

Edgar Filing: DUFFY WILLIAM F - Form 3

DUFFY WILLIAM F
Form 3
March 07, 2002

FORM 3

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a)
Holding Company Act of 1935 or Section 30(f) of the Investment Company A

<p>1. Name and Address of Reporting Person* Duffy William F. _____ (Last) (First) (Middle) c/o Barnes & Noble, Inc. 100 Middlesex Boulevard _____ (Street) Jamesburg New Jersey 08831 _____ (City) (State) (Zip)</p>	<p>2. Date of Event Re- quiring Statement (Month/Day/Year) 2/25/02</p> <p>3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)</p>	<p>4. Issuer Name and Ticker or Barnes & Noble, Inc.</p> <p>5. Relationship of Reporting to Issuer (Check all app ____ Director ____ 10% O _X_ Officer (give ____ Other title below) Executive Vice Presiden Distribution and Logist</p>
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Table 1 - Non-Derivative Securities Beneficially Owned

<p>1. Title of Security (Instr. 4) ----- None</p>	<p>2. Amount of Securities Beneficially Owned (Instr. 4) -----</p>	<p>3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) -----</p>	<p>4. N O</p>
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If the form is filed by more than one Reporting Person, see Instruction 5(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or i

(Print or Type Responses)

Table II - Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

<p>1. Title of Derivative Security (Instr. 4) -----</p>	<p>2. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Exer- Expira- cisable tion Date Date</p>	<p>3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) ----- Title Amount or Number of Shares</p>	<p>4. Conversion or Exercise Price of Derivative Security -----</p>	<p>5</p>
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Stock Option

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(Right to Buy) (1) (2) 3/2/08 Common Stock 4,573 \$34.75

Explanation of Responses:

- (1) Granted under Barnes & Noble, Inc. 1996 Incentive Plan in transaction exempt under Rule 16b
- (2) One-fourth of these options became exercisable on March 3 of each of the years 1999 through

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/William F.

**Signature of Reporter
William F. D

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, See Instruction 6 for procedure.

(Print or Type Responses)