PASO ELECTRIC CO COMMON STOCK USD 0 | 532,798 |
| 29,900 | ELECTRONIC ARTS INC COMMON STOCK USD 0.01 | 1,405,748 |
| 26,800 | ELI LILLY & CO COMMON STOCK USD 0 | 1,848,932 |
| 25,300 | ENDO INTERNATIONAL PLC COMMON STOCK USD 0.0001 | 1,824,636 |
| 34,300 | ENDOCYTE INC COMMON STOCK USD | 215,747 |
| 17,900 | ENDURANCE SPECIALTY HOLDINGS LTD COMMON STOCK USD | 1,071,136 |
| 3,600 | ENERGEN CORP COMMON STOCK USD 0.01 | 229,536 |
| 9,605 | ENERSYS COMMON STOCK USD 0.01 | 592,821 |
| 13,104 | ENERSYS COMMON STOCK USD 0.01 | 808,779 |
| 17,800 | ENI SPA ADR USD | 621,398 |
| 5,633 | ENSIGN GROUP INC/THE COMMON STOCK USD 0.001 | 250,471 |
| 9,200 | ENTERGY CORP COMMON STOCK USD 0.01 | 804,816 |
| 22,300 | ENVISION HEALTHCARE HOLDINGS INC COMMON STOCK USD | 773,587 |
| 23,275 | EOG RESOURCES INC COMMON STOCK USD 0.01 | 2,142,929 |
| 9,600 | EPR PROPERTIES REIT USD 0.01 | 555,984 |
| 3,660 | EQUIFAX INC COMMON STOCK USD 1.25 | 295,984 |
| 6,532 | EQUITY LIFESTYLE PROPERTIES INC REIT USD 0.01 | 338,847 |
| 9,325 | ESSENT GROUP LTD COMMON STOCK USD 0.015 | 239,746 |
| 22,600 | EXELON CORP COMMON STOCK USD 0 | 838,008 |
| 15,500 | EXPEDIA INC COMMON STOCK USD 0.001 | 1,323,080 |
| 11,680 | EXPEDIA INC COMMON STOCK USD 0.001 | 997,005 |
| 20,585 | EXPRESS INC COMMON STOCK USD | 302,394 |
| 22,000 | EXPRESS SCRIPTS HOLDING CO COMMON STOCK USD 0.01 | 1,862,740 |
| 6,800 | EXXON MOBIL CORP COMMON STOCK USD 0 | 628,660 |
| 2,600 | F5 NETWORKS INC COMMON STOCK USD 0 | 339,209 |
| 99,013 | FACEBOOK INC COMMON STOCK USD 0.000006 | 7,724,994 |
| 4,617 | FAIR ISAAC CORP COMMON STOCK USD 0.01 | 333,809 |
| 8,680 | FEDERATED INVESTORS INC COMMON STOCK USD 0 | 285,832 |
| 29,520 | FIFTH STREET FINANCE CORP COMMON STOCK USD 0.01 | 236,455 |
| 17,905 | FINISH LINE INC/THE COMMON STOCK USD 0.01 | 435,270 |
| 47,623 | FIREEYE INC COMMON STOCK USD 0.0001 | 1,503,934 |
| 7,585 | FIRST AMERICAN FINANCIAL CORP COMMON STOCK USD | 257,132 |
| 945 | FIRST CITIZENS BANCSHARES INC/NC COMMON STOCK USD | 239,170 |

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| 6,000 | FIRST MERCHANTS CORP COMMON STOCK USD 0 | 136,500 |
| 19,619 | FIRST MIDWEST BANCORP INC/IL COMMON STOCK USD 0.01 | 337,251 |
| 5,885 | FIRST REPUBLIC BANK/CA COMMON STOCK USD 0.01 | 306,726 |
| 21,200 | FIRSTENERGY CORP COMMON STOCK USD 0.1 | 826,588 |
| 18,902 | FLEETCOR TECHNOLOGIES INC COMMON STOCK USD 0.001 | 2,810,916 |

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| 11,700 | FLEETCOR TECHNOLOGIES INC COMMON STOCK USD 0.001 | 1,739,907 |
| 3,800 | FLEETCOR TECHNOLOGIES INC COMMON STOCK USD 0.001 | 565,098 |
| 40,930 | FLEXTRONICS INTERNATIONAL LTD COMMON STOCK USD 0 | 457,597 |
| 6,800 | FLOWSERVE CORP COMMON STOCK USD 1.25 | 407,932 |
| 27,100 | FLUOR CORP COMMON STOCK USD 0.01 | 1,648,764 |
| 12,952 | FNB CORP/PA COMMON STOCK USD 0.01 | 172,521 |
| 13,270 | FNF GROUP TRACKING STK USD 0.0001 | 457,152 |
| 21,200 | FNF GROUP TRACKING STK USD 0.0001 | 730,340 |
| 4,422 | FNFV GROUP TRACKING STK USD 0.0001 | 69,602 |
| 24,100 | FOOT LOCKER INC COMMON STOCK USD 0.01 | 1,353,938 |
| 10,065 | FOOT LOCKER INC COMMON STOCK USD 0.01 | 565,452 |
| 12,715 | FORUM ENERGY TECHNOLOGIES INC COMMON STOCK USD | 263,582 |
| 8,600 | FOSSIL GROUP INC COMMON STOCK USD 0.01 | 952,364 |
| 18,600 | FRANCESCA'S HOLDINGS CORP COMMON STOCK USD 0.01 | 310,620 |
| 22,800 | FRANKLIN RESOURCES INC COMMON STOCK USD 0.1 | 1,277,256 |
| 12,600 | FREEMONT-MCMORAN INC COMMON STOCK USD 0.1 | 294,336 |
| 7,630 | FRESH DEL MONTE PRODUCE INC COMMON STOCK USD 0.01 | 255,987 |
| 12,000 | FRESH MARKET INC/THE COMMON STOCK USD 0.01 | 494,400 |
| 8,590 | FTD COS INC COMMON STOCK USD 0.0001 | 299,104 |
| 4,485 | FTI CONSULTING INC COMMON STOCK USD 0.01 | 173,256 |
| 19,000 | FULTON FINANCIAL CORP COMMON STOCK USD 2.5 | 236,360 |
| 3,410 | G&K SERVICES INC COMMON STOCK USD 0.5 | 241,599 |
| 1,500 | G&K SERVICES INC COMMON STOCK USD 0.5 | 106,275 |
| 25,000 | GAMESTOP CORP COMMON STOCK USD 0.001 | 845,000 |
| 107,300 | GANNETT CO INC COMMON STOCK USD 1 | 3,447,549 |
| 14,700 | GENERAC HOLDINGS INC COMMON STOCK USD 0.01 | 687,372 |
| 15,219 | GENERAL CABLE CORP COMMON STOCK USD 0.01 | 229,503 |
| 12,500 | GENERAL DYNAMICS CORP COMMON STOCK USD 1 | 1,720,250 |
| 530 | GENESCO INC COMMON STOCK USD 1 | 40,609 |
| 2,456 | GERMAN AMERICAN BANCORP INC COMMON STOCK USD 0 | 74,957 |
| 32,671 | GILEAD SCIENCES INC COMMON STOCK USD 0.001 | 3,079,568 |
| 4,400 | GLOBAL PAYMENTS INC COMMON STOCK USD 0 | 355,212 |
| 14,860 | GLOBE SPECIALTY METALS INC COMMON STOCK USD 0.0001 | 256,038 |
| 11,800 | GNC HOLDINGS INC COMMON STOCK USD 0.001 | 554,128 |
| 13,484 | GOLDMAN SACHS GROUP INC/THE COMMON STOCK USD 0.01 | 2,613,604 |
| 6,600 | GOLDMAN SACHS GROUP INC/THE COMMON STOCK USD 0.01 | 1,279,278 |
| 9,216 | GOOGLE INC CLA | 4,890,563 |
| 9,347 | GOOGLE INC-CL C | 4,920,261 |
| 17,836 | GRAN TIERRA ENERGY INC COMMON STOCK USD 0.001 | 68,669 |
| 35,900 | GRAND CANYON EDUCATION INC COMMON STOCK USD 0.01 | 1,675,094 |
| 8,500 | GRANITE CONSTRUCTION INC COMMON STOCK USD 0.01 | 324,275 |
| 76,880 | GRAPHIC PACKAGING HOLDING CO COMMON STOCK USD 0.01 | 1,047,106 |
| 25,200 | GREAT PLAINS ENERGY INC COMMON STOCK USD 0 | 715,932 |
| 4,008 | GREATBATCH INC COMMON STOCK USD 0.001 | 197,594 |
| 3,315 | GROUP 1 AUTOMOTIVE INC COMMON STOCK USD 0.01 | 297,090 |
| 12,100 | HANESBRANDS INC COMMON STOCK USD 0.01 | 1,350,602 |
| 11,175 | HANGER INC COMMON STOCK USD 0.01 | 244,733 |
| 16,500 | HANGER INC COMMON STOCK USD 0.01 | 361,350 |
| 2,605 | HANOVER INSURANCE GROUP INC/THE COMMON STOCK USD | 185,789 |

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| 25,500 | HARLEY-DAVIDSON INC COMMON STOCK USD 0.01 | 1,680,705 |
| 11,900 | HARMAN INTERNATIONAL INDUSTRIES INC COMMON STOCK | 1,269,849 |
| 141,500 | HARMONIC INC COMMON STOCK USD 0.001 | 991,915 |
| 5,745 | HARRIS CORP COMMON STOCK USD 1 | 412,606 |
| 20,793 | HARSCO CORP COMMON STOCK USD 1.25 | 392,780 |

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| 12,100 | HARTE-HANKS INC COMMON STOCK USD 1 | 93,654 |
| 30,800 | HARTFORD FINANCIAL SERVICES GROUP INC/THE COMMON | 1,290,118 |
| 25,600 | HASBRO INC COMMON STOCK USD 0.5 | 1,407,744 |
| 30,695 | HATTERAS FINANCIAL CORP REIT USD 0.001 | 581,056 |
| 18,800 | HCC INSURANCE HOLDINGS INC COMMON STOCK USD 1 | 1,011,722 |
| 31,400 | HD SUPPLY HOLDINGS INC COMMON STOCK USD 0.01 | 925,986 |
| 14,600 | HEARTLAND PAYMENT SYSTEMS INC COMMON STOCK USD | 787,670 |
| 8,980 | HEIDRICK & STRUGGLES INTERNATIONAL INC COMMON | 206,989 |
| 13,330 | HELIX ENERGY SOLUTIONS GROUP INC COMMON STOCK USD | 289,261 |
| 24,400 | HELMERICH & PAYNE INC COMMON STOCK USD 0.1 | 1,645,048 |
| 3,500 | HERCULES TECHNOLOGY GROWTH CAPITAL INC COMMON | 52,080 |
| 33,500 | HERMAN MILLER INC COMMON STOCK USD 0.2 | 990,637 |
| 8,200 | HESS CORP COMMON STOCK USD 1 | 605,324 |
| 46,600 | HEWLETT-PACKARD CO COMMON STOCK USD 0.01 | 1,877,514 |
| 39,300 | HEXCEL CORP USD0.01 | 1,630,557 |
| 8,860 | HILLENBRAND INC COMMON STOCK USD 0 | 305,670 |
| 3,919 | HILL-ROM HOLDINGS INC COMMON STOCK USD 0 | 178,785 |
| 1 | HILLSHIRE BRANDS CO/THE COMMON STOCK 0.01 | 50 |
| 13,600 | HOME DEPOT INC/THE COMMON STOCK USD 0.05 | 1,427,592 |
| 5,400 | HORACE MANN EDUCATORS CORP COMMON STOCK USD 0.001 | 179,172 |
| 12,300 | HUMANA INC COMMON STOCK USD 0.166 | 1,770,093 |
| 41,035 | HUNTINGTON BANCSHARES INC/OH COMMON STOCK USD 0.01 | 434,150 |
| 4,640 | HUNTINGTON INGALLS INDUSTRIES INC COMMON STOCK USD | 521,814 |
| 4,508 | HUNTINGTON INGALLS INDUSTRIES INC COMMON STOCK USD | 506,970 |
| 9,465 | HUNTSMAN CORP COMMON STOCK USD 0.01 | 215,613 |
| 14,400 | IAC/INTERACTIVECORP COMMON STOCK USD 0.001 | 875,376 |
| 4,175 | IAC/INTERACTIVECORP COMMON STOCK USD 0.001 | 253,798 |
| 325 | ICF INTERNATIONAL INC COMMON STOCK USD 0.001 | 13,319 |
| 39,800 | ICON PLC COMMON STOCK USD 0.06 | 2,029,402 |
| 7,145 | ICON PLC COMMON STOCK USD 0.06 | 364,324 |
| 32,300 | IGATE CORP COMMON STOCK USD 0.01 | 1,275,204 |
| 20,210 | ILLUMINA INC COMMON STOCK USD 0.01 | 3,730,362 |
| 45,300 | IMMUNOGEN INC COMMON STOCK USD 0.01 | 276,330 |
| 42,800 | IMS HEALTH HOLDINGS INC COMMON STOCK USD 0.01 | 1,097,392 |
| 13,949 | INCYTE CORP COMMON STOCK USD 0.001 | 1,019,811 |
| 169,318 | INDITEX SA ADR USD | 2,404,316 |
| 1,990 | INFINITY PROPERTY & CASUALTY CORP COMMON STOCK USD | 153,747 |
| 18,390 | INGRAM MICRO INC COMMON STOCK USD 0.01 | 508,300 |
| 3,400 | INGREDION INC COMMON STOCK USD 0.01 | 289,884 |
| 5,780 | INSIGHT ENTERPRISES INC COMMON STOCK USD 0.01 | 149,644 |
| 9,600 | INSIGHT ENTERPRISES INC COMMON STOCK USD 0.01 | 248,544 |
| 5,745 | INTEGRA LIFESCIENCES HOLDINGS CORP COMMON STOCK | 311,551 |
| 38,668 | INTEGRATED DEVICE TECHNOLOGY INC COMMON STOCK USD | 757,893 |
| 48,200 | INTEL CORP COMMON STOCK USD 0.001 | 1,749,178 |
| 5,200 | INTERNATIONAL PAPER CO COMMON STOCK USD 1 | 278,616 |
| 3,730 | INTERNATIONAL SPEEDWAY CORP COMMON STOCK USD 0.01 | 118,055 |
| 44,600 | INTERXION HOLDING NV COMMON STOCK USD 0.1 | 1,219,364 |
| 37,323 | INVESTORS BANCORP INC COMMON STOCK USD 0.01 | 418,951 |
| 93,800 | IRIDIUM COMMUNICATIONS INC COMMON STOCK USD 0.001 | 914,550 |

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| 54,200 | ISIS PHARMACEUTICALS INC COMMON STOCK USD 0.001 | 3,346,308 |
| 34,100 | JANUS CAPITAL GROUP INC COMMON STOCK USD 0.01 | 550,033 |
| 76,700 | JANUS CAPITAL GROUP INC COMMON STOCK USD 0.01 | 1,237,171 |
| 77,797 | JDS UNIPHASE CORP COMMON STOCK USD 0.001 | 1,067,375 |
| 17,200 | JOHNSON & JOHNSON COMMON STOCK USD 1 | 1,798,604 |

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| 21,200 | JPMORGAN CHASE & CO COMMON STOCK USD 1 | 1,326,696 |
| 6,671 | KANSAS CITY SOUTHERN COMMON STOCK USD 0.01 | 815,930 |
| 22,100 | KANSAS CITY SOUTHERN COMMON STOCK USD 0.01 | 2,703,051 |
| 17,750 | KAR AUCTION SERVICES INC COMMON STOCK USD 0.01 | 619,830 |
| 6,000 | KENNAMETAL INC COMMON STOCK USD 1.25 | 214,740 |
| 90,700 | KEYCORP COMMON STOCK USD 1 | 1,260,730 |
| 18,400 | KEYSIGHT TECHNOLOGIES INC COMMON STOCK USD | 621,368 |
| 15,500 | KIMBERLY-CLARK CORP COMMON STOCK USD 1.25 | 1,805,486 |
| 15,637 | KINDRED HEALTHCARE INC COMMON STOCK USD 0.25 | 284,281 |
| 9,735 | KNOLL INC COMMON STOCK USD 0.01 | 206,090 |
| 27,390 | KOSMOS ENERGY LTD COMMON STOCK USD 0.01 | 229,802 |
| 10,900 | KROGER CO/THE COMMON STOCK USD 1 | 699,889 |
| 17,700 | L BRANDS INC COMMON STOCK USD 0.5 | 1,531,935 |
| 7,900 | L BRANDS INC COMMON STOCK USD 0.5 | 683,745 |
| 2,600 | LAKELAND FINANCIAL CORP COMMON STOCK USD 0 | 113,022 |
| 53,650 | LAM RESEARCH CORP COMMON STOCK USD 0.001 | 4,266,248 |
| 2,555 | LANDSTAR SYSTEM INC COMMON STOCK USD 0.01 | 185,314 |
| 28,994 | LAS VEGAS SANDS CORP COMMON STOCK USD 0.001 | 1,686,291 |
| 50,300 | LAS VEGAS SANDS CORP COMMON STOCK USD 0.001 | 2,925,448 |
| 6,480 | LEAR CORP COMMON STOCK USD 0.01 | 635,558 |
| 5,155 | LENDINGCLUB CORP COMMON STOCK USD 0.01 | 130,422 |
| 57,526 | LEVEL 3 COMMUNICATIONS INC COMMON STOCK USD 0.01 | 2,840,634 |
| 4,400 | LEXMARK INTERNATIONAL INC COMMON STOCK USD 0.01 | 181,588 |
| 5,225 | LIBERTY BROADBAND CORP COMMON STOCK USD 0.01 | 260,310 |
| 2,600 | LIBERTY BROADBAND CORP COMMON STOCK USD 0.01 | 130,234 |
| 1,565 | LIBERTY BROADBAND CORP RIGHT USD | 14,868 |
| 20,900 | LIBERTY MEDIA CORP COMMON STOCK USD 0.01 | 732,127 |
| 10,400 | LIBERTY MEDIA CORP COMMON STOCK USD 0.01 | 366,808 |
| 4,257 | LIFE TIME FITNESS INC COMMON STOCK USD 0.02 | 241,031 |
| 10,000 | LIFELOCK INC COMMON STOCK USD 0.001 | 185,100 |
| 3,865 | LIFEPOINT HOSPITALS INC COMMON STOCK USD 0.01 | 277,932 |
| 23,700 | LINCOLN NATIONAL CORP COMMON STOCK USD 0 | 1,366,779 |
| 15,500 | LINCOLN NATIONAL CORP COMMON STOCK USD 0 | 893,885 |
| 22,102 | LINCOLN NATIONAL CORP COMMON STOCK USD 0 | 1,274,622 |
| 19,862 | LINKEDIN CORP COMMON STOCK USD 0.0001 | 4,562,500 |
| 5,376 | LINNCO LLC COMMON STOCK USD | 55,749 |
| 12,225 | LIVE NATION ENTERTAINMENT INC COMMON STOCK USD | 319,195 |
| 20,400 | LOWE'S COS INC COMMON STOCK USD 0.5 | 1,403,520 |
| 7,710 | LSB INDUSTRIES INC COMMON STOCK USD 0.1 | 242,402 |
| 9,200 | LULULEMON ATHLETICA INC COMMON STOCK USD 0.005 | 513,268 |
| 4,000 | LUMBER LIQUIDATORS HOLDINGS INC COMMON STOCK USD | 265,240 |
| 3,500 | LYONDELLBASELL INDUSTRIES NV COMMON STOCK USD | 277,865 |
| 7,300 | M&T BANK CORP COMMON STOCK USD 0.5 | 917,026 |
| 17,700 | MADISON SQUARE GARDEN CO/THE COMMON STOCK USD 0.01 | 1,332,102 |
| 12,545 | MAGNACHIP SEMICONDUCTOR CORP COMMON STOCK USD 0.01 | 162,960 |
| 59,075 | MAIDEN HOLDINGS LTD COMMON STOCK USD 0.01 | 763,249 |
| 10,800 | MANPOWERGROUP INC COMMON STOCK USD 0.01 | 736,236 |
| 4,220 | MANPOWERGROUP INC COMMON STOCK USD 0.01 | 287,677 |
| 7,400 | MARATHON PETROLEUM CORP COMMON STOCK USD | 667,924 |

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| 48,841 | MARRIOTT INTERNATIONAL INC/MD COMMON STOCK USD | 3,811,063 |
| 91,404 | MASTERCARD INC COMMON STOCK USD 0.0001 | 7,875,369 |
| 4,790 | MATERION CORP COMMON STOCK USD 0 | 168,752 |
| 3,235 | MATTHEWS INTERNATIONAL CORP COMMON STOCK USD 1 | 157,447 |
| 4,175 | MAXIMUS INC COMMON STOCK USD 0 | 228,957 |

