



## Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

List of Other Included Managers:

| No.  | 13F File Number | Name   |
|------|-----------------|--|
| ---- | -----           | ----   |
| 01   | 28-139          | Ameriprise Financial, Inc.                     |
| 02   | 28-10477        | RiverSource Investments, LLC                   |
| 03   | 28-1045         | Ameriprise Trust Company                       |
| 04   | 28-140          | Ameriprise Certificate Company                 |
| 05   | 28-143          | RiverSource Life Insurance Company             |
| 06   | 28-1046         | RiverSource Life Insurance Company of New York |
| 10   |                 | Threadneedle Asset Management Limited          |
| 14   |                 | Kenwood Capital Management LLC                 |
| 15   |                 | Securities America Advisors, Inc.              |
| 16   | 28-861          | J. & W. Seligman Co. Incorporated              |

### FORM 13F INFORMATION TABLE

| NAME OF ISSUER             | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHARES/<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVSTMT<br>DSCRETN | MA    |
|----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------|-------|
| -----                      | -----          | -----     | -----              | -----              | -----      | -----        | -----              | ----- |
| CORE LABORATORIES N.V.     | COM            | 000020038 | 88                 | 875                | SH         |              | DEFINED            | 0     |
| AAON INC                   | COM            | 000360206 | 38                 | 1898               | SH         |              | DEFINED            | 0     |
| AAR CORP                   | COM            | 000361105 | 2587               | 161245             | SH         |              | DEFINED            | 0     |
| AAR CORP                   | COM            | 000361105 | 64                 | 4000               | SH         |              | DEFINED            | 0     |
| ABB LTD-SPON ADR           | COM            | 000375204 | 115806             | 7338939            | SH         |              | DEFINED            | 0     |
| ABB LTD-SPON ADR           | COM            | 000375204 | 140                | 8841               | SH         |              | DEFINED            | 0     |
| ABB LTD-SPON ADR           | COM            | 000375204 | 392                | 24860              | SH         |              | DEFINED            | 0     |
| ACCO BRANDS CORP COM       | COM            | 00081T108 | 1                  | 474                | SH         |              | DEFINED            | 0     |
| ADC TELECOMMUNICATIONS INC | COM            | 000886309 | 242                | 30360              | SH         |              | DEFINED            | 0     |
| ADC TELECOMMUNICATIONS INC | COM            | 000886309 | 63                 | 7900               | SH         |              | DEFINED            | 0     |
| ADC TELECOMMUNICATIONS INC | COM            | 000886309 | 12                 | 1521               | SH         |              | DEFINED            | 0     |
| ACM MANAGED DLR INC FU     | COM            | 000949107 | 23                 | 3800               | SH         |              | DEFINED            | 0     |
| ABM INDUSTRIES INC         | COM            | 000957100 | 861                | 47649              | SH         |              | DEFINED            | 0     |
| AFC ENTERPRISES            | COM            | 00104Q107 | 256                | 38081              | SH         |              | DEFINED            | 0     |
| AFC ENTERPRISES            | COM            | 00104Q107 | 34                 | 5100               | SH         |              | DEFINED            | 0     |
| AFLAC INC                  | COM            | 001055102 | 34998              | 1125729            | SH         |              | DEFINED            | 0     |
| AFLAC INC                  | COM            | 001055102 | 787                | 25307              | SH         |              | DEFINED            | 0     |
| AFLAC INC                  | COM            | 001055102 | 540                | 17368              | SH         |              | DEFINED            | 0     |
| CHECK POINT SOFTWARE TECH  | COM            | 001082411 | 54                 | 2800               | SH         |              | DEFINED            | 0     |
| AGCO CORP                  | COM            | 001084102 | 17327              | 596080             | SH         |              | DEFINED            | 0     |
| AGCO CORP                  | COM            | 001084102 | 15                 | 513                | SH         |              | DEFINED            | 0     |
| AGL RESOURCES INC          | COM            | 001204106 | 107                | 3360               | SH         |              | DEFINED            | 0     |
| AGL RESOURCES INC          | COM            | 001204106 | 26                 | 816                | SH         |              | DEFINED            | 0     |
| AES CORP                   | COM            | 00130H105 | 10082              | 868523             | SH         |              | DEFINED            | 0     |
| AES CORP                   | COM            | 00130H105 | 668                | 57573              | SH         |              | DEFINED            | 0     |
| AES CORP                   | COM            | 00130H105 | 317                | 27336              | SH         |              | DEFINED            | 0     |
| AES CORP                   | COM            | 00130H105 | 5139               | 442649             | SH         |              | DEFINED            | 0     |
| ALCON INC                  | COM            | 001382649 | 6131               | 44000              | SH         |              | DEFINED            | 0     |
| AK STEEL HOLDING CORP      | COM            | 001547108 | 16131              | 840565             | SH         |              | DEFINED            | 0     |
| AK STEEL HOLDING CORP      | COM            | 001547108 | 352                | 18374              | SH         |              | DEFINED            | 0     |
| AK STEEL HOLDING CORP      | COM            | 001547108 | 91                 | 4900               | SH         |              | DEFINED            | 0     |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| AK STEEL HOLDING CORP          | COM | 001547108 | 37     | 1938     | SH | DEFINED | 0 |
| ALPS ETF TR COHEN & TEERS GLOB | COM | 00162Q106 | 23     | 923      | SH | DEFINED | 0 |
| AMB PROPERTY CORP              | COM | 00163T109 | 5387   | 286455   | SH | DEFINED | 0 |
| AMB PROPERTY CORP              | COM | 00163T109 | 19     | 1030     | SH | DEFINED | 0 |
| AMB PROPERTY CORP              | COM | 00163T109 | 21     | 1125     | SH | DEFINED | 0 |
| AMAG PHARMACEUTICALS INC       | COM | 00163U106 | 33     | 600      | SH | DEFINED | 0 |
| AMAG PHARMACEUTICALS INC       | COM | 00163U106 | 1      | 15       | SH | DEFINED | 0 |
| AMDL INC COM PAR 2006          | COM | 00167K500 | 0      | 1        | SH | DEFINED | 0 |
| AMN HEALTHCARE SERVICES INC    | COM | 001744101 | 1348   | 211279   | SH | DEFINED | 0 |
| AMR CORP                       | COM | 001765106 | 29146  | 7250502  | SH | DEFINED | 0 |
| AMR CORP                       | COM | 001765106 | 87     | 21659    | SH | DEFINED | 0 |
| AMR CORP                       | COM | 001765106 | 106    | 26283    | SH | DEFINED | 0 |
| APAC CUSTOMER SERVICES INC     | COM | 00185E106 | 168    | 32760    | SH | DEFINED | 0 |
| APAC CUSTOMER SERVICES INC     | COM | 00185E106 | 3      | 525      | SH | DEFINED | 0 |
| FOSTER WHEELER AG              | COM | 001866678 | 33239  | 1400202  | SH | DEFINED | 0 |
| AT&T INC                       | COM | 00206R102 | 267614 | 10773486 | SH | DEFINED | 0 |
| AT&T INC                       | COM | 00206R102 | 10144  | 408374   | SH | DEFINED | 0 |
| AT&T INC                       | COM | 00206R102 | 34849  | 1404045  | SH | DEFINED | 0 |
| AT&T INC                       | COM | 00206R102 | 11047  | 444724   | SH | DEFINED | 0 |
| ATMI INC                       | COM | 00207R101 | 863    | 55557    | SH | DEFINED | 0 |
| ATS MEDICAL INC                | COM | 002083103 | 1      | 200      | SH | DEFINED | 0 |
| ATC TECHNOLOGY CORP            | COM | 00211W104 | 1308   | 90208    | SH | DEFINED | 0 |
| ATC TECHNOLOGY CORP            | COM | 00211W104 | 2      | 125      | SH | DEFINED | 0 |
| ARCA BIOPHARMA INC COM         | COM | 00211Y100 | 0      | 25       | SH | DEFINED | 0 |
| AU OPTRONICS CORP-SPON ADR     | COM | 002255107 | 780    | 80567    | SH | DEFINED | 0 |
| AU OPTRONICS CORP-SPON ADR     | COM | 002255107 | 3      | 300      | SH | DEFINED | 0 |
| AVI BIOPHARMA INC              | COM | 002346104 | 3      | 2000     | SH | DEFINED | 0 |
| AVX CORP                       | COM | 002444107 | 337    | 33923    | SH | DEFINED | 0 |
| AZZ INC                        | COM | 002474104 | 391    | 11355    | SH | DEFINED | 0 |
| AARON'S INC                    | COM | 002535201 | 10912  | 365918   | SH | DEFINED | 0 |
| AARON'S INC                    | COM | 002535201 | 90     | 3450     | SH | DEFINED | 0 |
| AARON'S INC                    | COM | 002535201 | 4      | 138      | SH | DEFINED | 0 |
| AASTROM BIOSCIENCES INC        | COM | 00253U107 | 1      | 1800     | SH | DEFINED | 0 |
| ABAXIS INC                     | COM | 002567105 | 674    | 32825    | SH | DEFINED | 0 |
| ABBOTT LABORATORIES            | COM | 002824100 | 139573 | 2967114  | SH | DEFINED | 0 |
| ABBOTT LABORATORIES            | COM | 002824100 | 4906   | 104291   | SH | DEFINED | 0 |
| ABBOTT LABORATORIES            | COM | 002824100 | 11903  | 241800   | SH | DEFINED | 0 |
| ABBOTT LABORATORIES            | COM | 002824100 | 2531   | 53807    | SH | DEFINED | 0 |
| ABERCROMBIE & FITCH CO-CL A    | COM | 002896207 | 17470  | 688081   | SH | DEFINED | 0 |
| ABERCROMBIE & FITCH CO-CL A    | COM | 002896207 | 267    | 10519    | SH | DEFINED | 0 |
| ABERCROMBIE & FITCH CO-CL A    | COM | 002896207 | 5      | 179      | SH | DEFINED | 0 |
| ABERDEEN ASIA PACIFIC IN       | COM | 003009107 | 991    | 177673   | SH | DEFINED | 0 |
| ABERDEEN GLOBAL INCOME FD      | COM | 003013109 | 8      | 800      | SH | DEFINED | 0 |
| NOBLE CORP                     | COM | 003334731 | 63580  | 2101833  | SH | DEFINED | 0 |
| ABIOMED INC                    | COM | 003654100 | 43     | 4870     | SH | DEFINED | 0 |
| ABRAXIS BIOSCIENCE INC NEW CO  | COM | 00383Y102 | 2      | 43       | SH | DEFINED | 0 |
| MILlicom INTL CELLULAR S.A.    | COM | 003870570 | 18696  | 332279   | SH | DEFINED | 0 |
| WEATHERFORD INTL LTD           | COM | 003883839 | 87239  | 4471560  | SH | DEFINED | 0 |
| ACADIA REALTY TRUST            | COM | 004239109 | 2372   | 181783   | SH | DEFINED | 0 |
| ACCURAY INC                    | COM | 004397105 | 56     | 8500     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| ACERGY S A SPONSORED           | COM | 00443E104 | 4     | 389     | SH | DEFINED | 0 |
| ACETO CORP                     | COM | 004446100 | 76    | 11336   | SH | DEFINED | 0 |
| ACI WORLDWIDE INC              | COM | 004498101 | 256   | 18319   | SH | DEFINED | 0 |
| ACI WORLDWIDE INC              | COM | 004498101 | 15    | 1100    | SH | DEFINED | 0 |
| ACME PACKET INC                | COM | 004764106 | 308   | 30462   | SH | DEFINED | 0 |
| ACME PACKET INC                | COM | 004764106 | 65    | 6425    | SH | DEFINED | 0 |
| ACME PACKET INC                | COM | 004764106 | 4     | 400     | SH | DEFINED | 0 |
| TRANSOCEAN LTD                 | COM | 004826551 | 658   | 8868    | SH | DEFINED | 0 |
| ACORDA THERAPEUTICS INC        | COM | 00484M106 | 518   | 18360   | SH | DEFINED | 0 |
| ACORN INTL INC SPONSORED       | COM | 004854105 | 0     | 25      | SH | DEFINED | 0 |
| ACTEL CORP                     | COM | 004934105 | 246   | 22935   | SH | DEFINED | 0 |
| ACTIVISION BLIZZARD INC        | COM | 00507V109 | 16534 | 1309053 | SH | DEFINED | 0 |
| ACTIVISION BLIZZARD INC        | COM | 00507V109 | 85    | 6720    | SH | DEFINED | 0 |
| ACTIVISION BLIZZARD INC        | COM | 00507V109 | 2435  | 192818  | SH | DEFINED | 0 |
| ACTUATE CORPORATION            | COM | 00508B102 | 116   | 24189   | SH | DEFINED | 0 |
| ACTUATE CORPORATION            | COM | 00508B102 | 14    | 3000    | SH | DEFINED | 0 |
| ACTUANT CORP-CL A              | COM | 00508X203 | 1425  | 116790  | SH | DEFINED | 0 |
| ACTUANT CORP-CL A              | COM | 00508X203 | 48    | 3900    | SH | DEFINED | 0 |
| ACTUANT CORP-CL A              | COM | 00508X203 | 0     | 17      | SH | DEFINED | 0 |
| ACUITY BRANDS INC              | COM | 00508Y102 | 1396  | 49784   | SH | DEFINED | 0 |
| ACXIOM CORP                    | COM | 005125109 | 2337  | 264690  | SH | DEFINED | 0 |
| ACXIOM CORP                    | COM | 005125109 | 72    | 8200    | SH | DEFINED | 0 |
| ACXIOM CORP                    | COM | 005125109 | 7     | 800     | SH | DEFINED | 0 |
| ADA ES INC                     | COM | 005208103 | 5     | 1300    | SH | DEFINED | 0 |
| ADAMS EXPRESS COMPANY          | COM | 006212104 | 40    | 4778    | SH | DEFINED | 0 |
| ADAMS GOLF INC COM NEW         | COM | 006228209 | 151   | 62804   | SH | DEFINED | 0 |
| ADAPTEC INC                    | COM | 00651F108 | 1209  | 456392  | SH | DEFINED | 0 |
| ADAPTEC INC                    | COM | 00651F108 | 1     | 200     | SH | DEFINED | 0 |
| ADMINISTAFF INC                | COM | 007094105 | 8669  | 372473  | SH | DEFINED | 0 |
| ADMINISTAFF INC                | COM | 007094105 | 14    | 600     | SH | DEFINED | 0 |
| ADOBE SYSTEMS INC              | COM | 00724F101 | 19983 | 706101  | SH | DEFINED | 0 |
| ADOBE SYSTEMS INC              | COM | 00724F101 | 801   | 28298   | SH | DEFINED | 0 |
| ADOBE SYSTEMS INC              | COM | 00724F101 | 88    | 3100    | SH | DEFINED | 0 |
| ADOBE SYSTEMS INC              | COM | 00724F101 | 362   | 12804   | SH | DEFINED | 0 |
| ADOLOR CORPORATION             | COM | 00724X102 | 45    | 25800   | SH | DEFINED | 0 |
| ADTRAN INC                     | COM | 00738A106 | 6582  | 306550  | SH | DEFINED | 0 |
| ADVANCE AMERICA CASH ADVANCE   | COM | 00739W107 | 433   | 97648   | SH | DEFINED | 0 |
| ADVANCE AMERICA CASH ADVANCE   | COM | 00739W107 | 43    | 9600    | SH | DEFINED | 0 |
| ADVANCE AUTO PARTS INC         | COM | 00751Y106 | 1922  | 46313   | SH | DEFINED | 0 |
| ADVANCE AUTO PARTS INC         | COM | 00751Y106 | 14    | 328     | SH | DEFINED | 0 |
| ADVANCED ANALOGIC TECHNOLOGI   | COM | 00752J108 | 143   | 31117   | SH | DEFINED | 0 |
| ADVANCED ANALOGIC TECHNOLOGI   | COM | 00752J108 | 37    | 8000    | SH | DEFINED | 0 |
| ADVANCED SEMICONDUCTOR INC ADR | COM | 00756M404 | 69    | 23400   | SH | DEFINED | 0 |
| ADVANCED SEMICONDUCTOR INC ADR | COM | 00756M404 | 10    | 3237    | SH | DEFINED | 0 |
| ADVOCAT INC                    | COM | 007586100 | 1453  | 481128  | SH | DEFINED | 0 |
| ADVANTAGE ENERGY INCOME FD     | COM | 00762L101 | 102   | 24128   | SH | DEFINED | 0 |
| ADVISORY BOARD CO/THE          | COM | 00762W107 | 411   | 16000   | SH | DEFINED | 0 |
| ADVENT CLAYMORE GLOBAL CON     | COM | 007639107 | 27    | 4100    | SH | DEFINED | 0 |
| ADVENT CLAYMORE CONV SECS      | COM | 00764C109 | 132   | 10951   | SH | DEFINED | 0 |
| ADVENTRX PHARMACEUT            | COM | 00764X103 | 0     | 100     | SH | DEFINED | 0 |
| ADVANCED LIFE SCIENCES H       | COM | 00765H107 | 3     | 6000    | SH | DEFINED | 0 |
| AECOM TECHNOLOGY CORP          | COM | 00766T100 | 2963  | 92609   | SH | DEFINED | 0 |
| AECOM TECHNOLOGY CORP          | COM | 00766T100 | 448   | 14015   | SH | DEFINED | 0 |
| AEROCENTURY CORP               | COM | 007737109 | 1     | 91      | SH | DEFINED | 0 |
| AEROPOSTALE INC                | COM | 007865108 | 3493  | 101956  | SH | DEFINED | 0 |
| AEROPOSTALE INC                | COM | 007865108 | 12    | 338     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |      |        |    |         |   |
|-------------------------------|-----|-----------|------|--------|----|---------|---|
| ADVANCED MICRO DEVICES        | COM | 007903107 | 142  | 36830  | SH | DEFINED | 0 |
| ADVANCED MICRO DEVICES        | COM | 007903107 | 165  | 42586  | SH | DEFINED | 0 |
| ADVANCED MICRO DEVICES        | COM | 007903107 | 436  | 93300  | SH | DEFINED | 0 |
| ADVANCED MICRO DEVICES        | COM | 007903107 | 212  | 54901  | SH | DEFINED | 0 |
| AEGON N V ISIN #US00          | COM | 007924103 | 101  | 16364  | SH | DEFINED | 0 |
| ADVANTA CORP-CL B             | COM | 007942204 | 102  | 244098 | SH | DEFINED | 0 |
| ADVANCED ENVIRONMTL RECYCLING | COM | 007947104 | 0    | 1500   | SH | DEFINED | 0 |
| ADVANCED ENERGY INDUSTRIES    | COM | 007973100 | 320  | 35502  | SH | DEFINED | 0 |
| ADVANCED ENERGY INDUSTRIES    | COM | 007973100 | 22   | 2398   | SH | DEFINED | 0 |
| ADVENT SOFTWARE INC           | COM | 007974108 | 365  | 11141  | SH | DEFINED | 0 |
| ADVENT SOFTWARE INC           | COM | 007974108 | 2    | 55     | SH | DEFINED | 0 |
| AEROVIRONMENT INC             | COM | 008073108 | 1924 | 62402  | SH | DEFINED | 0 |
| AEROVIRONMENT INC             | COM | 008073108 | 29   | 948    | SH | DEFINED | 0 |
| AES TR III 6.75% TR C         | PRF | 00808N202 | 27   | 635    | SH | DEFINED | 0 |
| AETNA INC                     | COM | 00817Y108 | 3455 | 137904 | SH | DEFINED | 0 |
| AETNA INC                     | COM | 00817Y108 | 686  | 27372  | SH | DEFINED | 0 |
| AETNA INC                     | COM | 00817Y108 | 100  | 4000   | SH | DEFINED | 0 |
| AETNA INC                     | COM | 00817Y108 | 422  | 16865  | SH | DEFINED | 0 |
