EQUINIX INC Form 4 May 04, 2006

# FORM 4

Check this box

if no longer

Section 16.

Form 4 or

obligations

may continue.

Form 5

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 30(n) of the Investment Company

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading STARK MICHAEL J Issuer Symbol **EQUINIX INC [EQIX]** (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) \_X\_\_ Director 10% Owner Officer (give title \_\_X\_ Other (specify TWO EMBARCADERO 08/03/2005 below) below) CENTER, SUITE 2200 Affiliate of Director (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting SAN FRANCISCO, CA 94111 Person

| (City)                               | (State)                              | (Zip) Tab   | ole I - Non-l   | Derivative | Secui     | ities Acquir   | ed, Disposed of,   | or Beneficiall  | y Owned                   |
|--------------------------------------|--------------------------------------|---|---|------------|-----------|--|--|---|---------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |            |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                           |
|                                      |                                      |   | Code V  | Amount     | or<br>(D) | Price  | (Instr. 3 and 4)   | ,   |                           |
| Common<br>Stock                      | 08/03/2005                           |   | S(5)  | 700        | D         | \$<br>43.3975  | 1,429  | D   |                           |
| Common<br>Stock                      | 03/02/2006                           |   | S <u>(6)</u>  | 10,000     | D         | \$ 52.55   | 32,613   | D   |                           |
| Common<br>Stock                      | 05/02/2006                           |   | J <u>(7)</u>  | 6,672      | D         | \$ 0 (5)   | 754,403  | I   | See Notes (1) (2) (3) (4) |
| Common<br>Stock                      | 05/02/2006                           |   | J <u>(8)</u>  | 4,371      | A         | \$0(6)   | 37,624   | D   |                           |
| Common<br>Stock                      | 05/02/2006                           |   | J <u>(9)</u>  | 522        | A         | \$ 0 (7)   | 522  | D   |                           |

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| Common<br>Stock | 05/02/2006 | J <u>(10)</u> | 126   | A | \$ 0 (8)  | 471 | D |
|-----------------|------------|---------------|-------|---|-----------|-----|---|
| Common<br>Stock | 05/02/2006 | J <u>(11)</u> | 63    | A | \$ 0 (9)  | 446 | D |
| Common<br>Stock | 05/02/2006 | J(12)         | 31    | A | \$ 0 (10) | 221 | D |
| Common<br>Stock | 05/02/2006 | S(5)          | 1,400 | D | \$ 62.83  | 773 | D |
| Common<br>Stock | 05/03/2006 | S(13)         | 522   | A | \$ 61.8   | 0   | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Tit | le and   | 8. Price of | ç |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|--------|----------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration Da | ate         | Amou   | ınt of   | Derivative  | J |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Unde   | rlying   | Security    | , |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Secur  | ities    | (Instr. 5)  | ] |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr | 3 and 4) |             | ( |
|             | Security    |                     |                    |            | Acquired   |               |             |        |          |             | J |
|             |             |                     |                    |            | (A) or     |               |             |        |          |             | ] |
|             |             |                     |                    |            | Disposed   |               |             |        |          |             | - |
|             |             |                     |                    |            | of (D)     |               |             |        |          |             | ( |
|             |             |                     |                    |            | (Instr. 3, |               |             |        |          |             |   |
|             |             |                     |                    |            | 4, and 5)  |               |             |        |          |             |   |
|             |             |                     |                    |            |            |               |             |        |          |             |   |
|             |             |                     |                    |            |            |               |             |        | Amount   |             |   |
|             |             |                     |                    |            |            | Date          | Expiration  | m: 1   | or       |             |   |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title  | Number   |             |   |
|             |             |                     |                    |            |            |               |             |        | of       |             |   |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |        | Shares   |             |   |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |                       |  |  |  |
|--|---------------|-----------|---------|-----------------------|--|--|--|
| 1  | Director      | 10% Owner | Officer | Other                 |  |  |  |
| STARK MICHAEL J<br>TWO EMBARCADERO CENTER<br>SUITE 2200<br>SAN FRANCISCO, CA 94111 | X             |           |         | Affiliate of Director |  |  |  |
| BLISKA THOMAS EDWARD   | X             |           |         | Affiliate of Director |  |  |  |