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| 5,776 | MB FINANCIAL INC COMMON STOCK USD 0.01 | 189,799 |
| 21,632 | MCGRAW HILL FINANCIAL INC COMMON STOCK USD 1 | 1,924,815 |
| 13,900 | MEDICINES CO/THE COMMON STOCK USD 0.001 | 384,613 |
| 12,600 | MEDIVATION INC COMMON STOCK USD 0.01 | 1,255,086 |
| 7,945 | MEN'S WEARHOUSE INC/THE COMMON STOCK USD 0.01 | 350,772 |
| 23,400 | METLIFE INC COMMON STOCK USD 0.01 | 1,265,706 |
| 69,430 | MFA FINANCIAL INC REIT USD 0.01 | 568,632 |
| 88,000 | MFA FINANCIAL INC REIT USD 0.01 | 720,720 |
| 8,300 | MICHAEL KORS HOLDINGS LTD COMMON STOCK USD 0 | 623,330 |
| 36,800 | MICHAELS COS INC/THE COMMON STOCK USD 0.06775 | 910,064 |
| 9,600 | MICROSEMI CORP COMMON STOCK USD 0.2 | 272,448 |
| 3,300 | MINERALS TECHNOLOGIES INC COMMON STOCK USD 0.1 | 229,185 |
| 101,562 | MONDELEZ INTERNATIONAL INC COMMON STOCK USD 0 | 3,704,474 |
| 37,077 | MONSANTO CO COMMON STOCK USD 0.01 | 4,429,589 |
| 6,800 | MONSTER BEVERAGE CORP COMMON STOCK USD 0.005 | 736,780 |
| 5,623 | MOOG INC COMMON STOCK USD 1 | 416,271 |
| 114,647 | MORGAN STANLEY COMMON STOCK USD 0.01 | 4,448,304 |
| 22,700 | MOSAIC CO/THE COMMON STOCK USD 0.01 | 1,036,255 |
| 15,755 | MRC GLOBAL INC COMMON STOCK USD 0.01 | 238,688 |
| 4,080 | MUELLER INDUSTRIES INC COMMON STOCK USD 0.01 | 139,291 |
| 13,000 | MURPHY OIL CORP COMMON STOCK USD 1 | 656,760 |
| 7,600 | MYERS INDUSTRIES INC COMMON STOCK USD 0 | 134,748 |
| 32,600 | MYLAN INC USD0.50 | 1,837,662 |
| 36,700 | MYRIAD GENETICS INC COMMON STOCK USD 0.01 | 1,250,002 |
| 54,200 | NABORS INDUSTRIES LTD COMMON STOCK USD 0.001 | 703,516 |
| 14,185 | NATIONSTAR MORTGAGE HOLDINGS INC COMMON STOCK USD | 399,875 |
| 28,995 | NAVIGANT CONSULTING INC COMMON STOCK USD 0.001 | 445,653 |
| 14,395 | NAVIGANT CONSULTING INC COMMON STOCK USD 0.001 | 221,251 |
| 1,400 | NEENAH PAPER INC COMMON STOCK USD 0.01 | 84,378 |
| 9,328 | NETFLIX INC COMMON STOCK USD 0.001 | 3,186,538 |
| 38,700 | NEWELL RUBBERMAID INC COMMON STOCK USD 1 | 1,474,083 |
| 15,980 | NIELSEN NV COMMON STOCK USD 0.07 | 714,785 |
| 59,783 | NIKE INC COMMON STOCK USD 0 | 5,764,875 |
| 15,400 | NORDSTROM INC COMMON STOCK USD 0 | 1,222,606 |
| 12,800 | NORTHROP GRUMMAN CORP COMMON STOCK USD 1 | 1,886,592 |
| 1,974 | NORTHROP GRUMMAN CORP COMMON STOCK USD 1 | 290,948 |
| 19,500 | NOVARTIS AG ADR USD | 1,806,870 |
| 94,197 | NOVO NORDISK A/S ADR USD | 3,986,417 |
| 8,285 | NU SKIN ENTERPRISES INC COMMON STOCK USD 0.001 | 362,055 |
| 87,300 | NVIDIA CORP COMMON STOCK USD 0.001 | 1,750,365 |
| 7,400 | OCCIDENTAL PETROLEUM CORP COMMON STOCK USD 0.2 | 601,842 |
| 18,560 | OCWEN FINANCIAL CORP COMMON STOCK USD 0.01 | 280,256 |
| 420 | OLD DOMINION FREIGHT LINE INC COMMON STOCK USD 0.1 | 32,609 |
| 7,500 | OMEGA HEALTHCARE INVESTORS INC REIT USD 0.1 | 293,025 |
| 8,920 | OMNICARE INC COMMON STOCK USD 1 | 650,536 |
| 27,800 | ON ASSIGNMENT INC COMMON STOCK USD 0.01 | 922,682 |
| 51,815 | ON SEMICONDUCTOR CORP COMMON STOCK USD 0.01 | 524,886 |
| 4,485 | OWENS & MINOR INC COMMON STOCK USD 2 | 157,468 |
| 31,400 | OWENS-ILLINOIS INC COMMON STOCK USD 0.01 | 847,486 |

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| 16,200 | PACKAGING CORP OF AMERICA COMMON STOCK USD 0.01 | 1,271,410 |
| 8,640 | PACKAGING CORP OF AMERICA COMMON STOCK USD 0.01 | 677,808 |
| 29,200 | PALL CORP COMMON STOCK USD 0.1 | 2,955,332 |
| 4,900 | PANTRY INC/THE COMMON STOCK 0.01 | 181,594 |
| 3,065 | PAREXEL INTERNATIONAL CORP COMMON STOCK USD 0.01 | 170,291 |

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| 13,950 | PARKER-HANNIFIN CORP COMMON STOCK USD 0.5 | 1,798,853 |
| 3,688 | PARK-OHIO HOLDINGS CORP COMMON STOCK USD 1 | 232,455 |
| 30,400 | PARSLEY ENERGY INC COMMON STOCK USD 0.01 | 485,184 |
| 2,720 | PARTNERRE LTD COMMON STOCK USD 1 | 310,434 |
| 38,600 | PATTERSON-UTI ENERGY INC COMMON STOCK USD 0.01 | 640,374 |
| 2,310 | PBF ENERGY INC COMMON STOCK USD 0.001 | 61,538 |
| 15,300 | PENTAIR PLC COMMON STOCK USD 0.01 | 1,016,226 |
| 15,300 | PEP BOYS-MANNY MOE & JACK/THE COMMON STOCK USD 1 | 150,246 |
| 13,400 | PERKINELMER INC COMMON STOCK USD 1 | 585,982 |
| 18,266 | PERRIGO CO PLC COMMON STOCK USD 0 | 3,053,345 |
| 56,600 | PFIZER INC COMMON STOCK USD 0.05 | 1,763,090 |
| 18,700 | PG&E CORP COMMON STOCK USD 0 | 1,004,097 |
| 25,515 | PHH CORP COMMON STOCK USD 0.01 | 611,339 |
| 18,600 | PIER 1 IMPORTS INC COMMON STOCK USD 0.001 | 286,440 |
| 4,646 | PINNACLE FINANCIAL PARTNERS INC COMMON STOCK USD 1 | 183,703 |
| 12,100 | PINNACLE WEST CAPITAL CORP COMMON STOCK USD 0 | 826,551 |
| 15,400 | PINNACLE WEST CAPITAL CORP COMMON STOCK USD 0 | 1,051,974 |
| 69,600 | PITNEY BOWES INC COMMON STOCK USD 1 | 1,696,152 |
| 9,500 | PLANTRONICS INC COMMON STOCK USD 0.01 | 503,690 |
| 9,270 | PLATINUM UNDERWRITERS HOLDINGS LTD COMMON STOCK | 680,603 |
| 12,855 | PNM RESOURCES INC COMMON STOCK USD 0 | 380,894 |
| 9,300 | POLARIS INDUSTRIES INC COMMON STOCK USD 0.01 | 1,406,532 |
| 42,900 | POLYCOM INC COMMON STOCK USD 0.0005 | 579,150 |
| 9,100 | POPEYES LOUISIANA KITCHEN INC COMMON STOCK USD | 512,057 |
| 1,400 | PPG INDUSTRIES INC COMMON STOCK USD 1.67 | 323,610 |
| 28,800 | PRA GROUP INC COMMON STOCK USD 0.01 | 1,668,384 |
| 17,542 | PRECISION CASTPARTS CORP COMMON STOCK USD 0 | 4,225,517 |
| 13,700 | PRECISION CASTPARTS CORP COMMON STOCK USD 0 | 3,300,056 |
| 4,366 | PRICELINE GROUP INC/THE COM USD | 4,978,157 |
| 23,300 | PRINCIPAL FINANCIAL GROUP INC COMMON STOCK USD | 1,210,202 |
| 7,120 | PROGRESS SOFTWARE CORP COMMON STOCK USD 0.01 | 192,382 |
| 4,699 | PROSPERITY BANCSHARES INC COMMON STOCK USD 1 | 261,254 |
| 9,000 | PROVIDENCE SERVICE CORP/THE COMMON STOCK USD 0.001 | 327,960 |
| 14,300 | PRUDENTIAL FINANCIAL INC COMMON STOCK USD 0.01 | 1,293,578 |
| 6,700 | PTC INC COMMON STOCK USD 0.01 | 245,555 |
| 19,400 | PUBLIC SERVICE ENTERPRISE GROUP INC COMMON STOCK | 803,354 |
| 13,265 | QEP RESOURCES INC COMMON STOCK USD 0.01 | 268,218 |
| 22,100 | QEP RESOURCES INC COMMON STOCK USD 0.01 | 446,862 |
| 4,550 | QTS REALTY TRUST INC REIT USD | 155,292 |
| 19,980 | RADIAN GROUP INC COMMON STOCK USD 0.001 | 334,066 |
| 27,100 | RAYMOND JAMES FINANCIAL INC COMMON STOCK USD 0.01 | 1,557,437 |
| 7,560 | RAYMOND JAMES FINANCIAL INC COMMON STOCK USD 0.01 | 434,473 |
| 27,175 | RCS CAPITAL CORP COMMON STOCK USD 0.001 | 332,622 |
| 5,660 | REALOGY HOLDINGS CORP COMMON STOCK USD 0.01 | 251,813 |
| 56,108 | RED HAT INC COMMON STOCK USD 0.0001 | 3,879,307 |
| 4,300 | RED ROBIN GOURMET BURGERS INC COMMON STOCK USD | 330,993 |
| 12,675 | REGENERON PHARMACEUTICALS INC COMMON STOCK USD | 5,199,919 |
| 121,700 | REGIONS FINANCIAL CORP COMMON STOCK USD 0.01 | 1,291,237 |
| 5,775 | REINSURANCE GROUP OF AMERICA INC COMMON STOCK USD | 506,006 |

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| 3,100 | REINSURANCE GROUP OF AMERICA INC COMMON STOCK USD | 271,622 |
| 4,500 | RELIANCE STEEL & ALUMINUM CO COMMON STOCK USD 0 | 275,715 |
| 8,835 | RENT-A-CENTER INC/TX COMMON STOCK USD 0.01 | 323,008 |
| 8,400 | REPUBLIC SERVICES INC COMMON STOCK USD 0.01 | 340,452 |
| 166,150 | RF MICRO DEVICES INC COMMON STOCK EUR 0 | 2,756,429 |

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| 14,305 | RICE ENERGY INC COMMON STOCK USD 0.01 | 299,976 |
| 40,785 | RITE AID CORP COMMON STOCK USD 1 | 306,703 |
| 20,900 | ROBERT HALF INTERNATIONAL INC COMMON STOCK USD | 1,220,142 |
| 4,600 | ROCK-TENN CO COMMON STOCK USD 0.01 | 280,508 |
| 5,310 | ROCK-TENN CO COMMON STOCK USD 0.01 | 323,804 |
| 3,275 | ROSETTA RESOURCES INC COMMON STOCK USD 0.001 | 73,065 |
| 8,300 | ROSS STORES INC COMMON STOCK USD 0.01 | 782,358 |
| 32,700 | ROWAN COS PLC COMMON STOCK USD 0.125 | 762,564 |
| 16,400 | ROYAL CARIBBEAN CRUISES LTD COMMON STOCK USD 0.01 | 1,357,252 |
| 63,200 | ROYAL CARIBBEAN CRUISES LTD COMMON STOCK USD 0.01 | 5,228,536 |
| 9,500 | ROYAL DUTCH SHELL PLC ADR USD | 636,025 |
| 29,175 | RPX CORP COMMON STOCK USD 0.0001 | 402,032 |
| 5,200 | RUSH ENTERPRISES INC COMMON STOCK USD 0.01 | 166,660 |
| 2,800 | RYDER SYSTEM INC COMMON STOCK USD 0.5 | 259,980 |
| 4,900 | S&T BANCORP INC COMMON STOCK USD 2.5 | 146,069 |
| 80,670 | SALESFORCE.COM INC COMMON STOCK USD 0.001 | 4,784,538 |
| 28,801 | SCHLUMBERGER LTD COMMON STOCK USD 0.01 | 2,471,414 |
| 6,750 | SCHWEITZER-MAUDUIT INTERNATIONAL INC COMMON STOCK | 285,525 |
| 77,800 | SEACHANGE INTERNATIONAL INC COMMON STOCK USD 0.01 | 496,364 |
| 8,200 | SEACOR HOLDINGS INC COMMON STOCK USD 0.01 | 605,242 |
| 41,500 | SEATTLE GENETICS INC COMMON STOCK USD 0.001 | 1,333,395 |
| 17,420 | SELECT MEDICAL HOLDINGS CORP COMMON STOCK USD | 250,848 |
| 2,795 | SENSIENT TECHNOLOGIES CORP COMMON STOCK USD 0.1 | 168,650 |
| 7,710 | SERVICE CORP INTERNATIONAL/US COMMON STOCK USD 1 | 175,017 |
| 13,178 | SHIRE PLC ADR USD | 2,800,852 |
| 10,600 | SIGNET JEWELERS LTD COMMON STOCK USD 0.18 | 1,394,642 |
| 9,911 | SILVER BAY REALTY TRUST CORP REIT USD 0.01 | 164,721 |
| 71,600 | SINCLAIR BROADCAST GROUP INC COMMON STOCK USD 0.01 | 1,958,976 |
| 3,115 | SKECHERS U.S.A. INC COMMON STOCK USD 0.001 | 172,104 |
| 10,699 | SKYWORKS SOLUTIONS INC COMMON STOCK USD 0.25 | 777,924 |
| 11,697 | SONOCO PRODUCTS CO COMMON STOCK USD 0 | 511,159 |
| 31,300 | SOTHEBY'S COMMON STOCK USD 0.01 | 1,351,534 |
| 11,461 | SPARTANNASH CO COMMON STOCK USD 0 | 299,591 |
| 45,625 | SPLUNK INC COMMON STOCK USD 0.001 | 2,689,594 |
| 22,050 | STAG INDUSTRIAL INC REIT USD 0.01 | 542,651 |
| 2,025 | STANCORP FINANCIAL GROUP INC COMMON STOCK USD 0 | 141,467 |
| 5,698 | STANDEX INTERNATIONAL CORP COMMON STOCK USD 1.5 | 440,227 |
| 40,329 | STARBUCKS CORP COMMON STOCK USD 0.001 | 3,308,994 |
| 32,400 | STARZ COMMON STOCK USD 0.01 | 962,280 |
| 65,000 | STEELCASE INC COMMON STOCK USD 0 | 1,173,575 |
| 4,900 | STERIS CORP COMMON STOCK USD 0 | 317,765 |
| 93,500 | STILLWATER MINING CO COMMON STOCK USD 0.01 | 1,378,190 |
| 17,175 | STOCK BUILDING SUPPLY HOLDINGS INC COMMON STOCK | 263,121 |
| 4,500 | STOCK YARDS BANCORP INC COMMON STOCK USD 0 | 150,030 |
| 4,900 | STRATASYS LTD COMMON STOCK USD 0.01 | 407,239 |
| 19,000 | STRYKER CORP COMMON STOCK USD 0.1 | 1,798,825 |
| 33,000 | SUNTRUST BANKS INC COMMON STOCK USD 1 | 1,382,700 |
| 32,100 | SUPERIOR ENERGY SERVICES INC COMMON STOCK USD | 646,815 |
| 2,360 | SVB FINANCIAL GROUP COMMON STOCK USD 0.001 | 273,925 |

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| 29,600 | SWIFT TRANSPORTATION CO COMMON STOCK USD 0.001 | 847,448 |
| 8,490 | SYKES ENTERPRISES INC COMMON STOCK USD 0.01 | 199,260 |
| 8,856 | SYKES ENTERPRISES INC COMMON STOCK USD 0.01 | 207,850 |
| 14,940 | SYMETRA FINANCIAL CORP COMMON STOCK USD 0.01 | 344,367 |
| 6,045 | SYMMETRY SURGICAL INC COMMON STOCK USD 0.0001 | 47,091 |

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| 7,740 | SYNNEX CORP COMMON STOCK USD 0.001 | 604,958 |
| 46,134 | TCF FINANCIAL CORP COMMON STOCK USD 0.01 | 733,069 |
| 11,200 | TCP CAPITAL CORP COMMON STOCK USD 0.001 | 187,936 |
| — | TECK RESOURCES LTD COMMON STOCK CAD 0 | 7,703 |
| 21,400 | TELEPHONE & DATA SYSTEMS INC COMMON STOCK USD 0.01 | 540,350 |
| 3,895 | TELETECH HOLDINGS INC COMMON STOCK USD 0.01 | 92,234 |
| 3,290 | TEMPUR SEALY INTERNATIONAL INC COMMON STOCK USD | 180,654 |
| 15,485 | TERADYNE INC COMMON STOCK USD 0.125 | 306,448 |
| 9,990 | TEREX CORP COMMON STOCK USD 0.01 | 278,521 |
| 10,064 | TESLA MOTORS INC COMMON STOCK USD 0.001 | 2,238,334 |
| 11,280 | TETRA TECH INC COMMON STOCK USD 0.01 | 301,176 |
| 34,700 | TEXAS INSTRUMENTS INC COMMON STOCK USD 1 | 1,855,236 |
| 25,800 | TEXTRON INC COMMON STOCK USD 0.125 | 1,086,954 |
| 18,100 | THOR INDUSTRIES INC COMMON STOCK USD 0.1 | 1,015,216 |
| 10,750 | THOR INDUSTRIES INC COMMON STOCK USD 0.1 | 603,505 |
| 11,280 | THORATEC CORP COMMON STOCK USD 0 | 366,149 |
| 5,500 | TIDEWATER INC COMMON STOCK USD 0.1 | 178,255 |
| 22,966 | TIFFANY & CO COMMON STOCK USD 0.01 | 2,460,313 |
| 8,990 | TIMKEN CO/THE COMMON STOCK USD 0 | 383,693 |
| 31,176 | TJX COS INC/THE COMMON STOCK USD 1 | 2,138,050 |
| 1,200 | TOMPKINS FINANCIAL CORP COMMON STOCK USD 0.1 | 66,360 |
| 5,462 | TORCHMARK CORP COMMON STOCK USD 1 | 296,569 |
| 12,000 | TOTAL SA ADR USD | 621,140 |
| 5,096 | TRANSDIGM GROUP INC COMMON STOCK USD 0.01 | 1,000,600 |
| 31,900 | TRANSOCEAN LTD COMMON STOCK USD 15 | 584,727 |
| 79,500 | TRIMBLE NAVIGATION LTD COMMON STOCK USD 0 | 2,109,930 |
| 19,100 | TRINET GROUP INC COMMON STOCK USD 0.000025 | 597,448 |
| 25,409 | TRIPADVISOR INC COMMON STOCK USD 0.001 | 1,897,036 |
| 107,700 | TRIQUINT SEMICONDUCTOR INC COMMON STOCK EUR 0.001 | 2,967,135 |
| 21,095 | TRIQUINT SEMICONDUCTOR INC COMMON STOCK EUR 0.001 | 581,167 |
| 65,593 | TWENTY-FIRST CENTURY FOX INC COMMON STOCK USD 0.01 | 2,519,099 |
| 68,211 | TWITTER INC COMMON STOCK USD 0.000005 | 2,446,729 |
| 65,455 | TWO HARBORS INVESTMENT CORP REIT USD | 672,877 |
| 9,750 | UGI CORP COMMON STOCK USD 0 | 372,426 |
| 41,451 | UNDER ARMOUR INC COMMON STOCK USD 0.000333 | 2,814,523 |
| 33,133 | UNIFI INC COMMON STOCK USD 0.1 | 985,044 |
| 13,900 | UNITED STATES CELLULAR CORP COMMON STOCK USD 1 | 553,637 |
| 12,972 | UNITED STATIONERS INC COMMON STOCK USD 0.1 | 548,716 |
| 18,300 | UNITEDHEALTH GROUP INC COMMON STOCK USD 0.01 | 1,849,947 |
| 6,590 | UNIVERSAL CORP/VA COMMON STOCK USD 0 | 289,828 |
| 11,600 | UNIVERSAL HEALTH SERVICES INC COMMON STOCK USD | 1,290,616 |
| 2,820 | UNIVERSAL HEALTH SERVICES INC COMMON STOCK USD | 313,753 |
| 35,700 | UNUM GROUP COMMON STOCK USD 0.1 | 1,245,216 |
| 17,619 | VALIDUS HOLDINGS LTD COMMON STOCK USD 0.175 | 732,261 |
| 29,200 | VALSPAR CORP/THE COMMON STOCK USD 0.5 | 2,525,216 |
| 26,900 | VANTIV INC COMMON STOCK USD 0.00001 | 912,448 |
| 12,700 | VECTREN CORP COMMON STOCK USD 0 | 587,121 |
| 20,200 | VERINT SYSTEMS INC COMMON STOCK USD 0.001 | 1,177,256 |
| 1 | VERITIV CORP COMMON STOCK USD 0.01 | 37 |