| AFFILIATED COMPUTER SVCS-A    | COM | 008190100 | 7025 | 158148 | SH | DEFINED | 0 |
| AFFILIATED COMPUTER SVCS-A    | COM | 008190100 | 386  | 8679   | SH | DEFINED | 0 |
| AFFILIATED COMPUTER SVCS-A    | COM | 008190100 | 127  | 2863   | SH | DEFINED | 0 |
| AFFILIATED MANAGERS GROUP     | COM | 008252108 | 303  | 5200   | SH | DEFINED | 0 |
| AFFILIATED MANAGERS GROUP     | COM | 008252108 | 1362 | 23456  | SH | DEFINED | 0 |
| AFFYMAX INC                   | COM | 00826A109 | 74   | 4000   | SH | DEFINED | 0 |
| AFFYMETRIX INC                | COM | 00826T108 | 247  | 41660  | SH | DEFINED | 0 |
| AFFYMETRIX INC                | COM | 00826T108 | 43   | 7300   | SH | DEFINED | 0 |
| AFFYMETRIX INC                | COM | 00826T108 | 2    | 300    | SH | DEFINED | 0 |

|                              |     |           |       |         |    |         |   |
|------------------------------|-----|-----------|-------|---------|----|---------|---|
| AGFEED INDUSTRIES INC        | COM | 00846L101 | 78    | 13200   | SH | DEFINED | 0 |
| AGFEED INDUSTRIES INC        | COM | 00846L101 | 17    | 2800    | SH | DEFINED | 0 |
| AGILENT TECHNOLOGIES INC     | COM | 00846U101 | 35574 | 1751557 | SH | DEFINED | 0 |
| AGILENT TECHNOLOGIES INC     | COM | 00846U101 | 449   | 22099   | SH | DEFINED | 0 |
| AGILENT TECHNOLOGIES INC     | COM | 00846U101 | 409   | 20800   | SH | DEFINED | 0 |
| AGILENT TECHNOLOGIES INC     | COM | 00846U101 | 124   | 6082    | SH | DEFINED | 0 |
| AGNICO-EAGLE MINES LTD       | COM | 008474108 | 10818 | 206132  | SH | DEFINED | 0 |
| AGNICO-EAGLE MINES LTD       | COM | 008474108 | 295   | 5626    | SH | DEFINED | 0 |
| AGILYSYS INC                 | COM | 00847J105 | 158   | 33724   | SH | DEFINED | 0 |
| AGILYSYS INC                 | COM | 00847J105 | 2     | 500     | SH | DEFINED | 0 |
| AGREE REALTY CORP            | COM | 008492100 | 101   | 5470    | SH | DEFINED | 0 |
| AGREE REALTY CORP            | COM | 008492100 | 33    | 1800    | SH | DEFINED | 0 |
| AGREE REALTY CORP            | COM | 008492100 | 4     | 200     | SH | DEFINED | 0 |
| AGRIUM INC                   | COM | 008916108 | 865   | 21676   | SH | DEFINED | 0 |
| AGRIUM INC                   | COM | 008916108 | 218   | 5459    | SH | DEFINED | 0 |
| AIR FRANCE-KLM-ADR           | COM | 009119108 | 5549  | 434420  | SH | DEFINED | 0 |
| AIR METHODS CORP             | COM | 009128307 | 1956  | 71481   | SH | DEFINED | 0 |
| AIR PRODUCTS & CHEMICALS INC | COM | 009158106 | 79716 | 1234208 | SH | DEFINED | 0 |
| AIR PRODUCTS & CHEMICALS INC | COM | 009158106 | 1021  | 15808   | SH | DEFINED | 0 |
| AIR PRODUCTS & CHEMICALS INC | COM | 009158106 | 948   | 14700   | SH | DEFINED | 0 |
| AIR PRODUCTS & CHEMICALS INC | COM | 009158106 | 298   | 4610    | SH | DEFINED | 0 |
| AIRGAS INC                   | COM | 009363102 | 4138  | 102084  | SH | DEFINED | 0 |
| AIRGAS INC                   | COM | 009363102 | 66    | 1639    | SH | DEFINED | 0 |
| AIRGAS INC                   | COM | 009363102 | 710   | 17325   | SH | DEFINED | 0 |
| AIRGAS INC                   | COM | 009363102 | 49    | 1202    | SH | DEFINED | 0 |
| AIRTRAN HOLDINGS INC         | COM | 00949P108 | 4     | 721     | SH | DEFINED | 0 |
| AIRVANA INC                  | COM | 00950V101 | 818   | 128657  | SH | DEFINED | 0 |
| AIXTRON AKTIENGESE           | COM | 009606104 | 6     | 510     | SH | DEFINED | 0 |
| AKAMAI TECHNOLOGIES          | COM | 00971T101 | 19141 | 997959  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |       |         |    |         |   |
|------------------------------|-----|-----------|-------|---------|----|---------|---|
| AKAMAI TECHNOLOGIES          | COM | 00971T101 | 445   | 23210   | SH | DEFINED | 0 |
| AKAMAI TECHNOLOGIES          | COM | 00971T101 | 48    | 1425    | SH | DEFINED | 0 |
| AKAMAI TECHNOLOGIES          | COM | 00971T101 | 217   | 11300   | SH | DEFINED | 0 |
| AKEENA SOLAR INC             | COM | 009720103 | 14    | 11018   | SH | DEFINED | 0 |
| AKEENA SOLAR INC             | COM | 009720103 | 1     | 500     | SH | DEFINED | 0 |
| AKORN INC                    | COM | 009728106 | 4     | 3000    | SH | DEFINED | 0 |
| COVIDIEN PLC                 | COM | 00B3QN1M2 | 68358 | 1825823 | SH | DEFINED | 0 |
| TYCO ELECTRONICS LTD         | COM | 010299318 | 14400 | 776289  | SH | DEFINED | 0 |
| ALASKA AIR GROUP INC         | COM | 011659109 | 2468  | 135148  | SH | DEFINED | 0 |
| ALASKA AIR GROUP INC         | COM | 011659109 | 27    | 1500    | SH | DEFINED | 0 |
| ALASKA AIR GROUP INC         | COM | 011659109 | 0     | 10      | SH | DEFINED | 0 |
| ALASKA COMM SYS GRP INC      | COM | 01167P101 | 40    | 5398    | SH | DEFINED | 0 |
| ALBANY INTL CORP-CL A        | COM | 012348108 | 1606  | 141068  | SH | DEFINED | 0 |
| ALBANY MOLECULAR RESEARCH    | COM | 012423109 | 73    | 8744    | SH | DEFINED | 0 |
| ALBANY MOLECULAR RESEARCH    | COM | 012423109 | 2     | 200     | SH | DEFINED | 0 |
| ALBEMARLE CORP               | COM | 012653101 | 514   | 20100   | SH | DEFINED | 0 |
| ALBEMARLE CORP               | COM | 012653101 | 1     | 28      | SH | DEFINED | 0 |
| ALBERTO-CULVER CO.           | COM | 013078100 | 1365  | 53660   | SH | DEFINED | 0 |
| ALBERTO-CULVER CO.           | COM | 013078100 | 4827  | 189959  | SH | DEFINED | 0 |
| ALBERTO-CULVER CO.           | COM | 013078100 | 1511  | 59426   | SH | DEFINED | 0 |
| ALCOA INC                    | COM | 013817101 | 77148 | 7468196 | SH | DEFINED | 0 |
| ALCOA INC                    | COM | 013817101 | 979   | 94718   | SH | DEFINED | 0 |
| ALCOA INC                    | COM | 013817101 | 494   | 47846   | SH | DEFINED | 0 |
| ALCATEL-LUCENT-SPONSORED ADR | COM | 013904305 | 989   | 398830  | SH | DEFINED | 0 |
| ALCATEL-LUCENT-SPONSORED ADR | COM | 013904305 | 94    | 37974   | SH | DEFINED | 0 |
| ALEXANDER & BALDWIN INC      | COM | 014482103 | 14    | 600     | SH | DEFINED | 0 |
| ALESCO FINL INC COM          | COM | 014485106 | 11    | 13300   | SH | DEFINED | 0 |
| ALEXANDRIA REAL ESTATE EQUIT | COM | 015271109 | 2753  | 76984   | SH | DEFINED | 0 |
| ALEXANDRIA REAL ESTATE EQUIT | COM | 015271109 | 64    | 1800    | SH | DEFINED | 0 |
| ALEXANDRIA REAL ESTATE EQUIT | COM | 015271109 | 0     | 5       | SH | DEFINED | 0 |
| ALEXION PHARMACEUTICALS INC  | COM | 015351109 | 7135  | 173481  | SH | DEFINED | 0 |
| ALEXION PHARMACEUTICALS INC  | COM | 015351109 | 49    | 1200    | SH | DEFINED | 0 |
| ALEXION PHARMACEUTICALS INC  | COM | 015351109 | 94    | 2280    | SH | DEFINED | 0 |
| ALEXCO RESOURCE CORP COM I   | COM | 01535P106 | 1     | 500     | SH | DEFINED | 0 |
| ALEXZA PHARMACEUT            | COM | 015384100 | 3     | 1150    | SH | DEFINED | 0 |
| ALICO INC FRMLY ALICO LAND   | COM | 016230104 | 3     | 85      | SH | DEFINED | 0 |
| ALIGN TECHNOLOGY INC         | COM | 016255101 | 1013  | 95602   | SH | DEFINED | 0 |
| ALIGN TECHNOLOGY INC         | COM | 016255101 | 3     | 285     | SH | DEFINED | 0 |
| ALKERMES INC                 | COM | 01642T108 | 383   | 35510   | SH | DEFINED | 0 |
| ALKERMES INC                 | COM | 01642T108 | 38    | 3473    | SH | DEFINED | 0 |
| ALKERMES INC                 | COM | 01642T108 | 2     | 155     | SH | DEFINED | 0 |
| ALLEGHANY CORP               | COM | 017175100 | 2216  | 8176    | SH | DEFINED | 0 |
| ALLEGHANY CORP               | COM | 017175100 | 14    | 52      | SH | DEFINED | 0 |
| ALLEGHENY ENERGY INC         | COM | 017361106 | 29227 | 1139481 | SH | DEFINED | 0 |
| ALLEGHENY ENERGY INC         | COM | 017361106 | 186   | 7241    | SH | DEFINED | 0 |
| ALLEGHENY ENERGY INC         | COM | 017361106 | 146   | 5703    | SH | DEFINED | 0 |
| ALLEGHENY TECHNOLOGIES INC   | COM | 01741R102 | 19480 | 557710  | SH | DEFINED | 0 |
| ALLEGHENY TECHNOLOGIES INC   | COM | 01741R102 | 383   | 10975   | SH | DEFINED | 0 |
| ALLEGHENY TECHNOLOGIES INC   | COM | 01741R102 | 54    | 1543    | SH | DEFINED | 0 |
| ALLEGIANT TRAVEL CO          | COM | 01748X102 | 1618  | 40772   | SH | DEFINED | 0 |
| ALLEGIANT TRAVEL CO          | COM | 01748X102 | 24    | 600     | SH | DEFINED | 0 |
| ALLEGIANT TRAVEL CO          | COM | 01748X102 | 8     | 190     | SH | DEFINED | 0 |
| ALLERGAN INC                 | COM | 018490102 | 23767 | 499495  | SH | DEFINED | 0 |
| ALLERGAN INC                 | COM | 018490102 | 1182  | 24833   | SH | DEFINED | 0 |
| ALLERGAN INC                 | COM | 018490102 | 300   | 6307    | SH | DEFINED | 0 |
| ALLETE INC                   | COM | 018522300 | 3006  | 104571  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| ALLETE INC                     | COM | 018522300 | 7      | 243     | SH | DEFINED | 0 |
| ALLIANCE DATA SYSTEMS CORP     | COM | 018581108 | 1046   | 25389   | SH | DEFINED | 0 |
| ALLIANCE DATA SYSTEMS CORP     | COM | 018581108 | 4      | 90      | SH | DEFINED | 0 |
| ALLIANCE HEALTHCARE SERVICE    | COM | 018606202 | 3253   | 443766  | SH | DEFINED | 0 |
| ALLIANCE HEALTHCARE SERVICE    | COM | 018606202 | 35     | 4763    | SH | DEFINED | 0 |
| ALLIANCE HLDGS GP LP COM UNI   | COM | 01861G100 | 17     | 900     | SH | DEFINED | 0 |
| ALLIANCE NY MUN INCOME FD      | COM | 018714105 | 34     | 2780    | SH | DEFINED | 0 |
| ALLIANCE ONE INTERNATIONAL     | COM | 018772103 | 632    | 166249  | SH | DEFINED | 0 |
| ALLIANCE ONE INTERNATIONAL     | COM | 018772103 | 82     | 21500   | SH | DEFINED | 0 |
| ALLIANCE RESOURCE PARTNERS L   | COM | 01877R108 | 79     | 2429    | SH | DEFINED | 0 |
| ALLIANCEBERNSTEIN GLOBAL HIG   | COM | 01879R106 | 172    | 16749   | SH | DEFINED | 0 |
| ALLIANT ENERGY CORP            | COM | 018802108 | 125    | 4803    | SH | DEFINED | 0 |
| ALLIANT TECHSYSTEMS INC        | COM | 018804104 | 621    | 7535    | SH | DEFINED | 0 |
| ALLIANZ SOCIETAS EUROPAEA S    | COM | 018805101 | 154    | 16683   | SH | DEFINED | 0 |
| ALLIANCEBERNSTEIN INCOME FD    | COM | 01881E101 | 1555   | 201717  | SH | DEFINED | 0 |
| ALLIANCEBERNSTEIN HOLDING LP   | LP  | 01881G106 | 176    | 8755    | SH | DEFINED | 0 |
| ALLIANCEBERNSTEIN HOLDING LP   | LP  | 01881G106 | 487    | 24356   | SH | DEFINED | 0 |
| ALLIANCEBERNSTEIN HOLDING LP   | LP  | 01881G106 | 159    | 7919    | SH | DEFINED | 0 |
| ALLIED CAPITAL CORP            | COM | 01903Q108 | 229    | 65692   | SH | DEFINED | 0 |
| ALLIED CAPITAL CORP            | COM | 01903Q108 | 100    | 28777   | SH | DEFINED | 0 |
| ALLIED IRISH BANKS PLC ADR     | COM | 019228402 | 230    | 48323   | SH | DEFINED | 0 |
| ALLIED NEV GOLD CORP           | COM | 019344100 | 9      | 1100    | SH | DEFINED | 0 |
| ALLIED NEVADA GOLD CORP        | COM | 019344993 | 751    | 92941   | SH | DEFINED | 0 |
| ALLOS THERAPEUTICS INC         | COM | 019777101 | 665    | 80200   | SH | DEFINED | 0 |
| ALLSCRIPTS-MISYS HEALTHCARE    | COM | 01988P108 | 4948   | 311989  | SH | DEFINED | 0 |
| ALLSCRIPTS-MISYS HEALTHCARE    | COM | 01988P108 | 3      | 210     | SH | DEFINED | 0 |
| ALLSTATE CORP                  | COM | 020002101 | 127060 | 5207429 | SH | DEFINED | 0 |
| ALLSTATE CORP                  | COM | 020002101 | 1026   | 42043   | SH | DEFINED | 0 |
| ALLSTATE CORP                  | COM | 020002101 | 576    | 23606   | SH | DEFINED | 0 |
| ALMOST FAMILY INC              | COM | 020409108 | 3497   | 132791  | SH | DEFINED | 0 |
| ALMOST FAMILY INC              | COM | 020409108 | 10     | 375     | SH | DEFINED | 0 |
| ALNYLAM PHARMACEUTICALS INC    | COM | 02043Q107 | 82     | 3700    | SH | DEFINED | 0 |
| ALNYLAM PHARMACEUTICALS INC    | COM | 02043Q107 | 225    | 10117   | SH | DEFINED | 0 |
| ALON USA ENERGY INC            | COM | 020520102 | 153    | 14792   | SH | DEFINED | 0 |
| ALPHA NATURAL RESOURCES INC    | COM | 02076X102 | 1511   | 57520   | SH | DEFINED | 0 |
| ALPHA NATURAL RESOURCES INC    | COM | 02076X102 | 16     | 595     | SH | DEFINED | 0 |
| ALPINE GLOBAL DYNAMIC DI       | COM | 02082E106 | 100    | 12730   | SH | DEFINED | 0 |
| ALPINE GLOBAL PREMIER PP       | COM | 02083A103 | 38     | 8046    | SH | DEFINED | 0 |
| ALPINE TOTAL DYNAMICDIVID FD   | COM | 021060108 | 18     | 2408    | SH | DEFINED | 0 |
| ALSERES PHARMACEUT             | COM | 021152103 | 0      | 612     | SH | DEFINED | 0 |
| ALTAIR NANOTECH INC COM ISIN # | COM | 021373105 | 26     | 27900   | SH | DEFINED | 0 |
| ALTERA CORPORATION             | COM | 021441100 | 9090   | 558401  | SH | DEFINED | 0 |
| ALTERA CORPORATION             | COM | 021441100 | 256    | 15752   | SH | DEFINED | 0 |
| ALTERA CORPORATION             | COM | 021441100 | 72     | 4423    | SH | DEFINED | 0 |
| ALTRA HOLDINGS INC             | COM | 02208R106 | 817    | 108980  | SH | DEFINED | 0 |
| ALTRIA GROUP INC               | COM | 02209S103 | 25238  | 1539828 | SH | DEFINED | 0 |
| ALTRIA GROUP INC               | COM | 02209S103 | 2473   | 150860  | SH | DEFINED | 0 |
| ALTRIA GROUP INC               | COM | 02209S103 | 90754  | 5540678 | SH | DEFINED | 0 |
| ALTRIA GROUP INC               | COM | 02209S103 | 1868   | 113998  | SH | DEFINED | 0 |
| ALTRIA GROUP INC               | COM | 02209S103 | 2549   | 155540  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| ALUMINA LTD SPONSORED          | COM | 022205108 | 1      | 116     | SH | DEFINED | 0 |
| ALUMINUM CORP CHINA LTD SPON A | COM | 022276109 | 758    | 32460   | SH | DEFINED | 0 |
| ALZA CORP SB DB CV Z           | COM | 02261WAB5 | 3      | 3000    | SH | DEFINED | 0 |
| AMARANTH PARTNRS LLC           | LP  | 023025992 | 749    | 548049  | SH | DEFINED | 0 |
| AMAZON.COM INC                 | COM | 023135106 | 19387  | 231722  | SH | DEFINED | 0 |
| AMAZON.COM INC                 | COM | 023135106 | 1595   | 19064   | SH | DEFINED | 0 |
| AMAZON.COM INC                 | COM | 023135106 | 264    | 3600    | SH | DEFINED | 0 |
| AMAZON.COM INC                 | COM | 023135106 | 456    | 5451    | SH | DEFINED | 0 |
| AMBAC FINANCIAL GROUP INC      | COM | 023139108 | 329    | 357949  | SH | DEFINED | 0 |
| AMBAC FINANCIAL GROUP INC      | COM | 023139108 | 7      | 8067    | SH | DEFINED | 0 |
| AMBASSADORS GROUP INC          | COM | 023177108 | 74     | 5400    | SH | DEFINED | 0 |
| AMCOL INTERNATIONAL CORP       | COM | 02341W103 | 1954   | 90550   | SH | DEFINED | 0 |
| AMCOL INTERNATIONAL CORP       | COM | 02341W103 | 4      | 190     | SH | DEFINED | 0 |
| AMEDISYS INC                   | COM | 023436108 | 3281   | 99381   | SH | DEFINED | 0 |
| AMEDISYS INC                   | COM | 023436108 | 2      | 69      | SH | DEFINED | 0 |
| AMERCO                         | COM | 023586100 | 2908   | 78270   | SH | DEFINED | 0 |
| AMEREN CORPORATION             | COM | 023608102 | 2504   | 100596  | SH | DEFINED | 0 |
| AMEREN CORPORATION             | COM | 023608102 | 450    | 18095   | SH | DEFINED | 0 |
| AMEREN CORPORATION             | COM | 023608102 | 473    | 19000   | SH | DEFINED | 0 |
| AMEREN CORPORATION             | COM | 023608102 | 211    | 8492    | SH | DEFINED | 0 |
| AMER FIRST TAX EX INVESTO      | COM | 02364V107 | 5      | 1005    | SH | DEFINED | 0 |
| AMERICA MOVIL-ADR SERIES L     | COM | 02364W105 | 2254   | 58215   | SH | DEFINED | 0 |
| AMERICA MOVIL-ADR SERIES L     | COM | 02364W105 | 31489  | 813276  | SH | DEFINED | 0 |
| AMERICA MOVIL-ADR SERIES L     | COM | 02364W105 | 137202 | 3452025 | SH | DEFINED | 0 |
| AMERICA MOVIL-ADR SERIES L     | COM | 02364W105 | 887    | 22901   | SH | DEFINED | 0 |
| AMERICA MOVIL S A B DE C V SPO | COM | 02364W204 | 31     | 799     | SH | DEFINED | 0 |
| AMERICAN AXLE & MFG HOLDINGS   | COM | 024061103 | 4505   | 1309500 | SH | DEFINED | 0 |
| AMERICAN AXLE & MFG HOLDINGS   | COM | 024061103 | 106    | 35300   | SH | DEFINED | 0 |
| AMERICAN BIO MEDICA CORP       | COM | 024600108 | 0      | 1000    | SH | DEFINED | 0 |
| AMERICAN CAMPUS COMMUNITIES    | COM | 024835100 | 3610   | 162733  | SH | DEFINED | 0 |
| AMERICAN CAMPUS COMMUNITIES    | COM | 024835100 | 8      | 375     | SH | DEFINED | 0 |
| AMERICAN CAP LTD COM           | COM | 02503Y103 | 96     | 29978   | SH | DEFINED | 0 |
| AMERICAN DAIRY INC             | COM | 025334103 | 6259   | 157812  | SH | DEFINED | 0 |
| AMERICAN ECOLOGY CRPCOM        | COM | 025533407 | 2      | 125     | SH | DEFINED | 0 |
| AMERICAN ELECTRIC POWER        | COM | 025537101 | 20969  | 725789  | SH | DEFINED | 0 |
| AMERICAN ELECTRIC POWER        | COM | 025537101 | 861    | 29787   | SH | DEFINED | 0 |
| AMERICAN ELECTRIC POWER        | COM | 025537101 | 344    | 11899   | SH | DEFINED | 0 |
| AMERICAN EAGLE OUTFITTERS      | COM | 02553E106 | 6408   | 452232  | SH | DEFINED | 0 |
| AMERICAN EAGLE OUTFITTERS      | COM | 02553E106 | 61     | 4290    | SH | DEFINED | 0 |
| AMERICAN EAGLE OUTFITTERS      | COM | 02553E106 | 139    | 9200    | SH | DEFINED | 0 |
| AMERICAN EAGLE OUTFITTERS      | COM | 02553E106 | 19     | 1350    | SH | DEFINED | 0 |
| AMERICAN EQUITY INVT LIFE HL   | COM | 025676206 | 3138   | 562200  | SH | DEFINED | 0 |
| AMERICAN EQUITY INVT LIFE HL   | COM | 025676206 | 81     | 14600   | SH | DEFINED | 0 |
| AMERICAN EXPRESS CO            | COM | 025816109 | 58393  | 2512566 | SH | DEFINED | 0 |
| AMERICAN EXPRESS CO            | COM | 025816109 | 2034   | 87505   | SH | DEFINED | 0 |
| AMERICAN EXPRESS CO            | COM | 025816109 | 164    | 7055    | SH | DEFINED | 0 |
| AMERICAN EXPRESS CO            | COM | 025816109 | 1109   | 47734   | SH | DEFINED | 0 |
| AMERICAN FINANCIAL GROUP INC   | COM | 025932104 | 1690   | 78277   | SH | DEFINED | 0 |
| AMERICAN FINANCIAL GROUP INC   | COM | 025932104 | 72     | 3337    | SH | DEFINED | 0 |
| AMERICAN FINANCIAL GROUP INC   | COM | 025932104 | 23     | 1062    | SH | DEFINED | 0 |
| AMERICAN GREETINGS CORP-CL A   | COM | 026375105 | 1713   | 146564  | SH | DEFINED | 0 |
