RITCHIE KELLY Form 4

May 03, 2018

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* RITCHIE KELLY

(Zip)

(First) (Middle)

1 LANDS' END LANE

(Street)

2. Issuer Name and Ticker or Trading Symbol

LANDS' END, INC. [LE]

3. Date of Earliest Transaction

(Month/Day/Year) 05/01/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

SVP, Employee & Cust Services

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

### DODGEVILLE, WI 53595

(State)

							1,	. ,	•
1.Title of	2. Transaction Date	3. 4. Securities		5. Amount of	6. Ownership	7. Nature of			
Security	(Month/Day/Year)	Execution Date, if	Transactio	ransactionAcquired (A) or			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership
			· · · · · · · · ·				Following	(Instr. 4)	(Instr. 4)
						Reported			
				(A)		Transaction(s)			
					or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	` ,		
Common	05/01/2018		M	3,067	A	\$ 0	13,152	D	
Stock	03/01/2016		1V1	3,007	Α	(1)	13,132	D	
						_			
Common	05/01/2018		M	1,988	٨	\$ 0	15,140	D	
Stock	03/01/2016		1V1	1,900	Α	(1)	13,140	D	
Common	05/02/2018		M	1,958	Α	\$ 0	17,098	D	
Stock	03/02/2010		141	1,750	Л	(1)	17,070	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Edgar Filing: RITCHIE KELLY - Form 4

#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 (1)	05/01/2018		M	3,067	(2)	(2)	Common Stock	3,067	\$
Restricted Stock Units	\$ 0 (1)	05/01/2018		M	1,988	(3)	(3)	Common Stock	1,988	\$
Restricted Stock Units	\$ 0 (1)	05/02/2018		M	1,958	<u>(4)</u>	<u>(4)</u>	Common Stock	1,958	\$

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
RITCHIE KELLY			SVP,				
1 LANDS' END LANE			Employee &				
DODGEVILLE, WI 53595			Cust Services				

# **Signatures**

/s/ Kelly Ritchie 05/03/2018

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit ("RSU") represented a contingent right to receive one share of common stock upon satisfaction of the vesting conditions.
- (2) This RSU award was granted on May 1, 2015, with vesting in one installment on May 1, 2018 (100%).

(3)

Reporting Owners 2

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This RSU award was granted on May 1, 2017, with vesting in three annual installments on May 1, 2018 (25%), May 1, 2019 (25%) and May 1, 2020 (50%).

- (4) This RSU award was granted on May 2, 2016, with vesting in three annual installments on May 2, 2017 (25%), May 2, 2018 (25%) and May 2, 2019 (50%).
- Of the total number of RSUs, 8,995 RSUs will vest in three annual installments on March 26, 2019 (25%), March 26, 2020 (25%) and
- (5) March 26, 2021 (50%); 1,988 RSUs will vest May 1, 2019; 3,974 RSUs will vest on May 1, 2020; and 3,914 RSUs will vest on May 2, 2019; subject in each case to the satisfaction of vesting conditions, including continued employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.