Reporting Owners 2

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#### **DUNN DANIEL JOHN**

X Affiliate of Director

Epstein David

TWO EMBARCADERO X Affiliate of Director **SUITE 2200** 

SAN FRANCISCO, CA 94111

Feuille James

TWO EMBARCADERO X Affiliate of Director

**SUITE 2200** 

SAN FRANCISCO, CA 94111

**HROMADKO GARY** 

301 VELOCITY WAY 5TH FLOOR X Affiliate of Director

FOSTER CITY, CA 94404

KAUFMAN SEYMOUR F

X Affiliate of Director

# **Signatures**

Michael J. Stark 05/04/2006

\*\*Signature of Date Reporting Person

Thomas Edward

05/04/2006 Bliska

\*\*Signature of Date

Reporting Person

Daniel John 05/04/2006

Dunn

\*\*Signature of Date Reporting Person

David Epstein 05/04/2006

\*\*Signature of Reporting Person Date

James Feuille 05/04/2006

\*\*Signature of Date

Reporting Person

Gary Hromadko 05/04/2006

\*\*Signature of Date

Reporting Person

Seymour F. 05/04/2006 Kaufman

Date

\*\*Signature of Reporting Person

Signatures 3 Edgar Filing: EQUINIX INC - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The reporting persons (the "Reporting Persons") are Crosslink Capital, Inc. ("Crosslink"), Crossover Fund III Management, L.L.C. ("Crossover III Management"), Crosslink Ventures IV Holdings, L.L.C. ("Ventures IV Holdings"), Crosslink Verwaltungs GmbH
- (1) ("Verwaltungs"), Michael J. Stark, Seymour F. Kaufman, James Feuille, David I. Epstein, Daniel John Dunn, Thomas Edward Bliska and Gary Hromadko. Crosslink is an investment adviser to investment funds of which Crossover III Management, Ventures IV Holdings or Verwaltungs is the general partner, manager or the holder of Class B Units, and other investment funds. Crossover III Management is also the investment adviser to investment funds.
- Mr. Stark and Mr. Kaufman are control persons of Crosslink. Mr. Stark, Mr. Kaufman, Mr. Bliska, Mr. Dunn, Mr. Feuille and Mr.

  (2) Epstein are control persons of Crossover III Management. Mr. Stark is a control person of Ventures IV Holdings and Verwaltungs. Mr. Hromadko is a member of the Issuer's board of directors and serves as the representative of the Reporting Persons.
  - Crosslink, Crossover III Management, Ventures IV Holdings, Verwaltungs, Mr. Stark, Mr. Kaufman, Mr. Feuille, Mr. Epstein, Mr. Dunn, Mr. Bliska and Mr. Hromadko are filing this Form 4 jointly and constitute a group, within the meaning of Rule 13d-5(b) under
- (3) the Securities Exchange Act of 1934, as amended, but disclaim membership in a group with any other person. These securities are held directly by investment funds to which Crosslink or Crossover III Management is investment adviser (the "Funds") for the benefit of the investors in the Funds.
- (4) These securities are indirectly beneficially owned by Crosslink or Crossover III Management as the investment adviser to the Funds, by Crossover III Management, Ventures IV Holdings and Verwaltungs as the general partner, manager or holder of Class B Units of the Funds, and by Mr. Stark, Mr. Kaufman, Mr. Feuille, Mr. Epstein, Mr. Bliska and Mr. Dunn as the various control persons of those entities. The Reporting Persons disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.
- (5) These securities are directly beneficially owned by Mr. Feuille.
- (6) These securities are directly beneficially owned by Mr. Stark.
- On May 2, 2006, investment funds for which Crosslink serves as investment adviser or manager distributed these securities pro rata to the investors in those funds for no additional consideration, which securities previously were reported as indirectly beneficially owned by the Reporting Persons. The zero in Column 4 is a placeholder only that is required by the EDGAR software.
- (8) These securities were received by Mr. Stark for no consideration in the pro rata distribution described in Note 7. The zero in Column 4 is a placeholder only that is required by the EDGAR software.
- (9) These securities were received by Mr. Kaufman for no consideration in the pro rata distribution described in Note 7. The zero in Column 4 is a placeholder only that is required by the EDGAR software.
- (10) These securities were received by Mr. Epstein for no consideration in the pro rata distribution described in Note 7. The zero in Column 4 is a placeholder only that is required by the EDGAR software.
- (11) These securities were received by Mr. Bliska for no consideration in the pro rata distribution described in Note 7. The zero in Column 4 is a placeholder only that is required by the EDGAR software.
- (12) These securities were received by Mr. Dunn for no consideration in the pro rata distribution described in Note 7. The zero in Column 4 is a placeholder only that is required by the EDGAR software.
- (13) These securities are directly beneficially owned by Mr. Kaufman.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.