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| 21,215 | VERTEX PHARMACEUTICALS INC COMMON STOCK USD 0.01 | 2,520,342 |
| 5,818 | VIAD CORP COMMON STOCK USD 1.5 | 155,690 |
| 24,651 | VISA INC COMMON STOCK USD 0.0001 | 6,463,492 |
| 62,000 | VISHAY INTERTECHNOLOGY INC COMMON STOCK USD 0.1 | 877,300 |
| 20,597 | VMWARE INC COMMON STOCK USD 0.01 | 1,699,664 |

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| 10,500 | WABCO HOLDINGS INC COMMON STOCK USD 0.01 | 1,100,190 |
| 50,800 | WADDELL & REED FINANCIAL INC COMMON STOCK USD 0.01 | 2,530,856 |
| 31,000 | WADDELL & REED FINANCIAL INC COMMON STOCK USD 0.01 | 1,544,420 |
| 5,439 | WADDELL & REED FINANCIAL INC COMMON STOCK USD 0.01 | 270,971 |
| 26,145 | WALKER & DUNLOP INC COMMON STOCK USD | 458,583 |
| 41,011 | WALT DISNEY CO/THE COMMON STOCK USD 0.01 | 3,921,055 |
| 4,200 | WATTS WATER TECHNOLOGIES INC COMMON STOCK USD 0.1 | 266,448 |
| 54,700 | WEATHERFORD INTERNATIONAL PLC COMMON STOCK USD | 626,315 |
| 93,800 | WEB.COM GROUP INC COMMON STOCK USD 0.001 | 1,781,262 |
| 38,000 | WERNER ENTERPRISES INC COMMON STOCK USD 0.01 | 1,185,600 |
| 8,600 | WESCO INTERNATIONAL INC COMMON STOCK USD 0.01 | 655,406 |
| 4,110 | WESCO INTERNATIONAL INC COMMON STOCK USD 0.01 | 313,223 |
| 13,405 | WESTERN REFINING INC COMMON STOCK USD 0.01 | 506,441 |
| 109,300 | WESTERN UNION CO/THE COMMON STOCK USD 0.01 | 1,957,563 |
| 7,000 | WHIRLPOOL CORP COMMON STOCK USD 1 | 1,356,180 |
| 18,700 | WHITING PETROLEUM CORP COMMON STOCK USD 0.001 | 617,100 |
| 48,540 | WHOLE FOODS MARKET INC COMMON STOCK USD 0 | 2,447,387 |
| 30,608 | WORKDAY INC COMMON STOCK USD 0.001 | 2,497,919 |
| 18,125 | WORLD FUEL SERVICES CORP COMMON STOCK USD 0.01 | 851,286 |
| 63,900 | WORLD WRESTLING ENTERTAINMENT INC COMMON STOCK USD | 788,526 |
| 2,600 | WSFS FINANCIAL CORP COMMON STOCK USD 0.01 | 199,914 |
| 12,500 | WYNDHAM WORLDWIDE CORP COMMON STOCK USD 0.01 | 1,072,000 |
| 2,000 | WYNN RESORTS LTD COMMON STOCK USD 0.01 | 297,520 |
| | Total Corporate Stock | \$ 670,804,490 |

Corporate Debt Securities

| | | |
|---------|--|-----------|
| 25,000 | ANADARKO PETROLEUM CORP CALLABLE NOTES FIXED 4.5% | \$ 24,806 |
| 50,000 | ANADARKO PETROLEUM CORP NOTES FIXED 5.95% | 54,345 |
| 85,000 | ARCELORMITTAL SA 4.5% BDS 25/FEB/2017 USD1000 | 89,888 |
| 150,000 | BANK OF AMERICA CORP FLTG RATE NTS 15/JUN/2016 | 149,023 |
| 350,000 | BANK OF AMERICA CORP MEDIUM TERM NOTE FIXED 5.65% | 392,136 |
| 75,000 | BARCLAYS BANK PLC NOTES FIXED 5% 22/SEP/2016 USD | 80,951 |
| 170,000 | BARNABAS HEALTH INC CALLABLE BOND FIXED 4% | 165,325 |
| 75,000 | BARRICK GOLD CORP CALLABLE NOTES FIXED 4.1% | 73,502 |
| 127,222 | BAYVIEW COMMERCIAL ASSET TRUST 2005-3 2005-3A A1 | 115,391 |
| 74,701 | BAYVIEW COMMERCIAL ASSET TRUST 2005-4 2005-4A A2 | 65,653 |
| 125,000 | BEAR STEARNS COS LLC/THE NOTES FIXED 7.25% | 147,845 |
| 75,000 | BERKSHIRE HATHAWAY ENERGY CO CALLABLE BOND FIXED | 95,373 |
| 25,000 | BERKSHIRE HATHAWAY FINANCE CORP CALLABLE NOTES | 28,146 |
| 125,000 | BOSTON PROPERTIES LP CALLABLE NOTES FIXED 5.875% | 144,728 |
| 50,000 | CATHOLIC HEALTH INITIATIVES CALLABLE BOND FIXED | 53,697 |
| 175,000 | CATHOLIC HEALTH INITIATIVES CALLABLE BOND FIXED | 172,329 |
| 100,000 | CENTERPOINT ENERGY RESOURCES CORP CALLABLE NOTES | 129,435 |
| 100,000 | CHASE CAPITAL VI FLTG RATE NTS 01/AUG/2028 USD1000 | 84,141 |
| 125,000 | CITIGROUP INC BOND FIXED 6.125% 15/MAY/2018 USD | 142,438 |
| 50,000 | CITIGROUP INC NOTES FIXED 5.3% 07/JAN/2016 USD | 53,456 |
| 100,000 | CITIGROUP INC NOTES FIXED 6% 15/AUG/2017 USD 1000 | 112,904 |
| 85,000 | CITIGROUP INC SR NT FLT 18 15/MAY/2018 | 88,012 |
| 75,000 | COMCAST CORP CALLABLE NOTES FIXED 5.875% | 86,049 |

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|---------|---|---------|
| 250,000 | COMMONWEALTH BANK OF AUSTRALIA 1.95% BDS | 252,181 |
| 49,536 | CONTINENTAL AIRLINES 2007-1 CLASS A PASS THROUGH | 54,958 |
| 93,295 | CONTINENTAL AIRLINES 2009-1 PASS THROUGH TRUST | 106,660 |
| 25,000 | CONTINENTAL RESOURCES INC/OK CALLABLE NOTES FIXED | 21,769 |
| 125,000 | COOPERATIEVE CENTRALE RAIFFEISEN-BOERENLEENBANK | 132,297 |

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|---------|--|---------|
| 118,697 | DELTA AIR LINES 2010-2 CLASS A PASS THROUGH TRUST | 127,626 |
| 150,000 | EL PASO ELECTRIC CO CALLABLE NOTES FIXED 3.3% | 149,055 |
| 100,000 | ENTERGY TEXAS INC CALLABLE NOTES FIXED 3.6% | 101,417 |
| 100,000 | ERP OPERATING LP NOTES FIXED 6.584% 13/APR/2015 | 101,797 |
| 100,000 | FIRST CHICAGO NBD CORP FLTG RATE NOTES 01/FEB/2027 | 84,128 |
| 100,000 | GENERAL ELECTRIC CAPITAL CORP FLTG RATE MTN | 93,050 |
| 100,000 | GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTE | 102,849 |
| 75,000 | GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTE | 108,537 |
| 75,000 | GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTE | 87,825 |
| 100,000 | GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTE | 129,261 |
| 50,000 | GOLDMAN SACHS GROUP INC/THE MEDIUM TERM NOTE FIXED | 57,935 |
| 125,000 | GOLDMAN SACHS GROUP INC/THE MEDIUM TERM NOTE FIXED | 142,065 |
| 175,000 | GOLDMAN SACHS GROUP INC/THE NOTES FIXED 6.15% | 199,114 |
| 170,000 | GS MORTGAGE SECURITIES TRUST 2011-GC5 2011-GC5 A4 | 180,382 |
| 185,000 | HCP INC CALLABLE MEDIUM TERM NOTE FIXED 6.3% | 203,672 |
| 100,000 | HEALTH CARE REIT INC CALLABLE NOTES FIXED 3.75% | 101,967 |
| 125,000 | HEALTH CARE REIT INC CALLABLE NOTES FIXED 6.125% | 145,308 |
| 50,000 | HEALTHCARE REALTY TRUST INC CALLABLE NOTES FIXED | 56,081 |
| 120,000 | HSBC HOLDINGS PLC NOTES FIXED 4.875% 14/JAN/2022 | 136,472 |
| 50,000 | IUNITED DOMINION RLTY TR | 51,272 |
| 100,000 | JPMORGAN CHASE & CO FR CAP SECS 'U' 15/JAN/2087 | 82,194 |
| 75,000 | JPMORGAN CHASE & CO NOTES FIXED 4.5% 24/JAN/2022 | 83,353 |
| 50,000 | JPMORGAN CHASE BANK NA NOTES FIXED 6% 01/OCT/2017 | 56,244 |
| 100,000 | LIBERTY PROP LP 53117CAJ1 5.125 02/MAR/2015 | 101,118 |
| 125,000 | MORGAN STANLEY CALLABLE MEDIUM TERM NOTE FIXED | 144,450 |
| 50,000 | MORGAN STANLEY CALLABLE MEDIUM TERM NOTE FIXED | 59,794 |
| 125,000 | MORGAN STANLEY CALLABLE MEDIUM TERM NOTE FIXED | 137,469 |
| 215,000 | NAVIENT STUDENT LOAN TRUST 2014-1 2014-1 A3 | 214,024 |
| 50,000 | NBCUNIVERSAL MEDIA LLC NOTES FIXED 5.15% | 57,176 |
| 170,723 | NCUA GTD NTS TRUST 2010-R1 FR MTG BDS 07/OCT/2020 | 171,817 |
| 248,064 | NCUA GTD NTS TRUST 2011-R1 VAR MTG BDS 08/JAN/2020 | 249,539 |
| 226,030 | NCUA GTD NTS TRUST 2011-R2 VAR MTG BDS 06/FEB/2020 | 226,902 |
| 136,695 | NCUA GUARANTEED NOTES TRUST 2010-R2 2010-R2 1A | 137,120 |
| 109,767 | NCUA GUARANTEED NOTES TRUST 2010-R3 2010-R3 1A | 110,865 |
| 95,815 | NCUA GUARANTEED NOTES TRUST 2010-R3 II-A VARIABLE | 96,784 |
| 183,550 | NCUA GUARANTEED NOTES TRUST 2011-C1 II-A VARIABLE | 184,731 |
| 31,000 | NOBLE ENERGY INC CALLABLE NOTES FIXED 3.9% | 30,820 |
| 125,000 | NORTH SHORE-LONG ISLAND JEWISH HEALTH CARE INC | 132,041 |
| 100,000 | PANHANDLE EASTERN PIPE LINE CO LP CALLABLE NOTES | 113,952 |
| 50,000 | PROVINCE OF ONTARIO CANADA BOND FIXED 5.45% | 53,619 |
| 125,000 | PUBLIC SERVICE CO OF NEW MEXICO CALLABLE NOTES | 138,343 |
| 22,000 | ROHM & HAAS CO CALLABLE NOTES FIXED 6% 15/SEP/2017 | 24,720 |
| 150,000 | ROYAL BANK OF SCOTLAND GROUP PLC NOTES FIXED 2.55% | 152,596 |
| 20,664 | SLM STUDENT LOAN TRUST | 18,920 |
| 190,026 | SLM STUDENT LOAN TRUST 2003-14 2003-14 A5 FLOATING | 189,554 |
| 260,000 | SLM STUDENT LOAN TRUST 2004-1 FLTG RATE NTS | 254,804 |
| 220,000 | SLM STUDENT LOAN TRUST 2005-4 A3 VAR 25/JAN/2027 | 214,637 |
| 130,000 | SLM STUDENT LOAN TRUST 2006-2 A-6 VARIABLE | 121,652 |
| 130,000 | SLM STUDENT LOAN TRUST 2006-8 FR A/BKD 25/JAN/2041 | 121,040 |

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|--------|---|--------|
| 32,648 | SLM STUDENT LOAN TRUST 2007-6 2007-6 B FLOATING | 30,161 |
| 35,000 | SLM STUDENT LOAN TRUST 2008-2 2008-2 B FLOATING | 32,543 |
| 35,000 | SLM STUDENT LOAN TRUST 2008-3 2008-3 B FLOATING | 32,737 |
| 35,000 | SLM STUDENT LOAN TRUST 2008-4 2008-4 B FLOATING | 35,425 |
| 35,000 | SLM STUDENT LOAN TRUST 2008-5 2008-5 B FLOATING | 36,027 |

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| 35,000 | SLM STUDENT LOAN TRUST 2008-6 2008-6 B FLOATING | 35,334 |
| 35,000 | SLM STUDENT LOAN TRUST 2008-7 2008-7 B FLOATING | 35,283 |
| 35,000 | SLM STUDENT LOAN TRUST 2008-8 2008-8 B FLOATING | 37,088 |
| 35,000 | SLM STUDENT LOAN TRUST 2008-9 2008-9 B FLOATING | 37,069 |
| 113,589 | SLM STUDENT LOAN TRUST 2012-3 2012-3 A FLOATING | 113,801 |
| 75,000 | SOUTHERN COPPER CORP CALLABLE NOTES FIXED 7.5% | 86,781 |
| 100,000 | SOUTHERN NATURAL GAS CO LLC CALLABLE NOTES FIXED | 121,858 |
| 75,000 | SOUTHERN POWER CO CALLABLE NOTES FIXED 4.875% | 78,312 |
| 120,000 | SUTTER HEALTH CALL/PUT BOND FIXED 2.286% | 117,228 |
| 75,000 | TELECOM ITALIA CAPITAL SA CALLABLE NOTES FIXED | 86,069 |
| 100,000 | TENNESSEE GAS PIPELINE CO LLC CALLABLE NOTES FIXED | 131,773 |
| 30,000 | TIME WARNER CABLE INC CALLABLE NOTES FIXED 5.5% | 35,408 |
| 125,000 | TUCSON ELECTRIC POWER CO CALLABLE NOTES FIXED | 140,573 |
| 200,000 | VENTAS REALTY LP / VENTAS CAPITAL CORP CALLABLE | 201,688 |
| 75,000 | VERIZON COMMUNICATIONS INC CALLABLE BOND FIXED | 99,342 |
| 175,000 | WALGREENS BOOTS ALLIANCE INC NOTES VARIABLE | 175,141 |
| 100,000 | WASTE MANAGEMENT INC CALLABLE NOTES FIXED 7% | 133,852 |
| 75,000 | WELLPOINT INC CALLABLE NOTES FIXED 7% 15/FEB/2019 | 90,358 |
| 200,000 | WFRBS COMMERCIAL MORTGAGE TRUST 2011-C5 2011-C5 A4 | 212,434 |
| | Total Corporate Debt Securities | \$ 11,293,814 |

Government Bonds

| | | |
|-----------|--|-----------|
| 40,000 | CALIFORNIA ST FOR PREVIOUS ISSUES SEE 13063A FOR | \$ 50,363 |
| 55,000 | CHICAGO ILL RFDG-PROJ-SER E 28/JAN/2009 | 59,553 |
| 85,000 | HOUSTON TEX RFDG-PENSION OBLIG-A 08/JAN/2009 | 109,424 |
| 75,000 | ILLINOIS (STATE OF) 4.421% BDS 01/JAN/2015 USD1000 | 76,658 |
| 100,000 | ILLINOIS ST FOR ISSUES DTD PRIOR TO 07/01/2002 SEE | 105,145 |
| 50,000 | ILLINOIS ST FOR ISSUES DTD PRIOR TO 07/01/2002 SEE | 52,359 |
| 80,000 | NEW YORK N Y FOR PRIOR ISSUES SEE 64966G ETC FOR | 91,946 |
| 40,000 | NEW YORK ST URBAN DEV CORP REV TAXABLE-ST PERS | 41,662 |
| 510,000 | UNITED STATES OF AMERICA BOND FIXED 1.375% | 590,818 |
| 175,000 | UNITED STATES OF AMERICA BOND FIXED 3% 15/NOV/2044 | 184,596 |
| 2,015,000 | UNITED STATES OF AMERICA BOND FIXED 3.125% | 2,193,057 |
| 350,000 | UNITED STATES OF AMERICA NOTES FIXED .125% | 337,237 |
| 625,000 | UNITED STATES OF AMERICA NOTES FIXED .25% | 625,642 |
| 1,455,000 | UNITED STATES OF AMERICA NOTES FIXED .5% | 1,456,903 |
| 65,000 | UNITED STATES OF AMERICA NOTES FIXED .5% | 70,367 |
| 3,140,000 | UNITED STATES OF AMERICA NOTES FIXED .5% | 3,148,043 |
| 1,100,000 | UNITED STATES OF AMERICA NOTES FIXED .875% | 1,108,629 |
| 625,000 | UNITED STATES OF AMERICA NOTES FIXED .875% | 626,052 |
| 670,000 | UNITED STATES OF AMERICA NOTES FIXED .875% | 671,923 |
| 3,110,000 | UNITED STATES OF AMERICA NOTES FIXED 1.5% | 3,099,037 |
| 70,000 | UNITED STATES OF AMERICA NOTES FIXED 1.625% | 87,558 |
| 865,000 | UNITED STATES OF AMERICA NOTES FIXED 2.125% | 885,667 |
| 3,265,000 | UNITED STATES OF AMERICA NOTES FIXED 2.25% | 3,295,497 |
| 400,000 | UNITED STATES OF AMERICA NOTES FIXED 2.375% | 414,673 |
| 570,000 | UNITED STATES OF AMERICA NOTES FIXED 3.5% | 618,371 |
| 415,000 | UNITED STATES TREAS NTS TIPS 0.125% 15/APR/2016 | 445,199 |

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|---------|---|---------------|
| 195,000 | UNITED STATES TREAS NTS VAR 15/APR/2017 | 204,075 |
| | Total Government Bonds | \$ 20,650,454 |
| | Mortgage Backed Securities | |
| 245,000 | FEDERAL HOME LOAN BANKS DISCOUNT NOTES ZERO CPN | \$ 244,994 |

