Saylor Kurt Form 4 November 19, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

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Washington, D.C. 20549

3235-0287 Number: January 31, Expires:

OMB APPROVAL

Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Saylor Kurt

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol HEARTLAND FINANCIAL USA

(Check all applicable)

(First) (Middle) (Last)

(Street)

(State)

11/19/2018

11/19/2018

INC [HTLF]

3. Date of Earliest Transaction

_X__ Director 10% Owner

(Month/Day/Year)

(Zip)

11/19/2018

Officer (give title Other (specify below)

1398 CENTRAL AVE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

125,628

125,442

I

DUBUQUE, IA 52001

(City)

Common

Common

Stock

Stock

(City)	(State)	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispose (Instr. 3, 4	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							0	D	
Common Stock							129,208	I	Trust (1)
Common Stock	11/19/2018		S	2,150 (2)	D	\$ 54.2701	127,058	I	Trust (1)

1,430

186 (2) D

S

S

Trust (1)

Trust (1)

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Common Stock					51,423	I	Wife's Trust (3)
Common Stock	11/19/2018	S	850 (2) D	\$ 54.2701	50,573	I	Wife's Trust (3)
Common Stock	11/19/2018	S	570 (2) D	\$ 54.6115	50,003	I	Wife's Trust (3)
Common Stock	11/19/2018	S	74 <u>(2)</u> D	\$ 55.0231	49,929	I	Wife's Trust (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

8. Price of Derivative Security (Instr. 5)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2018 Director Restricted Stock Units (retainer)	<u>(4)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	460 <u>(6)</u>
2018 Director Restricted Stock Units	<u>(4)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	1,235

Reporting Owners

Reporting Owner Name / Address Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

Saylor Kurt

1398 CENTRAL AVE X DUBUQUE, IA 52001

Signatures

/s/ Kurt Saylor 11/19/2018

**Signature of Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by Kurt M. Saylor Trust dated 1-6-1998 for which Reporting Person acts as trustee.
- (2) Shares sold pursuant to 10b5-1 Plan effective November 12, 2018.
- (3) These shares are held by Melissa J. Saylor Trust dated 1-6-1998 for which Reporting Person's wife acts as trustee.
- (4) Each restricted stock unit represents a contingent right to receive one share of Issuer's common stock.
- (5) These restricted stock units are granted under the 2012 Long-term Incentive Plan and are subject to a one (1) year vesting schedule.
- (6) HTLF Directors may elect RSUs or cash for the retainer portion of their Director Compensation

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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