| AMERICAN GREETINGS CORP-CL A   | COM | 026375105 | 1      | 100     | SH | DEFINED | 0 |
| AMERICAN INCOME FD INC         | COM | 02672T109 | 34     | 5045    | SH | DEFINED | 0 |
| AMER INTL GROUP INC            | COM | 026874107 | 417    | 359569  | SH | DEFINED | 0 |
| AIG 8.5% PFD 08/01/2011        | PRF | 026874115 | 2102   | 220789  | SH | DEFINED | 0 |
| AIG 8.5% PFD 08/01/2011        | PRF | 026874115 | 1      | 150     | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| AMERICAN INTERNATIONAL GROUP  | COM | 026874784 | 3504   | 3020393 | SH | DEFINED | 0 |
| AMERICAN INTERNATIONAL GROUP  | COM | 026874784 | 336    | 289547  | SH | DEFINED | 0 |
| AMERICAN ITALIAN PASTA CO-A   | COM | 027070101 | 252    | 8640    | SH | DEFINED | 0 |
| AMERICAN ITALIAN PASTA CO-A   | COM | 027070101 | 47     | 1600    | SH | DEFINED | 0 |
| AMERICAN ITALIAN PASTA CO-A   | COM | 027070101 | 7      | 240     | SH | DEFINED | 0 |
| AMERICAN MEDICAL SYS HLDGS    | COM | 02744M108 | 2057   | 130148  | SH | DEFINED | 0 |
| AMERICAN MEDICAL SYS HLDGS    | COM | 02744M108 | 6      | 400     | SH | DEFINED | 0 |
| AMERICAN MUN INC PORTFOLIO    | COM | 027649102 | 5      | 467     | SH | DEFINED | 0 |
| AMERICAN NATIONAL INSURANCE   | COM | 028591105 | 6328   | 83726   | SH | DEFINED | 0 |
| AMERICAN OIL & GAS INC NEV NE | COM | 028723104 | 4      | 4000    | SH | DEFINED | 0 |
| AMERICAN ORIENTAL BIOENGINEE  | COM | 028731107 | 12     | 2200    | SH | DEFINED | 0 |
| AMERICAN PHYSICIANS SVC GP    | COM | 028882108 | 641    | 28243   | SH | DEFINED | 0 |
| AMERICAN PHYSICIANS CAP INC   | COM | 028884104 | 1875   | 47852   | SH | DEFINED | 0 |
| AMERICAN PHYSICIANS CAP INC   | COM | 028884104 | 39     | 1000    | SH | DEFINED | 0 |
| AMERICAN PUBLIC EDUCATION     | COM | 02913V103 | 1376   | 34747   | SH | DEFINED | 0 |
| AMERICAN PUBLIC EDUCATION     | COM | 02913V103 | 10     | 250     | SH | DEFINED | 0 |
| AMERICAN RAILCAR INDUSTRIES   | COM | 02916P103 | 65     | 7889    | SH | DEFINED | 0 |
| AMERICAN REPROGRAPHICS CO     | COM | 029263100 | 27     | 3295    | SH | DEFINED | 0 |
| AMERICAN REPROGRAPHICS CO     | COM | 029263100 | 1      | 150     | SH | DEFINED | 0 |
| AMERICAN SCIENCE & ENGINEERI  | COM | 029429107 | 1949   | 28208   | SH | DEFINED | 0 |
| AMERICAN SELECT PORTFOLIO     | COM | 029570108 | 183    | 17900   | SH | DEFINED | 0 |
| AMERICAN STATES WATER CO      | COM | 029899101 | 831    | 24004   | SH | DEFINED | 0 |
| AMERICAN STATES WATER CO      | COM | 029899101 | 5      | 150     | SH | DEFINED | 0 |
| AMERICAN TOWER CORP-CL A      | COM | 029912201 | 8325   | 264035  | SH | DEFINED | 0 |
| AMERICAN TOWER CORP-CL A      | COM | 029912201 | 4591   | 145599  | SH | DEFINED | 0 |
| AMERICAN TOWER CORP-CL A      | COM | 029912201 | 745    | 23635   | SH | DEFINED | 0 |
| AMERICAN TOWER CORP-CL A      | COM | 029912201 | 102182 | 3240183 | SH | DEFINED | 0 |
| AMERICAN TOWER CORP-CL A      | COM | 029912201 | 101    | 3207    | SH | DEFINED | 0 |
| AMERICAN STRATEGIC INCOME POR | COM | 030099105 | 56     | 5916    | SH | DEFINED | 0 |
| AMERICAN STRATEGIC INC PORTFO | COM | 03009T101 | 32     | 3600    | SH | DEFINED | 0 |
| AMERICAN SUPERCONDUCTOR CORP  | COM | 030111108 | 2705   | 103059  | SH | DEFINED | 0 |
| AMERICAN SUPERCONDUCTOR CORP  | COM | 030111108 | 33     | 1259    | SH | DEFINED | 0 |
| AMERICAN SUPERCONDUCTOR CORP  | COM | 030111108 | 75     | 2200    | SH | DEFINED | 0 |
| AMERICAN SUPERCONDUCTOR CORP  | COM | 030111108 | 42     | 1601    | SH | DEFINED | 0 |
| AMERICAN VANGUARD CORP        | COM | 030371108 | 325    | 28805   | SH | DEFINED | 0 |
| AMERICAN WATER WORKS CO INC   | COM | 030420103 | 3751   | 196277  | SH | DEFINED | 0 |
| AMERICAN WATER WORKS CO INC   | COM | 030420103 | 71     | 3723    | SH | DEFINED | 0 |
| AMERICAN WOODMARK CORP        | COM | 030506109 | 1245   | 52017   | SH | DEFINED | 0 |
| AMERICAN WOODMARK CORP        | COM | 030506109 | 43     | 1800    | SH | DEFINED | 0 |
| AMERICREDIT CORP              | COM | 03060R101 | 214    | 15808   | SH | DEFINED | 0 |
| AMERICREDIT CORP              | COM | 03060R101 | 2429   | 179638  | SH | DEFINED | 0 |
| AMERICA'S CAR-MART INC        | COM | 03062T105 | 290    | 14150   | SH | DEFINED | 0 |
| AMERICA'S CAR-MART INC        | COM | 03062T105 | 7      | 340     | SH | DEFINED | 0 |
| AMERIGON INC                  | COM | 03070L300 | 329    | 54000   | SH | DEFINED | 0 |
| AMERISTAR CASINOS INC         | COM | 03070Q101 | 12298  | 646273  | SH | DEFINED | 0 |
| AMERON INTERNATIONAL CORP     | COM | 030710107 | 728    | 10856   | SH | DEFINED | 0 |
| AMERON INTERNATIONAL CORP     | COM | 030710107 | 57     | 846     | SH | DEFINED | 0 |
| AMERISAFE INC                 | COM | 03071H100 | 2737   | 175880  | SH | DEFINED | 0 |
| AMERISAFE INC                 | COM | 03071H100 | 57     | 3646    | SH | DEFINED | 0 |
| AMERISOURCEBERGEN CORP        | COM | 03073E105 | 61818  | 3484666 | SH | DEFINED | 0 |
| AMERISOURCEBERGEN CORP        | COM | 03073E105 | 374    | 21097   | SH | DEFINED | 0 |
| AMERISOURCEBERGEN CORP        | COM | 03073E105 | 710    | 40000   | SH | DEFINED | 0 |
| AMERISOURCEBERGEN CORP        | COM | 03073E105 | 14     | 762     | SH | DEFINED | 0 |
| AMERIGROUP CORP               | COM | 03073T102 | 13241  | 493220  | SH | DEFINED | 0 |
| AMERIGROUP CORP               | COM | 03073T102 | 74     | 2774    | SH | DEFINED | 0 |
| AMERIGROUP CORP               | COM | 03073T102 | 3      | 120     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| AMERIPRISE FINANCIAL INC       | COM | 03076C106 | 227    | 9374    | SH | DEFINED | 0 |
| AMERIPRISE FINANCIAL INC       | COM | 03076C106 | 450    | 18540   | SH | DEFINED | 0 |
| AMERIPRISE FINANCIAL INC       | COM | 03076C106 | 106    | 4378    | SH | DEFINED | 0 |
| AMERIS BANCORP COM             | COM | 03076K108 | 20     | 3219    | SH | DEFINED | 0 |
| AMERIGAS PARTNERS LPUTS RPSTG  | COM | 030975106 | 92     | 2719    | SH | DEFINED | 0 |
| AMETEK INC                     | COM | 031100100 | 1712   | 49500   | SH | DEFINED | 0 |
| AMETEK INC                     | COM | 031100100 | 10     | 300     | SH | DEFINED | 0 |
| AMGEN INC                      | COM | 031162100 | 202456 | 3824228 | SH | DEFINED | 0 |
| AMGEN INC                      | COM | 031162100 | 3784   | 71472   | SH | DEFINED | 0 |
| AMGEN INC                      | COM | 031162100 | 222    | 4300    | SH | DEFINED | 0 |
| AMGEN INC                      | COM | 031162100 | 4048   | 76470   | SH | DEFINED | 0 |
| AMGEN INC SR CV 0.12           | COM | 031162AN0 | 16     | 17000   | SH | DEFINED | 0 |
| AMKOR TECHNOLOGY INC           | COM | 031652100 | 2851   | 602468  | SH | DEFINED | 0 |
| AMKOR TECHNOLOGY INC           | COM | 031652100 | 1170   | 248348  | SH | DEFINED | 0 |
| AMKOR TECHNOLOGY INC           | COM | 031652100 | 7      | 1500    | SH | DEFINED | 0 |
| AMPHENOL CORP-CL A             | COM | 032095101 | 191    | 6038    | SH | DEFINED | 0 |
| AMPHENOL CORP-CL A             | COM | 032095101 | 200    | 6329    | SH | DEFINED | 0 |
| AMPHENOL CORP-CL A             | COM | 032095101 | 57189  | 1818466 | SH | DEFINED | 0 |
| AMPHENOL CORP-CL A             | COM | 032095101 | 241    | 7605    | SH | DEFINED | 0 |
| AMREIT CL A                    | COM | 032158107 | 45     | 16227   | SH | DEFINED | 0 |
| AMSURG CORP                    | COM | 03232P405 | 1364   | 63643   | SH | DEFINED | 0 |
| AMTECH SYSTEMS INC             | COM | 032332504 | 113    | 23395   | SH | DEFINED | 0 |
| AMYLIN PHARMACEUTICALS INC     | COM | 032346108 | 69     | 5100    | SH | DEFINED | 0 |
| AMYLIN PHARMACEUTICALS INC     | COM | 032346108 | 58     | 4311    | SH | DEFINED | 0 |
| AMTRUST FINANCIAL SERVICES     | COM | 032359309 | 2402   | 210773  | SH | DEFINED | 0 |
| AMTRUST FINANCIAL SERVICES     | COM | 032359309 | 41     | 3600    | SH | DEFINED | 0 |
| AMTRUST FINANCIAL SERVICES     | COM | 032359309 | 2      | 165     | SH | DEFINED | 0 |
| ANADARKO PETROLEUM CORP        | COM | 032511107 | 69853  | 1538976 | SH | DEFINED | 0 |
| ANADARKO PETROLEUM CORP        | COM | 032511107 | 1865   | 41107   | SH | DEFINED | 0 |
| ANADARKO PETROLEUM CORP        | COM | 032511107 | 11281  | 234809  | SH | DEFINED | 0 |
| ANADARKO PETROLEUM CORP        | COM | 032511107 | 730    | 16088   | SH | DEFINED | 0 |
| ANADIGICS INC                  | COM | 032515108 | 315    | 75200   | SH | DEFINED | 0 |
| ANADIGICS INC                  | COM | 032515108 | 1      | 225     | SH | DEFINED | 0 |
| ANALOG DEVICES                 | COM | 032654105 | 1044   | 42103   | SH | DEFINED | 0 |
| ANALOG DEVICES                 | COM | 032654105 | 283    | 11432   | SH | DEFINED | 0 |
| ANALOG DEVICES                 | COM | 032654105 | 232    | 9375    | SH | DEFINED | 0 |
| ANALOGIC CORP                  | COM | 032657207 | 4192   | 113432  | SH | DEFINED | 0 |
| ANALOGIC CORP                  | COM | 032657207 | 3      | 81      | SH | DEFINED | 0 |
| ANALOGIC CORP                  | COM | 032657207 | 643    | 17400   | SH | DEFINED | 0 |
| ANALOGIC CORP COM PAR \$0.05   | COM | 032657207 | 242    | 6559    | SH | DEFINED | 0 |
| ANALYSTS INTERNATL CORP        | COM | 032681108 | 2      | 3497    | SH | DEFINED | 0 |
| ANAREN INC                     | COM | 032744104 | 516    | 29203   | SH | DEFINED | 0 |
| ANDERSONS INC/THE              | COM | 034164103 | 1237   | 41309   | SH | DEFINED | 0 |
| ANDERSONS INC/THE              | COM | 034164103 | 135    | 4500    | SH | DEFINED | 0 |
| ANGLO AMERICAN PLC-UNSP ADR    | COM | 03485P201 | 0      | 1       | SH | DEFINED | 0 |
| ANGLO AMERICAN PLC-UNSP ADR    | COM | 03485P201 | 81     | 5549    | SH | DEFINED | 0 |
| ANGIOTECH PHARM INC ISIN #CA03 | COM | 034918102 | 1      | 800     | SH | DEFINED | 0 |
| ANGLOGOLD ASHANTI-SPON ADR     | COM | 035128206 | 14752  | 402734  | SH | DEFINED | 0 |
| ANGLOGOLD ASHANTI-SPON ADR     | COM | 035128206 | 59     | 1602    | SH | DEFINED | 0 |
| ANIXTER INTERNATIONAL INC      | COM | 035290105 | 6032   | 160468  | SH | DEFINED | 0 |
| ANIXTER INTERNATIONAL INC      | COM | 035290105 | 14332  | 381258  | SH | DEFINED | 0 |
| ANIXTER INTERNATIONAL INC      | COM | 035290105 | 34     | 900     | SH | DEFINED | 0 |
| ANIXTER INTL INC SR NT CV 1    | COM | 035290AJ4 | 1      | 1000    | SH | DEFINED | 0 |
| ANNALY CAPITAL MANAGEMENT IN   | COM | 035710409 | 805    | 53162   | SH | DEFINED | 0 |
| ANNALY CAPITAL MANAGEMENT IN   | COM | 035710409 | 66     | 4000    | SH | DEFINED | 0 |
| ANNALY CAPITAL MANAGEMENT IN   | COM | 035710409 | 423    | 27956   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| ANN TAYLOR STORES CRP         | COM | 036115103 | 15     | 1850    | SH | DEFINED | 0 |
| ANSYS INC                     | COM | 03662Q105 | 558    | 17899   | SH | DEFINED | 0 |
| ANSYS INC                     | COM | 03662Q105 | 65     | 2073    | SH | DEFINED | 0 |
| ANTHRACITE CAP INC            | COM | 037023108 | 27     | 44200   | SH | DEFINED | 0 |
| ANTIGENICS INC DEL            | COM | 037032109 | 7      | 3211    | SH | DEFINED | 0 |
| ANWORTH MORTGAGE ASSET CORP   | COM | 037347101 | 2114   | 293166  | SH | DEFINED | 0 |
| ANWORTH MORTGAGE ASSET CORP   | COM | 037347101 | 65     | 9000    | SH | DEFINED | 0 |
| AON CORP                      | COM | 037389103 | 95473  | 2521117 | SH | DEFINED | 0 |
| AON CORP                      | COM | 037389103 | 2620   | 69181   | SH | DEFINED | 0 |
| AON CORP                      | COM | 037389103 | 902    | 23821   | SH | DEFINED | 0 |
| AON CORP                      | COM | 037389103 | 20833  | 550115  | SH | DEFINED | 0 |
| AON CORP                      | COM | 037389103 | 268    | 7066    | SH | DEFINED | 0 |
| APACHE CORP                   | COM | 037411105 | 111653 | 1547531 | SH | DEFINED | 0 |
| APACHE CORP                   | COM | 037411105 | 1921   | 26622   | SH | DEFINED | 0 |
| APACHE CORP                   | COM | 037411105 | 305    | 3900    | SH | DEFINED | 0 |
| APACHE CORP                   | COM | 037411105 | 605    | 8380    | SH | DEFINED | 0 |
| APARTMENT INVNT & MGMT CO -A  | COM | 03748R101 | 40     | 4528    | SH | DEFINED | 0 |
| APARTMENT INVNT & MGMT CO -A  | COM | 03748R101 | 193    | 21827   | SH | DEFINED | 0 |
| APARTMENT INVNT & MGMT CO -A  | COM | 03748R101 | 99     | 11150   | SH | DEFINED | 0 |
| APOGEE ENTERPRISES INC        | COM | 037598109 | 1672   | 135970  | SH | DEFINED | 0 |
| APOGEE ENTERPRISES INC        | COM | 037598109 | 0      | 4       | SH | DEFINED | 0 |
| APOLLO GROUP INC-CL A         | COM | 037604105 | 55020  | 773628  | SH | DEFINED | 0 |
| APOLLO GROUP INC-CL A         | COM | 037604105 | 768    | 10788   | SH | DEFINED | 0 |
| APOLLO GROUP INC-CL A         | COM | 037604105 | 190    | 2595    | SH | DEFINED | 0 |
| APOLLO GROUP INC-CL A         | COM | 037604105 | 129    | 1808    | SH | DEFINED | 0 |
| APOLLO INVESTMENT CORP        | COM | 03761U106 | 255    | 42500   | SH | DEFINED | 0 |
| APOLLO INVESTMENT CORP        | COM | 03761U106 | 81     | 13500   | SH | DEFINED | 0 |
| APOLLO INVESTMENT CORP        | COM | 03761U106 | 37     | 6112    | SH | DEFINED | 0 |
| APPLE INC                     | COM | 037833100 | 329812 | 2315623 | SH | DEFINED | 0 |
| APPLE INC                     | COM | 037833100 | 8268   | 58053   | SH | DEFINED | 0 |
| APPLE INC                     | COM | 037833100 | 168477 | 1182886 | SH | DEFINED | 0 |
| APPLE INC                     | COM | 037833100 | 8724   | 61251   | SH | DEFINED | 0 |
| APPLIED INDUSTRIAL TECH INC   | COM | 03820C105 | 1178   | 59796   | SH | DEFINED | 0 |
| APPLIED MATERIALS INC         | COM | 038222105 | 544    | 49579   | SH | DEFINED | 0 |
| APPLIED MATERIALS INC         | COM | 038222105 | 1041   | 94873   | SH | DEFINED | 0 |
| APPLIED MATERIALS INC         | COM | 038222105 | 1252   | 113671  | SH | DEFINED | 0 |
| APPLIED MICRO CIRCUITS CORP   | COM | 03822W406 | 101    | 12399   | SH | DEFINED | 0 |
| APPLIED MICRO CIRCUITS CORP   | COM | 03822W406 | 6      | 743     | SH | DEFINED | 0 |
| APPLIED SIGNAL TECHNOLOGY     | COM | 038237103 | 1492   | 58488   | SH | DEFINED | 0 |
| APTARGROUP INC                | COM | 038336103 | 12767  | 378062  | SH | DEFINED | 0 |
| APTARGROUP INC                | COM | 038336103 | 14     | 412     | SH | DEFINED | 0 |
| APPROACH RESOURCES INC        | COM | 03834A103 | 161    | 23300   | SH | DEFINED | 0 |
| AQUA AMERICA INC              | COM | 03836W103 | 1212   | 67698   | SH | DEFINED | 0 |
| AQUA AMERICA INC              | COM | 03836W103 | 397    | 22176   | SH | DEFINED | 0 |
| ARACRUZ CELULOSE ADR EACH REP | PRF | 038496204 | 4      | 261     | SH | DEFINED | 0 |
| ARBITRON INC                  | COM | 03875Q108 | 349    | 21937   | SH | DEFINED | 0 |
| ARC WIRELESS SOLUTIONS        | COM | 03878K207 | 11     | 4800    | SH | DEFINED | 0 |
| ARBOR RLTY TR INC             | COM | 038923108 | 0      | 100     | SH | DEFINED | 0 |
| ARCH CHEMICALS INC            | COM | 03937R102 | 1881   | 76497   | SH | DEFINED | 0 |
| ARCH COAL INC                 | COM | 039380100 | 3102   | 201806  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |        |    |         |   |
|--------------------------------|-----|-----------|-------|--------|----|---------|---|
| ARCH COAL INC                  | COM | 039380100 | 1858  | 120855 | SH | DEFINED | 0 |
| ARCH COAL INC                  | COM | 039380100 | 44    | 2871   | SH | DEFINED | 0 |
| ARCH COAL INC                  | COM | 039380100 | 4861  | 316871 | SH | DEFINED | 0 |
| ARCH COAL INC                  | COM | 039380100 | 96    | 6227   | SH | DEFINED | 0 |
| ARCELORMITTAL-NY REGISTERED    | COM | 03938L104 | 574   | 17370  | SH | DEFINED | 0 |
| ARCELORMITTAL-NY REGISTERED    | COM | 03938L104 | 1254  | 38006  | SH | DEFINED | 0 |
| ARCELORMITTAL-NY REGISTERED    | COM | 03938L104 | 177   | 5355   | SH | DEFINED | 0 |
| ARCHER-DANIELS-MIDLAND CO      | COM | 039483102 | 23894 | 892521 | SH | DEFINED | 0 |
| ARCHER-DANIELS-MIDLAND CO      | COM | 039483102 | 1350  | 50433  | SH | DEFINED | 0 |
| ARCHER-DANIELS-MIDLAND CO      | COM | 039483102 | 906   | 33868  | SH | DEFINED | 0 |
| ARCHER-DANIELS-MIDLAND CO      | COM | 039483102 | 397   | 14846  | SH | DEFINED | 0 |
| ARCHER DANIELS MIDLAND CO      | PRF | 039483201 | 61    | 1683   | SH | DEFINED | 0 |
| ARCSIGHT INC                   | COM | 039666102 | 755   | 42484  | SH | DEFINED | 0 |
| ARCSIGHT INC                   | COM | 039666102 | 3     | 193    | SH | DEFINED | 0 |
| ARCTIC CAT INC                 | COM | 039670104 | 164   | 40594  | SH | DEFINED | 0 |
| ARENA BRANDS INC               | COM | 03999M207 | 0     | 111111 | SH | DEFINED | 0 |
| ARENA PHARMACEUTICALS INC      | COM | 040047102 | 499   | 100000 | SH | DEFINED | 0 |
| ARENA PHARMACEUTICALS INC      | COM | 040047102 | 20    | 4100   | SH | DEFINED | 0 |
| ARENA RESOURCES INC            | COM | 040049108 | 1370  | 43020  | SH | DEFINED | 0 |
| ARENA RESOURCES INC            | COM | 040049108 | 45    | 1250   | SH | DEFINED | 0 |
| ARENA RESOURCES INC            | COM | 040049108 | 0     | 14     | SH | DEFINED | 0 |
| ARES CAP CORP COM              | COM | 04010L103 | 32    | 4025   | SH | DEFINED | 0 |
| ARIAD PHARMACEUTICALINC        | COM | 04033A100 | 1     | 650    | SH | DEFINED | 0 |
| ARIBA INC                      | COM | 04033V203 | 901   | 91515  | SH | DEFINED | 0 |
| ARIBA INC                      | COM | 04033V203 | 1272  | 129533 | SH | DEFINED | 0 |
| ARIBA INC                      | COM | 04033V203 | 14    | 1421   | SH | DEFINED | 0 |
| ARKANSAS BEST CORP             | COM | 040790107 | 7531  | 285772 | SH | DEFINED | 0 |
| ARKANSAS BEST CORP             | COM | 040790107 | 31    | 1182   | SH | DEFINED | 0 |