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|-----------|---|---------------|
| 1,085,000 | FEDERAL HOME LOAN BANKS DISCOUNT NOTES ZERO CPN | 1,084,985 |
| 295,000 | FEDERAL HOME LOAN BANKS DISCOUNT NOTES ZERO CPN | 294,992 |
| 2,235,000 | FEDERAL HOME LOAN BANKS ZERO CPN 25/MAR/2011 USD | 2,234,848 |
| 330,000 | FEDERAL NATIONAL MORTGAGE ASSOCIATION NOTES FIXED | 417,153 |
| 46,956 | FHLMC MORTPASS ARM 01/APR/2037 1N PN# 1N1454 | 49,704 |
| 5,965 | FHLMC MORTPASS ARM 01/SEP/2037 1G PN# 1G2201 | 6,376 |
| 215,000 | FHLMC MULTIFAMILY STRUCTURED PASS THROUGH | 239,052 |
| 60,000 | FHLMC MULTIFAMILY STRUCTURED PASS THROUGH | 65,664 |
| 808,575 | FHLMC REMICS 3048 QJ 5% 15/OCT/2025 | 888,182 |
| 368,074 | FHLMC REMICS 3197 DZ 5% 15/AUG/2036 | 394,715 |
| 20,804 | FHLMC REMICS 3345 FP FLOATING 15/NOV/2036 | 20,837 |
| 25,894 | FHLMC REMICS 3345 PF FLOATING 15/MAY/2036 | 25,929 |
| 192,377 | FHLMCGLD MORTPASS 3.5% 01/APR/2044 G0 PN# G07848 | 201,593 |
| 149,593 | FHLMCGLD MORTPASS 3.5% 01/DEC/2041 Q0 PN# Q05261 | 156,738 |
| 383,285 | FHLMCGLD MORTPASS 4% 01/JAN/2043 U9 PN# U90791 | 414,716 |
| 118,772 | FHLMCGLD MORTPASS 4.5% 01/JUN/2041 Q0 PN# Q01638 | 130,751 |
| 99,634 | FHLMCGLD MORTPASS 5.5% 01/JAN/2038 G0 PN# G03696 | 111,951 |
| 87,784 | FHLMCGLD MORTPASS 5.5% 01/NOV/2037 G0 PN# G03695 | 98,629 |
| 94,693 | FHLMCGLD MORTPASS 6.5% 01/MAY/2034 P5 PN# P50216 | 104,604 |
| 278,625 | FNMA MORTPASS 3% 01/MAY/2043 CL PN# AB9236 | 283,301 |
| 408,241 | FNMA MORTPASS 3% 01/SEP/2033 CT PN# MA1561 | 422,684 |
| 184,339 | FNMA MORTPASS 3.5% 01/SEP/2033 CT PN# MA1584 | 195,028 |
| 148,724 | FNMA MORTPASS 3.584% 01/SEP/2020 XY PN# FN0000 | 159,888 |
| 155,609 | FNMA MORTPASS 3.665% 01/OCT/2020 XY PN# AE0918 | 168,459 |
| 148,972 | FNMA MORTPASS 3.763% 01/DEC/2020 XY PN# FN0001 | 161,173 |
| 257,536 | FNMA MORTPASS 4% 01/AUG/2029 CT PN# MA0142 | 278,167 |
| 470,099 | FNMA MORTPASS 4% 01/MAY/2043 CL PN# AT2733 | 509,635 |
| 75,865 | FNMA MORTPASS 4.297% 01/JAN/2021 XY PN# FN0003 | 84,394 |
| 348,113 | FNMA MORTPASS 4.5% 01/MAY/2048 CZ PN# 257280 | 376,240 |
| 221,984 | FNMA MORTPASS 4.5% 01/SEP/2041 CL PN# MA0843 | 241,999 |
| 165,447 | FNMA MORTPASS 5% 01/AUG/2047 CZ PN# 995963 | 180,592 |
| 172,985 | FNMA MORTPASS 5% 01/MAR/2034 CL PN# 725205 | 192,350 |
| 118,940 | FNMA MORTPASS 5% 01/SEP/2033 CL PN# 738567 | 132,294 |
| 52,948 | FNMA MORTPASS 5.5% 01/JUN/2036 CL PN# 889745 | 59,864 |
| 51,854 | FNMA MORTPASS 6.5% 01/AUG/2037 CL PN# 936879 | 59,334 |
| 742,470 | FNMA REMICS 2004-97 ZH 4.5% 25/JAN/2035 | 789,115 |
| 145,877 | GOVT NATIONAL MORTGAGE ASSO CN VAR MTG BDS | 149,542 |
| 320,000 | TBA FNMA SINGLE FAMILY 15YR 2.5 1/15 | 325,800 |
| 200,000 | TBA FNMA SINGLE FAMILY 15YR 3 1/15 | 207,883 |
| 100,000 | TBA FNMA SINGLE FAMILY 15YR 3.5 1/15 | 105,641 |
| 765,000 | TBA FNMA SINGLE FAMILY 30YR 3 1/15 | 773,846 |
| 870,000 | TBA FNMA SINGLE FAMILY 30YR 3.5 1/15 | 906,907 |
| 610,000 | TBA FNMA SINGLE FAMILY 30YR 4 1/15 | 651,025 |
| 370,000 | TBA FNMA SINGLE FAMILY 30YR 4.5 1/15 | 401,624 |
| 110,000 | TBA GNMA2 SINGLE FAMILY 30YR 3 1/15 | 112,489 |
| 135,000 | TBA GNMA2 SINGLE FAMILY 30YR 3.5 1/15 | 141,708 |
| 505,000 | TBA GNMA2 SINGLE FAMILY 30YR 4 1/15 | 541,449 |
| | Total Mortgage Backed Securities | \$ 15,798,844 |

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Registered Investment Companies

| | | |
|-------|---|-----------|
| 1,544 | ABERDEEN TOTAL RETURNBOND FUND CL A | \$ 20,788 |
| 1,153 | ADVISERS INVT TR JOHCM INTL SELECT FD CL I | 21,430 |
| 4,937 | ADVISORS EDGEWOOD GROWTH FD RETAIL CL | 97,857 |
| 1,454 | ADVISOR'S INNER CIRCLE CAMBIAR OPPORTUNITY FD INVT CL | 36,676 |

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| 1,055 | ADVISORS INNER CIRCLE FD ACADIAN EMERGING MKTS INSTL CL | 19,267 |
| 174 | ADVISORS INNER CIRCLE FD CAMBIAR AGGR VALUE FD INV CL | 2,913 |
| 2,055 | ADVISORS INNER CIRCLE FD RICE HALL JAMES SMALL MID CAP | 26,985 |
| 82 | ADVISORS SER TR CHASE MID-CAP GROWTH FD CL A | 3,420 |
| 182 | AIM TAX EXEMPT FDS INVESCO TAX EXEMPT FDS MUNI INCOME FD | 2,496 |
| 6,748 | ALGER CAPITAL APPRECIATION INSTL PORTFOLIO | 181,054 |
| 80 | ALGER GROWTH OPPORTUNITIES FUND CL A | 853 |
| 436 | ALLIANCEBERNSTEIN CAP FD INC SMALL CAP GROWTH FUND CL I | 20,550 |
| 906 | ALLIANCEBERNSTEIN DISCOVERY GROWTH FUND INC CL I | 8,441 |
| 14,843 | ALLIANCEBERNSTEIN GLOBAL BOND FUND INC CL I | 124,980 |
| 31,810 | ALLIANCEBERNSTEIN HIGH INC FD INC ADVISOR CL | 285,020 |
| 1,756 | ALLIANCEBERNSTEIN INTL VALUE FUND ADVISOR CL | 21,756 |
| 514 | ALLIANZGI EMERGING MARKETS OPPORTUNITIES FD CL D | 13,244 |
| 6,780 | ALLIANZGI INCOME & GROWTH FD CL D | 84,208 |
| 81 | ALLIANZGI NFJ ALL CAP VALUE FD CL D | 1,290 |
| 75 | ALLIANZGI NFJ DIVIDEND VALUE FD CL D | 1,293 |
| 1,011 | ALLIANZGI NJF INTERNATIONAL VALUE FD CL A | 21,598 |
| 160 | ALLIANZGI NJF INTERNATIONAL VALUE FD CL D | 3,410 |
| 818 | ALLIANZGI NJF SMALL CAP VALUE FD CL D | 21,934 |
| 290 | ALLIANZGI TECHNOLOGY FD CL D | 15,803 |
| 396 | ALLIANZGI TECHNOLOGY FD INST CL | 23,574 |
| 478 | ALPHAMARK INVT TR SMALL CAP GROWTH FD | 5,455 |
| 274 | ALPINE EQUITY TR INTL REAL ESTATE EQI FD INST | 6,078 |
| 305 | ALPINE EQUITY TR RLTY INCOME & GROWTH FD INST | 6,719 |
| 2,821 | ALPINE SER TR DYNAMIC DIVIDEND FD INST CL | 10,918 |
| 2,064 | AMANA MUT FDS TR DEVELOPING WORLD FD | 21,938 |
| 13,328 | AMANA MUT FDS TR GROWTH FD | 456,630 |
| 5,116 | AMANA MUT FDS TR INCOME FD | 238,612 |
| 1,995 | AMERICAN BALANCED FD INC CL R-5 | 49,408 |
| 9,370 | AMERICAN BALANCED FD INC CL R-6 | 231,992 |
| 525 | AMERICAN BEACON BALANCED FD INVESTOR CL | 7,745 |
| 413 | AMERICAN BEACON EMERGING MKTS FD INVESTOR CL | 4,221 |
| 2,638 | AMERICAN BEACON FDS BRIDGEWAY LARGE CAP VLE INV CL | 62,696 |
| 795 | AMERICAN BEACON FDS MID-CAP VALUE FD INVESTOR CL | 11,746 |
| 161 | AMERICAN BEACON INTL EQUITY FUND INVESTOR CL | 2,939 |
| 10,939 | AMERICAN BEACON LARGE CAP VALUE FUND INVESTOR CLASS | 300,490 |
| 13,994 | AMERICAN BEACON S&P 500 INDEX FD INVESTOR CL | 388,183 |
| 830 | AMERICAN CENTRY INVT TR HIGH YIELD FD CL A | 4,878 |
| 5,844 | AMERICAN CENTURY CAPITAL VALUE FD INVESTOR CLASS | 53,997 |
| 916 | AMERICAN CENTURY DIVERSIFIED BOND FUND INVESTOR CLASS | 9,982 |
| 11,122 | AMERICAN CENTURY EMERGING MARKETS INVESTOR CLASS | 95,758 |
| 1,195 | AMERICAN CENTURY EQUITY GROWTH INVESTOR CLASS | 36,725 |
| 28,839 | AMERICAN CENTURY EQUITY INCOME INVESTOR CLASS | 252,343 |
| 14,580 | AMERICAN CENTURY GLOBAL GOLD FUND INVESTOR CLASS | 108,620 |
| 2,587 | AMERICAN CENTURY HERITAGE FD INVESTOR CLASS | 60,843 |
| 900 | AMERICAN CENTURY HIGH YIELD BOND FUND INVESTOR CLASS | 5,292 |
| 16,005 | AMERICAN CENTURY INFLATION ADJUSTED BOND INVESTOR CLASS | 185,974 |
| 199 | AMERICAN CENTURY INTERNATIONAL BOND INVESTOR CL | 2,612 |
| 6,268 | AMERICAN CENTURY LEGACY LARGE CAP FUND INVESTOR CL | 108,815 |

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| 252 | AMERICAN CENTURY ONE CHOICE VERY AGGRESSIVE INV CLASS | 4,464 |
| 3,218 | AMERICAN CENTURY PREMIUM MONEY MARKET FUND INVESTOR CL | 3,218 |
| 171 | AMERICAN CENTURY REAL ESTATE FUND INVESTOR CLASS | 5,073 |
| 2,563 | AMERICAN CENTURY UTILITIES FUND INVESTOR CLASS | 46,269 |
| 1,753 | AMERICAN CENTURY VALUE FUND INVESTOR CL | 15,092 |

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| 2,589 | AMERICAN CENTY CAP PORTFOLIO INC GLOBAL REAL ESTATE FD INV | 29,903 |
| 2,758 | AMERICAN CENTY CAP PORTFOLIO MID CAP VALUE FD INV CL | 45,373 |
| 1,302 | AMERICAN CENTY EQI FD DISCIPLINED GWTH FD INV CL | 24,763 |
| 7,991 | AMERICAN CENTY SHRT DURATION INFLATION PROTCTN BD FD INV CL | 79,826 |
| 7,642 | AMERICAN FDS MONEY MKT FD CL A | 7,642 |
| 243 | AMERICAN HIGH INCOME TR CL R-5 | 2,611 |
| 595 | AMERICAN MUTUAL FUND INC CL F1 | 22,018 |
| 13,678 | AMG GW&K ENHANCED CORE BOND INV CL | 139,789 |
| 104 | AMG GW&K MUNICIPAL ENHANCED YIELD INV CL | 1,059 |
| 236 | AMG MANAGERS BRANDYWINE BLUE | 8,358 |
| 531 | AMG MANAGERS BRANDYWINE FUND | 18,599 |
| 817 | AMG MANAGERS GLOBAL INCOME OPPORTUNITY | 16,072 |
| 11,955 | AMG MANAGERS HIGH YIELD INV CL | 93,729 |
| 7,822 | AMG MANAGERS REAL ESTATE SECURITIES | 94,486 |
| 1,494 | AMG MANAGERS SKYLINE SPECIAL EQI | 59,594 |
| 17,372 | AMG MANAGERS TOTAL RETURN BD | 180,143 |
| 426 | AMG SOUTHERNSUN SMALL CAP INV CL | 10,604 |
| 11,461 | AMG SOUTHERNSUN U S EQI INV CL | 150,144 |
| 8,519 | AMG YACKTMAN FOCUSED SVC CL | 220,462 |
| 8,322 | AMG YACKTMAN FUND SVC CL | 209,040 |
| 6,122 | AQR FDS DIVERSIFIED ARBITRAGE FD CL I | 62,134 |
| 4,717 | AQR FDS DIVERSIFIED ARBITRAGE FD CL N | 47,738 |
| 1,165 | ARIEL APPRECIATION FUND-CL A | 62,478 |
| 46 | ARIEL FUND | 3,281 |
| 1,173 | ARTISAN FDS INC ARTISAN VALUE FUND | 15,344 |
| 73 | ARTISAN FDS INC GLOBAL EQUITY FD INV SHS | 1,187 |
| 2,287 | ARTISAN FDS INC GLOBAL OPPORTUNITIES FD INV CL | 41,756 |
| 78 | ARTISAN FDS INC GLOBAL VALUE FD INV SHS | 1,205 |
| 9,748 | ARTISAN FUNDS INC INTERNATIONAL FUND | 292,040 |
| 275 | ARTISAN FUNDS INC SMALL CAP FUND | 8,077 |
| 99 | ARTISAN GLOBAL SMALL CAP INV CL | 1,047 |
| 1,129 | ARTISAN INTERNATIONAL VALUE FUND INV SHS | 38,628 |
| 1,785 | ASTON FUNDS TAMRO DIVERSIFIED EQUITY FD CL N | 31,887 |
| 1,068 | ASTON/FAIRPOINTE MID CAP FUND CLASS N | 43,464 |
| 7,764 | ASTON/LMCG SMALL CAP GROWTH FUND CLASS N | 111,961 |
| 3,915 | AVE MARIA BOND FUND CL R | 43,653 |
| 599 | AVE MARIA GROWTH FUND | 16,928 |
| 943 | BAIRD AGGREGATE BOND FUND INV CL | 10,509 |
| 491 | BAIRD FDS INC CORE PLUS BD FD INV CL SHS | 5,671 |
| 868 | BAIRD FDS INC INTER MUN BD FD INV CL SHS | 10,443 |
| 1,451 | BAIRD FDS INC MIDCAP FD INV CL SHS | 21,704 |
| 803 | BARON ASSET FUND-SBI | 51,195 |
| 1,417 | BARON GROWTH FUND | 102,429 |
| 63 | BARON SELECT FDS BARON PARTNERS FD | 2,324 |
| 8,750 | BARON SELECT FDS EMERGING MARKETS FD RETAIL SHS | 104,217 |
| 2,918 | BARON SELECT FDS REAL ESTATE FD RETAIL SHS | 75,626 |
| 9,698 | BARON SMALL CAP FUND | 323,042 |
| 1,427 | BBH CORE SELECT FD CL N | 32,388 |

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| 514 | BERWYN FDS INC INCOME FUND | 6,995 |
| 828 | BLACKROCK ENERGY & RESOURCES PORTFOLIO FUND CL A | 20,259 |
| 506 | BLACKROCK EQUITY DIVIDEND FUND CL A | 12,593 |
| 5,508 | BLACKROCK EQUITY DIVIDEND FUND SVC CL | 136,989 |
| 65 | BLACKROCK EUROFUND CL A | 916 |
| 4,141 | BLACKROCK FDS EMERGING MKT LONG/SHORT EQUITY FD INVS A | 42,325 |

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| 5 | BLACKROCK FDS III S&P 500 STOCK FD INVS A SHS | 1,254 |
| 1,980 | BLACKROCK FDS INFLATION PROTECTED BD SVC | 21,146 |
| 3,560 | BLACKROCK FUNDS HIGH YIELD BD PORT SERVICE CL | 28,055 |
| 1,028 | BLACKROCK FUNDS-SMALL CAP GROWTH EQUITY PORTFOLIO INV A | 15,379 |
| 422 | BLACKROCK GLOBAL ALLOCATION FD INC CL C | 7,664 |
| 44 | BLACKROCK HEALTH SCIENCES OPPORTUNITIES PORTFOLIO CL A | 2,155 |
| 6,599 | BLACKROCK INTERNATIONAL INDEX FUND CL A | 79,982 |
| 2,506 | BLACKROCK LARGE CAP SER FDS INC LARGE CAP CORE FD INSTL CL | 46,334 |
| 24 | BLACKROCK LATIN AMER FD INC CL A | 1,105 |
| 1,351 | BLACKROCK MULTI-ASSET INCOME PORTFOLIO FD CL A | 15,176 |
| 121 | BLACKROCK NAT RES TR CL A | 6,396 |
| 14,500 | BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO CL A | 146,593 |
| 423 | BMO FDS INC BMO LARGE CAP GROWTH FD CL Y | 6,752 |
| 10,296 | BOND FD AMER INC CL R-3 | 131,887 |
| 971 | BOND FD AMER INC CL R-5 | 12,440 |
| 6,445 | BRIDGEWAY FDS INC SMALL-CAP GROWTH FD CL N | 122,970 |
| 3,572 | BRIDGEWAY FUND INC AGGRESSIVE INVESTOR CL 1 | 212,150 |
| 809 | BROADVIEW FDS TR OPPORTUNITY FUND | 29,997 |
| 335 | BROWN CAP MGMT MUT FDS BROWN CAP MGMT SMALL CO FD INV | 24,044 |
| 3,003 | BUFFALO FDS MID CAP FD | 52,404 |
| 7,763 | BUFFALO FLEXIBLE INCOME FUND INC | 112,415 |
| 2,629 | BUFFALO FUNDS BUFFALO DISCOVERY FUND | 52,572 |
| 3,743 | BUFFALO HIGH YIELD FUND INC | 43,010 |
| 464 | BUFFALO SMALL CAP FD INC | 14,680 |
| 7,944 | CALAMOS INVT TR NEW MARKET NEUTRAL INCOME FD CL A | 102,949 |
| 981 | CAPITAL INCOME BLDR FD CL R-5 | 58,460 |
| 3,036 | CAPITAL INCOME BUILDER FD CL A | 180,876 |
| 632 | CAPITAL INCOME BUILDER FD CL F-1 | 37,664 |
| 816 | CAPITAL WORLD BD FD CL R-5 | 16,203 |
| 970 | CAPITAL WORLD GROWTH & INCOME FD INC CL R-5 | 44,723 |
| 118 | CAPITAL WORLD GROWTH & INCOME FUND CLASS A | 5,436 |
| 328 | CAUSEWAY INTERNATIONAL VALUE FUND INVESTOR CLASS | 4,820 |
| 40 | CGM FOCUS FUND | 1,625 |
| 257 | CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS A | 52,331 |
| 309 | CLIPPER FUND INC | 30,556 |
| 1,265 | COHEN & STEERS REALTY SHARES INC | 97,263 |
| 3,752 | COLUMBIA ACORN TR INTL CL A | 156,367 |
| 361 | COLUMBIA ACORN TR INTL CL Z | 15,066 |
| 1,404 | COLUMBIA EMERGING MARKETS CL Z | 13,900 |
| 2,738 | COLUMBIA FDS SER TR I DIVIDEND INCOME CL A | 51,867 |
| 1,738 | COLUMBIA FDS SER TR I DIVIDEND INCOME CL Z | 32,933 |
| 1,372 | COLUMBIA FDS SER TR I MID CAP GROWTH FD CL Z | 39,666 |
| 1,057 | COLUMBIA FDS SER TR I SMALL CAP GROWTH FD I CL Z | 27,359 |
| 7,755 | COLUMBIA FDS SER TR II MASS FLTG RATE FD CL A | 69,636 |
| 2,586 | COLUMBIA FDS SER TR II MASS INCOME BUILDER FD CL A | 30,590 |
| 345 | COLUMBIA FDS SER TR INTL VALUE FD CL Z | 4,762 |
| 322 | COLUMBIA FDS SER TR MID CAP INDEX FD CL A | 5,001 |
| 395 | COLUMBIA FDS SER TR MID CAP VALUE FD CL A | 6,721 |
| 271 | COLUMBIA FDS SER TR SMALL CAP VALUE II FD CL A | 4,716 |

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| 769 | COLUMBIA GLOBAL ENERGY AND NATURAL RESOURCES FUND CLASS Z | 14,333 |
| 3,928 | COLUMBIA SELECT LARGE CAP GROWTH CLASS Z | 70,045 |
| 4,078 | COLUMBIA VALUE AND RESTRUCTURING CLASS Z | 188,621 |
| 140 | CONESTOGA FDS SMALL CAP FD | 4,734 |
| 451 | CROFT FDS CORP CROFT-LEOMINSTER VALUE FD | 10,824 |

