

Identiv, Inc.
Form 4
June 21, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Wenzel Daniel S.C.

(Last) (First) (Middle)

2201 WALNUT AVENUE, SUITE
#100

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Identiv, Inc. [INVE]

3. Date of Earliest Transaction
(Month/Day/Year)
05/31/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/31/2015		M		2,248	A	<u>11</u> 26,315
Common Stock							745,352
Common Stock							50,257
							D
							I
							I
							See footnote ⁽²⁾
							See footnote ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pr Dep Secu (Instr	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(1)	05/31/2015		M	2,248	(4)	(4)	Common Stock	2,248

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Wenzel Daniel S.C.
2201 WALNUT AVENUE, SUITE #100 X
FREMONT, CA 94538

Signatures

/s/ Daniel S.C.
Wenzel 06/21/2016

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each Restricted Stock Unit converts into common stock on a one-for-one basis.

Reflects 643,166 shares directly held by Mountain Partners AG and 102,186 shares indirectly held by Mountain Partners AG. Mr. Wenzel is a member of the board of directors of Mountain Partners AG. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest herein. The inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for Section 16 or for any other purpose.

Reflects shares held by Rosenberg Ventures AG of which Mr. Wenzel is a member of the board of directors. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest herein. The inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for Section 16 or for any other purpose.

On August 25, 2014, the reporting person was granted 8,991 Restricted Stock Units pursuant to Issuer's 2011 Incentive Compensation Plan vesting 1/10 on August 31, 2014 with additional vesting of 3/10 on each of November 30, 2014, February 28, 2015 and May 31, 2015, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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