MARCHESI VINCENT T

Form 4

February 22, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

burden hours per

Check this box if no longer subject to Section 16

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

Common

Common

Stock

Stock

02/22/2019

02/22/2019

(Print or Type Responses)

| 1. Name and A MARCHES | 2. Issuer Name and Ticker or Trading Symbol EXELIXIS, INC. [EXEL] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
|---|---|---------------------------------|--|-------------|---------------|--|--|---|---|-------------|--|
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | (Check all applicable) _X_ Director 10% Owner | | | |
| C/O EXELIXIS, INC., 1851 HARBOR BAY PARKWAY | | | 02/22/2019 | | | | | Officer (give below) | e titleOther below) | er (specify | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| ALAMEDA | A, CA 94502 | | | | | | | Form filed by More than One Reporting Person | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | med on Date, if Day/Year) | Code (Instr. 3, 4 and 5) | | | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 02/22/2019 | | | Code V M | Amount 11,250 | (D) | Price \$ 4.16 | (Instr. 3 and 4) 145,100 (1) | D | | |
| Common Stock | 02/22/2019 | | | M | 15,000 | A | \$ 5.92 | 160,100 | D | | |
| _ | | | | | | | | | | | |

15.000 A

41,250 D

175,100

133,850

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

S

Edgar Filing: MARCHESI VINCENT T - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|--|--|--------------------|--|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| Option (right to buy) | \$ 4.16 | 02/22/2019 | | M | 11,250 | 05/14/2009(2) | 05/13/2019 | Common Stock | 11,250 |
| Option (right to buy) | \$ 5.92 | 02/22/2019 | | M | 15,000 | 05/19/2010(3) | 05/18/2020 | Common Stock | 15,000 |
| Option (right to buy) | \$ 4.57 | 02/22/2019 | | M | 15,000 | 05/24/2012(4) | 05/23/2019 | Common Stock | 15,000 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MARCHESI VINCENT T C/O EXELIXIS, INC. 1851 HARBOR BAY PARKWAY ALAMEDA, CA 94502

X

Signatures

/s/ Jennifer Drimmer Rokovich, Attorney in Fact

02/22/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 7,937 shares of Exelixis, Inc. common stock that will be issued to the Reporting Person upon vesting of restricted stock units.

Reporting Owners 2

Edgar Filing: MARCHESI VINCENT T - Form 4

- (2) The option, representing the right to purchase a total of 11,250 shares of Exelixis, Inc. common stock, became fully exercisable on May 14, 2009.
- (3) The option, representing the right to purchase a total of 15,000 shares of Exelixis, Inc. common stock, became fully exercisable on May 19, 2010.
- (4) The option, representing the right to purchase a total of 15,000 shares of Exelixis, Inc. common stock, became fully exercisable on May 24, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.