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| 763 | CULLEN HIGH DIVIDEND EQUITY FUND | 13,279 |
| 3,166 | DAVIS NEW YORK VENTURE FUND INC-CL A | 116,639 |
| 7,299 | DAVIS NEW YORK VENTURE FUND INC-CL Y | 272,770 |
| 32 | DAVIS SERIES INC FINANCIAL FUND CL A | 1,273 |
| 1,860 | DELAWARE GROUP ADVISER FUNDS INC-U S GROWTH PORTF INSTL CL | 50,770 |
| 1,387 | DELAWARE GROUP GOVT FD INFLATION PROTECTED FD CL A | 12,275 |
| 5,210 | DELAWARE POOLED TRUST DIVERSIFIED INCOME FD INSTL CL | 46,789 |
| 2,319 | DEUTSCHE ALTERNATIVE ASSET ALLOCATION FUND A | 21,658 |
| 5,563 | DEUTSCHE GLOBAL INFRASTRUCTURE FUND C | 82,782 |
| 34 | DEUTSCHE LATIN AMERICA EQUITY FUND A | 777 |
| 919 | DEUTSCHE REAL ESTATE SECURITIES FUND A | 21,713 |
| 1,047 | DEUTSCHE SHORT DURATION FUND CL S | 9,424 |
| 19,403 | DEUTSCHE UNCONSTRAINED INCOME FUND A | 91,776 |
| 5,633 | DIAMOND HILL FDS SMALL CAP FD CL I SHS | 186,121 |
| 5,456 | DIAMOND HILL LONG-SHORT FUND CLASS A | 130,232 |
| 1,786 | DIAMOND HILL LONG-SHORT FUND CLASS I | 43,247 |
| 474 | DIREXION FDS MNTHLY LATIN AMER BULL 2X INV | 9,603 |
| 23 | DIREXION FDS MNTHLY SML CAP BULL 2X INV CL | 1,121 |
| 239 | DIREXION FDS MNTHY NASDQ 100 BULL 2X INV CL | 13,008 |
| 177 | DIREXION FDS MON 7 10 YR TREAS BEAR 2X FD | 6,172 |
| 937 | DIREXION FDS MONTHLY S&P 500 BEAR 2X FD NEW | 28,375 |
| 1,513 | DIREXION FDS MONTHLY S&P 500 BULL 2X FD INV | 136,394 |
| 85 | DODGE & COX BALANCED FUND | 8,680 |
| 1,754 | DODGE & COX FDS GLOBAL STK FD | 20,749 |
| 12,439 | DODGE & COX FUNDS INTERNATIONAL STOCK FUND | 523,794 |
| 21,783 | DODGE & COX INCOME FUND | 300,176 |
| 1,707,925 | DODGE & COX INTERNATIONAL STOCK FUND OPEN-END FUND | 71,920,706 |
| 561 | DODGE & COX STOCK FUND | 101,568 |
| 450 | DOUBLELINE FDS TR EMERGING MKTS INCOME FD CL N | 4,644 |
| 2,676 | DOUBLELINE FDS TR TOTAL RETURN BD FD CL N | 29,352 |
| 905 | DREYFUS APPRECIATION FUND INC | 48,803 |
| 365 | DREYFUS GLOBAL REAL ESTATE SECURITIES FD CLASS I | 3,301 |
| 2,029 | DREYFUS GREATER CHINA FD CL C | 68,028 |
| 112 | DREYFUS GREATER CHINA FD CL I | 4,472 |
| 131 | DREYFUS INTERNATIONAL BOND FUND CLASS C | 2,085 |
| 1,301 | DREYFUS INVT FDS BOSTON CO SML/MID CAP GRW CL A | 21,748 |
| 4,626 | DREYFUS MIDCAP INDEX FUND | 173,954 |
| 586 | DREYFUS OPPURTUNISTIC MIDCAP VALUE FUND CL A | 23,116 |
| 138 | DREYFUS S&P 500 INDEX FUND | 7,146 |
| 518 | DREYFUS/LAUREL FUNDS INC BOND MARKET INDEX FUND INV SHS | 5,468 |
| 14,416 | DRIEHAUS MUT FDS ACTIVE INCOME FUND | 150,212 |
| 10,481 | DRIEHAUS MUT FDS EMERGING MKTS GROWTH FD | 310,671 |
| 117 | EATON VANCE DIVIDEND BUILDER FD CL A | 1,665 |
| 55 | EATON VANCE GROWTH TRUST ASIAN SMALL COS FD-CL A | 870 |
| 5,010 | EATON VANCE HIGH INCOME OPPORTUNITIES FUND CLASS A | 22,695 |
| 1,811 | EATON VANCE LARGE CAP VALUE FUND CL A | 33,922 |
| 6,508 | EATON VANCE MUTUAL FDS TRUST GBL MACRO ABSOLUTE RETURN CL R | 63,774 |

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| 122 | EURO PAC GROWTH FD CL R-5 | 5,761 |
| 480 | EURO PAC GROWTH FUND CL F1 | 22,535 |
| 241 | EURO PACIFIC GROWTH FUND CLASS A | 11,372 |
| 13,060 | FAIRHOLME FUNDS INC COM | 458,129 |
| 1,957 | FEDERATED EQUITY FDS PRUDENT BEAR FD CL A SHS | 4,383 |
| 232 | FEDERATED EQUITY INCOME FD INC SHS CL R | 5,529 |
| 9,976 | FEDERATED HIGH YIELD TRUST SBI | 66,941 |

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| 463 | FEDERATED PRUDENT DOLLARBEAR FUND CL A | 4,696 |
| 16,813 | FEDERATED STRATEGIC VALUE DIVIDEND FUND CL A | 99,028 |
| 1,694 | FEDERATED STRATEGIC VALUE DIVIDEND FUND INSTL | 10,012 |
| 4,045 | FIDELITY ADVISOR BIOTECHNOLOGY FUND INSTL CL | 104,598 |
| 110 | FIDELITY ADVISOR CONSUMER STAPLES FD CL A | 10,648 |
| 351 | FIDELITY ADVISOR EMERGING ASIA FD CL I | 10,865 |
| 122 | FIDELITY ADVISOR ENERGY CL I | 4,422 |
| 160 | FIDELITY ADVISOR FINANCIAL SERVICES FUND CL I | 2,637 |
| 268 | FIDELITY ADVISOR FREEDOM 2050 FD INSTL | 2,908 |
| 444 | FIDELITY ADVISOR GOLD FUND CL A | 7,146 |
| 1,777 | FIDELITY ADVISOR GOLD FUND CL I | 29,217 |
| 878 | FIDELITY ADVISOR HEALTH CARE FUND CL I | 36,296 |
| 242 | FIDELITY ADVISOR HIGH INCOME FUND CL I | 2,420 |
| 135 | FIDELITY ADVISOR INDUSTRIALS CL A | 5,002 |
| 208 | FIDELITY ADVISOR INDUSTRIALS CL I | 8,022 |
| 2,332 | FIDELITY ADVISOR NEW INSIGHTS FD CL I | 63,315 |
| 1,710 | FIDELITY ADVISOR SER VII BIOTECHNOLOGY FUND CL C | 37,511 |
| 1,589 | FIDELITY ADVISOR SMALL CAP VALUE FD CL | 30,100 |
| 2,745 | FIDELITY BALANCED FUND | 62,499 |
| 3,831 | FIDELITY BLUE CHIP GROWTH FUND | 262,137 |
| 5,636 | FIDELITY CANADA FUND | 296,479 |
| 1,083 | FIDELITY CAP APPRECIATION FUND | 39,004 |
| 36,173 | FIDELITY CAPITAL & INCOME FUND | 350,154 |
| 1,047 | FIDELITY CHINA REGION FUND | 32,110 |
| 2,299 | FIDELITY COMWLTH TR II MID CAP ENHANCED INDEX FD | 31,195 |
| 890 | FIDELITY COMWLTH TR II SMALL CAP ENHANCED INDEX FD | 11,148 |
| 20,339 | FIDELITY CONCORD STR SPARTAN U S EQI INDX FD ADVANTAGE CL | 1,481,729 |
| 36,328 | FIDELITY CONCORD STR SPARTAN US BD IDX FD FIDELITY INVST CL | 426,496 |
| 443 | FIDELITY CONSUMER FINANCE PORTFOLIO | 6,242 |
| 7,569 | FIDELITY CONTRAFUND | 741,495 |
| 820 | FIDELITY CONVERTIBLE SECS FD | 26,426 |
| 202 | FIDELITY DIVERSIFIED INTL FUND | 6,956 |
| 499 | FIDELITY EMERGING ASIA FUND | 16,285 |
| 1,739 | FIDELITY EMERGING MARKETS FD | 42,270 |
| 122 | FIDELITY EUROPE FUND | 4,314 |
| 847 | FIDELITY EXPORT AND MULTINTL FUND | 18,046 |
| 2,672 | FIDELITY FIXED INCOME TR CORP BD FD | 30,620 |
| 6,971 | FIDELITY FLOATING RATE HIGH INCOME FD | 67,058 |
| 2,417 | FIDELITY FOCUSED STOCK FUND | 45,293 |
| 1,479 | FIDELITY GNMA FUND | 17,249 |
| 60 | FIDELITY GROWTH & INCOME PORTFOLIO | 1,818 |
| 171 | FIDELITY GROWTH STRATEGIES | 5,521 |
| 297 | FIDELITY INTL DISCOVERY FUND | 11,301 |
| 2,918 | FIDELITY INTL GROWTH FD | 31,515 |
| 15,973 | FIDELITY INTL REAL ESTATE FD | 161,809 |
| 228 | FIDELITY INTL SMALL CAP OPPS FD | 3,118 |
| 114 | FIDELITY INVT TR ADVISOR CHINA REGION FD CL A | 3,480 |
| 320 | FIDELITY INVT TR GLOBAL COMMODITY STK FD | 3,988 |
| 165 | FIDELITY JAPAN FUND | 1,803 |

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| 208 | FIDELITY JAPAN SMALL COMPANIES FUND | 2,555 |
| 619 | FIDELITY LARGE CAP STOCK FUND | 17,496 |
| 1,912 | FIDELITY LATIN AMERICA FUND | 45,485 |
| 6,073 | FIDELITY LEVERAGED COMPANY STOCK FUND | 275,245 |
| 4,630 | FIDELITY LOW PRICED STOCK FUND | 232,654 |

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| 4,483 | FIDELITY MEGA CAP STOCK FUND | 73,974 |
| 10,400 | FIDELITY MID CAP STOCK FUND | 399,254 |
| 4,466 | FIDELITY MID CAP VALUE FUND | 109,961 |
| 985 | FIDELITY NASDAQ COMPOSITE INDEX FD | 61,382 |
| 10,243 | FIDELITY NEW MARKETS INCOME INCOME FUND | 156,303 |
| 3,348 | FIDELITY NEW MILLENNIUM FUND | 129,742 |
| 147 | FIDELITY NORDIC FUND | 6,273 |
| 613 | FIDELITY OTC PORTFOLIO | 48,753 |
| 388 | FIDELITY OVERSEAS FUND | 14,805 |
| 782 | FIDELITY PACIFIC BASIN FUND | 20,398 |
| 8,236 | FIDELITY PURITAN FUND | 176,999 |
| 8,836 | FIDELITY REAL ESTATE INCOME FD | 103,112 |
| 4,242 | FIDELITY REAL ESTATE INVSTMT PORTFOLIO | 173,288 |
| 1,378 | FIDELITY SECS FD REAL ESTATE INCOME FD CL A | 16,007 |
| 1,303 | FIDELITY SELECT AIR TRANSPORTATION PORTFOLIO | 97,221 |
| 7,130 | FIDELITY SELECT BANKING PORT | 191,576 |
| 4,629 | FIDELITY SELECT BIOTECH PORTFOLIO | 1,024,213 |
| 616 | FIDELITY SELECT BROKERAGE & INVT MGMT PORTFOLIO | 46,518 |
| 2,138 | FIDELITY SELECT CHEMICAL PORTFOLIO | 313,703 |
| 122 | FIDELITY SELECT CONSTRUCTION & HOUSING PORTFOLIO | 6,836 |
| 772 | FIDELITY SELECT CONSUMER STAPLES | 75,414 |
| 1,092 | FIDELITY SELECT DEFENSE & AEROSPACE PORTFOLIO | 128,615 |
| 2,118 | FIDELITY SELECT ELECTRONICS PORTFOLIO | 179,528 |
| 3,679 | FIDELITY SELECT ENERGY PORT | 164,859 |
| 354 | FIDELITY SELECT ENERGY SERV PORTFOLIO | 19,897 |
| 244 | FIDELITY SELECT FINANCIAL SERVICES PORTFOLIO | 21,710 |
| 2,436 | FIDELITY SELECT GOLD PORT | 40,045 |
| 2,095 | FIDELITY SELECT HEALTH CARE PORTFOLIO | 456,080 |
| 3,663 | FIDELITY SELECT INDUSTRIAL EQUIPMENT PORTFOLIO | 137,715 |
| 700 | FIDELITY SELECT INDUSTRIALS | 22,245 |
| 165 | FIDELITY SELECT INSURANCE PORTFOLIO | 11,144 |
| 526 | FIDELITY SELECT IT SERVICES | 19,322 |
| 437 | FIDELITY SELECT LEISURE PORT | 57,979 |
| 2,860 | FIDELITY SELECT MATERIALS | 220,335 |
| 856 | FIDELITY SELECT MEDICAL EQUIPMENT AND SYSTEMS | 33,243 |
| 351 | FIDELITY SELECT MULTIMEDIA PORTFOLIO | 28,180 |
| 3,650 | FIDELITY SELECT NATURAL GAS PORTFOLIO | 118,137 |
| 897 | FIDELITY SELECT NATURAL RESOURCES PORTFOLIO | 27,290 |
| 5,661 | FIDELITY SELECT PHARMACEUTICALS PORT | 121,027 |
| 240 | FIDELITY SELECT RETAILING PORTFOLIO | 21,607 |
| 631 | FIDELITY SELECT SOFTWARE & COMPUTER SVCS | 73,176 |
| 1,813 | FIDELITY SELECT TECHNOLOGY PORTFOLIO | 207,527 |
| 814 | FIDELITY SELECT TRANSPORT PORTFOLIO | 78,365 |
| 266 | FIDELITY SELECT UTILITIES PORTFOLIO | 19,972 |
| 151 | FIDELITY SELECT WIRELESS | 1,375 |
| 597 | FIDELITY SHORT TERM BOND FD | 5,125 |
| 6,388 | FIDELITY SMALL CAP DISCOVERY FD | 192,202 |
| 2,157 | FIDELITY SMALL CAP GROWTH FD | 39,718 |
| 1,948 | FIDELITY SMALL CAP VALUE FD | 36,879 |

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| 5,836 | FIDELITY SPARTAN 500 INDEX INVESTOR CLASS | 425,118 |
| 427 | FIDELITY SPARTAN EXTENDED MKT INDEX FD INVESTOR CLASS | 23,561 |
| 6,465 | FIDELITY SPARTAN INTERNL INDEX FD INVESTOR CLASS | 240,644 |
| 3,764 | FIDELITY SPARTAN INTERNL MKT INDEX FD ADVANTAGE CL | 140,082 |
| 10,697 | FIDELITY SPARTAN LONG-TERM TREAS BD INDEX INV CL | 142,486 |

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| 1,347 | FIDELITY SPARTAN TOTAL MKT INDEX FD ADV CL | 80,635 |
| 1,820 | FIDELITY STRATEGIC DIV & INC FD | 26,656 |
| 911 | FIDELITY STRATEGIC INCOME FD | 9,734 |
| 2,291 | FIDELITY TELECOM AND UTILITIES FUND | 55,289 |
| 938 | FIDELITY TOTAL BOND FUND | 10,016 |
| 599 | FIDELITY VALUE FUND | 67,892 |
| 947 | FIDELITY WORLDWIDE FUND | 21,122 |
| 7,565 | FINANCIAL INVS TR LISTED PRIVATE EQUITY FD CL A | 49,855 |
| 614 | FINANCIAL INVS TR VULCAN VALUE PARTNERS FD | 11,993 |
| 373 | FIRST EAGLE FUND OF AMERICA CLASS Y | 14,156 |
| 5,605 | FIRST EAGLE GOLD FUND CL A | 79,362 |
| 1,759 | FIRST EAGLE OVERSEAS FUND CL C | 37,194 |
| 832 | FMI FDS INC COM STK FD | 22,597 |
| 19,169 | FMI FUNDS INC LARGE CAP FUND | 406,772 |
| 4,545 | FORUM ABSOLUTE STRATEGIES FD CL R | 49,727 |
| 3,577 | FORUM FDS ABSOLUTE STRATEGIES FD INSTL | 39,671 |
| 1,080 | FORUM FDS MERK ABSOLUTE RETURN CURRENC | 10,085 |
| 1,070 | FORUM FDS MERK ASIAN CURRENCY FD INV SHS | 10,035 |
| 933 | FORUM FDS MERK HARD CURRENCY FD INVS SHS | 9,733 |
| 6,764 | FORWARD EM CORPORATE DEBT FUND INVESTOR CL | 59,255 |
| 3,206 | FORWARD EMERGING MARKETS FUND INVESTOR CLASS | 32,834 |
| 1,046 | FORWARD SMALL CAP EQUITY FUND INVESTOR CLASS | 23,357 |
| 4,882 | FPA FDS TR FPA CRESCENT PORTFOLIO INSTL | 164,716 |
| 201 | FRANKLIN BIOTECHNOLOGY DISCOVERY FUND-CL A | 33,939 |
| 32,417 | FRANKLIN INCOME FDS SER CL C | 78,772 |
| 32,155 | FRANKLIN INCOME SERIES CL A | 77,171 |
| 308 | FRANKLIN MODERATE ALLOCATION FD CL A | 4,843 |
| 2,599 | FRANKLIN MUTUAL BEACON FUND CL C | 42,521 |
| 568 | FRANKLIN MUTUAL FINANCIAL SERVICES FUND CL A | 10,486 |
| 1,548 | FRANKLIN MUTUAL FINANCIAL SERVICES FUND CL C | 28,498 |
| 267 | FRANKLIN RISING DIVS FD CL A | 13,908 |
| 2,118 | FRANKLIN STRATEGIC SER SMALL CAP GROWTH FD CL R | 37,358 |
| 79 | FRANKLIN TEMPLETON FDS GOLD & PRECIOUS METALS FD CL C | 1,056 |
| 4,978 | FRANKLIN TEMPLETON FUND STRATEGIC SER INCOME FUND CL-C | 49,776 |
| 560 | FRANKLIN TEMPLETON FUNDS GOLD & PRECIOUS METALS FD CL A | 7,948 |
| 855 | FRANKLIN TEMPLETON FUNDS UTILITIES SERIES CL A | 15,168 |
| 504 | FUNDAMENTAL INVESTORS INC CLASS A | 26,242 |
| 494 | FUNDAMENTAL INVS INC CL F1 | 25,682 |
| 2,079 | FUNDAMENTAL INVS INC CL R-5 | 108,279 |
| 192 | FUNDX INVT TR FUNDX CONSERVATIVE UPGRADER FD | 7,987 |
| 328 | FUNDX INVT TR FUNDX FLEXIBLE INCOME FD | 9,980 |
| 1,774 | GABELLI EQUITY SERIES FUNDS INC-EQUITY INCOME FUND | 51,578 |
| 474 | GABELLI EQUITY SERIES FUNDS INC-SMALL CAPITAL GROWTH FUND | 23,269 |
| 1,003 | GABELLI FOCUS FIVE FUND CL AAA | 14,378 |
| 10,876 | GABELLI UTILS FD CL AAA SHS | 57,098 |
| 527 | GAMCO GLOBAL SER FDS INC TELECOMMUNICATIONS FD CL AAA | 12,457 |
| 4,768 | GAMCO GOLD FUND INC CL AAA | 50,395 |
| 3,524 | GLENMEDE FD INC LARGE CAP 100 PORT | 76,571 |
| 1,390 | GLENMEDE FD INC LARGE CAP GROWTH PORT | 32,619 |

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| 2,568 | GLENMEDE FUND GLENMEDE SMALL CAP EQUITY A | 66,572 |
| 511 | GOLDMAN SACHS COMMODITY STRATEGY FUND CLASS A | 1,988 |
| 12,234 | GOLDMAN SACHS GROWTH STRATEGY PORTFOLIO-CL A | 157,939 |
| 1,679 | GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FUND CL A | 16,224 |
| 12,415 | GOLDMAN SACHS STRATEGIC INCOME FUND CL A | 127,624 |