| ARKANSAS BEST CORP             | COM | 040790107 | 12    | 400    | SH | DEFINED | 0 |
| ARM HOLDINGS PLC SPONS ADR     | COM | 042068106 | 54    | 8970   | SH | DEFINED | 0 |
| ARMSTRONG WORLD INDSINC NEW CO | COM | 04247X102 | 0     | 2      | SH | DEFINED | 0 |
| AROTECH CORP COM NEW           | COM | 042682203 | 0     | 249    | SH | DEFINED | 0 |
| ARQULE INC                     | COM | 04269E107 | 367   | 59759  | SH | DEFINED | 0 |
| ARRIS GROUP INC                | COM | 04269Q100 | 7176  | 590174 | SH | DEFINED | 0 |
| ARRIS GROUP INC                | COM | 04269Q100 | 90    | 7400   | SH | DEFINED | 0 |
| ARRIS GROUP INC                | COM | 04269Q100 | 79    | 6501   | SH | DEFINED | 0 |
| ARRAY BIOPHARMA INC            | COM | 04269X105 | 1109  | 353300 | SH | DEFINED | 0 |
| ARROW ELECTRONICS INC          | COM | 042735100 | 2540  | 119587 | SH | DEFINED | 0 |
| ARROW ELECTRONICS INC          | COM | 042735100 | 32    | 1490   | SH | DEFINED | 0 |
| ARROW FINANCIAL CORP           | COM | 042744102 | 294   | 10851  | SH | DEFINED | 0 |
| ARROW FINANCIAL CORP           | COM | 042744102 | 71    | 2643   | SH | DEFINED | 0 |
| ART TECHNOLOGY GROUP INC       | COM | 04289L107 | 697   | 183423 | SH | DEFINED | 0 |
| ART TECHNOLOGY GROUP INC       | COM | 04289L107 | 5     | 1300   | SH | DEFINED | 0 |
| ARTHROCARE CORP                | COM | 043136100 | 882   | 81600  | SH | DEFINED | 0 |
| ARVINMERITOR INC               | COM | 043353101 | 237   | 54062  | SH | DEFINED | 0 |
| ARVINMERITOR INC               | COM | 043353101 | 2     | 388    | SH | DEFINED | 0 |
| ASBURY AUTOMOTIVE GROUP        | COM | 043436104 | 1769  | 172778 | SH | DEFINED | 0 |
| ASBURY AUTOMOTIVE GROUP        | COM | 043436104 | 58    | 5700   | SH | DEFINED | 0 |
| ASCENT MEDIA CORP COM SER A    | COM | 043632108 | 10    | 376    | SH | DEFINED | 0 |
| ASCENT SOLAR TECHNOLOGI        | COM | 043635101 | 53    | 6800   | SH | DEFINED | 0 |
| ASHFORD HOSPITALITY TRUST      | COM | 044103109 | 89    | 31568  | SH | DEFINED | 0 |
| ASHFORD HOSPITALITY TRUST      | COM | 044103109 | 26    | 9332   | SH | DEFINED | 0 |
| ASHFORD HOSPITALITY TRUST      | COM | 044103109 | 2     | 797    | SH | DEFINED | 0 |
| ASHLAND INC                    | COM | 044209104 | 900   | 32058  | SH | DEFINED | 0 |
| ASHLAND INC                    | COM | 044209104 | 146   | 5197   | SH | DEFINED | 0 |
| ASIA PAC FD INC                | COM | 044901106 | 23    | 2765   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| ASIA TIGERS FD INC             | COM | 04516T105 | 2     | 100     | SH | DEFINED | 0 |
| ASIAINFO HOLDINGS INC          | COM | 04518A104 | 1079  | 62702   | SH | DEFINED | 0 |
| ASIAINFO HOLDINGS INC          | COM | 04518A104 | 19    | 1100    | SH | DEFINED | 0 |
| ASPEN TECHNOLOGY INC           | COM | 045327103 | 33643 | 3943132 | SH | DEFINED | 0 |
| ASSET ACCEPTANCE CAPITAL       | COM | 04543P100 | 182   | 23560   | SH | DEFINED | 0 |
| ASSET ACCEPTANCE CAPITAL       | COM | 04543P100 | 47    | 6100    | SH | DEFINED | 0 |
| ASSISTED LIVING CONCEPTS I-A   | COM | 04544X300 | 102   | 6995    | SH | DEFINED | 0 |
| ASSOCIATED BANC-CORP           | COM | 045487105 | 224   | 17909   | SH | DEFINED | 0 |
| ASSOCIATED BANC-CORP           | COM | 045487105 | 84    | 6703    | SH | DEFINED | 0 |
| ASSOCIATED ESTATES REALTY CP   | COM | 045604105 | 127   | 21140   | SH | DEFINED | 0 |
| ASSOCIATED ESTATES REALTY CP   | COM | 045604105 | 41    | 6900    | SH | DEFINED | 0 |
| ASSURANT INC                   | COM | 04621X108 | 26558 | 1102511 | SH | DEFINED | 0 |
| ASSURANT INC                   | COM | 04621X108 | 142   | 5880    | SH | DEFINED | 0 |
| ASSURANT INC                   | COM | 04621X108 | 34    | 1429    | SH | DEFINED | 0 |
| ASTEC INDUSTRIES INC           | COM | 046224101 | 5490  | 184924  | SH | DEFINED | 0 |
| ASTEC INDUSTRIES INC           | COM | 046224101 | 3     | 110     | SH | DEFINED | 0 |
| ASTORIA FINANCIAL CORP         | COM | 046265104 | 1889  | 220200  | SH | DEFINED | 0 |
| ASTORIA FINANCIAL CORP         | COM | 046265104 | 20    | 2300    | SH | DEFINED | 0 |
| ASTRAZENECA PLC- SPONS ADR     | COM | 046353108 | 151   | 3410    | SH | DEFINED | 0 |
| ASYST TECHNOLOGIES INC         | COM | 04648X107 | 0     | 4200    | SH | DEFINED | 0 |
| ATHEROS COMMUNICATIONS         | COM | 04743P108 | 2927  | 152170  | SH | DEFINED | 0 |
| ATHEROS COMMUNICATIONS         | COM | 04743P108 | 7     | 360     | SH | DEFINED | 0 |
| ATHERSYS INC NEW COM           | COM | 04744L106 | 2     | 2000    | SH | DEFINED | 0 |
| ATLANTIC SOUTHN FINLGROUP INC  | COM | 048877104 | 2     | 300     | SH | DEFINED | 0 |
| ATLAS AIR WORLDWIDE HOLDINGS   | COM | 049164205 | 180   | 7757    | SH | DEFINED | 0 |
| ATLAS AIR WORLDWIDE HOLDINGS   | COM | 049164205 | 34    | 1460    | SH | DEFINED | 0 |
| ATLAS AMERICA INC              | COM | 049167109 | 124   | 4087    | SH | DEFINED | 0 |
| ATLAS ENERGY RES LLC COM CL B  | COM | 049303100 | 33    | 1600    | SH | DEFINED | 0 |
| ATLAS PIPELINE PARTNERS L      | COM | 049392103 | 3     | 400     | SH | DEFINED | 0 |
| ATLAS PIPELINE HLDGSL P COM UN | COM | 04939R108 | 2     | 500     | SH | DEFINED | 0 |
| ATMEL CORP                     | COM | 049513104 | 1044  | 279945  | SH | DEFINED | 0 |
| ATMEL CORP                     | COM | 049513104 | 109   | 29141   | SH | DEFINED | 0 |
| ATMOS ENERGY CORP              | COM | 049560105 | 3088  | 123320  | SH | DEFINED | 0 |
| ATMOS ENERGY CORP              | COM | 049560105 | 96    | 3838    | SH | DEFINED | 0 |
| ATRICURE INC                   | COM | 04963C209 | 32    | 9211    | SH | DEFINED | 0 |
| ATWOOD OCEANICS INC            | COM | 050095108 | 4781  | 191953  | SH | DEFINED | 0 |
| ATWOOD OCEANICS INC            | COM | 050095108 | 39    | 1558    | SH | DEFINED | 0 |
| AUDIOVOX CORP -CL A            | COM | 050757103 | 721   | 123207  | SH | DEFINED | 0 |
| AUDIOVOX CORP -CL A            | COM | 050757103 | 2     | 310     | SH | DEFINED | 0 |
| AUGUSTA RESOURCE CORP          | COM | 050912203 | 149   | 80750   | SH | DEFINED | 0 |
| AUTHENTEC INC                  | COM | 052660107 | 0     | 250     | SH | DEFINED | 0 |
| AUTODESK INC                   | COM | 052769106 | 16960 | 893633  | SH | DEFINED | 0 |
| AUTODESK INC                   | COM | 052769106 | 161   | 8491    | SH | DEFINED | 0 |
| AUTODESK INC                   | COM | 052769106 | 81    | 4280    | SH | DEFINED | 0 |
| AUTOLIV INC                    | COM | 052800109 | 4843  | 168395  | SH | DEFINED | 0 |
| AUTOLIV INC                    | COM | 052800109 | 58    | 2000    | SH | DEFINED | 0 |
| AUTOLIV INC                    | COM | 052800109 | 35    | 1200    | SH | DEFINED | 0 |
| AUTOLIV INC                    | COM | 052800109 | 9     | 326     | SH | DEFINED | 0 |
| AUTOMATIC DATA PROCESSING      | COM | 053015103 | 30635 | 864401  | SH | DEFINED | 0 |
| AUTOMATIC DATA PROCESSING      | COM | 053015103 | 1365  | 38508   | SH | DEFINED | 0 |
| AUTOMATIC DATA PROCESSING      | COM | 053015103 | 54331 | 1501740 | SH | DEFINED | 0 |
| AUTOMATIC DATA PROCESSING      | COM | 053015103 | 676   | 19064   | SH | DEFINED | 0 |
| AUTONATION INC                 | COM | 05329W102 | 7515  | 433119  | SH | DEFINED | 0 |
| AUTONATION INC                 | COM | 05329W102 | 315   | 18161   | SH | DEFINED | 0 |
| AUTONATION INC                 | COM | 05329W102 | 0     | 4       | SH | DEFINED | 0 |
| AUTOZONE INC                   | COM | 053332102 | 11059 | 73178   | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| BPZ RESOURCES INC              | COM | 055639108 | 309    | 63200    | SH | DEFINED | 0 |
| BRT RLTY TR SH BEN INT NEW     | COM | 055645303 | 1      | 200      | SH | DEFINED | 0 |
| BRE PROPERTIES INC             | COM | 05564E106 | 2231   | 93871    | SH | DEFINED | 0 |
| BRE PROPERTIES INC             | COM | 05564E106 | 32     | 1366     | SH | DEFINED | 0 |
| BT GROUP PLC-SPON ADR          | COM | 05577E101 | 728    | 43363    | SH | DEFINED | 0 |
| BT GROUP PLC-SPON ADR          | COM | 05577E101 | 45     | 2654     | SH | DEFINED | 0 |
| BMC SOFTWARE INC               | COM | 055921100 | 282728 | 8367258  | SH | DEFINED | 0 |
| BMC SOFTWARE INC               | COM | 055921100 | 567    | 16789    | SH | DEFINED | 0 |
| BMC SOFTWARE INC               | COM | 055921100 | 45781  | 1354825  | SH | DEFINED | 0 |
| BMC SOFTWARE INC               | COM | 055921100 | 78     | 2300     | SH | DEFINED | 0 |
| B2B INTERNET HOLDRS TR         | COM | 056033103 | 1      | 3200     | SH | DEFINED | 0 |
| BABCOCK & BROWN AIR LTD-ADR    | COM | 05614P101 | 5489   | 705550   | SH | DEFINED | 0 |
| BADGER METER INC               | COM | 056525108 | 1137   | 27740    | SH | DEFINED | 0 |
| BAIDU INC                      | COM | 056752108 | 1697   | 5640     | SH | DEFINED | 0 |
| BAIDU INC - SPON ADR           | COM | 056752108 | 159    | 550      | SH | DEFINED | 0 |
| BAIDU INC - SPON ADR           | COM | 056752108 | 473    | 1571     | SH | DEFINED | 0 |
| BAKER (MICHAEL) CORP           | COM | 057149106 | 1412   | 33346    | SH | DEFINED | 0 |
| BAKER HUGHES INC               | COM | 057224107 | 85866  | 2356315  | SH | DEFINED | 0 |
| BAKER HUGHES INC               | COM | 057224107 | 1011   | 27744    | SH | DEFINED | 0 |
| BAKER HUGHES INC               | COM | 057224107 | 729    | 20000    | SH | DEFINED | 0 |
| BAKER HUGHES INC               | COM | 057224107 | 154    | 4232     | SH | DEFINED | 0 |
| BAKERS FOOTWEAR GRP INC        | COM | 057465106 | 0      | 100      | SH | DEFINED | 0 |
| BALCHEM CORP                   | COM | 057665200 | 1248   | 50848    | SH | DEFINED | 0 |
| BALDOR ELECTRIC                | COM | 057741100 | 1547   | 65027    | SH | DEFINED | 0 |
| BALDOR ELECTRIC                | COM | 057741100 | 138    | 5800     | SH | DEFINED | 0 |
| BALDOR ELECTRIC                | COM | 057741100 | 5      | 200      | SH | DEFINED | 0 |
| BALDWIN & LYONS INC -CL B      | COM | 057755209 | 113    | 5750     | SH | DEFINED | 0 |
| BALL CORP                      | COM | 058498106 | 143    | 3178     | SH | DEFINED | 0 |
| BALL CORP                      | COM | 058498106 | 337    | 7462     | SH | DEFINED | 0 |
| BALL CORP                      | COM | 058498106 | 542    | 12000    | SH | DEFINED | 0 |
| BALL CORP                      | COM | 058498106 | 23     | 512      | SH | DEFINED | 0 |
| BALLARD PWR SYS INC NEW COM IS | COM | 058586108 | 1      | 306      | SH | DEFINED | 0 |
| BALLY TECHNOLOGIES INC         | COM | 05874B107 | 4876   | 162916   | SH | DEFINED | 0 |
| BALLY TECHNOLOGIES INC         | COM | 05874B107 | 54     | 2500     | SH | DEFINED | 0 |
| BALLY TECHNOLOGIES INC         | COM | 05874B107 | 39     | 1310     | SH | DEFINED | 0 |
| BANCFIRST CORP                 | COM | 05945F103 | 823    | 23846    | SH | DEFINED | 0 |
| BANCO BRADESCO SPON ADR        | COM | 059460303 | 301    | 20400    | SH | DEFINED | 0 |
| BANCO BRADESCO SPON ADR        | COM | 059460303 | 39     | 2668     | SH | DEFINED | 0 |
| BANCO MACRO BANSUD SA SPONSORE | COM | 05961W105 | 1      | 42       | SH | DEFINED | 0 |
| BANCO SANTANDER CENTHISPANO S  | COM | 05964H105 | 121    | 10014    | SH | DEFINED | 0 |
| BANCO SANTANDER-CHILE-ADR      | COM | 05965X109 | 6326   | 135784   | SH | DEFINED | 0 |
| BANCO SANTANDER-CHILE-ADR      | COM | 05965X109 | 0      | 9        | SH | DEFINED | 0 |
| BANCOLOMBIA S.A.-SPONS ADR     | COM | 05968L102 | 7051   | 198200   | SH | DEFINED | 0 |
| BANCOLOMBIA SA SPONS ADR       | COM | 05968L102 | 12225  | 400809   | SH | DEFINED | 0 |
| BANCOLOMBIA SA SPONS ADR       | COM | 05968L102 | 5      | 179      | SH | DEFINED | 0 |
| BANCORPSOUTH INC               | COM | 059692103 | 2763   | 134551   | SH | DEFINED | 0 |
| BANCTRUST FINL GROUP INC       | COM | 05978R107 | 0      | 150      | SH | DEFINED | 0 |
| BANK OF AMERICA CORP           | COM | 060505104 | 466841 | 35366618 | SH | DEFINED | 0 |
| BANK OF AMERICA CORP           | COM | 060505104 | 6856   | 519383   | SH | DEFINED | 0 |
| BANK OF AMERICA CORP           | COM | 060505104 | 8151   | 617560   | SH | DEFINED | 0 |
| BANK OF AMERICA CORP           | COM | 060505104 | 163224 | 12433242 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                 |     |           |       |         |    |         |   |
|---------------------------------|-----|-----------|-------|---------|----|---------|---|
| BANK OF AMERICA CORP            | COM | 060505104 | 9837  | 745200  | SH | DEFINED | 0 |
| BANK AMER CORP                  | COM | 060505104 | 6973  | 528237  | SH | DEFINED | 0 |
| BANK GRANITE GRANITE FA         | COM | 062401104 | 6     | 2000    | SH | DEFINED | 0 |
| BANK OF HAWAII CORP             | COM | 062540109 | 5762  | 160830  | SH | DEFINED | 0 |
| BANK MARIN BANCORP              | COM | 063425102 | 34    | 1254    | SH | DEFINED | 0 |
| BANK MUTUAL CORP                | COM | 063750103 | 578   | 66300   | SH | DEFINED | 0 |
| BANK MUTUAL CORP                | COM | 063750103 | 67    | 7700    | SH | DEFINED | 0 |
| BANK OF THE OZARKS              | COM | 063904106 | 2821  | 130457  | SH | DEFINED | 0 |
| BANK OF THE OZARKS              | COM | 063904106 | 99    | 4600    | SH | DEFINED | 0 |
| BANK OF NEW YORK MELLON CORP    | COM | 064058100 | 57660 | 1967299 | SH | DEFINED | 0 |
| BANK OF NEW YORK MELLON CORP    | COM | 064058100 | 2309  | 78791   | SH | DEFINED | 0 |
| BANK OF NEW YORK MELLON CORP    | COM | 064058100 | 471   | 16082   | SH | DEFINED | 0 |
| BANK OF NEW YORK MELLON CORPOR  | COM | 064058100 | 4138  | 141166  | SH | DEFINED | 0 |
| BANK OF NOVA SCOTIA HALIFAX IS  | COM | 064149107 | 29    | 761     | SH | DEFINED | 0 |
| BANKATLANTIC BANCORP INC CL A N | COM | 065908600 | 1     | 344     | SH | DEFINED | 0 |
| BANKFINANCIAL CORP              | COM | 06643P104 | 1     | 102     | SH | DEFINED | 0 |
| BANKRATE INC                    | COM | 06646V108 | 288   | 11426   | SH | DEFINED | 0 |
| BANNER CORP COM                 | COM | 06652V109 | 0     | 100     | SH | DEFINED | 0 |
| CR BARD INC                     | COM | 067383109 | 9655  | 129702  | SH | DEFINED | 0 |
| CR BARD INC                     | COM | 067383109 | 713   | 9580    | SH | DEFINED | 0 |
| CR BARD INC                     | COM | 067383109 | 9912  | 114900  | SH | DEFINED | 0 |
| CR BARD INC                     | COM | 067383109 | 106   | 1422    | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH INDE      | COM | 06738C778 | 565   | 15211   | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH INDE      | COM | 06738C786 | 248   | 9917    | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH INDE      | COM | 06738C794 | 50    | 1674    | SH | DEFINED | 0 |
| BARCLAYS PLC ADR                | COM | 06738E204 | 346   | 18773   | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH DOW       | COM | 06739F101 | 16    | 500     | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH ETN       | COM | 06739F184 | 5     | 91      | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH ETNS      | COM | 06739F291 | 118   | 2415    | SH | DEFINED | 0 |
| BARCLAYS BANK PLC ADR SER 2     | PRF | 06739F390 | 24    | 1350    | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH DOW       | COM | 06739H206 | 21    | 518     | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH DOW       | COM | 06739H248 | 65    | 1349    | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH DOW       | COM | 06739H255 | 185   | 6500    | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH DOW       | COM | 06739H305 | 37    | 948     | SH | DEFINED | 0 |
| BARCLAYS BK PLC SPONSORED       | PRF | 06739H362 | 66    | 3200    | SH | DEFINED | 0 |
| BARCLAYS BK PLC BARCLAYS U      | COM | 06739H412 | 12    | 280     | SH | DEFINED | 0 |
| BARCLAYS BK PLC SPONSORED       | PRF | 06739H511 | 79    | 4000    | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH DOW       | COM | 06739H644 | 2     | 110     | SH | DEFINED | 0 |
| BARCLAYS BK PLC IPATH EXCH      | COM | 06739H750 | 11    | 400     | SH | DEFINED | 0 |
| BARCLAYS BANK PLC SPONSORED     | PRF | 06739H776 | 37    | 2000    | SH | DEFINED | 0 |
| BARE ESCENTUALS INC             | COM | 067511105 | 491   | 55320   | SH | DEFINED | 0 |
| BARNES & NOBLE INC              | COM | 067774109 | 3225  | 156307  | SH | DEFINED | 0 |
| BARNES & NOBLE INC              | COM | 067774109 | 208   | 10097   | SH | DEFINED | 0 |
| BARNES GROUP INC                | COM | 067806109 | 448   | 37684   | SH | DEFINED | 0 |
| BARNES GROUP INC                | COM | 067806109 | 1     | 126     | SH | DEFINED | 0 |
| BARRICK GOLD CORP               | COM | 067901108 | 39198 | 1168336 | SH | DEFINED | 0 |
| BARRICK GOLD CORP               | COM | 067901108 | 4419  | 131703  | SH | DEFINED | 0 |
| BARRICK GOLD CORP               | COM | 067901108 | 10094 | 300827  | SH | DEFINED | 0 |
| BARRICK GOLD CORP               | COM | 067901108 | 887   | 26432   | SH | DEFINED | 0 |
| BARNWELL INDUSTRIES INC         | COM | 068221100 | 2     | 500     | SH | DEFINED | 0 |
| BARRETT BUS SVCS INC            | COM | 068463108 | 2     | 200     | SH | DEFINED | 0 |
| BILL BARRETT CORP               | COM | 06846N104 | 2624  | 95520   | SH | DEFINED | 0 |
| BILL BARRETT CORP               | COM | 06846N104 | 30    | 1100    | SH | DEFINED | 0 |
| BILL BARRETT CORP               | COM | 06846N104 | 37    | 1344    | SH | DEFINED | 0 |
| BARZEL INDS INC COM             | COM | 069744100 | 1     | 3750    | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| BASIC ENERGY SERVICES INC     | COM | 06985P100 | 1399  | 204939  | SH | DEFINED | 0 |
