

BEAR STEARNS COMPANIES INC

Form 3

August 09, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Mayer Jeffrey

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

08/05/2007

3. Issuer Name and Ticker or Trading Symbol

BEAR STEARNS COMPANIES INC [BSC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Executive Committee Member

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting PersonC/O BEAR, STEARNS & CO.
INC., 383 MADISON
AVENUE

(Street)

NEW YORK, NY 10179

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

10,008

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
CAP Units (2002) ⁽¹⁾	11/30/2007	11/30/2007	Common Stock	102,408	\$ ⁽²⁾	D	Â
CAP Units (2003) ⁽¹⁾	11/30/2008	11/30/2008	Common Stock	112,729	\$ ⁽²⁾	D	Â
CAP Units (2004) ⁽¹⁾	11/30/2009	11/30/2009	Common Stock	80,230	\$ ⁽²⁾	D	Â
CAP Units (2005) ⁽¹⁾	11/30/2010	11/30/2010	Common Stock	54,747	\$ ⁽²⁾	D	Â
CAP Units (2006) ⁽¹⁾	11/30/2011	11/30/2011	Common Stock	52,033	\$ ⁽²⁾	D	Â
Emp. Stock Option (Rt. to Buy)	11/29/2005	11/29/2012	Common Stock	40,000	\$ 64	D	Â
Emp. Stock Option (Rt. to Buy)	12/15/2003	12/15/2013	Common Stock	60,365	\$ 73.75	D	Â
Emp. Stock Option (Rt. to Buy)	12/22/2005	12/22/2015	Common Stock	33,673	\$ 116.5	D	Â
Emp. Stock Option (rt. to buy)	12/20/2006	12/20/2016	Common Stock	21,117	\$ 165.32	D	Â
Employee Stock Option (Right to Buy)	12/11/2003	12/11/2010	Common Stock	22,807	\$ 49.63	D	Â
Employee Stock Option (Right to Buy)	12/17/2004	12/17/2011	Common Stock	31,581	\$ 56.88	D	Â
Employee Stock Option (Right to Buy)	12/28/2004	12/28/2014	Common Stock	45,903	\$ 102.65	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mayer Jeffrey C/O BEAR, STEARNS & CO. INC. 383 MADISON AVENUE NEW YORK, NY 10179	Â	Â	Â Executive Committee Member	Â

Signatures

/s/ Mayer,
Jeffrey 08/08/2007

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) CAP Units held in Reporting Person's account pursuant to Issuer's Capital Accumulation Plan for Senior Managing Directors; exempt under Rule 16b-3

(2) This type of derivative security typically does not have a conversion or exercise price

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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