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| 2,122 | GOODHAVEN FUND | 50,408 |
| 214 | GRANDEUR PEAK EMRGNM MRKTS OPPORTUNITIES FD INV CL | 2,262 |
| 7,295 | GRANDEUR PEAK GLOBAL OPPORTUNITIES INV | 23,199 |
| 2,803 | GREENSPRING FUND INC | 69,518 |
| 4,216 | GROWTH FD AMER INC CL R-5 | 179,746 |
| 5,213 | GROWTH FUND AMER INC CL F1 | 221,237 |
| 570 | GROWTH FUND AMERICA INC CLASS A | 24,317 |
| 11,730 | GUINNESS ATKINSON FDS ALTERNATIVE ENERGY FD | 40,117 |
| 748 | GUINNESS ATKINSON FDS ASIA PACIFIC DIV BUILDER FUND | 10,343 |
| 3,624 | GUINNESS ATKINSON GLOBAL ENERGY FUND | 93,240 |
| 196 | HANCOCK HORIZON FAMILY FDS BURKENROAD FD CL A | 11,015 |
| 1,363 | HANCOCK HORIZON FAMILY FDS BURKENROAD FD CL D | 74,501 |
| 2,842 | HARBOR CAPITAL APPRECIATION FUND INVESTOR CLASS | 162,893 |
| 16,709 | HARBOR FD REAL RETURN FD INSTL CL | 155,557 |
| 2,100 | HARBOR HIGH YIELD BOND FUND INSTITUTIONAL CLASS | 21,569 |
| 7,950 | HARBOR HIGH YIELD BOND FUND INVESTOR CLASS | 81,803 |
| 1,161 | HARBOR INTERNATIONAL FUND INSTITUTIONAL | 75,183 |
| 5,359 | HARBOR INTERNATIONAL FUND INVESTOR CLASS | 343,860 |
| 7,264 | HARBOR INTERNATIONAL GROWTH FUND INSTITUTIONAL | 88,915 |
| 247 | HARDING LOEVNER EMERGING MARKETS PORTFOLIO ADVISOR CL | 11,268 |
| 8,760 | HARDING LOEVNER FDS INC FRONTIER EMRG MKTS PORT INV CL | 77,442 |
| 7,920 | HARDING LOEVNER FDS INC INTL EQUITY PORT INVS CL | 138,996 |
| 4,002 | HARDING LOEVNER FDS INC INTL SMLL COS PORTFLIO INV CL | 52,226 |
| 5,049 | HARDING LOEVNER FUNDS INC INTL EQUITY PORTFOLIO INSTL CL | 88,663 |
| 1,351 | HARTFORD MUTUAL FUNDS INC CAP APPREC FUND CL C | 41,574 |
| 463 | HARTFORD MUTUAL FUNDS INC CAPITAL APPRECIATION FUND CL A | 17,164 |
| 137 | HARTFORD MUTUAL FUNDS INC CAPITAL APPRECIATION FUND CL B | 4,160 |
| 1,376 | HEARTLAND GROUP INC VALUE PLUS FUND | 43,566 |
| 3,795 | HEARTLAND SELECT VALUE FUND | 118,868 |
| 31 | HENDERSON GLOBAL FDS EUROPEAN FOCUS FD CL I SHS | 1,035 |
| 3,032 | HENNESSY CORNERSTONE MID CAP 30 FUND INVESTOR CLASS SHARES | 56,704 |
| 959 | HENNESSY FDS TR CORNERSTONE LARGE GRWTH FD INVT CL | 12,818 |
| 268 | HENNESSY FOCUS FUND INVESTOR CLASS SHARES | 18,075 |
| 8,116 | HENNESSY GAS UTILITY INDEX FUND INVESTOR CLASS | 247,622 |
| 1,097 | HENNESSY JAPAN FUND INVESTOR CLASS SHARES | 23,034 |
| 1,014 | HENNESSY JAPAN SMALL CAP FUND INVESTOR CLASS SHARES | 9,588 |
| 723 | HENNESSY LARGE CAP FINANCIAL FUND INVESTOR CLASS | 14,199 |
| 1,070 | HENNESSY MUT FDS INC CORNERSTONE VALUE FD INVT CL | 19,476 |
| 541 | HENNESSY SMALL CAP FINANCIAL FUND INVESTOR CLASS | 11,474 |
| 1,468 | HOMESTEAD FDS INC SMALL CO STK FD | 57,669 |
| 301 | HOTCHKIS & WILEY FUNDS MID CAP VALUE FD CL A | 12,234 |
| 2,935 | HUSSMAN INVESTMENT TRUST STRATEGIC TOTAL RETURN FUND | 32,959 |
| 10,163 | HUSSMAN INVT TR STRATEGIC GROWTH FUND | 91,262 |
| 3,224 | HUSSMAN INVT TR STRATEGIC INTL EQUITY FD | 28,856 |
| 7,795 | ICON FUNDS ENERGY FUND | 110,616 |
| 175 | ICON FUNDS FINANCIAL FUND | 1,467 |
| 2,544 | ICON FUNDS ICON MATERIALS FUND | 37,322 |
| 120 | ICON FUNDS LEISURE & CONSUMER STAPLES FD | 1,111 |

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| 397 | ICON UTILITIES FUND CL S | 3,516 |
| 7,237 | INCOME FUND OF AMERICA INC CLASS A | 156,166 |
| 905 | INTEGRITY FD WILLISTON BASIN/MID NORTH AMER CL A | 5,249 |
| 212 | INTERNATIONAL GROWTH & INC FD INC CL A SHS | 6,742 |
| 2,400 | INTERNATIONAL GROWTH & INC FD INC CL F-1 SHS | 76,362 |
| 11,908 | INVESCO AMERICAN FRANCHISE FUND CLASS C | 189,224 |

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| 44 | INVESCO CHINA FUND CL A | 884 |
| 3,708 | INVESCO COMSTOCK FUND CLASS C | 94,640 |
| 2,299 | INVESCO DIVERSIFIED DIVIDEND FD INV CL | 42,078 |
| 201 | INVESCO ENERGY FUND CLASS A | 6,569 |
| 667 | INVESCO ENERGY FUND CLASS INVESTOR | 21,738 |
| 149 | INVESCO EUROPEAN GROWTH FUND CLASS INVESTOR | 5,143 |
| 70 | INVESCO GLOBAL HEALTH CARE FD CL Y | 3,051 |
| 4,624 | INVESCO GOLD & PRECIOUS METALS FD CL Y | 17,803 |
| 98 | INVESCO GOLD & PRECIOUS METALS FUND CL C | 377 |
| 7,131 | INVESCO INTERNATIONAL CORE EQUITY FD INVST CL | 77,016 |
| 758 | INVESCO MID CAP CORE EQUITY FD CL Y | 18,000 |
| 257 | INVESCO MID CAP CORE EQUITY FUND CL A | 6,056 |
| 711 | INVESTMENT CO AMER CL R-6 | 26,372 |
| 4,078 | IVA FIDUCIARY TR IVA INTL FD CL A | 66,965 |
| 6,029 | IVA FIDUCIARY TR IVA INTL FD CL I | 99,053 |
| 349 | IVA FIDUCIARY TR IVA WORLDWIDE FD CL A | 6,090 |
| 327 | IVY ASSET STRATEGY FD CL C | 8,020 |
| 7,253 | IVY ASSET STRATEGY I | 186,699 |
| 25,587 | IVY FDS INC HIGH INCOME FD CL A | 206,485 |
| 714 | IVY FDS INC SCI & TECHNOLOGY FD CL I | 41,187 |
| 20 | IVY FDS INC SCIENCE & TECH FD CL A | 1,041 |
| 71 | IVY FDS INC SCIENCE & TECH FD CL Y | 3,983 |
| 51 | IVY GLOBAL NATURAL RESOURCES FUND-CL B | 699 |
| 2,632 | JAMES ADVANTAGE FDS BALANCED GOLDEN RAINBOW FUND | 64,871 |
| 484 | JAMES ADVANTAGE FDS SMALL CAP FUND | 16,315 |
| 3,489 | JANUS CONTRARIAN FUND CLASS T SHARES | 77,038 |
| 393 | JANUS GLOBAL SELECT FUND CLASS R SHARES | 5,255 |
| 2,985 | JANUS GLOBAL SELECT FUND CLASS T SHARES | 40,113 |
| 930 | JANUS INVESTMENT FUND FLEXIBLE BOND FUND CLASS T | 9,814 |
| 1,555 | JANUS INVESTMENT FUND GLOBAL LIFE SCIENCES FD CL T | 81,654 |
| 14,379 | JANUS INVESTMENT FUND SHORT TERM BOND FUND CL T | 43,713 |
| 491 | JANUS INVT FD GLOBAL REAL ESTATE FD CL T | 5,541 |
| 135 | JANUS INVT FD INTECH U S MANAGED VOLATILITY FD II CL T | 2,900 |
| 180 | JANUS INVT FD PERKINS MID CAP VALUE FD CL S | 3,623 |
| 510 | JANUS OVERSEAS FUND CLASS T SHARES | 16,052 |
| 4,619 | JANUS TRITON FUND CLASS T SHARES | 108,275 |
| 2,196 | JENSEN PORTFOLIO INC JENSEN QUALITY GROWTH FD | 88,186 |
| 7,178 | JOHN HANCOCK FDS II GBL ABSOLUTE RET STRATEGIES A | 78,385 |
| 3,785 | JOHN HANCOCK FDS III DISCIPLINED VALUE FD CL A | 73,843 |
| 1,167 | JOHN HANCOCK REGIONAL BANK FUND-CL A | 21,839 |
| 732 | JP MORGAN GROWTH ADVANTAGE FD CL A | 10,484 |
| 415 | JPMORGAN TR I EMERGING ECONOMIES FD CL A | 5,050 |
| 1,616 | JPMORGAN TR I INCOME BLDR FD CL A | 16,454 |
| 7,253 | JPMORGAN TR I INCOME BLDR FD SELECT CL | 73,913 |
| 3,757 | JPMORGAN TR I INTL VALUE FD CL A | 48,988 |
| 150 | JPMORGAN TR I MID CAP EQUITY FD CL A | 6,697 |
| 440 | JPMORGAN TR I SMARTRETIREMENT INCOME FD CL A | 7,704 |
| 7,467 | JPMORGAN TR I STRATEGIC INCM OPPTYS FD CL A | 87,291 |
| 64 | JPMORGAN TR I U S SMALL CO FD CL C | 1,017 |

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| 767 | JPMORGAN TR I VALUE ADVANTAGE FD CL A | 22,744 |
| 18,503 | JPMORGAN TR II CORE BD FD CL A | 217,591 |
| 1,451 | JPMORGAN TR II EQUITY INCOME FD CL A | 20,398 |
| 17,719 | JPMORGAN TR II EQUITY INCOME FD CL C | 245,943 |
| 10,748 | JPMORGAN TR II HIGH YIELD FD CL A | 81,150 |

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| 642 | JPMORGAN TR II LARGE CAP GROWTH FD CL A | 22,177 |
| 512,257 | JPMORGAN US GOVT MMKT - PREMIER SHR FUND 1086 | 512,265 |
| 538,867 | JPMORGAN US GOVT MMKT - PREMIER SHR FUND 1086 | 538,881 |
| 917,102 | JPMORGAN US GOVT MMKT - PREMIER SHR FUND 1086 | 917,117 |
| 924,018 | JPMORGAN US GOVT MMKT - PREMIER SHR FUND 1086 | 924,032 |
| 977,629 | JPMORGAN US GOVT MMKT - PREMIER SHR FUND 1086 | 977,636 |
| 1,350,926 | JPMORGAN US GOVT MMKT - PREMIER SHR FUND 1086 | 1,350,945 |
| 2,665,784 | JPMORGAN US GOVT MMKT - PREMIER SHR FUND 1086 | 2,665,819 |
| 1,998 | KEELEY SMALL CAP VALUE FD CL A | 76,554 |
| 377 | KINETICS PARADIGM FUND INV CL | 12,844 |
| 2,096 | LAUDUS TRUST LAUDUS U S LARGE CAP GROWTH FD | 34,831 |
| 7,515 | LAZARD EMERGING MARKETS PORT OPEN SHS | 132,646 |
| 4,498 | LAZARD FDS INC INTL STRATEGIC EQI PORT OPEN | 62,169 |
| 1,553 | LAZARD FDS INC US REALTY INCOME EQUITY PORTFOLIO OPEN | 13,048 |
| 281 | LAZARD INTERNATIONAL SMALL CAPITALIZATION PORT OPEN SHS | 2,821 |
| 1,736 | LEUTHOLD CORE INVESTMENT FUND | 32,062 |
| 6,514 | LEUTHOLD FUNDS INC GRIZZLY SHORT FUND | 46,188 |
| 141 | LITMAN GREGORY FDS TR MASTERS INTL FD INV CL | 2,421 |
| 312 | LOCORR INVT TR MANAGED FUTURES STRATEGY FD C | 2,586 |
| 63,810 | LOOMIS SAYLES BOND FUND RETAIL CLASS | 941,839 |
| 4,570 | LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A | 74,446 |
| 11,059 | LOOMIS SAYLES GLOBAL BOND FUND RETAIL CLASS | 171,088 |
| 5,624 | LORD ABBETT INVT TR FLTG RATE FD CL A | 51,512 |
| 3,704 | LORD ABBETT MULTI ASSET GROWTH FUND CL A | 65,111 |
| 104,201 | LORD ABBETT SHORT DURATION INCOME FUND CL I | 463,696 |
| 57 | LORD ASSET MANAGEMENT TRUST THOMAS WHITE INTL FUND | 909 |
| 182 | MADISON FDS DIVID INCOME FD CL Y | 4,116 |
| 291 | MADISON FDS INVS FD CL Y | 6,090 |
| 650 | MADISON FDS MID CAP FD CL Y | 5,618 |
| 76 | MAINGATE MLP FD CL I | 1,019 |
| 1,201 | MAINSTAY MARKETFIELD FUND CL A | 19,405 |
| 981 | MAINSTAY MARKETFIELD FUND CL I | 15,936 |
| 29 | MAIRS & PWR FDS TR GROWTH FD | 3,406 |
| 467 | MANAGED PORTFOLIO SER MUHLENKAMP FD | 27,799 |
| 448 | MANAGED PORTFOLIO SER TORTOISE MLP & PIPELINE FD C | 7,291 |
| 3,825 | MANNING AND NAPIER FUND INC NEW EQUITY SERIES | 65,140 |
| 5,306 | MARSICO 21ST CENTURY FUND | 114,339 |
| 1,776 | MARSICO FOCUS FUND | 35,420 |
| 5,768 | MARSICO GROWTH FD | 122,972 |
| 2,035 | MARSICO INVT FD FLEXIBLE CAP FD | 35,392 |
| 1,764 | MARSICO INVT FD INTL OPPORTUNITIES FD | 26,741 |
| 6,155 | MATTHEW 25 FD INC | 192,338 |
| 8,852 | MATTHEWS ASIA DIVIDEND FUND | 135,078 |
| 3,062 | MATTHEWS ASIA SCIENCE AND TECHNOLOGY FUND | 41,678 |
| 1,316 | MATTHEWS ASIAN FDS ASIA SMALL COS FD | 28,242 |
| 2,739 | MATTHEWS ASIAN GROWTH & INCOME FUND | 49,330 |
| 1,505 | MATTHEWS CHINA FUND | 32,305 |
| 6,624 | MATTHEWS INDIA FUND | 175,278 |
| 346 | MATTHEWS JAPAN FUND | 5,436 |

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| 3,286 | MATTHEWS KOREA FUND | 18,566 |
| 3,073 | MATTHEWS PACIFIC TIGER FUND | 81,646 |
| 7,623 | MEEDER FDS MUIRFIELD FD | 53,593 |
| 244 | MERGER FUND-SBI | 3,807 |
| 2,000 | MERIDIAN CONTRARIAN FUND | 77,575 |

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| 63,516 | METROPOLITAN WEST FDS TOTAL RETURN BOND FUND | 692,957 |
| 5,457 | METROPOLITAN WEST FUNDS HIGH YIELD BOND FUND CLASS M | 52,990 |
| 8,383 | METROPOLITAN WEST LOW DURATION BOND FUND | 73,769 |
| 144 | MFS GROWTH FUND CL I | 10,309 |
| 358 | MFS SER TR I VALUE FUND CL I | 12,561 |
| 590 | MFS SER TR V INTL NEW DISCOVERY FD CL A | 16,058 |
| 66 | MFS SER TR X INTERNATIONAL DIVERSIFICATION FUND CL I | 1,036 |
| 304 | MFS SER TR X INTL DIVERSIFICATION FD CL A | 4,743 |
| 471 | MFS SER TR X INTL VALUE FD CL A | 15,570 |
| 1,917 | MFS SERS TRUST VI UTILITIES FD CL I | 41,381 |
| 1,281 | MFS SERS TRUST X FOREIGN & COLONIAL INTL GROWTH FD CL I | 36,870 |
| 53 | MORGAN STANLEY EUROPEAN EQUITY FUND CL A | 926 |
| 1,535 | MORGAN STANLEY INSTL FD INC GROWTH PORTFOLIO CL A | 58,283 |
| 362 | MORGAN STANLEY INSTL FD INC SMALL CO GROWTH PORTFOLIO CL L | 5,291 |
| 430,632 | MORGAN TR I 100% U S TREAS SECS MNY MKTCL MORGAN SHARE CL | 430,632 |
| 1,304 | MORGAN TR I PRIME MONEY MKT FD MORGAN CL SECURITY SYMBOL IS V MVXX | 1,304 |
| 832,029 | MORGAN TR II U S GOVT MONEY MKT FD MORGAN | 832,029 |
| 2,595 | MOTLEY FOOL FDS TR GREAT AMERICA FD | 49,645 |
| 2,200 | MOTLEY FOOL FDS TR MOTLEY FOOL INDEPENDENCE FD | 44,431 |
| 1,852 | MUTUAL FD SER TR EVENTIDE GILEAD FD RETAIL CL | 48,924 |
| 346 | NATIONWIDE MUT FDS NEW HIGHMARK VALUE FD CL C | 4,706 |
| 5,559 | NATIXIS LOOMIS SAYLES CORE PLUS BD FD CL A | 72,496 |
| 730 | NEEDHAM AGGRESSIVE GROWTH FD | 17,194 |
| 2,813 | NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD CL A | 35,299 |
| 43,980 | NEUBERGER BERMAN ALTRNTIVE FDS ABSOL RTNMUL A | 472,341 |
| 720 | NEUBERGER BERMAN EQUITY FDS REAL ESTATE FD TR CL | 10,583 |
| 1,482 | NEUBERGER BERMAN GENESIS FD INVESTOR CLASS | 53,969 |
| 776 | NEUBERGER BERMAN GENESIS FUND TRUST CLASS | 45,979 |
| 3,433 | NEUBERGER BERMAN INTERNATINL EQUITY FUND INVESTOR CLASS | 68,652 |
| 140 | NEUBERGER BERMAN LARGE CAP VALUE FUND INVESTOR | 4,253 |
| 871 | NEUBERGER BERMAN STRATEGIC INCOME FD TR CL | 9,693 |
| 625 | NEW PERSPECTIVE FD INC CL F-2 SHS | 22,621 |
| 4,629 | NEW PERSPECTIVE FUND INC CL A | 167,936 |
| 392 | NEW WORLD FD INC CLASS R-5 | 21,008 |
| 82 | NEW WORLD FUND INC NEW | 4,385 |
| 781 | NICHOLAS FUND INC | 53,358 |
| 1,555 | NORTHERN EQUITY INDEX FDS MID CAP INDEX FD | 27,225 |
| 1,113 | NORTHERN FDS EMERGING MKTS EQUITY INDEX FD | 11,811 |
| 468 | NORTHERN FUNDS INCOME EQUITY FUND | 5,877 |
| 271 | NORTHERN LTS FD TR EAGLE MLP STRATEGY FD CL I SHS | 3,471 |
| 126,988 | NORTHERN LTS FD TR PAC FINL CORE EQUITY FD INV CL | 1,180,987 |
| 94,908 | NORTHERN LTS FD TR PAC FINL EXPLORER FD INV CL | 926,301 |
| 76,266 | NORTHERN LTS FD TR PAC FINL INTL FD INV CL | 417,936 |
| 105,439 | NORTHERN LTS FD TR PAC FINL STRTG CONSRVT INV CL | 997,453 |
| 41,814 | NORTHERN LTS FD TR PAC FINL TACTICAL FD INV CL | 400,578 |
| 6,024 | NUVEEN INVT FDS INC SHORT TERM BD FD CL A | 59,761 |
| 1,405 | OAKMARK EQUITY & INCOME FUND | 44,831 |

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| 414 | OAKMARK FUND | 27,504 |
| 1,974 | OAKMARK GLOBAL FUND | 57,586 |
| 10,511 | OAKMARK GLOBAL SELECT FUND | 168,393 |
| 11,109 | OAKMARK INTERNATIONAL FUND | 259,294 |
| 2,935 | OAKMARK INTERNATIONAL FUND CL II | 68,884 |
| 3,820 | OAKMARK INTERNATIONAL SMALL CAP FUND | 56,505 |
| 10,636 | OAKMARK SELECT FUND | 433,828 |