| EDDIE BAUER HLDGS INC         | COM | 071625107 | 0     | 2000    | SH | DEFINED | 0 |
| BAXTER INTERNATIONAL INC      | COM | 071813109 | 75557 | 1426721 | SH | DEFINED | 0 |
| BAXTER INTERNATIONAL INC      | COM | 071813109 | 1943  | 36695   | SH | DEFINED | 0 |
| BAXTER INTERNATIONAL INC      | COM | 071813109 | 834   | 16400   | SH | DEFINED | 0 |
| BAXTER INTERNATIONAL INC      | COM | 071813109 | 2400  | 45324   | SH | DEFINED | 0 |
| BAXTER INTL INC               | COM | 071813109 | 7407  | 139860  | SH | DEFINED | 0 |
| BAYTEX ENERGY TRUST-UNITS     | COM | 073176992 | 227   | 13509   | SH | DEFINED | 0 |
| BE AEROSPACE INC              | COM | 073302101 | 3070  | 213807  | SH | DEFINED | 0 |
| BE AEROSPACE INC              | COM | 073302101 | 35    | 2431    | SH | DEFINED | 0 |
| BEACON PWR CORP COM           | COM | 073677106 | 9     | 11145   | SH | DEFINED | 0 |
| BEACON ROOFING SUPPLY INC     | COM | 073685109 | 2485  | 171831  | SH | DEFINED | 0 |
| BEACON ROOFING SUPPLY INC     | COM | 073685109 | 6     | 390     | SH | DEFINED | 0 |
| BEBE STORES INC               | COM | 075571109 | 462   | 67220   | SH | DEFINED | 0 |
| BEBE STORES INC               | COM | 075571109 | 2     | 300     | SH | DEFINED | 0 |
| BECKMAN COULTER INC           | COM | 075811109 | 4650  | 81384   | SH | DEFINED | 0 |
| BECKMAN COULTER INC           | COM | 075811109 | 78    | 1364    | SH | DEFINED | 0 |
| BECTON DICKINSON AND CO       | COM | 075887109 | 30474 | 427366  | SH | DEFINED | 0 |
| BECTON DICKINSON AND CO       | COM | 075887109 | 1116  | 15655   | SH | DEFINED | 0 |
| BECTON DICKINSON AND CO       | COM | 075887109 | 834   | 11699   | SH | DEFINED | 0 |
| BECTON DICKINSON AND CO       | COM | 075887109 | 544   | 7625    | SH | DEFINED | 0 |
| BED BATH & BEYOND INC         | COM | 075896100 | 54975 | 1787770 | SH | DEFINED | 0 |
| BED BATH & BEYOND INC         | COM | 075896100 | 310   | 10077   | SH | DEFINED | 0 |
| BED BATH & BEYOND INC         | COM | 075896100 | 96    | 3129    | SH | DEFINED | 0 |
| BEL FUSE INC-CL B             | COM | 077347300 | 1462  | 91162   | SH | DEFINED | 0 |
| BELDEN INC                    | COM | 077454106 | 13517 | 809433  | SH | DEFINED | 0 |
| BELDEN INC                    | COM | 077454106 | 1420  | 85000   | SH | DEFINED | 0 |
| BELDEN CDT INC COM            | COM | 077454106 | 656   | 39278   | SH | DEFINED | 0 |
| BELO A H CORP DEL SER A FRML  | COM | 080555105 | 4     | 1964    | SH | DEFINED | 0 |
| BEMIS COMPANY                 | COM | 081437105 | 76    | 3016    | SH | DEFINED | 0 |
| BEMIS COMPANY                 | COM | 081437105 | 215   | 8534    | SH | DEFINED | 0 |
| BENCHMARK ELECTRONICS INC     | COM | 08160H101 | 6953  | 482849  | SH | DEFINED | 0 |
| BENCHMARK ELECTRONICS INC     | COM | 08160H101 | 88    | 6098    | SH | DEFINED | 0 |
|                               |     |           |       |         |    |         |   |
| BENEFICIAL MUT BANCORP IN     | COM | 08173R104 | 7     | 750     | SH | DEFINED | 0 |
| WR BERKLEY CORP               | COM | 084423102 | 9695  | 451589  | SH | DEFINED | 0 |
| WR BERKLEY CORP               | COM | 084423102 | 9     | 416     | SH | DEFINED | 0 |
| WR BERKLEY CORP               | COM | 084423102 | 2254  | 105000  | SH | DEFINED | 0 |
| BERKLEY W R CORP              | COM | 084423102 | 1080  | 50323   | SH | DEFINED | 0 |
| BERKSHIRE HATHAWAY INC DEL CL | COM | 084670108 | 3600  | 40      | SH | DEFINED | 0 |
| BERKSHIRE HATHAWAY INC-CL B   | COM | 084670207 | 77455 | 26748   | SH | DEFINED | 0 |
| BERKSHIRE HATHAWAY INC-CL B   | COM | 084670207 | 66    | 23      | SH | DEFINED | 0 |
| BERKSHIRE HATHAWAY INC-CL B   | COM | 084670207 | 10645 | 3676    | SH | DEFINED | 0 |
| BERKSHIRE HILLS BANCORP INC   | COM | 084680107 | 238   | 11470   | SH | DEFINED | 0 |
| BERKSHIRE HILLS BANCORP INC   | COM | 084680107 | 77    | 3700    | SH | DEFINED | 0 |
| BERRY PETROLEUM CO-CLASS A    | COM | 085789105 | 1605  | 86379   | SH | DEFINED | 0 |
| BEST BUY CO INC               | COM | 086516101 | 27043 | 807545  | SH | DEFINED | 0 |
| BEST BUY CO INC               | COM | 086516101 | 643   | 19193   | SH | DEFINED | 0 |
| BEST BUY CO INC               | COM | 086516101 | 675   | 20156   | SH | DEFINED | 0 |
| BHP BILLITON LTD-SPON ADR     | COM | 088606108 | 122   | 3015    | SH | DEFINED | 0 |
| BHP BILLITON LTD-SPON ADR     | COM | 088606108 | 1300  | 23752   | SH | DEFINED | 0 |
| BIG 5 SPORTING GOODS CORP     | COM | 08915P101 | 776   | 70114   | SH | DEFINED | 0 |
| BIG LOTS INC                  | COM | 089302103 | 10724 | 509911  | SH | DEFINED | 0 |
| BIG LOTS INC                  | COM | 089302103 | 148   | 7029    | SH | DEFINED | 0 |
| BIG LOTS INC                  | COM | 089302103 | 107   | 5100    | SH | DEFINED | 0 |
| BIGBAND NETWORKS INC          | COM | 089750509 | 268   | 51902   | SH | DEFINED | 0 |
| BIGBAND NETWORKS INC          | COM | 089750509 | 66    | 12674   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |       |        |    |         |   |
|------------------------------|-----|-----------|-------|--------|----|---------|---|
| BIO-RAD LABORATORIES-CL A    | COM | 090572207 | 1392  | 18429  | SH | DEFINED | 0 |
| BIO-RAD LABORATORIES-CL A    | COM | 090572207 | 11    | 150    | SH | DEFINED | 0 |
| BIO-REFERENCE LABS INC       | COM | 09057G602 | 634   | 20061  | SH | DEFINED | 0 |
| BIOCRYST PHARMACEUTICALS INC | COM | 09058V103 | 31    | 7600   | SH | DEFINED | 0 |
| BIOCRYST PHARMACEUTICALS INC | COM | 09058V103 | 3     | 800    | SH | DEFINED | 0 |
| BIOMARIN PHARMACEUTICAL INC  | COM | 09061G101 | 14663 | 939324 | SH | DEFINED | 0 |
| BIOMARIN PHARMACEUTICAL INC  | COM | 09061G101 | 200   | 12781  | SH | DEFINED | 0 |
| BIOMARIN PHARMACEUTICAL INC  | COM | 09061G101 | 123   | 5175   | SH | DEFINED | 0 |
| BIOMARIN PHARMACEUTICAL INC  | COM | 09061G101 | 3     | 215    | SH | DEFINED | 0 |
| BIOMARIN PHARMACEUT          | COM | 09061GAD3 | 1     | 1000   | SH | DEFINED | 0 |
| BIOGEN IDEC INC              | COM | 09062X103 | 21444 | 474953 | SH | DEFINED | 0 |
| BIOGEN IDEC INC              | COM | 09062X103 | 1030  | 22816  | SH | DEFINED | 0 |
| BIOGEN IDEC INC              | COM | 09062X103 | 311   | 6894   | SH | DEFINED | 0 |
| BIOMED REALTY TRUST INC      | COM | 09063H107 | 3807  | 372125 | SH | DEFINED | 0 |
| BIOMED REALTY TRUST INC      | COM | 09063H107 | 75    | 7300   | SH | DEFINED | 0 |
| BIOMED REALTY TRUST INC      | COM | 09063H107 | 8     | 751    | SH | DEFINED | 0 |
| BIONOVO INC                  | COM | 090643107 | 2     | 4200   | SH | DEFINED | 0 |
| BIOPURE CORP CL A PAR \$0.01 | COM | 09065H402 | 0     | 3      | SH | DEFINED | 0 |
| BIOTECH HOLDERS TRUST        | COM | 09067D201 | 184   | 1980   | SH | DEFINED | 0 |
| BIOVAIL CORP                 | COM | 09067J109 | 10824 | 804799 | SH | DEFINED | 0 |
| BIOVAIL CORP                 | COM | 09067J109 | 104   | 7741   | SH | DEFINED | 0 |

|                                |     |           |      |        |    |         |   |
|--------------------------------|-----|-----------|------|--------|----|---------|---|
| BIOSCRIP INC                   | COM | 09069N108 | 608  | 102834 | SH | DEFINED | 0 |
| BIOLASE TECH INC               | COM | 090911108 | 1    | 500    | SH | DEFINED | 0 |
| BLACK & DECKER CORP            | COM | 091797100 | 3220 | 112389 | SH | DEFINED | 0 |
| BLACK & DECKER CORP            | COM | 091797100 | 147  | 5140   | SH | DEFINED | 0 |
| BLACK & DECKER CORP            | COM | 091797100 | 5711 | 199231 | SH | DEFINED | 0 |
| BLACK & DECKER CORP            | COM | 091797100 | 27   | 932    | SH | DEFINED | 0 |
| BJ'S RESTAURANTS INC           | COM | 09180C106 | 176  | 10455  | SH | DEFINED | 0 |
| BJ'S RESTAURANTS INC           | COM | 09180C106 | 104  | 6165   | SH | DEFINED | 0 |
| BLACK BOX CORPORATION          | COM | 091826107 | 2806 | 83855  | SH | DEFINED | 0 |
| BLACK BOX CORPORATION          | COM | 091826107 | 64   | 1900   | SH | DEFINED | 0 |
| BLACKBOARD INC                 | COM | 091935502 | 382  | 13226  | SH | DEFINED | 0 |
| BLACKBOARD INC                 | COM | 091935502 | 62   | 2165   | SH | DEFINED | 0 |
| BLACKROCK FLOATING RATE INCOM  | COM | 091941104 | 2    | 200    | SH | DEFINED | 0 |
| BLACK HILLS CORP               | COM | 092113109 | 188  | 8160   | SH | DEFINED | 0 |
| BLACK HILLS CORP               | COM | 092113109 | 62   | 2700   | SH | DEFINED | 0 |
| BLACK HILLS CORP               | COM | 092113109 | 13   | 554    | SH | DEFINED | 0 |
| BLACKBAUD INC                  | COM | 09227Q100 | 4757 | 305945 | SH | DEFINED | 0 |
| BLACKBAUD INC                  | COM | 09227Q100 | 3    | 215    | SH | DEFINED | 0 |
| BLACKROCK INSD MUN TERM TR IN  | COM | 092474105 | 31   | 3000   | SH | DEFINED | 0 |
| BLACKROCK INCOME OPPORTUNIT    | COM | 092475102 | 147  | 16725  | SH | DEFINED | 0 |
| BLACKROCK INSD MUN INCOME TR   | COM | 092479104 | 13   | 1000   | SH | DEFINED | 0 |
| BLACKROCK INVESTMENTQUALITY MU | COM | 09247D105 | 64   | 5500   | SH | DEFINED | 0 |
| BLACKROCK N Y INVT QUALITY MU  | COM | 09247E103 | 18   | 1494   | SH | DEFINED | 0 |
| BLACKROCK INCOME TR INC        | COM | 09247F100 | 70   | 11190  | SH | DEFINED | 0 |
| BLACKROCK INC                  | COM | 09247X101 | 310  | 1766   | SH | DEFINED | 0 |
| BLACKROCK INC                  | COM | 09247X101 | 59   | 336    | SH | DEFINED | 0 |
| BLACKROCK CALIF INSDMUN INCOME | COM | 092484104 | 90   | 7936   | SH | DEFINED | 0 |
| BLACKROCK CALIF MUN INCOME TR  | COM | 09248E102 | 8    | 700    | SH | DEFINED | 0 |
| BLACKROCK MUN INCOMETR         | COM | 09248F109 | 24   | 2075   | SH | DEFINED | 0 |
| BLACKROCK MUNICIPAL INCOME INV | COM | 09248H105 | 12   | 1000   | SH | DEFINED | 0 |
| BLACKROCK N J MUN INCOME TR    | COM | 09248J101 | 32   | 2417   | SH | DEFINED | 0 |
| BLACKROCK NY MUN 2018 TERM     | COM | 09248K108 | 6    | 400    | SH | DEFINED | 0 |
| BLACKROCK N Y MUN INCOME TR    | COM | 09248L106 | 57   | 4236   | SH | DEFINED | 0 |
| BLACKROCK NEW JERSEYMUN BD TR  | COM | 09249A109 | 17   | 1320   | SH | DEFINED | 0 |
| BLACKROCK CORE BD TRSHS BEN IN | COM | 09249E101 | 97   | 8840   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |      |        |    |         |   |
|--------------------------------|-----|-----------|------|--------|----|---------|---|
| BLACKROCK STRATEGIC            | COM | 09249G106 | 26   | 2400   | SH | DEFINED | 0 |
| BLACKROCK MUN BD TR            | COM | 09249H104 | 71   | 5560   | SH | DEFINED | 0 |
| BLACKROCK MD MUN BD TR         | COM | 09249L105 | 11   | 775    | SH | DEFINED | 0 |
| BLACKROCK MUN INCOME TR II     | COM | 09249N101 | 16   | 1350   | SH | DEFINED | 0 |
| BLACKROCK NEW YORK MUN BD TR   | COM | 09249P106 | 24   | 1804   | SH | DEFINED | 0 |
| BLACKROCK NEW YORK MUN INCOME  | COM | 09249R102 | 14   | 1146   | SH | DEFINED | 0 |
| BLACKROCK PFD OPPORTUNIT       | COM | 09249V103 | 13   | 1517   | SH | DEFINED | 0 |
| BLACKROCK LTD DURATION I       | COM | 09249W101 | 209  | 15811  | SH | DEFINED | 0 |
| BLACKROCK MUN 2020 TERM TR CO  | COM | 09249X109 | 5    | 400    | SH | DEFINED | 0 |
| BLACKROCK STRATEGIC DIVID ACHI | COM | 09249Y107 | 29   | 3950   | SH | DEFINED | 0 |
| BLACKROCK PFD & EQUITY ADV     | COM | 092508100 | 107  | 11100  | SH | DEFINED | 0 |
| BLACKROCK LONG TERM MUN ADVANT | COM | 09250B103 | 27   | 3000   | SH | DEFINED | 0 |
| BLACKROCK WORLD INVTTR COM SHS | COM | 09250K103 | 188  | 19087  | SH | DEFINED | 0 |
| BLACKROCK DIVID CHIEVERS       | COM | 09250N107 | 37   | 5054   | SH | DEFINED | 0 |
| BLACKROCK ENERGY & RES TR COM  | COM | 09250U101 | 92   | 4771   | SH | DEFINED | 0 |
| BLACKROCK HEALTH SCIENCES T    | COM | 09250W107 | 71   | 3400   | SH | DEFINED | 0 |
| BLACKROCK ENHANCED DIVID ACHI  | COM | 09251A104 | 188  | 24218  | SH | DEFINED | 0 |
| BLACKROCK INTL GROWTH & I      | COM | 092524107 | 73   | 7150   | SH | DEFINED | 0 |
| BLACKROCK KELSO CAPITAL CORP   | COM | 092533108 | 344  | 55245  | SH | DEFINED | 0 |
| BLACKROCK KELSO CAPITAL CORP   | COM | 092533108 | 13   | 2043   | SH | DEFINED | 0 |
| BLACKROCK MUNIHOLDIN           | COM | 09253N104 | 18   | 1400   | SH | DEFINED | 0 |
| BLACKROCK MUNIHOLDIN           | COM | 09253P109 | 137  | 11700  | SH | DEFINED | 0 |
| BLACKROCK MUNIVEST FD II INC   | COM | 09253T101 | 26   | 2060   | SH | DEFINED | 0 |
| BLACKSTONE GROUP L PCOM UNIT R | COM | 09253U108 | 29   | 2718   | SH | DEFINED | 0 |
| BLACKROCK MUNIYIELD FD INC COM | COM | 09253W104 | 8    | 700    | SH | DEFINED | 0 |
| BLACKROCK MUNI INTER DURA      | COM | 09253X102 | 20   | 1650   | SH | DEFINED | 0 |
| BLACKROCK MUNIENHANC           | COM | 09253Y100 | 22   | 2450   | SH | DEFINED | 0 |
| BLACKROCK MUNIHOLDIN           | COM | 09254A101 | 44   | 4026   | SH | DEFINED | 0 |
| BLACKROCK REAL ASSETEQUITY TR  | COM | 09254B109 | 47   | 5272   | SH | DEFINED | 0 |
| BLACKROCK MUNIHOLDIN           | COM | 09254C107 | 61   | 5560   | SH | DEFINED | 0 |
| BLACKROCK MUNIYIELD INSD FD IN | COM | 09254E103 | 179  | 15788  | SH | DEFINED | 0 |
| BLACKROCK APEX MUN FD INC      | COM | 09254H106 | 39   | 5000   | SH | DEFINED | 0 |
| BLACKROCK MUNIASSETSFD INC COM | COM | 09254J102 | 283  | 25222  | SH | DEFINED | 0 |
| BLACKROCK MUNIYIELD ARIZ FD IN | COM | 09254K109 | 7    | 550    | SH | DEFINED | 0 |
| BLACKROCK MUNIHOLDIN           | COM | 09254L107 | 11   | 1036   | SH | DEFINED | 0 |
| BLACKROCK MUNIYIELD CALIF FD I | COM | 09254M105 | 76   | 6630   | SH | DEFINED | 0 |
| BLACKROCK MUNIYIELD CALIF INSD | COM | 09254N103 | 4    | 397    | SH | DEFINED | 0 |
| BLACKROCK MUNIYIELD N J FD INC | COM | 09254Y109 | 40   | 3100   | SH | DEFINED | 0 |
| BLACKROCK MUNIYIELD N J INSD F | COM | 09255A100 | 199  | 16287  | SH | DEFINED | 0 |
| BLACKROCK MUNIHOLDIN           | COM | 09255C106 | 40   | 3518   | SH | DEFINED | 0 |
| BLACKROCK GLOBAL EQUITY INC    | COM | 09255D104 | 71   | 7514   | SH | DEFINED | 0 |
| BLACKROCK MUNIYIELD N Y INSD   | COM | 09255E102 | 50   | 4638   | SH | DEFINED | 0 |
| BLACKROCK MUNIYIELD PA INSD FD | COM | 09255G107 | 29   | 2323   | SH | DEFINED | 0 |
| BLACKROCK ENHANCED GOVT FD IN  | COM | 09255K108 | 4    | 250    | SH | DEFINED | 0 |
| BLACKROCK CORPORATE HIGH YIELD | COM | 09255L106 | 1569 | 299482 | SH | DEFINED | 0 |
| BLACKROCK CORPORATE HIGH YIELD | COM | 09255M104 | 116  | 23122  | SH | DEFINED | 0 |
| BLACKROCK CORPORATE HIGH YIELD | COM | 09255N102 | 174  | 21186  | SH | DEFINED | 0 |
| BLACKROCK CORPORATE HIGH YIELD | COM | 09255P107 | 31   | 3772   | SH | DEFINED | 0 |
| BLACKROCK DEBT STRATEGIES      | COM | 09255R103 | 57   | 18971  | SH | DEFINED | 0 |
| BLACKROCK SR HIGH INCOME FD    | COM | 09255T109 | 6    | 2005   | SH | DEFINED | 0 |
| BLACKROCK DIVERSIFIE           | COM | 09255W102 | 6    | 799    | SH | DEFINED | 0 |
| BLACKROCK FLOATING RATE INCOM  | COM | 09255X100 | 11   | 1000   | SH | DEFINED | 0 |
| BLACKROCK FLOATING RATE INCOM  | COM | 09255Y108 | 142  | 13574  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| BLACKROCK ENHANCED CAP & INCO | COM | 09256A109 | 126   | 9697    | SH | DEFINED | 0 |
| BLDRS INDEX FDS TR ASIA 50 AD | COM | 09348R102 | 33    | 1530    | SH | DEFINED | 0 |
| BLDRS INDEX FDS TR DEVELOPED  | COM | 09348R201 | 87    | 4858    | SH | DEFINED | 0 |
| BLDRS INDEX FDS TR EMERGING M | COM | 09348R300 | 1498  | 43332   | SH | DEFINED | 0 |
| BLDRS INDEX FDS TR EUROPE 100 | COM | 09348R409 | 29    | 1676    | SH | DEFINED | 0 |
| H&R BLOCK INC                 | COM | 093671105 | 21839 | 1267445 | SH | DEFINED | 0 |
| H&R BLOCK INC                 | COM | 093671105 | 500   | 29002   | SH | DEFINED | 0 |
| H&R BLOCK INC                 | COM | 093671105 | 257   | 14909   | SH | DEFINED | 0 |
| BLOCKBUSTER INC-CL A          | COM | 093679108 | 651   | 986678  | SH | DEFINED | 0 |
| BLOCKBUSTER INC-CL A          | COM | 093679108 | 1     | 900     | SH | DEFINED | 0 |
| BLOUNT INTERNATIONAL INC      | COM | 095180105 | 38    | 4401    | SH | DEFINED | 0 |
| BLOUNT INTERNATIONAL INC      | COM | 095180105 | 0     | 14      | SH | DEFINED | 0 |
| BLUE CHIP VALUE FD            | COM | 095333100 | 128   | 51039   | SH | DEFINED | 0 |
| BLUE COAT SYSTEMS INC         | COM | 09534T508 | 5639  | 340897  | SH | DEFINED | 0 |
| BLUE COAT SYSTEMS INC         | COM | 09534T508 | 1     | 74      | SH | DEFINED | 0 |
| BLUE DOLPHIN ENERGY CORP      | COM | 095395208 | 0     | 500     | SH | DEFINED | 0 |
| BLUE NILE INC                 | COM | 09578R103 | 707   | 16440   | SH | DEFINED | 0 |
| BLUE NILE INC                 | COM | 09578R103 | 2806  | 65259   | SH | DEFINED | 0 |
| BLUE NILE INC                 | COM | 09578R103 | 1     | 25      | SH | DEFINED | 0 |
| BLUE SQUARE ISRAEL LTD ADR EA | COM | 096055108 | 2     | 215     | SH | DEFINED | 0 |
| BLUEFLY INC COM NEW           | COM | 096227301 | 0     | 20      | SH | DEFINED | 0 |
| BLYTH INC                     | COM | 09643P207 | 106   | 3239    | SH | DEFINED | 0 |
| BOARDWALK PIPELINE PARTNERS L | COM | 096627104 | 78    | 3476    | SH | DEFINED | 0 |
| BOB EVANS FARMS               | COM | 096761101 | 7762  | 270098  | SH | DEFINED | 0 |
| BOB EVANS FARMS               | COM | 096761101 | 3     | 100     | SH | DEFINED | 0 |
| BOEING CO                     | COM | 097023105 | 67561 | 1589651 | SH | DEFINED | 0 |
| BOEING CO                     | COM | 097023105 | 2013  | 47368   | SH | DEFINED | 0 |
| BOEING CO                     | COM | 097023105 | 6588  | 121905  | SH | DEFINED | 0 |
| BOEING CO                     | COM | 097023105 | 1356  | 31905   | SH | DEFINED | 0 |
| BOLT TECHNOLOGY CORP          | COM | 097698104 | 197   | 17550   | SH | DEFINED | 0 |
| BOLT TECHNOLOGY CORP          | COM | 097698104 | 35    | 3100    | SH | DEFINED | 0 |
