

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

JAPAN SMALLER CAPITALIZATION FUND INC

Form NSAR-B

April 30, 2010

PAGE 1  
000 B000000 02/28/2010  
000 C000000 0000859796  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 JAPAN SMALLER CAPITALIZATION FUND, INC  
001 B000000 811-05992  
001 C000000 2126671858  
002 A000000 TWO WORLD FINANCIAL CENTER, BUILDING B  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10281  
002 D020000 1712  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
007 C011100 11  
007 C011200 12  
007 C011300 13  
007 C011400 14  
007 C011500 15  
007 C011600 16  
007 C011700 17  
007 C011800 18  
007 C011900 19  
007 C012000 20  
007 C012100 21  
007 C012200 22  
007 C012300 23  
007 C012400 24  
007 C012500 25  
007 C012600 26  
007 C012700 27  
007 C012800 28  
PAGE 2  
007 C012900 29  
007 C013000 30  
008 A000001 NOMURA ASSET MANAGEMENT USA INC.  
008 B000001 A  
008 C000001 801-12129  
008 D010001 NEW YORK

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

008 D020001 NY  
008 D030001 10281  
008 D040001 1712  
008 A000002 NOMURA ASSET MANAGEMENT CO., LTD.  
008 B000002 S  
008 C000002 801-24357  
008 D010002 TOKYO  
008 D050002 JAPAN  
008 D060002 103-8260  
008 A000003 DELETE  
008 A000004 NOMURA ASSET MANAGEMENT HONG KONG LIMITED  
008 B000004 S  
008 C000004 801-57909  
008 D010004 HONG KONG  
008 D050004 HONG KONG  
010 A000001 NOMURA ASSET MANAGEMENT USA INC  
010 B000001 801-12129  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10281  
010 C040001 1712  
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A  
012 B000001 85-11340  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3078  
013 A000001 ERNST AND YOUNG, LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10036  
014 A000001 NOMURA SECURITIES INTERNATIONAL , INC.  
014 B000001 8-15255  
014 A000002 NOMURA SECURITIES CO., LTD.  
014 B000002 8-0000  
015 A000001 BROWN BROTHERS HARRIMAN AND CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 C040001 3661  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 11  
PAGE 3  
019 C000000 NOMURAASSE  
020 A000001 DAIWA SECURITIES  
020 C000001 54  
020 A000002 MIZUHO SECURITIES  
020 C000002 41  
020 A000003 GOLDMAN SACHS AND CO  
020 C000003 38  
020 A000004 MERRILL LYNCH JAPAN INCORPORATED  
020 C000004 29  
020 A000005 MORGAN STANLEY JAPAN INC  
020 C000005 24  
020 A000006 DEUTSCHE SECURITIES LIMITED  
020 C000006 14  
020 A000007 CREDIT SUISSE FIRST BOSTON  
020 C000007 9  
020 A000008 TOKYO-MITSUBISHI INTERNATIONAL

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

020	C000008	6
020	A000009	JP MORGAN SECURITIES LIMITED
020	C000009	5
020	A000010	UBS WARBURG (JAPAN) LTD
020	C000010	4
021	000000	229
022	A000001	BROWN BROTHERS HARRIMAN
022	B000001	13-4973745
022	C000001	4120
022	D000001	4120
022	C000002	0
022	D000002	0
022	C000003	0
022	D000003	0
022	C000004	0
022	D000004	0
022	C000005	0
022	D000005	0
022	C000006	0
022	D000006	0
022	C000007	0
022	D000007	0
022	C000008	0
022	D000008	0
022	C000009	0
022	D000009	0
022	C000010	0
022	D000010	0
023	C000000	4120
023	D000000	4120
024	000000	N
025	D000001	0
025	D000002	0
025	D000003	0
025	D000004	0
	PAGE	4
025	D000005	0
025	D000006	0
025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	Y
026	C000000	Y
026	D000000	Y
026	E000000	Y
026	F000000	Y
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
	PAGE	5
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	50000
048	A020000	1.100
048	B010000	50000
048	B020000	1.000
048	C010000	75000
048	C020000	0.900
048	D010000	75000
048	D020000	0.800
048	E010000	75000
048	E020000	0.700
048	F010000	100000
048	F020000	0.600
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

