

ROCKWELL MEDICAL, INC.
Form 4
March 23, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Richmond David S.

2. Issuer Name and Ticker or Trading Symbol
ROCKWELL MEDICAL, INC.
[RMTI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3568 WILDWOOD AVENUE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
03/21/2017

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
See Footnote 1

JACKSON, MI 49202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
____ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock ⁽¹⁾ ₍₂₎	03/21/2017		P		8,726	A	\$ 5.7262	8,726	I	By: RBI Private Investment II, LLC ⁽⁴⁾
Common Stock ⁽¹⁾ ₍₂₎	03/21/2017		P		7,408	A	\$ 5.7262	41,495	I	By: Richmond Brothers 401(k) Profit Sharing Plan ⁽⁵⁾
	03/22/2017		P		21,076	A		29,802	I	

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Common Stock <u>(1)</u> <u>(2)</u>		\$			By: RBI Private Investment II, LLC <u>(4)</u>
Common Stock <u>(1)</u> <u>(2)</u>			5,9359		
Common Stock <u>(1)</u> <u>(2)</u>				164,841	I
Common Stock <u>(1)</u> <u>(2)</u>					By: RBI Private Investment I, LLC <u>(3)</u>
Common Stock <u>(1)</u> <u>(2)</u>				176,412	D
Common Stock <u>(1)</u> <u>(2)</u>					By: Spouse of David S. Richmond <u>(6)</u>
Common Stock <u>(1)</u> <u>(2)</u>				28,096	I
Common Stock <u>(1)</u> <u>(2)</u>					By: Daughter of David S. Richmond <u>(7)</u>
Common Stock <u>(1)</u> <u>(2)</u>				147	I
Common Stock <u>(1)</u> <u>(2)</u>					By: Son of David S. Richmond <u>(8)</u>
Common Stock <u>(1)</u> <u>(2)</u>				7	I
Common Stock <u>(1)</u> <u>(2)</u>					By: Matthew J. Curfman
Common Stock <u>(1)</u> <u>(2)</u>				40,684	I
Common Stock <u>(1)</u> <u>(2)</u>					By: Spouse of Matthew J. Curfman <u>(9)</u>
Common Stock <u>(1)</u> <u>(2)</u>				34,385	I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo
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Disposed
of (D)
(Instr. 3,
4, and 5)

Trans
(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Richmond David S. 3568 WILDWOOD AVENUE JACKSON, MI 49202		X		See Footnote 1
RBI Private Investment I, LLC 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnote 1
RBI Private Investment II, LLC 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnote 1
RBI PI Manager, LLC 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnote 1
Richmond Brothers 401(k) Profit Sharing Plan 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnote 1
Richmond Brothers, Inc. 3568 WILDWOOD AVENUE JACKSON, MI 49202		X		See Footnote 1
Curfman Matthew J. 3568 WILDWOOD AVENUE JACKSON, MI 49202		X		See Footnote 1

Signatures

By: /s/ David S. Richmond	03/23/2017
__Signature of Reporting Person	Date
By: RBI Private Investment I, LLC; By: RBI PI Manager, LLC, Manager; By: /s/ David S. Richmond, Manager	03/23/2017
__Signature of Reporting Person	Date
By: RBI Private Investment II, LLC; By: RBI PI Manager, LLC, Manager; By: /s/ David S. Richmond, Manager	03/23/2017
__Signature of Reporting Person	Date