| BOLT TECHNOLOGY CORP          | COM | 097698104 | 1     | 100     | SH | DEFINED | 0 |
| BON-TON STORES INC COM        | COM | 09776J101 | 24    | 7000    | SH | DEFINED | 0 |
| BOOTS & COOTS INTL WELL CTL I | COM | 099469504 | 0     | 325     | SH | DEFINED | 0 |
| BORDERS GROUP INC             | COM | 099709107 | 1942  | 527585  | SH | DEFINED | 0 |
| BORGWARNER INC                | COM | 099724106 | 4242  | 124198  | SH | DEFINED | 0 |
| BORGWARNER INC                | COM | 099724106 | 138   | 4000    | SH | DEFINED | 0 |
| BORGWARNER INC                | COM | 099724106 | 47    | 1367    | SH | DEFINED | 0 |
| BOSTON BEER COMPANY INC-CL A  | COM | 100557107 | 243   | 8213    | SH | DEFINED | 0 |
| BOSTON BEER COMPANY INC-CL A  | COM | 100557107 | 6     | 200     | SH | DEFINED | 0 |
| BOSTON PRIVATE FINL HOLDING   | COM | 101119105 | 1457  | 325262  | SH | DEFINED | 0 |
| BOSTON PRIVATE FINL HOLDING   | COM | 101119105 | 2     | 500     | SH | DEFINED | 0 |
| BOSTON PROPERTIES INC         | COM | 101121101 | 16631 | 348625  | SH | DEFINED | 0 |
| BOSTON PROPERTIES INC         | COM | 101121101 | 297   | 6232    | SH | DEFINED | 0 |
| BOSTON PROPERTIES INC         | COM | 101121101 | 347   | 7283    | SH | DEFINED | 0 |
| BOSTON PROPERTIES INC         | COM | 101121101 | 161   | 3383    | SH | DEFINED | 0 |
| BOSTON SCIENTIFIC CORP        | COM | 101137107 | 13639 | 1345017 | SH | DEFINED | 0 |
| BOSTON SCIENTIFIC CORP        | COM | 101137107 | 776   | 76575   | SH | DEFINED | 0 |
| BOSTON SCIENTIFIC CORP        | COM | 101137107 | 148   | 15700   | SH | DEFINED | 0 |
| BOSTON SCIENTIFIC CORP        | COM | 101137107 | 1479  | 145878  | SH | DEFINED | 0 |
| BOWNE & CO INC                | COM | 103043105 | 205   | 31498   | SH | DEFINED | 0 |
| BOYD GAMING CORP              | COM | 103304101 | 441   | 52018   | SH | DEFINED | 0 |
| BOYD GAMING CORP              | COM | 103304101 | 31    | 3600    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                 |     |           |        |          |    |         |   |
|---------------------------------|-----|-----------|--------|----------|----|---------|---|
| BOYD GAMING CORP                | COM | 103304101 | 5      | 542      | SH | DEFINED | 0 |
| BRADY CORPORATION - CL A        | COM | 104674106 | 2969   | 118171   | SH | DEFINED | 0 |
| BRADY CORPORATION - CL A        | COM | 104674106 | 105    | 4180     | SH | DEFINED | 0 |
| BRANDYWINE RLTY TR SBI NEW      | COM | 105368203 | 188    | 25189    | SH | DEFINED | 0 |
| BRASIL TELECOM PARTICIPAC       | COM | 105530109 | 39     | 1027     | SH | DEFINED | 0 |
| BRASKEM S A SPONS ADR REPSTG    | COM | 105532105 | 3      | 450      | SH | DEFINED | 0 |
| BRIDGELINE SOFTWARE INC         | COM | 10807Q205 | 11     | 8333     | SH | DEFINED | 0 |
| BRIGGS & STRATTON               | COM | 109043109 | 973    | 72955    | SH | DEFINED | 0 |
| BRIGGS & STRATTON               | COM | 109043109 | 47     | 3500     | SH | DEFINED | 0 |
| BRIGHAM EXPL CO                 | COM | 109178103 | 1      | 200      | SH | DEFINED | 0 |
| BRIGHTPOINT INC                 | COM | 109473405 | 772    | 122930   | SH | DEFINED | 0 |
| BRIGHTPOINT INC                 | COM | 109473405 | 80     | 12738    | SH | DEFINED | 0 |
| BRINKER INTERNATIONAL INC       | COM | 109641100 | 1189   | 69820    | SH | DEFINED | 0 |
| BRINKER INTERNATIONAL INC       | COM | 109641100 | 5      | 300      | SH | DEFINED | 0 |
| BRINK'S CO/THE                  | COM | 109696104 | 15886  | 547205   | SH | DEFINED | 0 |
| BRINK'S CO/THE                  | COM | 109696104 | 23218  | 799810   | SH | DEFINED | 0 |
| BRINK'S CO/THE                  | COM | 109696104 | 412    | 14189    | SH | DEFINED | 0 |
| BRINK'S CO/THE                  | COM | 109696104 | 1452   | 50000    | SH | DEFINED | 0 |
| BRINKS CO                       | COM | 109696104 | 709    | 24413    | SH | DEFINED | 0 |
| BRINKS HOME SECURITY HOLDING    | COM | 109699108 | 6574   | 232210   | SH | DEFINED | 0 |
| BRINKS HOME SECURITY HOLDING    | COM | 109699108 | 13882  | 491146   | SH | DEFINED | 0 |
| BRINKS HOME SECURITY HOLDING    | COM | 109699108 | 259    | 9155     | SH | DEFINED | 0 |
| BRISTOL-MYERS SQUIBB CO         | COM | 110122108 | 276992 | 13638271 | SH | DEFINED | 0 |
| BRISTOL-MYERS SQUIBB CO         | COM | 110122108 | 3094   | 152356   | SH | DEFINED | 0 |
| BRISTOL-MYERS SQUIBB CO         | COM | 110122108 | 1190   | 58630    | SH | DEFINED | 0 |
| BRISTOL-MYERS SQUIBB CO         | COM | 110122108 | 2992   | 147305   | SH | DEFINED | 0 |
| BRISTOL MYERS SQUIBB CO         | COM | 110122108 | 10284  | 506346   | SH | DEFINED | 0 |
| BRISTOL MYERS SQUIBB CO PFD CON | PRF | 110122207 | 2      | 7        | SH | DEFINED | 0 |
| BRISTOW GROUP INC               | COM | 110394103 | 4742   | 160073   | SH | DEFINED | 0 |
| BRISTOW GROUP INC               | COM | 110394103 | 98     | 3300     | SH | DEFINED | 0 |
| BRISTOW GROUP INC               | COM | 110394103 | 36     | 1200     | SH | DEFINED | 0 |
| BRITISH AMERICAN TOB SP ADR RE  | COM | 110448107 | 20     | 367      | SH | DEFINED | 0 |
| BROADBAND HOLDRS TR DEPOSITARY  | COM | 11130P104 | 1      | 100      | SH | DEFINED | 0 |
| BROADCOM CORP-CL A              | COM | 111320107 | 11462  | 462298   | SH | DEFINED | 0 |
| BROADCOM CORP-CL A              | COM | 111320107 | 733    | 29572    | SH | DEFINED | 0 |
| BROADCOM CORP-CL A              | COM | 111320107 | 315    | 12760    | SH | DEFINED | 0 |
| BROADCOM CORP-CL A              | COM | 111320107 | 977    | 39426    | SH | DEFINED | 0 |
| BROADRIDGE FINANCIAL SOLUTIO    | COM | 11133T103 | 973    | 58705    | SH | DEFINED | 0 |
| BROADRIDGE FINANCIAL SOLUTIO    | COM | 11133T103 | 36     | 2142     | SH | DEFINED | 0 |
| BROADPOINT GLEACHER SECURITIES  | COM | 11134A103 | 24     | 4343     | SH | DEFINED | 0 |
| BROADVISION INC COM PAR \$.001  | COM | 111412706 | 2      | 190      | SH | DEFINED | 0 |
| BROCADE COMMUNICATIONS SYS      | COM | 111621306 | 33861  | 4327189  | SH | DEFINED | 0 |
| BROCADE COMMUNICATIONS SYS      | COM | 111621306 | 23     | 2921     | SH | DEFINED | 0 |
| BRONCO DRILLING CO INC          | COM | 112211107 | 210    | 49056    | SH | DEFINED | 0 |
| BROOKDALE SR LIVING INC COM     | COM | 112463104 | 729    | 74800    | SH | DEFINED | 0 |
| BROOKFIELD ASSET MGMT INC-CL A  | COM | 112585104 | 8171   | 478637   | SH | DEFINED | 0 |
| BROOKFIELD ASSET MGMT INC-CL A  | COM | 112585104 | 19     | 1113     | SH | DEFINED | 0 |
| BROOKFIELD ASSET MGMT INC-CL A  | COM | 112585104 | 88     | 5155     | SH | DEFINED | 0 |
| BROOKFIELD PROPERTIES CORP      | COM | 112900105 | 2575   | 323131   | SH | DEFINED | 0 |
| BROOKLINE BANCORP INC           | COM | 11373M107 | 505    | 54141    | SH | DEFINED | 0 |
| BROOKLINE BANCORP INC           | COM | 11373M107 | 7      | 700      | SH | DEFINED | 0 |
| BROOKS AUTOMATION INC           | COM | 114340102 | 8858   | 1977239  | SH | DEFINED | 0 |
| BROOKS AUTOMATION INC           | COM | 114340102 | 26     | 5751     | SH | DEFINED | 0 |
| BROWN & BROWN INC               | COM | 115236101 | 2075   | 104080   | SH | DEFINED | 0 |
| BROWN & BROWN INC               | COM | 115236101 | 47     | 2361     | SH | DEFINED | 0 |
| BROWN-FORMAN CORP-CLASS B       | COM | 115637209 | 5878   | 136752   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| BROWN-FORMAN CORP-CLASS B      | COM | 115637209 | 155   | 3613    | SH | DEFINED | 0 |
| BROWN-FORMAN CORP-CLASS B      | COM | 115637209 | 85    | 1985    | SH | DEFINED | 0 |
| BROWN SHOE COMPANY INC         | COM | 115736100 | 1197  | 165261  | SH | DEFINED | 0 |
| BRUKER BIOSCIENCES CORP        | COM | 116794108 | 523   | 56520   | SH | DEFINED | 0 |
| BRUKER BIOSCIENCES CORP        | COM | 116794108 | 2     | 200     | SH | DEFINED | 0 |
| BRUNSWICK CORP                 | COM | 117043109 | 4154  | 961194  | SH | DEFINED | 0 |
| BRUSH ENGINEERED MATERIALS     | COM | 117421107 | 947   | 56525   | SH | DEFINED | 0 |
| BUCKEYE PARTNERS L PUNIT LTD P | COM | 118230101 | 286   | 6685    | SH | DEFINED | 0 |
| BUCKEYE TECHNOLOGIES INC       | COM | 118255108 | 254   | 56584   | SH | DEFINED | 0 |
| BUCKLE INC/THE                 | COM | 118440106 | 1457  | 45877   | SH | DEFINED | 0 |
| BUCKLE INC/THE                 | COM | 118440106 | 156   | 4895    | SH | DEFINED | 0 |
| CHINA RAILWAY CONSTRUCTION-H   | COM | 1186.HK   | 939   | 78500   | SH | DEFINED | 0 |
| BUCYRUS INTERNATIONAL INC      | COM | 118759109 | 5646  | 197705  | SH | DEFINED | 0 |
| BUCYRUS INTERNATIONAL INC      | COM | 118759109 | 49    | 1707    | SH | DEFINED | 0 |
| BUCYRUS INTERNATIONAL INC      | COM | 118759109 | 165   | 5794    | SH | DEFINED | 0 |
| BUFFALO WILD WINGS INC         | COM | 119848109 | 884   | 27200   | SH | DEFINED | 0 |
| BUFFALO WILD WINGS INC         | COM | 119848109 | 112   | 3454    | SH | DEFINED | 0 |
| BUFFETS RESTAURANTS HOLDINGS   | COM | 11988P107 | 16    | 30638   | SH | DEFINED | 0 |
| BUILD-A-BEAR WORKSHOP INC      | COM | 120076104 | 43    | 9576    | SH | DEFINED | 0 |
| BUILD-A-BEAR WORKSHOP INC      | COM | 120076104 | 1     | 318     | SH | DEFINED | 0 |
| BURGER KING HOLDINGS INC       | COM | 121208201 | 1597  | 92500   | SH | DEFINED | 0 |
| BURGER KING HOLDINGS INC       | COM | 121208201 | 20    | 1133    | SH | DEFINED | 0 |
| BURLINGTON NORTHERN SANTA FE   | COM | 12189T104 | 46469 | 631907  | SH | DEFINED | 0 |
| BURLINGTON NORTHERN SANTA FE   | COM | 12189T104 | 1185  | 16115   | SH | DEFINED | 0 |
| BURLINGTON NORTHERN SANTA FE   | COM | 12189T104 | 700   | 9517    | SH | DEFINED | 0 |
| BWAY HOLDING CO                | COM | 12429T104 | 929   | 52966   | SH | DEFINED | 0 |
| BWAY HOLDING CO                | COM | 12429T104 | 53    | 3000    | SH | DEFINED | 0 |
| C&D TECHNOLOGIES INC           | COM | 124661109 | 60    | 29778   | SH | DEFINED | 0 |
| CAE INC ISIN #CA12             | COM | 124765108 | 45    | 7672    | SH | DEFINED | 0 |
| CAS MED SYS INC COM PAR \$0    | COM | 124769209 | 0     | 200     | SH | DEFINED | 0 |
| CBIZ INC                       | COM | 124805102 | 927   | 130180  | SH | DEFINED | 0 |
| CBL & ASSOCIATES PROPERTIES    | COM | 124830100 | 104   | 19320   | SH | DEFINED | 0 |
| CBL & ASSOCIATES PROPERTIES    | COM | 124830100 | 34    | 6400    | SH | DEFINED | 0 |
| CBL & ASSOCIATES PROPERTIES    | COM | 124830100 | 25    | 4629    | SH | DEFINED | 0 |
| CBS CORP NEW CL A              | COM | 124857103 | 2     | 267     | SH | DEFINED | 0 |
| CBS CORP-CLASS B NON VOTING    | COM | 124857202 | 14045 | 2029899 | SH | DEFINED | 0 |
| CBS CORP-CLASS B NON VOTING    | COM | 124857202 | 498   | 72017   | SH | DEFINED | 0 |
| CBS CORP-CLASS B NON VOTING    | COM | 124857202 | 111   | 16044   | SH | DEFINED | 0 |
| CB RICHARD ELLIS GROUP INC-A   | COM | 12497T101 | 3472  | 370858  | SH | DEFINED | 0 |
| CB RICHARD ELLIS GROUP INC-A   | COM | 12497T101 | 264   | 28195   | SH | DEFINED | 0 |
| CB RICHARD ELLIS GROUP INC-A   | COM | 12497T101 | 13    | 1350    | SH | DEFINED | 0 |
| CDI CORP                       | COM | 125071100 | 2693  | 241508  | SH | DEFINED | 0 |
| CEC ENTERTAINMENT INC          | COM | 125137109 | 5094  | 172795  | SH | DEFINED | 0 |
| CEC ENTERTAINMENT INC          | COM | 125137109 | 3     | 100     | SH | DEFINED | 0 |
| CFS BANCORP INC                | COM | 12525D102 | 3     | 700     | SH | DEFINED | 0 |
| CF INDUSTRIES HOLDINGS INC     | COM | 125269100 | 11519 | 155360  | SH | DEFINED | 0 |
| CF INDUSTRIES HOLDINGS INC     | COM | 125269100 | 153   | 2062    | SH | DEFINED | 0 |
| CF INDUSTRIES HOLDINGS INC     | COM | 125269100 | 17    | 226     | SH | DEFINED | 0 |
| CH ENERGY GROUP INC            | COM | 12541M102 | 6367  | 136378  | SH | DEFINED | 0 |
| CH ENERGY GROUP INC            | COM | 12541M102 | 149   | 3200    | SH | DEFINED | 0 |
| CH ENERGY GROUP INC            | COM | 12541M102 | 29    | 630     | SH | DEFINED | 0 |
| C.H. ROBINSON WORLDWIDE INC    | COM | 12541W209 | 19075 | 365776  | SH | DEFINED | 0 |
| C.H. ROBINSON WORLDWIDE INC    | COM | 12541W209 | 824   | 15799   | SH | DEFINED | 0 |
| C.H. ROBINSON WORLDWIDE INC    | COM | 12541W209 | 6547  | 133200  | SH | DEFINED | 0 |
| C.H. ROBINSON WORLDWIDE INC    | COM | 12541W209 | 30    | 584     | SH | DEFINED | 0 |
| CIGNA CORP                     | COM | 125509109 | 40190 | 1668413 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |        |          |    |         |   |
|------------------------------|-----|-----------|--------|----------|----|---------|---|
| CIGNA CORP                   | COM | 125509109 | 529    | 21963    | SH | DEFINED | 0 |
| CIGNA CORP                   | COM | 125509109 | 105    | 4367     | SH | DEFINED | 0 |
| CIT GROUP INC                | COM | 125581108 | 95114  | 44239761 | SH | DEFINED | 0 |
| CIT GROUP INC                | COM | 125581108 | 217    | 101128   | SH | DEFINED | 0 |
| CIT GROUP INC                | COM | 125581108 | 4      | 1708     | SH | DEFINED | 0 |
| CKE RESTAURANTS INC          | COM | 12561E105 | 2986   | 352085   | SH | DEFINED | 0 |
| CKE RESTAURANTS INC          | COM | 12561E105 | 73     | 8625     | SH | DEFINED | 0 |
| CLECO CORPORATION            | COM | 12561W105 | 1998   | 89097    | SH | DEFINED | 0 |
| CLECO CORPORATION            | COM | 12561W105 | 63     | 2800     | SH | DEFINED | 0 |
| CLECO CORPORATION            | COM | 12561W105 | 6      | 270      | SH | DEFINED | 0 |
| CKX INC                      | COM | 12562M106 | 1648   | 232470   | SH | DEFINED | 0 |
|                              |     |           |        |          |    |         |   |
| CME GROUP INC                | COM | 12572Q105 | 19148  | 61551    | SH | DEFINED | 0 |
| CME GROUP INC                | COM | 12572Q105 | 1219   | 3917     | SH | DEFINED | 0 |
| CME GROUP INC                | COM | 12572Q105 | 1284   | 4128     | SH | DEFINED | 0 |
| CME GROUP INC                | COM | 12572Q105 | 902    | 2898     | SH | DEFINED | 0 |
| CMS ENERGY CORP              | COM | 125896100 | 3185   | 263680   | SH | DEFINED | 0 |
| CMS ENERGY CORP              | COM | 125896100 | 102    | 8409     | SH | DEFINED | 0 |
| CMS ENERGY CORP              | COM | 125896100 | 2      | 190      | SH | DEFINED | 0 |
| CNA SURETY CORP              | COM | 12612L108 | 445    | 32938    | SH | DEFINED | 0 |
| CNA SURETY CORP              | COM | 12612L108 | 51     | 3804     | SH | DEFINED | 0 |
| CNA SURETY CORP              | COM | 12612L108 | 3      | 200      | SH | DEFINED | 0 |
| CNOOC LTD-ADR                | COM | 126132109 | 750    | 6100     | SH | DEFINED | 0 |
| CNOOC LTD-ADR                | COM | 126132109 | 32499  | 264146   | SH | DEFINED | 0 |
| CNOOC LTD-ADR                | COM | 126132109 | 2624   | 21379    | SH | DEFINED | 0 |
| CNOOC LTD-ADR                | COM | 126132109 | 230    | 1869     | SH | DEFINED | 0 |
| CPFL ENERGIA SA-ADR          | COM | 126153105 | 3620   | 85700    | SH | DEFINED | 0 |
| CPFL ENERGIA SA-ADR          | COM | 126153105 | 364    | 7507     | SH | DEFINED | 0 |
| CNX GAS CORP COM             | COM | 12618H309 | 26     | 1000     | SH | DEFINED | 0 |
| CRA INTERNATIONAL INC        | COM | 12618T105 | 430    | 15500    | SH | DEFINED | 0 |
| CPEX PHARMACEUTICALS INC COM | COM | 12620N104 | 0      | 24       | SH | DEFINED | 0 |
| CRH PLC ADR                  | COM | 12626K203 | 2      | 83       | SH | DEFINED | 0 |
| CSG SYSTEMS INTL INC         | COM | 126349109 | 2306   | 173998   | SH | DEFINED | 0 |
| CSG SYSTEMS INTL INC         | COM | 126349109 | 54     | 4100     | SH | DEFINED | 0 |
| CSX CORP                     | COM | 126408103 | 45696  | 1319497  | SH | DEFINED | 0 |
| CSX CORP                     | COM | 126408103 | 746    | 21537    | SH | DEFINED | 0 |
| CSX CORP                     | COM | 126408103 | 78571  | 2268953  | SH | DEFINED | 0 |
| CSX CORP                     | COM | 126408103 | 347    | 10027    | SH | DEFINED | 0 |
| CSX CORP                     | COM | 126408103 | 4491   | 129681   | SH | DEFINED | 0 |
| CTC MEDIA INC                | COM | 12642X106 | 27     | 2259     | SH | DEFINED | 0 |
| CTC MEDIA INC                | COM | 12642X106 | 4950   | 418845   | SH | DEFINED | 0 |
| CTC MEDIA INC                | COM | 12642X106 | 422    | 35806    | SH | DEFINED | 0 |
| CTS CORP                     | COM | 126501105 | 414    | 63296    | SH | DEFINED | 0 |
| CTS CORP                     | COM | 126501105 | 0      | 60       | SH | DEFINED | 0 |
| CVB FINANCIAL CORP           | COM | 126600105 | 1281   | 214677   | SH | DEFINED | 0 |
| CVB FINANCIAL CORP           | COM | 126600105 | 58     | 9700     | SH | DEFINED | 0 |
| CVB FINANCIAL CORP           | COM | 126600105 | 2      | 294      | SH | DEFINED | 0 |
| CVR ENERGY INC               | COM | 12662P108 | 158    | 21542    | SH | DEFINED | 0 |
| CVR ENERGY INC               | COM | 12662P108 | 1      | 200      | SH | DEFINED | 0 |
| CVS CAREMARK CORP            | COM | 126650100 | 119936 | 3763332  | SH | DEFINED | 0 |
| CVS CAREMARK CORP            | COM | 126650100 | 3810   | 119558   | SH | DEFINED | 0 |
| CVS CAREMARK CORP            | COM | 126650100 | 3106   | 97458    | SH | DEFINED | 0 |
| CVS CAREMARK CORP            | COM | 126650100 | 67703  | 2127642  | SH | DEFINED | 0 |
| CVS CAREMARK CORP            | COM | 126650100 | 811    | 25452    | SH | DEFINED | 0 |
| CA INC                       | COM | 12673P105 | 247    | 14118    | SH | DEFINED | 0 |
| CA INC                       | COM | 12673P105 | 279    | 15979    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| CA INC                         | COM | 12673P105 | 104428 | 5994754 | SH | DEFINED | 0 |
| CA INC                         | COM | 12673P105 | 111    | 6380    | SH | DEFINED | 0 |
