

Edgar Filing: FENIMORE ASSET MANAGEMENT INC - Form SC 13G/A

FENIMORE ASSET MANAGEMENT INC  
Form SC 13G/A  
January 17, 2007

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
SCHEDULE 13G/A

Under the Securities Exchange Act of 1934  
(Amendment No. 1)

ETHAN ALLEN INTERIORS, INC.

-----  
(Name of Issuer)

COMMON STOCK

-----  
(Title of Class of Securities)

297602104

-----  
(CUSIP Number)

DECEMBER 31, 2006

-----  
(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☒ Rule 13d-1(b)  
☐ Rule 13d-1(c)  
☐ Rule 13d-1(d)

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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SCHEDULE 13G

- 1 NAME OF REPORTING PERSON  
SS. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Fenimore Asset Management, Inc.  
14-1564237

- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (A) ☐

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(B) [X]

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION  
New York State

NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	5	SOLE VOTING POWER 272,300
	6	SHARED VOTING POWER 0
	7	SOLE DISPOSITIVE POWER 272,300
	8	SHARED DISPOSITIVE POWER 0

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  
272,300

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES  
|\_|

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)  
.86%

12 TYPE OF REPORTING PERSON  
IA

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SCHEDULE 13G

1 NAME OF REPORTING PERSON  
SS. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON  
  
Thomas O. Putnam  
N/A

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (A) |\_|  
(B) [X]

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION  
United States

NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	5	SOLE VOTING POWER 0
	6	SHARED VOTING POWER 272,300
	7	SOLE DISPOSITIVE POWER 0

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8            SHARED DISPOSITIVE POWER  
272,300

- 9            AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  
272,300
- 10          CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES  
|\_ |
- 11          PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)  
.86%
- 12          TYPE OF REPORTING PERSON  
IN

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- Item 1.      (a).      Name of Issuer:    Ethan Allen Interiors, Inc.
- (b).      Address of Issuer's Principal Executive Offices:
- Ethan Allen Drive  
                          P.O. Box 1966  
                          Danbury, CT 06811
- Item 2.      (a).      Name of Persons Filing:
- (i)    Fenimore Asset Management, Inc. ("Fenimore")  
                          (ii) Thomas O. Putman ("Putnam")
- (b).      Address of Principal Business Office for Each of the Above:
- 384 N. Grand Street, Box 310  
                          Cobleskill, NY 12043
- (c).      Citizenship or Place of Organization:
- (i)    Fenimore:    New York State  
                          (ii) Putnam:      United States
- (d).      Title of Class of Securities:    Common Stock
- (e).      CUSIP Number:    297602104
- Item 3.      If this Statement is Filed Pursuant to Rules 13d-1(b) or 13d-2(b)  
              or (c), Check Whether the Person Filing is a:
- (a) [ ] Broker or dealer registered under Section 15 of the  
  Exchange Act;
- (b) [ ] Bank as defined in Section 3(a)(6) of the Exchange  
  Act;
- (c) [ ] Insurance company as defined in Section 3(a)(19) of  
  the Exchange Act;
- (d) [ ] Investment company registered under Section 8 of the  
  Investment Company Act;
- (e) [x] An investment adviser in accordance with Rule  
  13d-1(b)(1)(ii)(E);
- (f) [ ] An employee benefit plan or endowment fund in

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- accordance with Rule 13d-1(b)(1)(ii)(F);  
(g) ☐ A parent holding company or control person in  
accordance with Rule 13d-1(b)(1)(ii)(G);

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- (h) ☐ A savings associations as defined in Section 3(b) of  
the Federal Deposit Insurance Act;  
(i) ☐ A church plan that is excluded from the definition of  
an investment company under Section 3(c)(14) of the  
Investment Company Act of 1940;  
(j) ☐ Group, in accordance with Rule 13d-1(b)(1)(ii)(J).

Item 4. Ownership.

- (a). Amount beneficially owned:  
(i) Fenimore: 272,300  
(ii) Putnam: 272,300
- (b). Percent of class:  
(i) Fenimore: .86%  
(ii) Putnam: .86%
- (c). Number of shares as to which such person has:
- (1) Sole power to vote or to direct the vote:  
(i) Fenimore: 272,300  
(ii) Putnam: 0
- (2) Shared power to vote or to direct the vote:  
(i) Fenimore: 0  
(ii) Putnam: 272,300
- (3) Sole power to dispose or to direct the disposition of:  
(i) Fenimore: 272,300  
(ii) Putnam: 0
- (4) Shared power to dispose or to direct the disposition of:  
(i) Fenimore: 0  
(ii) Putnam: 272,300

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- Item 5. Ownership of Five Percent or Less of a Class:  
If this statement is being filed to report the fact that as of the date  
hereof the reporting person has ceased to be the beneficial owner of  
more than five percent of the class of securities, check the following:  
[X]

- Item 6. Ownership of More Than Five Percent on Behalf of Another Person:

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Not Applicable.

Item 7. Identification and Classification of Subsidiaries which Acquired the Security Being Reported on by the Parent Holding Company:

Not Applicable

Item 8. Identification and Classification of Members of the Group:

Not Applicable

Item 9. Notice of Dissolution of Group:

Not Applicable

Item 10. Certification:

By signing below, I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

In accordance with Rule 13d-4 of the Securities Exchange Act of 1934, each of the persons filing this statement expressly disclaim the beneficial ownership of the securities covered by this statement and the filing of this report shall not be construed as an admission by such persons that they are the beneficial owners of such securities.

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SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

FENIMORE ASSET MANAGEMENT, INC.

Date: January 17, 2007

By: /S/ JOSEPH A. BUCCI

-----  
Joseph A. Bucci  
Secretary

THOMAS O. PUTNAM

Date: January 17, 2007

By: /S/ THOMAS O. PUTNAM

-----  
Thomas O. Putman

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EXHIBIT 1

JOINT FILING AGREEMENT AMONG FENIMORE ASSET MANAGEMENT, INC.  
AND THOMAS O. PUTNAM

WHEREAS, in accordance with Rule 13d-1(k) under the Securities and Exchange Act of 1934 (the "Act"), only one joint statement and any amendments thereto need to be filed whenever one or more persons are required to file such a statement or any amendments thereto pursuant to Section 13(d) of the Act with respect to the same securities, provided that said persons agree in writing that such statement or amendments thereto is filed on behalf of each of them;

NOW, THEREFORE, the parties hereto agree as follows:

FENIMORE ASSET MANAGEMENT, INC. AND THOMAS O. PUTNAM hereby agree, in accordance with Rule 13d-1(k) under the Act, to file a statement on Schedule 13G relating to their ownership of Common Stock of the Issuer and do hereby further agree that said statement shall be filed on behalf of each of them.

FENIMORE ASSET MANAGEMENT, INC.

Date: January 17, 2007

By: /S/ JOSEPH A. BUCCI

-----  
Joseph A. Bucci  
Secretary

THOMAS O. PUTNAM

Date: January 17, 2007

By: /S/ THOMAS O. PUTNAM

-----  
Thomas O. Putnam