

NUVEEN INVESTMENTS INC  
Form 4  
August 05, 2005

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ST PAUL TRAVELERS  
COMPANIES INC

2. Issuer Name and Ticker or Trading Symbol  
NUVEEN INVESTMENTS INC  
[JNC]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
385 WASHINGTON STREET  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/29/2005

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

ST. PAUL, MN 55102

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Class A common stock            | 07/29/2005                           |  | C                              |   | 3,389,500   | A  | Ⓛ                                 |
| Class A common stock            | 08/01/2005                           |  | C                              |   | 5,824,800   | A  | Ⓛ                                 |
| Class A common stock            | 08/01/2005                           |  | X                              |   | 5,824,800   | D  | Ⓛ                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities                   |               | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                      |       |
|--|--|--------------------------------------|--|--------------------------------|--|---------------|--|-----------------|---|----------------------|-------|
|  |  |                                      |  |                                | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |               | Date Exercisable   | Expiration Date | Title   | Amount Number Shares |       |
| Class B common stock                       | (1)  | 07/29/2005                           |  | C                              | V  | (A) 3,389,500 | (D)  | 07/29/2005      | 07/29/2005  | Class A common stock | 3,389 |
| Class B common stock                       | (1)  | 08/01/2005                           |  | C                              | V  | (A) 5,824,800 | (D)  | 08/01/2005      | 08/01/2005  | Class A common stock | 5,824 |
| Forward Sale                               | (3)  | 08/01/2005                           |  | X                              | V  | (A) 5,824,800 | (D)  | 08/01/2005      | 08/01/2005  | Class A common stock | 5,824 |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

ST PAUL TRAVELERS COMPANIES INC  
385 WASHINGTON STREET  
ST. PAUL, MN 55102

X

## Signatures

/s/ Bruce A Backberg, Senior Vice President, The St. Paul Travelers Companies, Inc.

08/05/2005

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares of Class B common stock are convertible on a one-to-one basis for shares of Class A common stock at the option of the holder.

(2) Shares of Class A common stock delivered in satisfaction of the forward sales as described in Table II below.

(3) Satisfied on a one-to-one basis for shares of Class A common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: NUVEEN INVESTMENTS INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.