| CABELA'S INC                   | COM | 126804301 | 9491   | 771771  | SH | DEFINED | 0 |
| CABELA'S INC                   | COM | 126804301 | 128    | 10400   | SH | DEFINED | 0 |
| CABELA'S INC                   | COM | 126804301 | 49     | 3975    | SH | DEFINED | 0 |
| CABLEVISION SYSTEMS-NY GRP-A   | COM | 12686C109 | 19     | 993     | SH | DEFINED | 0 |
| CABLEVISION SYSTEMS-NY GRP-A   | COM | 12686C109 | 421    | 21700   | SH | DEFINED | 0 |
| CABLEVISION SYSTEMS-NY GRP-A   | COM | 12686C109 | 136    | 6998    | SH | DEFINED | 0 |
| CABOT CORP                     | COM | 127055101 | 25     | 2000    | SH | DEFINED | 0 |
| CABOT OIL & GAS CORP           | COM | 127097103 | 229    | 7471    | SH | DEFINED | 0 |
| CABOT OIL & GAS CORP           | COM | 127097103 | 135    | 4421    | SH | DEFINED | 0 |
| CABOT OIL & GAS CORP           | COM | 127097103 | 24     | 780     | SH | DEFINED | 0 |
| CABOT MICROELECTRONICS CORP    | COM | 12709P103 | 1610   | 56910   | SH | DEFINED | 0 |
| CACI INTERNATIONAL INC -CL A   | COM | 127190304 | 9448   | 221213  | SH | DEFINED | 0 |
| CACI INTERNATIONAL INC -CL A   | COM | 127190304 | 2      | 39      | SH | DEFINED | 0 |
| CACI INTERNATIONAL INC -CL A   | COM | 127190304 | 2136   | 50000   | SH | DEFINED | 0 |
| CACI INTL INC CL A             | COM | 127190304 | 1726   | 40409   | SH | DEFINED | 0 |
| CADBURY PLC SPONSORED          | COM | 12721E102 | 44     | 1274    | SH | DEFINED | 0 |
| CADENCE DESIGN SYSTEMS IN      | COM | 127387108 | 16     | 2754    | SH | DEFINED | 0 |
| CAL DIVE INTERNATIONAL INC     | COM | 12802T101 | 2456   | 284475  | SH | DEFINED | 0 |
| CAL DIVE INTERNATIONAL INC     | COM | 12802T101 | 137    | 15900   | SH | DEFINED | 0 |
| CAL DIVE INTERNATIONAL INC     | COM | 12802T101 | 1      | 137     | SH | DEFINED | 0 |
| CAL-MAINE FOODS INC            | COM | 128030202 | 2709   | 108520  | SH | DEFINED | 0 |
| CAL-MAINE FOODS INC            | COM | 128030202 | 22     | 900     | SH | DEFINED | 0 |
| CAL-MAINE FOODS INC            | COM | 128030202 | 25     | 1000    | SH | DEFINED | 0 |
| CALAMOS CONV OPPORTUNITIES & I | COM | 128117108 | 78     | 7500    | SH | DEFINED | 0 |
| CALAMOS GLOBAL TOTALRETURN FD  | COM | 128118106 | 12     | 1007    | SH | DEFINED | 0 |
| CALAMOS GLOBAL DYNAMIC IN      | COM | 12811L107 | 28     | 4022    | SH | DEFINED | 0 |
| CALAMOS CONV & HIGH INCOME FD  | COM | 12811P108 | 239    | 23412   | SH | DEFINED | 0 |
| CALAMOS ASSET MANAGEMENT-A     | COM | 12811R104 | 196    | 13925   | SH | DEFINED | 0 |
| CALAMOS ASSET MANAGEMENT-A     | COM | 12811R104 | 1      | 45      | SH | DEFINED | 0 |
| CALAMOS STRATEGIC TOTAL RETU   | COM | 128125101 | 1771   | 233697  | SH | DEFINED | 0 |
| CALAMP CORP                    | COM | 128126109 | 0      | 400     | SH | DEFINED | 0 |
| CALAVO GROWERS INC             | COM | 128246105 | 292    | 14743   | SH | DEFINED | 0 |
| CALGON CARBON CORP             | COM | 129603106 | 1620   | 116632  | SH | DEFINED | 0 |
| CALGON CARBON CORP             | COM | 129603106 | 8      | 600     | SH | DEFINED | 0 |
| CALIFORNIA MICRO DEVICES CORP  | COM | 130439102 | 2529   | 1027900 | SH | DEFINED | 0 |
| CALIFORNIA PIZZA KITCHEN INC   | COM | 13054D109 | 1547   | 116370  | SH | DEFINED | 0 |
| CALIFORNIA PIZZA KITCHEN INC   | COM | 13054D109 | 13     | 1014    | SH | DEFINED | 0 |
| CALIFORNIA WATER SERVICE GRP   | COM | 130788102 | 1156   | 31412   | SH | DEFINED | 0 |
| CALIFORNIA WATER SERVICE GRP   | COM | 130788102 | 1      | 25      | SH | DEFINED | 0 |
| CALLAWAY GOLF COMPANY          | COM | 131193104 | 663    | 130860  | SH | DEFINED | 0 |
| CALLAWAY GOLF COMPANY          | COM | 131193104 | 1      | 220     | SH | DEFINED | 0 |
| CALLON PETROLEUM CORP          | COM | 13123X102 | 1      | 282     | SH | DEFINED | 0 |
|                                |     |           |        |         |    |         |   |
| CALPINE CORP                   | COM | 131347304 | 3718   | 333492  | SH | DEFINED | 0 |
| CALPINE CORP                   | COM | 131347304 | 1      | 84      | SH | DEFINED | 0 |
| CB CALPINE CORP - ESCROW       | COM | 13199ACY5 | 0      | 6000000 | SH | DEFINED | 0 |
| CAMBREX CORP                   | COM | 132011107 | 136    | 33095   | SH | DEFINED | 0 |
| CAMDEN NATIONAL CORP           | COM | 133034108 | 310    | 9138    | SH | DEFINED | 0 |
| CAMDEN NATIONAL CORP           | COM | 133034108 | 48     | 1400    | SH | DEFINED | 0 |
| CAMDEN PROPERTY TRUST          | COM | 133131102 | 5227   | 189406  | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| CAMDEN PROPERTY TRUST          | COM | 133131102 | 10    | 350     | SH | DEFINED | 0 |
| CAMECO CORP ISIN #CA13         | COM | 13321L108 | 608   | 23735   | SH | DEFINED | 0 |
| CHINA ZHONGWANG HOLDINGS LTD   | COM | 1333.HK   | 2413  | 226800  | SH | DEFINED | 0 |
| CAMERON INTERNATIONAL CORP     | COM | 13342B105 | 26546 | 938055  | SH | DEFINED | 0 |
| CAMERON INTERNATIONAL CORP     | COM | 13342B105 | 347   | 12257   | SH | DEFINED | 0 |
| CAMERON INTERNATIONAL CORP     | COM | 13342B105 | 11    | 400     | SH | DEFINED | 0 |
| CAMERON INTERNATIO             | COM | 13342BAB1 | 2     | 2000    | SH | DEFINED | 0 |
| CAMPBELL SOUP CO               | COM | 134429109 | 5563  | 189093  | SH | DEFINED | 0 |
| CAMPBELL SOUP CO               | COM | 134429109 | 219   | 7459    | SH | DEFINED | 0 |
| CAMPBELL SOUP CO               | COM | 134429109 | 135   | 4573    | SH | DEFINED | 0 |
| CANADIAN IMPERIAL BKOF COMMERC | COM | 136069101 | 24    | 485     | SH | DEFINED | 0 |
| CANADIAN NATL RY CO ISIN #CA13 | COM | 136375102 | 97    | 2250    | SH | DEFINED | 0 |
| CANADIAN NATURAL RESOURCES     | COM | 136385101 | 33066 | 629964  | SH | DEFINED | 0 |
| CANADIAN NATURAL RESOURCES     | COM | 136385101 | 782   | 14907   | SH | DEFINED | 0 |
| CANADIAN PAC RAILWAYLTD ISIN # | COM | 13645T100 | 62    | 1566    | SH | DEFINED | 0 |
| CANADIAN SOLAR INC             | COM | 136635109 | 24    | 1963    | SH | DEFINED | 0 |
| CANADIAN SOLAR INC             | COM | 136635109 | 14    | 1183    | SH | DEFINED | 0 |
| CANADIAN SUPERIOR ENERGY INC   | COM | 136644101 | 1     | 800     | SH | DEFINED | 0 |
| CANDELA CORP                   | COM | 136907102 | 0     | 100     | SH | DEFINED | 0 |
| CANARGO ENERGY CORP            | COM | 137225108 | 0     | 10000   | SH | DEFINED | 0 |
| CANON INC ADR NEW REPRESENTS   | COM | 138006309 | 115   | 3543    | SH | DEFINED | 0 |
| CANTEL MEDICAL CORP            | COM | 138098108 | 98    | 6017    | SH | DEFINED | 0 |
| CANTEL MEDICAL CORP            | COM | 138098108 | 5     | 300     | SH | DEFINED | 0 |
| CAPELLA EDUCATION CO           | COM | 139594105 | 1631  | 27216   | SH | DEFINED | 0 |
| CAPITAL CORP OF THE WEST COM N | COM | 140065202 | 0     | 3320    | SH | DEFINED | 0 |
| CAPLEASE INC                   | COM | 140288101 | 76    | 27590   | SH | DEFINED | 0 |
| CAPLEASE INC                   | COM | 140288101 | 25    | 9100    | SH | DEFINED | 0 |
| CAPITAL ONE FINANCIAL CORP     | COM | 14040H105 | 23296 | 1064734 | SH | DEFINED | 0 |
| CAPITAL ONE FINANCIAL CORP     | COM | 14040H105 | 733   | 33517   | SH | DEFINED | 0 |
| CAPITAL ONE FINANCIAL CORP     | COM | 14040H105 | 121   | 5514    | SH | DEFINED | 0 |
| CAPITAL TR INC MD CL A NEW     | COM | 14052H506 | 5     | 3450    | SH | DEFINED | 0 |
| CAPITALSOURCE INC              | COM | 14055X102 | 277   | 56898   | SH | DEFINED | 0 |
| CAPITALSOURCE INC              | COM | 14055X102 | 110   | 22601   | SH | DEFINED | 0 |
| CAPITALSOURCE INC              | COM | 14055X102 | 92    | 18880   | SH | DEFINED | 0 |
| CAPITOL BANCORP LTD            | COM | 14056D105 | 5     | 1832    | SH | DEFINED | 0 |
| CAPITOL BANCORP LTD            | COM | 14056D105 | 6     | 2253    | SH | DEFINED | 0 |
| CAPITOL FEDERAL FINANCIAL      | COM | 14057C106 | 4187  | 109234  | SH | DEFINED | 0 |
|                                |     |           |       |         |    |         |   |
| CAPSTONE TURBINE CORP          | COM | 14067D102 | 1     | 1000    | SH | DEFINED | 0 |
| CAPSTEAD MTG CORP PFD SER B    | PRF | 14067E308 | 0     | 1       | SH | DEFINED | 0 |
| CAPSTEAD MORTGAGE CORP         | COM | 14067E506 | 2954  | 232484  | SH | DEFINED | 0 |
| CAPSTEAD MORTGAGE CORP         | COM | 14067E506 | 123   | 9700    | SH | DEFINED | 0 |
| CAPSTEAD MORTGAGE CORP         | COM | 14067E506 | 174   | 13660   | SH | DEFINED | 0 |
| CARBO CERAMICS INC             | COM | 140781105 | 1262  | 36898   | SH | DEFINED | 0 |
| CARBO CERAMICS INC             | COM | 140781105 | 5018  | 118400  | SH | DEFINED | 0 |
| CARAUSTAR INDUSTRIESINC        | COM | 140909102 | 0     | 458     | SH | DEFINED | 0 |
| CARDIAC SCIENCE CORP           | COM | 14141A108 | 0     | 10      | SH | DEFINED | 0 |
| CARDINAL HEALTH INC            | COM | 14149Y108 | 31191 | 1021043 | SH | DEFINED | 0 |
| CARDINAL HEALTH INC            | COM | 14149Y108 | 887   | 29055   | SH | DEFINED | 0 |
| CARDINAL HEALTH INC            | COM | 14149Y108 | 94    | 3084    | SH | DEFINED | 0 |
| CARDIONET INC                  | COM | 14159L103 | 2175  | 133236  | SH | DEFINED | 0 |
| CARDIONET INC                  | COM | 14159L103 | 30    | 1839    | SH | DEFINED | 0 |
| CAREER EDUCATION CORP          | COM | 141665109 | 1203  | 48357   | SH | DEFINED | 0 |
| CARIBOU COFFEE INC COM         | COM | 142042209 | 2     | 300     | SH | DEFINED | 0 |
| CARLISLE COS INC               | COM | 142339100 | 368   | 15310   | SH | DEFINED | 0 |
| CARLISLE COS INC               | COM | 142339100 | 10    | 400     | SH | DEFINED | 0 |
| CARMAX INC                     | COM | 143130102 | 6384  | 434300  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| CARMAX INC                    | COM | 143130102 | 9      | 600     | SH | DEFINED | 0 |
| CARNIVAL CORP                 | COM | 143658300 | 108379 | 4205657 | SH | DEFINED | 0 |
| CARNIVAL CORP                 | COM | 143658300 | 990    | 38415   | SH | DEFINED | 0 |
| CARNIVAL CORP                 | COM | 143658300 | 102    | 3941    | SH | DEFINED | 0 |
| CARPENTER TECHNOLOGY          | COM | 144285103 | 477    | 22948   | SH | DEFINED | 0 |
| CARRIZO OIL & GAS INC         | COM | 144577103 | 221    | 12859   | SH | DEFINED | 0 |
| CARROLS RESTAURANT GROUP INC. | COM | 14574X104 | 43     | 6454    | SH | DEFINED | 0 |
| CARTER'S INC                  | COM | 146229109 | 4771   | 193926  | SH | DEFINED | 0 |
| CARTER'S INC                  | COM | 146229109 | 89     | 3600    | SH | DEFINED | 0 |
| CARTER'S INC                  | COM | 146229109 | 858    | 34877   | SH | DEFINED | 0 |
| CASCADE BANCORP               | COM | 147154108 | 45     | 31865   | SH | DEFINED | 0 |
| CASCADE BANCORP               | COM | 147154108 | 2      | 1122    | SH | DEFINED | 0 |
| CASCADE CORP                  | COM | 147195101 | 257    | 16360   | SH | DEFINED | 0 |
| CASELLA WASTE SYSTEMS INC-A   | COM | 147448104 | 113    | 57150   | SH | DEFINED | 0 |
| CASELLA WASTE SYSTEMS INC-A   | COM | 147448104 | 22     | 11100   | SH | DEFINED | 0 |
| CASEY'S GENERAL STORES INC    | COM | 147528103 | 19732  | 768120  | SH | DEFINED | 0 |
| CASEY'S GENERAL STORES INC    | COM | 147528103 | 107    | 4168    | SH | DEFINED | 0 |
| CASEY'S GENERAL STORES INC    | COM | 147528103 | 13     | 500     | SH | DEFINED | 0 |
| CASH AMERICA INTL INC         | COM | 14754D100 | 4554   | 194662  | SH | DEFINED | 0 |
| CASH AMERICA INTL INC         | COM | 14754D100 | 102    | 4367    | SH | DEFINED | 0 |
| CASS INFORMATION SYSTEMS INC  | COM | 14808P109 | 2256   | 68894   | SH | DEFINED | 0 |
| CASTLE (A.M.) & CO            | COM | 148411101 | 1454   | 120396  | SH | DEFINED | 0 |
| CASTLE (A.M.) & CO            | COM | 148411101 | 1      | 123     | SH | DEFINED | 0 |
| CATALYST HEALTH SOLUTIONS IN  | COM | 14888B103 | 1967   | 78891   | SH | DEFINED | 0 |
| CATALYST HEALTH SOLUTIONS IN  | COM | 14888B103 | 2      | 100     | SH | DEFINED | 0 |

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| CATERPILLAR INC                | COM | 149123101 | 126492 | 3828479 | SH | DEFINED | 0 |
| CATERPILLAR INC                | COM | 149123101 | 1684   | 50983   | SH | DEFINED | 0 |
| CATERPILLAR INC                | COM | 149123101 | 135    | 4100    | SH | DEFINED | 0 |
| CATERPILLAR INC                | COM | 149123101 | 2318   | 70158   | SH | DEFINED | 0 |
| CATERPILLAR INC                | COM | 149123101 | 4973   | 150528  | SH | DEFINED | 0 |
| CATHAY GENERAL BANCORP         | COM | 149150104 | 1018   | 107000  | SH | DEFINED | 0 |
| CATHAY GENERAL BANCORP         | COM | 149150104 | 20     | 2100    | SH | DEFINED | 0 |
| CATO CORP-CL A                 | COM | 149205106 | 3745   | 214807  | SH | DEFINED | 0 |
| CATO CORP-CL A                 | COM | 149205106 | 82     | 4700    | SH | DEFINED | 0 |
| CAVIUM NETWORKS INC            | COM | 14965A101 | 415    | 24700   | SH | DEFINED | 0 |
| CAVIUM NETWORKS INC            | COM | 14965A101 | 140    | 8307    | SH | DEFINED | 0 |
| CBeyond INC                    | COM | 149847105 | 493    | 34363   | SH | DEFINED | 0 |
| CEDAR FAIR L P DEP UNIT        | COM | 150185106 | 127    | 11654   | SH | DEFINED | 0 |
| CEDAR SHOPPING CENTERS INC     | COM | 150602209 | 228    | 50470   | SH | DEFINED | 0 |
| CELANESE CORP-SERIES A         | COM | 150870103 | 7250   | 305259  | SH | DEFINED | 0 |
| CELANESE CORP-SERIES A         | COM | 150870103 | 265    | 12900   | SH | DEFINED | 0 |
| CELANESE CORP-SERIES A         | COM | 150870103 | 8      | 319     | SH | DEFINED | 0 |
| CELL GENESYS INC COM           | COM | 150921104 | 1      | 2100    | SH | DEFINED | 0 |
| CELL THERAPEUTICS INC COM NO   | COM | 150934503 | 15     | 8554    | SH | DEFINED | 0 |
| CELERA CORP                    | COM | 15100E106 | 5595   | 733271  | SH | DEFINED | 0 |
| CELERA CORP                    | COM | 15100E106 | 136    | 17844   | SH | DEFINED | 0 |
| CELERA CORP                    | COM | 15100E106 | 6      | 850     | SH | DEFINED | 0 |
| CELERA CORP COM                | COM | 15100E106 | 125    | 16350   | SH | DEFINED | 0 |
| CELESTICA INC                  | COM | 15101Q108 | 47623  | 6983018 | SH | DEFINED | 0 |
| CELESTICA INC                  | COM | 15101Q108 | 622    | 91515   | SH | DEFINED | 0 |
| CELESTICA INC                  | COM | 15101Q108 | 1      | 100     | SH | DEFINED | 0 |
| CELGENE CORP                   | COM | 151020104 | 17953  | 375277  | SH | DEFINED | 0 |
| CELGENE CORP                   | COM | 151020104 | 1291   | 26986   | SH | DEFINED | 0 |
| CELGENE CORP                   | COM | 151020104 | 201    | 4200    | SH | DEFINED | 0 |
| CELGENE CORP                   | COM | 151020104 | 460    | 9607    | SH | DEFINED | 0 |
| CELLDEX THERAPEUTICSINC NEW CO | COM | 15117B103 | 1      | 174     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| CEMEX SAB-SPONS ADR PART CER   | COM | 151290889 | 68406 | 7323943 | SH | DEFINED | 0 |
| CEMEX SAB-SPONS ADR PART CER   | COM | 151290889 | 0     | 0       | SH | DEFINED | 0 |
| CEMEX SAB-SPONS ADR PART CER   | COM | 151290889 | 10426 | 1116230 | SH | DEFINED | 0 |
| CEMEX SAB-SPONS ADR PART CER   | COM | 151290889 | 250   | 26782   | SH | DEFINED | 0 |
| CENTENNIAL COMMUN CORP CL A    | COM | 15133V208 | 106   | 12676   | SH | DEFINED | 0 |
| CENTENE CORP                   | COM | 15135B101 | 5335  | 266950  | SH | DEFINED | 0 |
| CENTENE CORP                   | COM | 15135B101 | 114   | 5718    | SH | DEFINED | 0 |
| CENTERENERGYHOLDING JSC        | COM | 151366994 | 0     | 10461   | SH | DEFINED | 0 |
| CENTERPOINT ENERGY INC         | COM | 15189T107 | 88    | 7959    | SH | DEFINED | 0 |
| CENTERPOINT ENERGY INC         | COM | 15189T107 | 164   | 14789   | SH | DEFINED | 0 |
| CENTERPOINT ENERGY INC         | COM | 15189T107 | 73    | 6571    | SH | DEFINED | 0 |
| CENTEX CORP                    | COM | 152312104 | 18627 | 2201634 | SH | DEFINED | 0 |
| CENTEX CORP                    | COM | 152312104 | 189   | 22430   | SH | DEFINED | 0 |
| CENTEX CORP                    | COM | 152312104 | 15    | 1810    | SH | DEFINED | 0 |
| CENTRAIS ELEC BRAS-ADR PREF    | COM | 15234Q108 | 3779  | 289330  | SH | DEFINED | 0 |
| CENTRAIS ELEC BRAS-ADR PREF    | COM | 15234Q108 | 1     | 70      | SH | DEFINED | 0 |
| CENTRAIS ELETRICAS BRASILEIRA  | COM | 15234Q207 | 2     | 156     | SH | DEFINED | 0 |
| CENTRAL EURO DISTRIBUTION CP   | COM | 153435102 | 10408 | 391700  | SH | DEFINED | 0 |
| CENTRAL EURO DISTRIBUTION CP   | COM | 153435102 | 140   | 3225    | SH | DEFINED | 0 |
| CENTRAL EURO DISTRIBUTION CP   | COM | 153435102 | 96    | 3631    | SH | DEFINED | 0 |
| CENTRAL EURO DISTRIBUTION CP   | COM | 153435102 | 2126  | 80000   | SH | DEFINED | 0 |
| CENTRAL EUROPEAN DISTR CORP CO | COM | 153435102 | 1061  | 39944   | SH | DEFINED | 0 |
| CENTRAL EUROPE AND RUSSIA      | COM | 153436100 | 11    | 484     | SH | DEFINED | 0 |
| CENTRAL FED CORP               | COM | 15346Q103 | 41    | 13881   | SH | DEFINED | 0 |
| CENTRAL FD CDA CL A ISIN #CA15 | COM | 153501101 | 4197  | 357453  | SH | DEFINED | 0 |
| CENTRAL GARDEN & PETCO         | COM | 153527106 | 11    | 1000    | SH | DEFINED | 0 |
| CENTRAL GARDEN AND PET CO-A    | COM | 153527205 | 1439  | 146074  | SH | DEFINED | 0 |
| CENTRAL PACIFIC FINANCIAL CO   | COM | 154760102 | 715   | 190573  | SH | DEFINED | 0 |
| CENTRAL PACIFIC FINANCIAL CO   | COM | 154760102 | 26    | 7000    | SH | DEFINED | 0 |
| CENTRAL VERMONT PUBLIC SERV    | COM | 155771108 | 385   | 21282   | SH | DEFINED | 0 |
| CENTURY ALUMINUM COMPANY       | COM | 156431108 | 547   | 87797   | SH | DEFINED | 0 |
| CENTURYTEL INC                 | COM | 156700106 | 28362 | 923798  | SH | DEFINED | 0 |