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| 310 | OBERWEIS CHINA OPPTYS FD | 4,269 |
| 126 | OBERWEIS FDS INTL OPPTYS FD | 2,347 |
| 175 | OBERWEIS MICRO-CAP PORTFOLIO | 2,989 |
| 154 | OBERWEIS SMALL-CAP OPPORTUNITIES FUND | 2,208 |
| 1,991 | OCM MUT FD GOLD FD ADVISOR CL | 19,616 |
| 737 | OPPENHEIMER COMMODITY STRATEGY TOTAL RETURN FD CL A | 1,701 |
| 714 | OPPENHEIMER DEVELOPING MARKETS FUND-CL A | 25,368 |
| 4,136 | OPPENHEIMER DEVELOPING MKTS FDS CL Y | 145,000 |
| 916 | OPPENHEIMER DEVELOPING MKTS FUNDS CL R | 31,296 |
| 307 | OPPENHEIMER INTERNATIONAL GROWTH FUND-CL A | 10,839 |
| 2,225 | OPPENHEIMER INTL BD FD CL R | 13,127 |
| 4,457 | OPPENHEIMER INTL BD FD CLASS Y | 26,338 |
| 509 | OPPENHEIMER REAL ESTATE FD CL A SHS | 14,581 |
| 601 | OPPENHEIMER STEELPATH MLP ALPHA FUND A | 7,425 |
| 4,055 | OPPENHEIMER STEELPATH MLP SELECT 40 FUND A | 49,427 |
| 21,356 | PARNASSUS CORE EQUITY FUND INVESTOR SHARES | 868,979 |
| 282 | PAX WORLD GLOBAL ENVIRONMENTAL MRKT FD INV CL | 3,460 |
| 10,481 | PAX WORLD HIGH YIELD BOND FD INDIVIDUAL INVESTOR CLASS | 73,681 |
| 2,192 | PEAR TREE FDS PEAR TREE POLARIS FOREIGN VLE FD ORD SHS | 38,602 |
| 1,433 | PERKINS GLOBAL VALUE FUND CL T | 19,974 |
| 5,832 | PERKINS MID CAP VALUE FD CLASS T SHS | 116,940 |
| 7,018 | PERMANENT PORTFOLIO FUND INC | 277,712 |
| 820 | PERRITT ULTRA MICROCAP FUND | 12,158 |
| 2,467 | PFS FDS WIRELESS FD | 18,702 |
| 7,729 | PIMCO ALL ASSET ALL AUTHORITY P | 70,644 |
| 3,819 | PIMCO ALL ASSET FUND INSTITUTIONAL CLASS | 44,300 |
| 770 | PIMCO COMMODITY REAL RETURN STRAT P | 3,440 |
| 16,977 | PIMCO COMMODITY REAL RETURN STRATEGY CLASS D | 74,866 |
| 21,947 | PIMCO COMMODITY REAL RETURN STRATEGY FUND INSTITUTIONAL CL | 98,322 |
| 2,743 | PIMCO EQUITY SER DIVID & INC BUILDER FD CL D | 33,795 |
| 1,615 | PIMCO FDS ALL ASSET ALL AUTH FD INSTL CL | 14,744 |
| 1,541 | PIMCO FDS COMMODITIES PLUS STRATEGY FD CL D | 11,760 |
| 1,106 | PIMCO FDS EMERGING MKTS CURR FDS CL D | 10,241 |
| 691 | PIMCO FDS EMERGING MKTS CURR FDS INST CL | 6,399 |
| 2,071 | PIMCO FDS FUNDAMENTAL INDEXPLUS AR FD CLASS A | 13,212 |
| 9,363 | PIMCO FDS FUNDAMENTAL INDEXPLUS AR FD CLASS D | 59,548 |
| 868 | PIMCO FDS LONG DURATION TOTAL RET FD INSTL CL | 10,415 |
| 13,826 | PIMCO FDS PAC INVT MGMT SER ALL ASSET ALL AUTH FD CL D | 125,819 |
| 14,167 | PIMCO FDS PAC INVT MGMT SER GLOBAL BD FD II INSTL CL | 146,909 |
| 18,816 | PIMCO FDS PAC INVT MGMT SER LOW DURATION FD CL D | 188,912 |
| 477 | PIMCO FDS PAC INVT MGMT SER REAL ESTATE REAL RET STR CL C | 1,527 |
| 19,427 | PIMCO FDS PAC INVT MGMT SER REAL RETURN FD-CL D | 212,144 |
| 123 | PIMCO FDS PAC INVT MGMT SER STOCKSPLUS FD CL D | 1,088 |
| 26,598 | PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD CL D | 283,531 |
| 1,541 | PIMCO FDS STOCKSPLUS ABSOLUTE RETURN FD CL A | 14,686 |
| 163 | PIMCO FDS STOCKSPLUS ABSOLUTE RETURN FUND CL D | 1,530 |
| 119 | PIMCO FDS STOCKSPLUS ABSOLUTE RETURN FUND FD CL P | 1,134 |
| 5,514 | PIMCO FDS UNCONSTRAINED BD FD INSTL CL | 61,641 |

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| 317 | PIMCO FLOATING INCOME FUND CLASS D | 2,610 |
| 14,203 | PIMCO FOREIGN BOND FUND CL D U.S. DOLLAR-HEDGED | 152,971 |
| 90 | PIMCO FOREIGN BOND FUND-CL A U.S. DOLLAR-HEDGED | 973 |
| 2,318 | PIMCO INCOME FD INSTL CL | 28,584 |
| 21,822 | PIMCO INCOME FUND CLASS D | 269,065 |
| 146 | PIMCO INTERNATIONAL STOCKPLUS AR STRATEGY FD CL D | 1,067 |

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| 1,252 | PIMCO INVESTMENT GRADE CORPORATE BOND FUND CL D | 13,211 |
| 2,475 | PIMCO REAL RETURN BOND FUND INSTL CL | 27,025 |
| 2,060 | PIMCO REALESTATE REAL RETURN STRATEGY CLASS D | 7,458 |
| 1,517 | PIMCO SMALL CAP STOCKSPLUS AR CLASS A | 13,702 |
| 700 | PIMCO SMALL CAP STOCKSPLUS AR CLASS D | 6,281 |
| 3,217 | PIMCO TOTAL RETURN FUND ADMINISTRATIVE SHS | 34,293 |
| 52,442 | PIMCO TOTAL RETURN FUND INSTL CL | 559,031 |
| 3,197 | PIMCO TOTAL RETURN FUND-CL A | 34,080 |
| 1,004 | POLARIS GLOBAL VALUE FUND | 21,185 |
| 5,107 | PRIMECAP ODYSSEY FDS AGGRESSIVE GROWTH FD | 168,177 |
| 12,652 | PRIMECAP ODYSSEY FDS GROWTH FD | 329,712 |
| 2,150 | PRIMECAP ODYSSEY FDS STK FD | 50,878 |
| 2,178 | PRINCIPAL FDS INC HIGH YIELD FD CL P | 16,095 |
| 127 | PROFESSIONALLY MANAGED PF BECKER VALUE EQUITY FD RETAIL | 2,396 |
| 460 | PROFESSIONALLY MANAGED PORT FOLIOS VILLERE BALANCED FD | 10,748 |
| 17,672 | PROFESSIONALLY MANAGED PORT OSTERWEIS STRATEGIC INCOME FD | 201,283 |
| 1,795 | PROFESSIONALLY MANAGED PORTF HODGES FUND | 68,605 |
| 121,695 | PROFESSIONALLY MANAGED PORTFOLIOS HODGES SM CAP FD | 2,377,927 |
| 8,515 | PROFESSIONALLY MANAGED PRTFL AKRE FOCUS FD RETAIL CL | 192,011 |
| 456 | PROFUND CONSUMER SERVICES ULTRASECTOR INV CL | 36,002 |
| 49 | PROFUND EUROPE 30 FUND INV CL | 705 |
| 111 | PROFUND HEALTH CARE ULTRASECTOR INVT CL | 5,048 |
| 405 | PROFUND HEALTH CARE ULTRASECTOR SERV CL | 16,295 |
| 2,473 | PROFUND INC INVESTOR CL BIOTECHNOLOGY ULTRASECTOR | 161,437 |
| 29 | PROFUND MUT FDS INV CL OILFIELD EQUIPT & SVCS ULTRA | 604 |
| 2,016 | PROFUND OIL & GAS ULTRASECTOR INVESTOR CL | 93,063 |
| 2,157 | PROFUND PRECIOUS METALS ULTRASECTOR INV CL | 16,784 |
| 9,529 | PROFUND RISING RATES OPPORTUNITY 10 INV CL | 154,273 |
| 10,979 | PROFUND RISING RATES OPPORTUNITY INV | 64,888 |
| 641 | PROFUND RISING U S DOLLAR PROFUND INV | 17,567 |
| 2,422 | PROFUND SEMICONDUCTOR ULTRASECTOR SVC CL | 63,523 |
| 828 | PROFUND SHORT NASDAQ-100 INV CL | 15,202 |
| 963 | PROFUND SHORT OIL & GAS PROFUND INV CL | 6,060 |
| 1,597 | PROFUND SHORT OIL & GAS PROFUND SER CL | 9,952 |
| 51 | PROFUND SHORT PRECIOUS METALS PROFUND INVT CL | 514 |
| 1,938 | PROFUND SHORT PRECIOUS METALS PROFUND SVC CL | 20,156 |
| 251 | PROFUND SHORT REAL EST PROFUND INV CL | 4,929 |
| 3,696 | PROFUND SVC CL BIOTECHNOLOGY ULTRASECTOR | 202,266 |
| 645 | PROFUND TELECOMM ULTRASECTOR INV CL | 12,849 |
| 3,200 | PROFUND ULTRABEAR INVS CL | 18,528 |
| 202 | PROFUND ULTRAJAPAN INVESTOR CLASS | 3,581 |
| 349 | PROFUND ULTRAMID-CAP INVESTOR CLASS | 30,033 |
| 18 | PROFUND ULTRANASDAQ 100 INV | 1,539 |
| 21 | PROFUND ULTRANASDAQ-100 SVC | 1,537 |
| 236 | PROFUND ULTRASHORT DOW 30 FD INVESTOR CL | 1,517 |
| 423 | PROFUND ULTRASHORT INTL PROFUND INVS | 10,752 |
| 637 | PROFUND ULTRASHORT LATIN AMERICA INVS CL | 11,663 |
| 572 | PROFUND ULTRASHORT SMALL-CAP FD INV CL | 12,053 |

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| 604 | PROFUNDS US GOVT PLUS INV CL | 32,807 |
| 8,128 | PROVIDENT TR MUT FDS INC PROVIDENT TR STRATEGY FD | 89,737 |
| 3,582 | PRUDENTIAL JENNISON EQUITY INCOME FUND CLASS Z | 61,892 |
| 145 | PRUDENTIAL JENNISON HEALTH SCIENCES FUND CLASS A | 6,935 |
| 515 | PRUDENTIAL JENNISON NATURAL RESOURCES FUND INC CLASS Z | 21,175 |
| 387 | PRUDENTIAL JENNISON SMALL COMPANY FUND INC CLASS A | 9,776 |

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| 878 | PRUDENTIAL JENNISON UTILITY FUND CLASS A | 13,581 |
| 297 | PRUDENTIAL JENNISON UTILITY FUND CLASS C | 4,575 |
| 8 | PUTNAM FDS TR EQUITY SPECTRUM FD CL A | 351 |
| 7,174 | PUTNAM GLOBAL ENERGY FUND CL A | 74,900 |
| 5,664 | PUTNAM GLOBAL NAT RES FD CL C | 97,535 |
| 96 | PUTNAM GLOBAL NATURAL RESOURCES FUND-CL A | 1,835 |
| 2,517 | RAINIER INVT MGMT MUT FDS MID CAP EQUITY PORTFOLIO | 127,951 |
| 2,479 | RBB FD INC ROBECO BOSTON PARTNERS ALL-CAP VALUE INV SHS | 55,457 |
| 2,598 | RBB FD INC ROBECO BOSTON PARTNERS LONG/SHORT RES INC CL | 39,314 |
| 3,205 | RBB FD INC SCHNEIDER VALUE FD CL PPP | 61,789 |
| 1,961 | RBB FUND INC SCHNEIDER SMALL CAP VALUE FD | 26,607 |
| 6,445 | RBC MICRO CAP VALUE FUND CLASS I | 182,191 |
| 491 | REYNOLDS BLUE CHIP GROWTH FUND | 28,727 |
| 2,005 | RIDGEWORTH FDS HIGH INCOME FD CL R | 13,250 |
| 214 | RIDGEWORTH FDS LARGE CAP VALUE EQUITY FD CL I | 3,619 |
| 1,513 | RIDGEWORTH FDS MID-CAP VALUE EQUITY FD CL I | 20,717 |
| 5,322 | RIDGEWORTH FDS SEIX FLTG RATE INCOME FD CL A | 46,563 |
| 15,980 | RIDGEWORTH FDS SEIX FLTG RATE INCOME FD CL I | 139,822 |
| 4,962 | RIDGEWORTH FDS US GOVT SECS ULTRA SHORT BD FD | 50,264 |
| 230 | ROYCE FD INTL SMALLER-COS FD SVC CL | 2,255 |
| 462 | ROYCE FD PREMIER FD SERVICE CL | 8,935 |
| 3,144 | ROYCE FD SPECIAL EQUITY FD | 71,867 |
| 453 | ROYCE FUND PREMIER SERIES | 8,935 |
| 1,533 | ROYCE FUND ROYCE OPPORTUNITY FUND | 20,629 |
| 425 | ROYCE FUND TOTAL RETURN FUND | 6,271 |
| 17,698 | ROYCE FUND VALUE PLUS FUND SERVICE CL | 251,843 |
| 8,715 | ROYCE FUND-PENNSYLVANIA MUTUAL FUND INVESTMENT CL | 113,299 |
| 112 | ROYCE GLOBAL VALUE FUND SVC CL | 1,417 |
| 80 | RS INVT TR INVS FD CL Y | 1,044 |
| 205 | RS INVT TR PARTNERS FD | 6,370 |
| 24 | RS INVT TR SELECT GROWTH FD CL Y | 1,151 |
| 815 | RYDEX DYNAMIC FDS INVERSE DOW 2X STRATEGY CL C | 23,205 |
| 13 | RYDEX DYNAMIC FDS INVERSE DOW 2X STRATEGY CL H | 399 |
| 393 | RYDEX DYNAMIC FDS INVERSE NASDAQ-100 2X STRATEGY CL C | 7,288 |
| 359 | RYDEX DYNAMIC FDS INVERSE NASDAQ-100 2X STRATEGY CL H | 7,407 |
| 170 | RYDEX DYNAMIC FDS INVERSE S&P 500 2X STRAT CL H | 4,208 |
| 269 | RYDEX DYNAMIC FDS NASDAQ-100 2X STRATEGY CL A | 95,627 |
| 97 | RYDEX DYNAMIC FDS NASDAQ-100 2X STRATEGY CL H | 34,517 |
| 1,764 | RYDEX SER FDS INV RUSSELL 2000 2X STRAT CL C | 45,982 |
| 164 | RYDEX SER FDS INVERSE HIGH YIELD STRATEGY FD CL C | 3,091 |
| 92 | RYDEX SER FDS RUSSELL 2000 2X STRATEGY CL H | 29,906 |
| 84 | RYDEX SER FUNDS ENERGY FD CL A | 1,865 |
| — | RYDEX SERIES FDS GOVT LG BOND 1.2X STRAT CL ADV | 10 |
| 355 | RYDEX SERIES FDS INVERSE GVT LG BD STRAT CL INV | 13,415 |
| 12,598 | RYDEX SERIES FDS INVERSE S&P 500 STRAT CL INV | 186,572 |
| 1,615 | RYDEX SERIES TRUST BIOTECHNOLOGY FUND INV CL | 132,750 |
| 132 | RYDEX SERIES TRUST CONSUMER PRODUCTS FUND INV CL | 7,575 |
| 585 | RYDEX SERIES TRUST NASDAQ-100 | 14,506 |
| 285 | RYDEX SERIES TRUST PRECIOUS METALS FUND | 7,514 |

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| 78 | SARATOGA HEALTH AND BIOTECHNOLOGY CLASS A | 2,304 |
| 133 | SATUIT CAP MGMT TR MICRO CAP FD CL A | 4,393 |
| 75,603 | SATURNA INVESTMENT TRUST SEXTANT INTERNATIONAL FUND | 1,129,511 |
| 268 | SCHWAB CAP TR DIVIDEND EQUITY FD | 4,567 |
| 46 | SCHWAB CAP TR HEALTH CARE FD | 1,158 |

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| 317 | SCHWAB CAP TR LAUDUS INTL MARKET MASTERS FUND INV CLASS | 7,034 |
| 131 | SCHWAB CAPITAL TRUST ASSET DIRECTOR CONSERVATIVE | 2,084 |
| 7,156 | SCHWAB FUNDAMENTAL INTERNTNL SMALL COMPANY INDEX FUND | 76,215 |
| 17,549 | SCHWAB FUNDAMENTAL US LARGE COMPANY INDEX FUND | 268,318 |
| 93,831 | SCHWAB INVESTMENTS 1000 EQUITY FD | 4,924,275 |
| 6,725 | SCHWAB TOTAL BOND MARKET INDEX FUND | 64,357 |
| 5,245 | SCHWARTZ INVT TR AVE MARIA RISING DIVIDEND FD | 92,941 |
| 1,187 | SCHWARTZ INVT TR AVE MARIA SMALL CAP FD | 14,361 |
| 218 | SCOUT FDS CORE BD FD INSTL CL | 2,508 |
| 1,725 | SCOUT FDS INTERNATIONAL FD | 56,225 |
| 13,186 | SCOUT FDS MID CAP FD | 203,592 |
| 2,548 | SCOUT FDS UNCONSTRAINED BD FD CL Y | 28,610 |
| 1,242 | SELECTED AMERICAN SHARE INC CL D | 53,546 |
| 1,109 | SELECTED AMERICAN SHARES INC | 47,882 |
| 326 | SENTINEL GROUP FDS INC INTERNATIONAL EQUITY CL A | 5,179 |
| 923 | SHELTON FDS NASDAQ 100 | 10,046 |
| 452 | SIT U.S. GOVERNMENT SECURITIES FUND | 5,004 |
| 469 | SMALLCAP WORLD FUND INC CL C | 19,343 |
| 170 | SMALLCAP WORLD FUND INC CL F1 | 7,604 |
| 642 | SMEAD FDS TR VALUE FD INV CL | 25,387 |
| 2,779 | SOUND SHORE FUND INC | 135,570 |
| 77 | SSGA FUNDS TUCKERMAN ACTIVE REIT | 1,290 |
| 4,302 | STEELPATH MLP FDS TR OPPENHEIMER STEELPATH MLP CL I | 46,461 |
| 441 | STRATTON FDS INC-SMALL CAP YIELD FD | 32,689 |
| 792 | SUNAMERICA FOCUSED SER INC FOCUSED DIVIDEND STRATEGY CL A | 13,558 |
| 67 | SUNAMERICA SPECIALTY SER FOCUSED ALPHA GROWTH FD CL A | 1,764 |
| 1,528 | SYMONS VALUE INSTITUTIONAL FUND | 17,193 |
| 4,445 | T ROWE PRICE 2025 RETIREMENT FUND ADVISOR CLASS | 69,564 |
| 5,041 | T ROWE PRICE AFRICA & MIDDLE EAST FUND | 49,000 |
| 842 | T ROWE PRICE BLUE CHIP GROWTH FUND INC | 56,618 |
| 270 | T ROWE PRICE BLUE CHIP GROWTH FUND INC ADVISOR CL | 18,045 |
| 6,864 | T ROWE PRICE CAPITAL APPRECIATION FUND ADVISOR CL | 177,772 |
| 28,160 | T ROWE PRICE CAPITAL APPRECIATION FUND-SBI | 735,831 |
| 125 | T ROWE PRICE DIVIDEND GROWTH FUND ADVISOR CLASS | 4,497 |
| 171 | T ROWE PRICE DIVIDEND GROWTH FUND INC | 6,171 |
| 199 | T ROWE PRICE EQUITY INCOME FUND ADVISOR CL | 6,524 |
| 14,078 | T ROWE PRICE EQUITY INCOME FUND-SBI | 461,760 |
| 647 | T ROWE PRICE FINANCIAL SERVICES FUND INC | 14,387 |
| 2,300 | T ROWE PRICE GLOBAL REAL ESTATE FD INC | 45,959 |
| 1,990 | T ROWE PRICE GLOBAL REAL ESTATE FD INC ADVISOR CL | 39,535 |
| 15,772 | T ROWE PRICE GLOBAL TECHNOLOGY FD INC | 194,316 |
| 704 | T ROWE PRICE GROWTH STK FD INC GROWTH STK FD ADV CL | 36,068 |
| 4,209 | T ROWE PRICE GROWTH STOCK FUND INC | 218,647 |
| 8,791 | T ROWE PRICE HEALTH SCIENCES FUND INC | 597,703 |
| 3,965 | T ROWE PRICE HIGH YIELD FD INC | 26,884 |
| 1,264 | T ROWE PRICE HIGH YIELD FUND INC ADVISOR CL | 8,541 |
| 10,661 | T ROWE PRICE INFLATION PROTECTED BOND FUND INC | 126,543 |
| 2,259 | T ROWE PRICE INTL EQUITY INDEX FD | 27,810 |
| 405 | T ROWE PRICE INTL FDS INC EMERGING EUROPE MEDITERRANEAN | 5,075 |