048 K010000 425000  
 048 K020000 0.500  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 Y  
 054 B000000 Y  
 054 C000000 Y  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 Y  
 054 H000000 Y  
     PAGE 6  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 N  
 055 A000000 N  
 055 B000000 N  
 056 000000 Y  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 N  
 060 B000000 N  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 062 L000000 0.0  
 062 M000000 0.0  
 062 N000000 0.0  
 062 O000000 0.0  
 062 P000000 0.0  
 062 Q000000 0.0  
 062 R000000 0.0  
 063 A000000 0  
 063 B000000 0.0  
 066 A000000 Y  
 066 B000000 N  
 066 C000000 Y  
 066 D000000 N  
 066 E000000 N  
 066 F000000 N  
 066 G000000 N  
 067 000000 N  
 068 A000000 N  
 068 B000000 Y

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

069	000000	N	
070	A010000	Y	
070	A020000	N	
070	B010000	N	
070	B020000	N	
	PAGE	7	
070	C010000	N	
070	C020000	N	
070	D010000	N	
070	D020000	N	
070	E010000	N	
070	E020000	N	
070	F010000	N	
070	F020000	N	
070	G010000	Y	
070	G020000	N	
070	H010000	N	
070	H020000	N	
070	I010000	Y	
070	I020000	N	
070	J010000	Y	
070	J020000	N	
070	K010000	Y	
070	K020000	N	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	N	
070	R020000	N	
071	A000000		110966
071	B000000		112978
071	C000000		167928
071	D000000		66
072	A000000	12	
072	B000000		0
072	C000000		2878
072	D000000		0
072	E000000		0
072	F000000		1680
072	G000000		0
072	H000000		0
072	I000000		11
072	J000000		257
072	K000000		0
072	L000000		49
072	M000000		80
072	N000000		0
072	O000000		26
	PAGE	8	
072	P000000		0
072	Q000000		0
072	R000000		99
072	S000000		207

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

072 T000000	0
072 U000000	0
072 V000000	65
072 W000000	12
072 X000000	2486
072 Y000000	0
072 Z000000	392
072AA000000	0
072BB000000	11672
072CC010000	53932
072CC020000	0
072DD010000	0
072DD020000	0
072EE000000	0
073 A010000	0.0532
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	298
074 B000000	0
074 C000000	0
074 D000000	0
074 E000000	0
074 F000000	177540
074 G000000	0
074 H000000	0
074 I000000	1529
074 J000000	3956
074 K000000	0
074 L000000	214
074 M000000	26
074 N000000	183563
074 O000000	3850
074 P000000	138
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	194
074 S000000	0
074 T000000	179381
074 U010000	21242
074 U020000	0
074 V010000	8.44
074 V020000	0.00
074 W000000	0.0000
074 X000000	9500
PAGE 9	
074 Y000000	0
075 A000000	0
075 B000000	170183
076 000000	8.13
077 A000000	Y
077 B000000	Y
077 C000000	N
077 D000000	N
077 E000000	N
077 F000000	N
077 G000000	N
077 H000000	N
077 I000000	N
077 J000000	N

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

077 K000000 N  
077 L000000 N  
077 M000000 N  
077 N000000 N  
077 O000000 N  
077 P000000 N  
077 Q010000 Y  
077 Q020000 N  
077 Q030000 N  
078 000000 N  
080 A000000 FEDERAL INSURANCE COMPANY  
080 C000000 1000  
081 A000000 Y  
081 B000000 1  
082 A000000 Y  
082 B000000 25  
083 A000000 N  
083 B000000 0  
084 A000000 N  
084 B000000 0  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 JAPAN SMALLER CAPITALIZATION FUND, INC.  
087 A020000 47109U104  
087 A030000 JOF  
PAGE 10  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE RITA CHOPRA-BRATHWAITE  
TITLE TREASURER