May Phillip R Jr Form 4 November 14, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* May Phillip R Jr

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Month/Day/Year)

11/12/2018

(Last) (First) (Middle) ENTERGY CORP /DE/ [ETR] 3. Date of Earliest Transaction

Director

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

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burden hours per

C/O ENTERGY CORPORATION

LEGAL DEPARTMENT, 639 LOYOLA AVENUE, 26TH FLOOR

10% Owner Officer (give title \_\_X\_ Other (specify below) below)

"Officer" Under Sec. 16 Rules

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### NEW ORLEANS, LA 70113

(City)	(State) (	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	(A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/12/2018		Code V M	Amount 3,000	(D)	Price \$ 70.56	14,940 (1)	D	
Common Stock	11/12/2018		S(2)	3,000	D	\$ 87	11,940	D	
Common Stock	11/12/2018		M	2,300	A	\$ 71.3	14,240	D	
Common Stock	11/12/2018		S(2)	2,300	D	\$ 87	11,940	D	
Common Stock							6,685	I	By 401(k)

#### Edgar Filing: May Phillip R Jr - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number Diof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 70.56	11/12/2018		M	3,000	(3)	01/28/2026	Common Stock	3,000
Employee Stock Option (Right to Buy)	\$ 71.3	11/12/2018		M	2,300	<u>(4)</u>	<u>(4)</u>	Common Stock	2,300

# **Reporting Owners**

Reporting Owner Name / Address		Keiationsinps			
	Director	10% Owner	Officer	Other	

May Phillip R Jr C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113

"Officer" Under Sec. 16 Rules

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## **Signatures**

/s/ Daniel T. Falstad by power of attorney 11/14/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 24 shares of Entergy common stock acquired under the dividend reinvestment feature of Entergy Corporation's equity ownership plans.
- (2) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 5, 2018.
- (3) The options vested on January 28, 2017.
- (4) The options vested in three equal annual installments on January 26, 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.