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| 8,629 | T ROWE PRICE INTL FUNDS INC EMERGING MARKETS BOND FUND | 104,325 |
| 3,736 | T ROWE PRICE INTL FUNDS INC EMERGING MARKETS STOCK FUND | 120,964 |
| 2,323 | T ROWE PRICE INTL FUNDS INC GLOBAL STOCK FUND | 59,294 |
| 20,639 | T ROWE PRICE INTL FUNDS INC INTERNATIONAL BOND FUND | 184,516 |
| 4,901 | T ROWE PRICE INTL FUNDS INC INTERNATIONAL DISCOVERY FUND | 252,805 |

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| 4,674 | T ROWE PRICE INTL FUNDS INC LATIN AMERICA FUND | 102,547 |
| 2,499 | T ROWE PRICE INTL FUNDS INC NEW ASIA FUND | 40,708 |
| 399 | T ROWE PRICE MID CAP GROWTH FUND INC ADVISOR CL | 29,391 |
| 988 | T ROWE PRICE MID-CAP GROWTH FUND INC | 74,520 |
| 234 | T ROWE PRICE NEW AMERICA GROWTH FUND ADVISOR CLASS | 9,701 |
| 759 | T ROWE PRICE NEW AMERICA GROWTH FUND-SBI | 31,887 |
| 3,266 | T ROWE PRICE NEW ERA FUND INC | 112,506 |
| 30,145 | T ROWE PRICE NEW HORIZONS FUND INC | 1,319,741 |
| 7,674 | T ROWE PRICE NEW INCOME FUND ADVISOR CLASS | 73,367 |
| 7,514 | T ROWE PRICE REAL ASST FD | 81,222 |
| 974 | T ROWE PRICE REAL ESTATE FUND ADVISOR CLASS | 26,442 |
| 1,021 | T ROWE PRICE REAL ESTATE FUND INC | 27,427 |
| 151 | T ROWE PRICE RETIREMENT 2030 FUND | 3,484 |
| 205 | T ROWE PRICE RETIREMENT FDS INC 2040 FD | 4,914 |
| 2,023 | T ROWE PRICE RETIREMENT INCOME FUND | 30,020 |
| 10,539 | T ROWE PRICE SHORT TERM BOND FUND INC | 50,060 |
| 1,286 | T ROWE PRICE SMALL CAPITAL VALUE FUND INC | 60,189 |
| 2,820 | T ROWE PRICE STRATEGIC INCOME FUND | 31,498 |
| 1,439 | T ROWE PRICE VALUE FUND INC | 49,865 |
| 678 | TANAKA FUNDS INC GROWTH FUND CL R | 13,305 |
| 246 | TARGET PORTFOLIO TR SMALL CAPITALIZATION VALUE PORTFOLIO | 6,298 |
| 374 | TCW FDS INC EMERGING MKTS INCOME FD CL I | 3,014 |
| 13,206 | TCW FDS INC EMERGING MKTS INCOME FD CL N | 137,340 |
| 592 | TCW FDS INC TOTAL RETURN BOND FD CL N | 6,298 |
| 21,296 | TEMPLETON GLOBAL BOND FD CL A | 265,347 |
| 27,315 | TEMPLETON GLOBAL BOND FUND ADVISOR CL | 338,981 |
| 570 | TEMPLETON GROWTH FUND INC CL R | 13,461 |
| 2,773 | TEMPLETON INCOME TR GLOBAL TOTAL RETURN FD CL A | 34,776 |
| 829 | THIRD AVENUE VALUE FUND INSTL CLASS | 47,029 |
| 37,934 | THOMPSON BOND FUND | 432,445 |
| 2,051 | THORNBURG INTL VALUE FUND CL I | 56,223 |
| 384 | THORNBURG INVT TR DEVELOPING WORLD FD CL A | 6,982 |
| 260 | THORNBURG INVT TRUST VALUE FUND CL A | 13,029 |
| 250 | TIAA CREF INSTL MUT FDS LARGE CAP VALUE BOND RETAIL | 4,338 |
| 1,825 | TIAA CREF INSTL MUT FDS MID CAP VALUE FD RETAIL CL | 43,298 |
| 467 | TIAA CREF INSTL MUT FDS REAL ESTATE SECS FD RETAIL CL | 7,048 |
| 117 | TOCQUEVILLE FUND | 3,979 |
| 11,285 | TOCQUEVILLE GOLD FUND | 361,348 |
| 987 | TOCQUEVILLE TR DELAFIELD FD | 32,242 |
| 964 | TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CL Y | 17,774 |
| 1,816 | TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CL Z | 32,610 |
| 2,428 | TOUCHSTONE ULTRA SHORT DURATION FIXED INCOME FD CL Y | 22,754 |
| 168 | TURNER EMERGING GROWTH FD INVESTOR CLASS | 5,017 |
| 1,621 | TURNER SPECTRUM FUND INVESTOR CL | 13,958 |
| 3,922 | TWEEDY BROWNE FUND INC GLOBAL VALUE FUND | 102,134 |
| 1,022 | U S GLOBAL INVESTORS FUNDS EMERGING EUROPE FUND | 6,707 |
| 25,595 | U S GLOBAL INVESTORS GOLD AND PRECIOUS METALS FUND | 132,070 |
| 400 | UNDISCOVERED MANAGERS FDS BEHAVIORAL VALUE FD CL A | 21,734 |
| 1,822 | UNIFIED SER TR APPLESEED FD | 22,442 |

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| 3,377 | UNIFIED SER TR IRON STRATEGIC INCOME FD INV | 37,014 |
| 194 | UNIFIED SER TR ROOSEVELT MULTI-CAP FUND | 3,241 |
| 896 | US GLOBAL INVESTORS CHINA REGION FUND | 7,345 |
| 7,781 | US GLOBAL INVESTORS GLOBAL RESOURCES FUND | 51,902 |
| 3,611 | US GLOBAL INVESTORS WORLD PRECIOUS MINERALS FUND | 17,154 |

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| 1,645 | USA MUTUALS BARRIER FUND INVESTOR CLASS | 47,545 |
| 966 | USAA MUTUAL FUND INC SCIENCE & TECHNOLOGY FUND | 19,811 |
| 144 | VALUE LINE ASSET ALLOCATION FUND INC | 4,061 |
| 931 | VALUE LINE CORE BOND FUND SH BEN INT | 13,905 |
| 290 | VALUE LINE PREMIER GROWTH FD INC | 9,808 |
| 18,650 | VALUED ADVISERS TR SOUND MIND INVESTING FD | 223,426 |
| 18,080 | VALUED ADVISERS TR SOUND MIND INVSTNG DYNAMIC INVESTOR | 214,977 |
| 739 | VAN ECK EMERGING MARKETS CLASS C | 9,667 |
| 2,371 | VANGUARD 500 INDEX FUND INVESTOR SHARES | 450,168 |
| 7,395 | VANGUARD ASSET ALLOCATION FUND INC U S VALUE FD | 130,075 |
| 25,207 | VANGUARD BALANCED INDEX FD INC ADMIRAL SHS | 748,131 |
| 692 | VANGUARD BALANCED INDEX FUND INC | 20,547 |
| 1,002 | VANGUARD BD INDEX FDS FTSE ALL WORLD EX US INDEX FD | 29,181 |
| 1,053 | VANGUARD BD INDEX FDS MID CAP GRWTH INDEX FD ADMIRAL | 46,024 |
| 395 | VANGUARD BD INDEX FDS MID CAP VALUE INDEX FD ADMIRAL | 18,292 |
| 8,130 | VANGUARD BD INDEX FDS SMALL CAP VALUE IDX FD ADMIRAL | 369,243 |
| 1,907 | VANGUARD BOND INDEX FUND INC SHORT TERM PORTFOLIO | 19,989 |
| 18,420 | VANGUARD BOND INDEX FUND INC TOTAL BOND MARKET PORTFOLIO | 200,220 |
| 2,784 | VANGUARD CHESTER FDS TARGET RETIREMENT 2015 FD | 42,565 |
| 7,094 | VANGUARD CHESTER FDS TARGET RETIREMENT 2040 FUND | 211,114 |
| 786 | VANGUARD DEVELOPED MARKETS INDEX FUND ADMIRAL | 9,560 |
| 11,125 | VANGUARD DIVIDEND GROWTH FUND | 256,869 |
| 5,718 | VANGUARD EMERGING MARKETS STOCK INDEX FD ADMIRAL SHS | 190,120 |
| 13,674 | VANGUARD EMERGING MARKETS STOCK INDEX FUND | 346,215 |
| 164 | VANGUARD EQUITY INCOME FUND INC | 5,133 |
| 91 | VANGUARD EXPLORER FUND INC | 8,418 |
| 369 | VANGUARD FD TOTAL INTL STOCK INDEX FD ADMIRAL SHS | 9,585 |
| 86 | VANGUARD FINANCIALS INDEX FUND ADMIRAL SHS | 2,136 |
| 7,052 | VANGUARD FIXED INC SECS FD INC SHORT TERM INVT GRADE FUND | 75,169 |
| 4,509 | VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD ADMIRAL CL | 44,324 |
| 4,022 | VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE INV CL | 39,541 |
| 870 | VANGUARD FIXED INCOME SECS INVT GRADE BD PORTFOLIO | 9,353 |
| 4,708 | VANGUARD FIXED INCOME SECS SHORT TERM INVT GRADE FD ADMIRAL SHS | 50,185 |
| 19,309 | VANGUARD GNMA FUND | 208,922 |
| 540 | VANGUARD GROWTH & INCOME PORTFOLIO (FORMERLY VANGUARD QUANTITATIVE PORTFOLIOS INC) | 22,528 |
| 14,800 | VANGUARD HIGH DIVIDEND YIELD INDEX FD INVESTOR SHS | 402,852 |
| 1,849 | VANGUARD HORIZON FD INC GLOBAL EQUITY PORTFOLIO | 44,576 |
| 937 | VANGUARD HORIZON FDS STRTGIC SMALL CAP EQUITY FD INV CL | 28,674 |
| 10,566 | VANGUARD INDEX TR MID-CAP INDEX FD ADMIRAL SHS | 1,616,267 |
| 1,007 | VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL | 191,153 |
| 5,435 | VANGUARD INDEX TR VANGUARD SM CAP INDEX ADMIRAL | 303,632 |
| 1,332 | VANGUARD INDEX TR VANGUARD TOTAL STK MKT ADMIRAL ADMIRAL SHS | 68,710 |
| 8,104 | VANGUARD INDEX TR VANGUARD VALUE INDEX ADMIRAL | 266,948 |
| 3,121 | VANGUARD INDEX TRUST MID CAPITALIZATION STK PORT | 105,230 |

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| 2,301 | VANGUARD INDEX TRUST SMALL CAPITLZATION GROWTH | 81,451 |
| 3,171 | VANGUARD INDEX TRUST SMALL CAPITLZATION VALUE STK | 80,354 |
| 7,582 | VANGUARD INDEX TRUST TOTAL STOCK MARKET PORTFOLIO | 391,058 |
| 5,364 | VANGUARD INDEX TRUST-SMALL CAPITALIZATION STOCK FUND | 299,633 |
| 158 | VANGUARD INDUSTRIALS INDEX FUND ADMIRAL SHS | 8,690 |
| 3,236 | VANGUARD INFL-PROTECTED SECS | 42,656 |
| 378 | VANGUARD INTERNATIONAL GROWTH PORTFOLIO | 8,132 |
| 2,718 | VANGUARD INTERNATIONAL VALUE PORTFOLIO | 92,292 |
| 3,486 | VANGUARD INTL EQ IDX FDS INC FTSE ALL WRLD EX US SML FD INV | 126,865 |
| 1,490 | VANGUARD INTL EQTY INDX FDS GBL EX-US REAL ESTATE IDX INV | 31,956 |

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| 1,071 | VANGUARD INTL EQUITY INDEX FUND INC-EUROPEAN PORTFOLIO | 30,184 |
| 6,100 | VANGUARD LARGE CAP INDEX FD | 232,544 |
| 6,094 | VANGUARD MALVERN FDS SHRT TRM INFLTN PRTCTD SECS FD | 147,533 |
| 2,051 | VANGUARD MORGAN GROWTH FUND INVESTOR SHARES | 51,984 |
| 8,464 | VANGUARD OHIO TAX FREE FUND INSURED LONG TERM PORTFOLIO | 106,735 |
| 20,772 | VANGUARD SCOTTSDALE FDS SHRT TERM CORP BD INDX FD | 449,309 |
| 40 | VANGUARD SECTOR INDEX FDS HEALTH CARE INDEX FD | 2,492 |
| 4,369 | VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES | 45,784 |
| 254 | VANGUARD SPECIALIZED PORT ENERGY FD ADMIRAL CLASS | 25,538 |
| 2,792 | VANGUARD SPECIALIZED PORTF REIT INDEX FD ADMIRAL SHS | 320,655 |
| 25,832 | VANGUARD SPECIALIZED PORTFOLIOS METALS & MINING FD | 236,625 |
| 4,932 | VANGUARD SPECIALIZED PORTFOLIOS-ENERGY PORTFOLIO | 264,580 |
| 1,358 | VANGUARD SPECIALIZED PORTFOLIOS-HEALTH CARE | 287,423 |
| 11,664 | VANGUARD SPECIALIZED PORTFOLIOS-REIT INDEX PORTFOL | 313,996 |
| 24,682 | VANGUARD STAR FUND STAR PORTFOLIO | 607,661 |
| 1,173 | VANGUARD STRATEGIC EQUITY PORTFOLIO | 37,761 |
| 1,215 | VANGUARD TARGET RETIREMENT 2035 FD | 21,677 |
| 6,036 | VANGUARD TOTAL INTL STOCK INDEX FUND | 93,853 |
| 458 | VANGUARD U S GROWTH FUND | 13,707 |
| 1,312 | VANGUARD WELLESLEY INCOME FUND INC | 33,559 |
| 4,798 | VANGUARD WELLINGTON FD INC | 187,833 |
| 1,150 | VANGUARD WHITEHALL FDS INTERNATIONAL EXPLORER FD INVS | 18,940 |
| 837 | VANGUARD WHITEHALL FUNDS INC SELECTED VALUE PORTFOLIO | 23,750 |
| 1,536 | VANGUARD WINDSOR FUND INC VANGUARD WINDSOR II PORTFOLIO | 57,293 |
| 1,681 | VANGUARD/WELLESLEY INCOME FD INC ADMIRAL SHS | 104,111 |
| 202 | VANGUARD/WINDSOR FUND INC VANGUARD WINDSOR II FD ADMIRAL | 13,340 |
| 1,093 | VIRTUS FOREIGN OPPORTUNITIES FUND CLASS A | 30,355 |
| 282 | VIRTUS OPPORTUNITIES TR GLBL REAL ESTATE SECS FD CL A | 7,544 |
| 300 | VOYA SER FD INC GLOBAL REAL ESTATE FUND CL W | 6,117 |
| 1,341 | WALTHAUSEN FDS SMALL CAP VALUE FD | 29,759 |
| 269 | WASATCH ADVISORS FUNDS INC HOISINGTON U S TREASURY FUND | 5,080 |
| 530 | WASATCH ADVISORS FUNDS INC SMALL CAP GROWTH FUND | 26,011 |
| 132 | WASATCH ADVISORS FUNDS INC ULTRA GROWTH FUND | 2,523 |
| 40,636 | WASATCH EMERGING SMALL COUNT RIES FUND | 125,970 |
| 34,773 | WASATCH FDS INC EMERGING MKTS SMALL CAP FD | 92,843 |
| 4,029 | WASATCH FDS INC INTL GROWTH FD | 105,676 |
| 4,108 | WASATCH FDS INC LARGE CAP VALUE FUND | 39,891 |
| 11,090 | WASATCH FDS INC LONG/SHORT FD | 165,247 |
| 1,583 | WASATCH FDS INC STRATEGIC INCOME FD | 19,501 |
| 5,875 | WASATCH FDS TR EMERGING INDIA FUND | 17,332 |
| 893 | WASATCH WORLD INNOVATORS FD | 18,007 |
| 1,067 | WEITZ FDS HICKORY FD | 60,773 |
| 20,036 | WEITZ FDS SHORT INTERMEDIATE INCOME FD | 249,645 |
| 2,424 | WEITZ PARTNERS III OPPORTUNITY FD | 40,214 |
| 342 | WELLS FARGO ADVANTAGE ASIA PACIFIC FUND INV CL | 4,020 |
| 716 | WELLS FARGO ADVANTAGE DISCOVERY FUND CLASS A | 22,490 |
| 710 | WELLS FARGO ADVANTAGE DISCOVERY FUND INV CLASS | 22,152 |
| 978 | WELLS FARGO ADVANTAGE FDS EMERGING MRKTS EQUITY FD CL C | 16,454 |

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| 419 | WELLS FARGO ADVANTAGE FDS INTRNSIC WORLD EQI FD ADMIN CL | 9,215 |
| 2,075 | WELLS FARGO ADVANTAGE FDS ULTR SHT TRM MUN INC FD INV CL | 10,021 |
| 1,752 | WELLS FARGO ADVANTAGE GROWTH FUND INV CL | 79,747 |
| 161 | WELLS FARGO SHORT TERM HIGH YIELD BD FD CL A | 1,300 |
| 2,421 | WELLS FARGO SHORT TERM HIGH YIELD BOND FUND INV CL | 19,487 |
| 1,379 | WESTCORE INTERNATIONAL SMALL-CAP FUND | 23,039 |

57

| | | |
|--------|--|------------------|
| 55,598 | WESTCORE PLUS BOND FUND INSTL SHS | 607,134 |
| 602 | WESTCORE TRUST FLEXIBLE INCOME FUND | 5,301 |
| 5,470 | WESTERN ASSET CORE PLUS BOND FD CLASS I | 63,669 |
| 2,732 | WESTPORT FUND-CL R | 92,635 |
| 1,281 | WILLIAM BLAIR SMALL CAP VALUE FUND CL N | 22,751 |
| 803 | WINTERGREEN FD INC | 13,716 |
| 127 | WORLD FDS TR REMS REAL ESTATE VALUE OPPRTNTY PLATFORM | 2,249 |
| 404 | WORLD FDS TR TOREADOR INTERNATIONAL INV CL | 6,453 |
| | Total Registered Investment Companies | \$ 166,797,451 |
| | Notes Receivable From Participants (interest rate ranging from 4.25% through 10.5% maturing through December 2029) | \$ 85,735,539 |
| | Net Assets Pending Settlement | \$ (4,060,583) |
| | TOTAL NET ASSETS | \$ 3,286,995,179 |

AMERICAN ELECTRIC POWER SYSTEM RETIREMENT SAVINGS PLAN
 SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR)

| Assets Acquired and Disposed | Number of Shares | Fair Value |
|---|---------------------|--------------|
| American Electric Power Company, Inc. Common Stock \$6.50 par value (a) | | |
| Acquired | 547,803 | \$28,598,277 |
| Disposed | 1,435,492 | 77,448,058 |

(a) Transactions are recorded on the trade date.

AMERICAN ELECTRIC POWER SYSTEM RETIREMENT SAVINGS PLAN
SCHEDULE OF NONEXEMPT TRANSACTIONS

Excess Payment to Trustee/Custodian

Party Involved:

JPMorgan Chase Bank, NA

Relationship to Plan, employer or other party-in-interest:

Trustee and Custodian

Transaction:

Payment of Fee in Excess of Contract Amount

Dates of Payments:

Between October 2006 and July 2014

Amounts of Payments:

Varying amounts aggregating \$126,100

Reason Transaction is Nonexempt: ERISA Section 406(a)(1)(D) prohibits the use of plan assets by, or transfer of plan assets to, a party in interest (such as a fiduciary investment manager). Although an exemption under ERISA 408(b)(2) generally is applicable to contracts with parties (such as trustees) for services necessary for the operation of a plan where no more than reasonable compensation is paid therefor, the described payments represent the amount invoiced and paid by the Plan in excess of the amount required by the contract. The Trustee had agreed in writing to charge its fees at certain rates (and to waive specified fees with respect to certain accounts), but certain of its invoices were prepared applying incorrect fee rates and/or including certain fees that had been waived, resulting in the effective overcharge for its services. Following confirmation of the overpayment in 2014, the Plan secured from the Trustee repayment of the excess amounts plus lost earnings thereon. Therefore, the Company has identified the steps necessary to remediate the transaction.

AMERICAN ELECTRIC POWER SYSTEM RETIREMENT SAVINGS PLAN
EXHIBIT INDEX

| Exhibit Number | Description |
|----------------|--|
| 23 | Consent of Independent Registered Public Accounting Firm |
| 61 | |