#### Edgar Filing: NEWFIELD EXPLORATION CO /DE/ - Form 4

#### NEWFIELD EXPLORATION CO /DE/

Form 4

February 09, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Yang Timothy D.

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

NEWFIELD EXPLORATION CO

(Middle)

/DE/[NFX]

Director 10% Owner

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

02/07/2017

Other (specify Officer (give title

below) General Counsel and Secretary

4 WATERWAY SQUARE PLACE, **STE 100** 

(Street)

(First)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

4. If Amendment, Date Original

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

THE WOODLANDS, TX 77380

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

6. Ownership 7. Nature of 5. Amount of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Ownership Indirect (I) (Instr. 4) **Following** (Instr. 4)

Reported (A) Transaction(s)

or (Instr. 3 and 4) Price

Code V Amount (D)

common 02/07/2017 A stock

8,790 A \$0 27,022 (1)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: NEWFIELD EXPLORATION CO /DE/ - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. Number some Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Prid Deriv Secur (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	(2)	02/07/2017	A	8,790	(2)	(2)	common stock	8,790	\$
Restricted Stock Unit	<u>(3)</u>	02/07/2017	A	8,658	(3)	(3)	common stock	8,658	\$

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Yang Timothy D. 4 WATERWAY SQUARE PLACE, STE 100 THE WOODLANDS, TX 77380

General Counsel and Secretary

# **Signatures**

/s/ Timothy D. 02/09/2017 Yang

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares subject to this award will vest in four equal installments on August 15, 2017, 2018 and 2019 and February 15, 2020.
- (2) Cash-settled Phantom Stock Units vest in four equal installments on August 15, 2017, 2018 and 2019 and February 15, 2020. Each share of Phantom Stock is the economic equivalent of one share of Company common stock.
- (3) The shares subject to this award have the possibility of vesting on January 15, 2020, but will only vest if certain total shareholder return criteria are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2