Ryan James C III Form 4 May 07, 2018

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or January 31, Expires: 2005

3,021

102,326

 $D^{(4)}$

 $D^{(5)}$

Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction 30(h) of the Investment Company Act of 1940

1(b).

COMMON

COMMON

STOCK

STOCK

Form 5

obligations

may continue.

(Print or Type Responses)

1. Name and Ad Ryan James (dress of Reporting Per	Symbol	Name and Ticker or Trading TIONAL BANCORP /IN/	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) ONE MAIN S	(First) (Mid	3. Date of 1 (Month/Da 05/04/20	*	Director 10% Owner _X_ Officer (give title Other (specify below) SEVP-CFO			
EVANSVILI	(Street) LE, IN 47708	4. If Amen Filed(Mont	dment, Date Original h/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Zi	Table	I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code Disposed of (D)	5. Amount of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)			
COMMON STOCK			Code v Amount (D) File	1,371 I (1) ONB KSOP			
COMMON STOCK				2,000 D (3)			
COMMON STOCK				2,430 D (2)			

Edgar Filing: Ryan James C III - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and An Underlying Sec (Instr. 3 and 4)	curities	8. I Der Sec (Ins
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
PHANTOM STOCK	\$ 17.3 <u>(6)</u>	05/04/2018		P	55	<u>(7)</u>	<u>(7)</u>	COMMON STOCK	55 <u>(6)</u>	\$

Reporting Owners

Reporting Owner Name / Address	Relationships

Officer Director 10% Owner Other

Ryan James C III

ONE MAIN ST **SEVP-CFO**

EVANSVILLE, IN 47708

Signatures

JEFFREY L KNIGHT, EXECUTIVE VP AND CHIEF LEGAL COUNSEL, AS ATTORNEY-IN-FACT

05/07/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) KSOP balance updated based upon current data.
- (2) Shares held in an IRA with a broker.
- (3) Shares held with a broker.
- (4) Old National Bancorp Employee Stock Purchase Plan.

(5)

Reporting Owners 2

Edgar Filing: Ryan James C III - Form 4

Includes 48,750 restricted stock units, 11,251 restricted stock shares and 42,325 shares of common stock. Fractional amounts have been rounded to the nearest whole number.

- (6) Each share of phantom stock represents the right to receive one share of ONB common stock or the cash value thereof.
- (7) Shares of phantom stock are payable in cash following termination of the reporting person's employment with ONB or reporting person becoming disabled. The reporting person may transfer his phantom stock account into an alternative investment account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.