

QCR HOLDINGS INC

Form 3

December 13, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

A Whiteside Cathie

(Last) (First) (Middle)

4530 39TH AVENUE

(Street)

ROCK ISLAND, IL 61201

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

12/13/2013

3. Issuer Name and Ticker or Trading Symbol
QCR HOLDINGS INC [QCRH]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
☒ Officer _____ Other
 (give title below) (specify below)
 EVP

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

8,572

D

A

Common Stock

1,554

I

by Managed Account

Common Stock

2,281

I

by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Non-Qualified Stock Option (right to buy)	Â ⁽¹⁾	02/01/2021	Common Stock	2,000	\$ 7.99	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽¹⁾	02/01/2020	Common Stock	1,500	\$ 9	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽¹⁾	02/02/2019	Common Stock	1,000	\$ 9.3	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽¹⁾	02/01/2022	Common Stock	2,764	\$ 9.3008	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽¹⁾	09/04/2017	Common Stock	5,000	\$ 15	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽¹⁾	02/01/2023	Common Stock	2,022	\$ 15.65	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽¹⁾	01/26/2017	Common Stock	300	\$ 16.85	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽¹⁾	01/05/2016	Common Stock	300	\$ 18.38	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽¹⁾	01/03/2015	Common Stock	300	\$ 21.5	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Whiteside Cathie 4530 39TH AVENUE ROCK ISLAND, IL 61201	Â	Â	Â EVP	Â

Signatures

By: Rick Jennings For: Cathie
Whiteside

12/13/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These options are exercisable in annual increments of 20% each, with the first 20% vesting on the first anniversary of the option grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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