

Edgar Filing: SONIC AUTOMOTIVE INC - Form 4

SONIC AUTOMOTIVE INC  
 Form 4  
 January 04, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

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 FORM 4  
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Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See  
 instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

(Print or Type Responses)

Filed pursuant to Section 16(a) of the Securities Exchange  
 Act of 1934, Section 17(a) of the Public Utility Holding  
 Company Act of 1935 or Section 30(f) of the Investment  
 Company Act of 1940

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|--|---|
| 1. Name and Address of Reporting Person*<br><br>Brooks, William R.<br><br>(Last) (First) (Middle)<br><br>5401 E. Independence Blvd.<br><br>-----<br>(Street)<br><br>Charlotte, NC 28212<br><br>-----<br>(City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol<br><br>Sonic Automotive, Inc. (SAH)<br><br>3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)<br><br>4. Statement for Month/Year<br><br>12/01<br><br>5. If Amendment, Date of Original (Month/Year)<br><br>6. Relationship to Issuer (Check one)<br><br>x Director<br><br>--- Officer (give title below)<br><br>---<br><br>7. Individual Applicable Form<br><br>x Form 4<br><br>--- Form 3<br><br>--- Personal |
|--|---|

Table I -- Non-Derivative Securities Acquired or Disposed of Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. B) | 4. Securities Acquired or Disposed (Instr. 3, 4) | 7. Individual Applicable Form |
|---------------------------------|--------------------------------------|--------------------------------|--|-------------------------------|
|                                 |                                      | Code                           | V  | Amount                        |
| Class A Common Stock            | 12/17/01                             | M                              |  | 20,000 A                      |
| Class A Common Stock            | 12/17/01                             | S                              |  | 20,000 D                      |

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Table II -- Derivative Securities Acquired, Disposed of Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 6. Ownership Form:<br>Direct (D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br><br>(Instr. 4) |
|---|---|
| D   |   |
| D   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion or<br>Exercise Price<br>of Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of Derivative<br>Securities Acquired (A)<br>Disposed of (D)<br>(Instr. 3,4 and 5) |
|---|---|---|--------------------------------------|---|
|---|---|---|--------------------------------------|---|

|                       |        |          | Code | V | (A) |
|-----------------------|--------|----------|------|---|-----|
| Option to<br>Purchase | \$7.25 | 12/17/01 | M    |   | 20  |

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|   |  |  |   |  |
|---|--|--|---|--|
| 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4) | 10. Ownership Form of Derivative Securities Beneficially Owned at End of Month (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--|--|---|--|

|       |                            |  |  |  |
|-------|----------------------------|--|--|--|
| Title | Amount or Number of Shares |  |  |  |
|-------|----------------------------|--|--|--|

|                      |        |        |   |  |
|----------------------|--------|--------|---|--|
| Class A Common Stock | 20,000 | 55,000 | D |  |
|----------------------|--------|--------|---|--|

Explanation of Responses:

/s/ William R. Brooks

1/4/02

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 \*\*Signature of Reporting Person

-----  
 Date

Reminder: Report on a separate line of each class of securities beneficially owned directly or indirectly.

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\*If the form is filed by more than one reporting person, see instruction 4(b) (v) .

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a) .

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.