| CENTURYTEL INC                 | COM | 156700106 | 339   | 11038   | SH | DEFINED | 0 |
| CENTURYTEL INC                 | COM | 156700106 | 894   | 29145   | SH | DEFINED | 0 |
| CENTURYTEL INC                 | COM | 156700106 | 5     | 175     | SH | DEFINED | 0 |
| CEPHALON INC                   | COM | 156708109 | 25357 | 447613  | SH | DEFINED | 0 |
| CEPHALON INC                   | COM | 156708109 | 547   | 9667    | SH | DEFINED | 0 |
| CEPHALON INC                   | COM | 156708109 | 18    | 326     | SH | DEFINED | 0 |
| CEPHEID                        | COM | 15670R107 | 17    | 1800    | SH | DEFINED | 0 |
| CENVEO INC                     | COM | 15670S105 | 8     | 2000    | SH | DEFINED | 0 |
| CERADYNE INC                   | COM | 156710105 | 3575  | 202444  | SH | DEFINED | 0 |
| CERADYNE INC                   | COM | 156710105 | 35    | 2000    | SH | DEFINED | 0 |
| CERNER CORP                    | COM | 156782104 | 18100 | 290582  | SH | DEFINED | 0 |
| CERNER CORP                    | COM | 156782104 | 105   | 1680    | SH | DEFINED | 0 |
| CEVA INC                       | COM | 157210105 | 102   | 11739   | SH | DEFINED | 0 |
| CEVA INC                       | COM | 157210105 | 1     | 66      | SH | DEFINED | 0 |
| CHAMPION ENTERPRISESINC        | COM | 158496109 | 0     | 125     | SH | DEFINED | 0 |
| CHARLES RIVER LABORATORIES     | COM | 159864107 | 3018  | 89400   | SH | DEFINED | 0 |
| CHARLES RIVER LABORATORIES     | COM | 159864107 | 615   | 18220   | SH | DEFINED | 0 |
| CHARLOTTE RUSSE HOLDING INC    | COM | 161048103 | 1337  | 103774  | SH | DEFINED | 0 |
| CHARMING SHOPPES               | COM | 161133103 | 146   | 39390   | SH | DEFINED | 0 |
| CHARMING SHOPPES               | COM | 161133103 | 46    | 12500   | SH | DEFINED | 0 |
| CHARMING SHOPPES               | COM | 161133103 | 0     | 100     | SH | DEFINED | 0 |
| CHART INDUSTRIES INC           | COM | 16115Q308 | 1087  | 59784   | SH | DEFINED | 0 |
| CHART INDUSTRIES INC           | COM | 16115Q308 | 330   | 18158   | SH | DEFINED | 0 |
| CHARTER COMM INC DELCL A       | COM | 16117M107 | 0     | 3280    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| CHARTER FINANCIAL CORP         | COM | 16122M100 | 11     | 892     | SH | DEFINED | 0 |
| CHARTWELL DIVID & INCOME FD    | COM | 16139P104 | 6      | 1950    | SH | DEFINED | 0 |
| CHASE CORP                     | COM | 16150R104 | 332    | 27870   | SH | DEFINED | 0 |
| CHATTEM INC                    | COM | 162456107 | 3605   | 52938   | SH | DEFINED | 0 |
| CHATTEM INC                    | COM | 162456107 | 3      | 44      | SH | DEFINED | 0 |
| CHECKPOINT SYSTEMS INC         | COM | 162825103 | 958    | 61060   | SH | DEFINED | 0 |
| CHECKPOINT SYSTEMS INC         | COM | 162825103 | 66     | 4200    | SH | DEFINED | 0 |
| CHEESECAKE FACTORY/THE         | COM | 163072101 | 1302   | 75270   | SH | DEFINED | 0 |
| CHEESECAKE FACTORY/THE         | COM | 163072101 | 203    | 11707   | SH | DEFINED | 0 |
| CHELSEA THERAPEUTICSINTL LTD C | COM | 163428105 | 13     | 3000    | SH | DEFINED | 0 |
| CHEMED CORP                    | COM | 16359R103 | 4351   | 110222  | SH | DEFINED | 0 |
| CHEMTURA CORP COM              | COM | 163893100 | 0      | 1640    | SH | DEFINED | 0 |
| CHENIERE ENERGY INC NEW        | COM | 16411R208 | 15     | 4968    | SH | DEFINED | 0 |
| CHEROKEE INC                   | COM | 16444H102 | 81     | 4100    | SH | DEFINED | 0 |
| CHESAPEAKE ENERGY CORP         | COM | 165167107 | 9363   | 472162  | SH | DEFINED | 0 |
| CHESAPEAKE ENERGY CORP         | COM | 165167107 | 824    | 41566   | SH | DEFINED | 0 |
| CHESAPEAKE ENERGY CORP         | COM | 165167107 | 1083   | 54639   | SH | DEFINED | 0 |
| CHESAPEAKE ENERGY CORP 2.750   | COM | 165167BW6 | 2      | 2000    | SH | DEFINED | 0 |
| CHESAPEAKE UTILITIES CORP      | COM | 165303108 | 279    | 8580    | SH | DEFINED | 0 |
| CHESAPEAKE UTILITIES CORP      | COM | 165303108 | 91     | 2800    | SH | DEFINED | 0 |
| CHESAPEAKE UTILITIES CORP      | COM | 165303108 | 20     | 603     | SH | DEFINED | 0 |
| CHEVRON CORP                   | COM | 166764100 | 658133 | 9934058 | SH | DEFINED | 0 |
| CHEVRON CORP                   | COM | 166764100 | 9811   | 148082  | SH | DEFINED | 0 |
| CHEVRON CORP                   | COM | 166764100 | 30773  | 464785  | SH | DEFINED | 0 |
| CHEVRON CORP                   | COM | 166764100 | 7321   | 110509  | SH | DEFINED | 0 |
| CHEVRONTEXACO CORP             | COM | 166764100 | 8107   | 122376  | SH | DEFINED | 0 |
| CHICAGO BRIDGE & IRON-NY SHR   | COM | 167250109 | 20109  | 1621728 | SH | DEFINED | 0 |
| CHICAGO BRIDGE & IRON-NY SHR   | COM | 167250109 | 106    | 8584    | SH | DEFINED | 0 |
| CHICAGO BRIDGE & IRON-NY SHR   | COM | 167250109 | 184    | 14900   | SH | DEFINED | 0 |
| CHICAGO BRIDGE & IRON-NY SHR   | COM | 167250109 | 118    | 9531    | SH | DEFINED | 0 |
| CHICOPEE BANCORP INC COM       | COM | 168565109 | 3      | 200     | SH | DEFINED | 0 |
| CHICO'S FAS INC                | COM | 168615102 | 6084   | 625323  | SH | DEFINED | 0 |
| CHICO'S FAS INC                | COM | 168615102 | 119    | 12200   | SH | DEFINED | 0 |
| CHICO'S FAS INC                | COM | 168615102 | 66     | 6772    | SH | DEFINED | 0 |
| CHILE FD INC                   | COM | 168834109 | 32     | 2065    | SH | DEFINED | 0 |
| CHILDREN'S PLACE               | COM | 168905107 | 3927   | 148678  | SH | DEFINED | 0 |
| CHILDREN'S PLACE               | COM | 168905107 | 48     | 1800    | SH | DEFINED | 0 |
| CHIMERA INVT CORP COM          | COM | 16934Q109 | 10     | 3000    | SH | DEFINED | 0 |
| CHINA FD INC                   | COM | 169373107 | 4      | 202     | SH | DEFINED | 0 |
| CHINA FIN ONLINE CO LTD SPONSO | COM | 169379104 | 8      | 800     | SH | DEFINED | 0 |
| CHINA BIOTICS INC COM          | COM | 16937B109 | 51     | 4750    | SH | DEFINED | 0 |
| CHINA ARCHITECTURAL ENGINEERIN | COM | 16937S102 | 0      | 100     | SH | DEFINED | 0 |
| CHINA DIGITAL TV HLDG CO LT    | COM | 16938G107 | 17     | 2000    | SH | DEFINED | 0 |
| CHINA GRENTech CORP LTD ADR    | COM | 16938P107 | 2      | 600     | SH | DEFINED | 0 |
| CHINA FIRE & SEC GROUP INC     | COM | 16938R103 | 5      | 400     | SH | DEFINED | 0 |
| CHINA LIFE INS CO LTD SPON A   | COM | 16939P106 | 37     | 671     | SH | DEFINED | 0 |
| CHINA MOBILE (HK) SPON ADR REP | COM | 16941M109 | 2128   | 42500   | SH | DEFINED | 0 |
| CHINA MOBILE (HK) SPON ADR REP | COM | 16941M109 | 2929   | 58495   | SH | DEFINED | 0 |
| CHINA MOBILE (HK) SPON ADR REP | COM | 16941M109 | 607    | 12123   | SH | DEFINED | 0 |
| CHINA PETROLEUM & CHEM CORP    | COM | 16941R108 | 137    | 1804    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| CHINA NEPSTAR CHAIN DRUG SPON  | COM | 16943C109 | 319    | 55900    | SH | DEFINED | 0 |
| CHINA UNICOM LTD SPONS ADR     | COM | 16945R104 | 120    | 8960     | SH | DEFINED | 0 |
| CHINDEX INTERNATIONAL INC      | COM | 169467107 | 2343   | 189450   | SH | DEFINED | 0 |
| CHINA MED TECHNOLOGI           | COM | 169483104 | 146    | 7333     | SH | DEFINED | 0 |
| CHIPOTLE MEXICAN GRILL-CL A    | COM | 169656105 | 24     | 300      | SH | DEFINED | 0 |
| CHIPOTLE MEXICAN GRILL-CL A    | COM | 169656105 | 18     | 223      | SH | DEFINED | 0 |
| CHIPOTLE MEXICAN GRI-CLASS B   | COM | 169656204 | 527    | 7557     | SH | DEFINED | 0 |
| CHIPOTLE MEXICAN GRI-CLASS B   | COM | 169656204 | 12     | 167      | SH | DEFINED | 0 |
| CHOICE HOTELS INTL INC         | COM | 169905106 | 141    | 5287     | SH | DEFINED | 0 |
| CHOICE HOTELS INTL INC         | COM | 169905106 | 1027   | 38606    | SH | DEFINED | 0 |
| CHIQUITA BRANDS INTL           | COM | 170032809 | 1216   | 118560   | SH | DEFINED | 0 |
| CHORDIANT SOFTWARE NEW COM STK | COM | 170404305 | 564    | 155363   | SH | DEFINED | 0 |
| CHRISTOPHER & BANKS CORP       | COM | 171046105 | 534    | 79583    | SH | DEFINED | 0 |
| CHRISTOPHER & BANKS CORP       | COM | 171046105 | 1      | 109      | SH | DEFINED | 0 |
| CHUBB CORP                     | COM | 171232101 | 24588  | 616552   | SH | DEFINED | 0 |
| CHUBB CORP                     | COM | 171232101 | 913    | 22884    | SH | DEFINED | 0 |
| CHUBB CORP                     | COM | 171232101 | 420    | 10531    | SH | DEFINED | 0 |
| CHUNGHWA TELECOM LTD-ADR       | COM | 17133Q403 | 812    | 40934    | SH | DEFINED | 0 |
| CHUNGHWA TELECOM LTD-ADR       | COM | 17133Q403 | 1520   | 80022    | SH | DEFINED | 0 |
| CHUNGHWA TELECOM LTD-ADR       | COM | 17133Q403 | 167    | 8429     | SH | DEFINED | 0 |
| CHURCH & DWIGHT CO INC         | COM | 171340102 | 516    | 9499     | SH | DEFINED | 0 |
| CHURCH & DWIGHT CO INC         | COM | 171340102 | 575    | 10750    | SH | DEFINED | 0 |
| CHURCH & DWIGHT CO INC         | COM | 171340102 | 73     | 1349     | SH | DEFINED | 0 |
| CHURCHILL DOWNS INC            | COM | 171484108 | 8      | 242      | SH | DEFINED | 0 |
| CHYRON CORP COM PAR \$.01      | COM | 171605306 | 33     | 26045    | SH | DEFINED | 0 |
| CIBER INC                      | COM | 17163B102 | 1033   | 333159   | SH | DEFINED | 0 |
| CIBER INC                      | COM | 17163B102 | 82     | 26400    | SH | DEFINED | 0 |
| CIBER INC                      | COM | 17163B102 | 1      | 200      | SH | DEFINED | 0 |
| CIENA CORP                     | COM | 171779309 | 20364  | 1967561  | SH | DEFINED | 0 |
| CIENA CORP                     | COM | 171779309 | 304    | 29340    | SH | DEFINED | 0 |
| CIENA CORP                     | COM | 171779309 | 39     | 3812     | SH | DEFINED | 0 |
| CIMAREX ENERGY CO              | COM | 171798101 | 1269   | 44743    | SH | DEFINED | 0 |
| CIMAREX ENERGY CO              | COM | 171798101 | 2004   | 70895    | SH | DEFINED | 0 |
| CIMAREX ENERGY CO              | COM | 171798101 | 45     | 1594     | SH | DEFINED | 0 |
| CINCINNATI BELL INC            | COM | 171871106 | 169    | 59658    | SH | DEFINED | 0 |
| CINCINNATI BELL INC            | COM | 171871106 | 52     | 18400    | SH | DEFINED | 0 |
| CINCINNATI BELL INC            | COM | 171871106 | 83     | 29143    | SH | DEFINED | 0 |
| CINCINNATI FINANCIAL CORP      | COM | 172062101 | 4136   | 185060   | SH | DEFINED | 0 |
| CINCINNATI FINANCIAL CORP      | COM | 172062101 | 442    | 19783    | SH | DEFINED | 0 |
| CINCINNATI FINANCIAL CORP      | COM | 172062101 | 152    | 6784     | SH | DEFINED | 0 |
| CINEMARK HOLDINGS INC          | COM | 17243V102 | 2964   | 261866   | SH | DEFINED | 0 |
| CIRCOR INTERNATIONAL INC       | COM | 17273K109 | 1050   | 44459    | SH | DEFINED | 0 |
| CIRCOR INTERNATIONAL INC       | COM | 17273K109 | 2      | 69       | SH | DEFINED | 0 |
| CIRRUS LOGIC INC               | COM | 172755100 | 191    | 42343    | SH | DEFINED | 0 |
| CIRRUS LOGIC INC               | COM | 172755100 | 1      | 220      | SH | DEFINED | 0 |
| CISCO SYSTEMS INC              | COM | 17275R102 | 243325 | 13053342 | SH | DEFINED | 0 |
| CISCO SYSTEMS INC              | COM | 17275R102 | 4356   | 233696   | SH | DEFINED | 0 |
| CISCO SYSTEMS INC              | COM | 17275R102 | 7200   | 386299   | SH | DEFINED | 0 |
| CISCO SYSTEMS INC              | COM | 17275R102 | 76583  | 4003773  | SH | DEFINED | 0 |
| CISCO SYSTEMS INC              | COM | 17275R102 | 4404   | 236127   | SH | DEFINED | 0 |
| CITADEL BROADCASTINGCORP       | COM | 17285T106 | 11     | 268560   | SH | DEFINED | 0 |
| CINTAS CORP                    | COM | 172908105 | 201    | 8769     | SH | DEFINED | 0 |
| CINTAS CORP                    | COM | 172908105 | 301    | 13160    | SH | DEFINED | 0 |
| CINTAS CORP                    | COM | 172908105 | 77     | 3377     | SH | DEFINED | 0 |
| CITIGROUP INC                  | COM | 172967101 | 63960  | 21534617 | SH | DEFINED | 0 |
| CITIGROUP INC                  | COM | 172967101 | 1256   | 422828   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| CITIGROUP INC                 | COM | 172967101 | 864    | 290772  | SH | DEFINED | 0 |
| CITI TRENDS INC               | COM | 17306X102 | 341    | 13169   | SH | DEFINED | 0 |
| CITIZENS REPUBLIC BANCORP IN  | COM | 174420109 | 35     | 49800   | SH | DEFINED | 0 |
| CITIZENS REPUBLIC BANCORP IN  | COM | 174420109 | 11     | 15900   | SH | DEFINED | 0 |
| CITIZENS REPUBLIC BANCORP IN  | COM | 174420109 | 4      | 5503    | SH | DEFINED | 0 |
| CITIZENS INC CL A             | COM | 174740100 | 0      | 11      | SH | DEFINED | 0 |
| CITIZENS SOUTH BKG CORP DEL N | COM | 176682102 | 8      | 1507    | SH | DEFINED | 0 |
| CITRIX SYSTEMS INC            | COM | 177376100 | 11381  | 356898  | SH | DEFINED | 0 |
| CITRIX SYSTEMS INC            | COM | 177376100 | 467    | 14652   | SH | DEFINED | 0 |
| CITRIX SYSTEMS INC            | COM | 177376100 | 119    | 3739    | SH | DEFINED | 0 |
| CITRIX SYSTEMS INC            | COM | 177376100 | 82     | 2582    | SH | DEFINED | 0 |
| CITY BANK LYNNWOOD WA         | COM | 17770A109 | 20     | 8670    | SH | DEFINED | 0 |
| CITY NATIONAL CORP            | COM | 178566105 | 209    | 5683    | SH | DEFINED | 0 |
| CLARCOR INC                   | COM | 179895107 | 2427   | 83154   | SH | DEFINED | 0 |
| CLARCOR INC                   | COM | 179895107 | 4      | 130     | SH | DEFINED | 0 |
| CLARIENT INC COM              | COM | 180489106 | 0      | 125     | SH | DEFINED | 0 |
| CLARK HLDGS INC COM           | COM | 18145M109 | 1      | 1000    | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383M100 | 446    | 13877   | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383M308 | 7      | 522     | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383M407 | 9      | 504     | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383M506 | 59     | 4088    | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383M621 | 13     | 1320    | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383M811 | 15     | 1000    | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383Q507 | 39     | 2483    | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383Q606 | 12     | 917     | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383Q853 | 10     | 450     | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383Q861 | 14     | 800     | SH | DEFINED | 0 |
| CLAYMORE EXCHANGE TRADED FD   | COM | 18383Q879 | 661    | 49438   | SH | DEFINED | 0 |
| CLAYMORE/GUGGENHEIM STRATEGIC | COM | 18385X104 | 9      | 672     | SH | DEFINED | 0 |
| CLEAN HARBORS INC             | COM | 184496107 | 1112   | 20609   | SH | DEFINED | 0 |
| CLEAN ENERGY FUELS CORP       | COM | 184499101 | 2156   | 250420  | SH | DEFINED | 0 |
| CLEAN ENERGY FUELS CORP       | COM | 184499101 | 30     | 3478    | SH | DEFINED | 0 |
| CLEAN ENERGY FUELS CORP       | COM | 184499101 | 14     | 1600    | SH | DEFINED | 0 |
| CLEARONE COMMUNICAT           | COM | 185060100 | 3      | 1000    | SH | DEFINED | 0 |
| CLEARWIRE CORP NEW CL A       | COM | 18538Q105 | 1      | 250     | SH | DEFINED | 0 |
| CLEARWATER PAPER CORP         | COM | 18538R103 | 719    | 28439   | SH | DEFINED | 0 |
| CLEARWATER PAPER CORP         | COM | 18538R103 | 7      | 285     | SH | DEFINED | 0 |
| CLIFFS NATURAL RESOURCES INC  | COM | 18683K101 | 8817   | 360325  | SH | DEFINED | 0 |
| CLIFFS NATURAL RESOURCES INC  | COM | 18683K101 | 2228   | 91042   | SH | DEFINED | 0 |
| CLIFFS NATURAL RESOURCES INC  | COM | 18683K101 | 97     | 3947    | SH | DEFINED | 0 |
| CLIFFS NATURAL RESOURCES INC  | COM | 18683K101 | 16470  | 675282  | SH | DEFINED | 0 |
| CLIFFS NATURAL RESOURCES INC  | COM | 18683K101 | 446    | 18218   | SH | DEFINED | 0 |
| CLOROX COMPANY                | COM | 189054109 | 127272 | 2279639 | SH | DEFINED | 0 |
| CLOROX COMPANY                | COM | 189054109 | 735    | 13176   | SH | DEFINED | 0 |
| CLOROX COMPANY                | COM | 189054109 | 509    | 9114    | SH | DEFINED | 0 |
| CLOUGH GLOBAL OPPORTUNIT      | COM | 18914E106 | 3      | 250     | SH | DEFINED | 0 |
| COACH INC                     | COM | 189754104 | 15804  | 588023  | SH | DEFINED | 0 |
| COACH INC                     | COM | 189754104 | 370    | 13765   | SH | DEFINED | 0 |
| COACH INC                     | COM | 189754104 | 434    | 16151   | SH | DEFINED | 0 |
| COCA-COLA BOTTLING CO CONSOL  | COM | 191098102 | 3780   | 68562   | SH | DEFINED | 0 |
| COCA-COLA CO/THE              | COM | 191216100 | 116710 | 2431980 | SH | DEFINED | 0 |
| COCA-COLA CO/THE              | COM | 191216100 | 4852   | 101108  | SH | DEFINED | 0 |
| COCA-COLA CO/THE              | COM | 191216100 | 6328   | 131870  | SH | DEFINED | 0 |
| COCA-COLA CO/THE              | COM | 191216100 | 36244  | 755708  | SH | DEFINED | 0 |
| COCA-COLA CO/THE              | COM | 191216100 | 5709   | 118963  | SH | DEFINED | 0 |
| COCA-COLA ENTERPRISES         | COM | 191219104 | 7170   | 430682  | SH | DEFINED | 0 |

## Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| COCA-COLA ENTERPRISES          | COM | 191219104 | 452   | 27144   | SH | DEFINED | 0 |
| COCA-COLA ENTERPRISES          | COM | 191219104 | 38    | 2267    | SH | DEFINED | 0 |
| COCA-COLA FEMSA SAB-SP ADR     | COM | 191241108 | 76    | 1900    | SH | DEFINED | 0 |
| COCA-COLA FEMSA SAB-SP ADR     | COM | 191241108 | 9357  | 233971  | SH | DEFINED | 0 |
| COEUR D'ALENE MINES CORP       | COM | 192108504 | 680   | 55268   | SH | DEFINED | 0 |
| COEUR D'ALENE MINES CORP       | COM | 192108504 | 54    | 4350    | SH | DEFINED | 0 |
| COGDELL SPENCER INC            | COM | 19238U107 | 340   | 79300   | SH | DEFINED | 0 |
| COGENT COMMUNICATIONS GROUP    | COM | 19239V302 | 65    | 8000    | SH | DEFINED | 0 |
| COGENT INC                     | COM | 19239Y108 | 2     | 200     | SH | DEFINED | 0 |
| COGNEX CORP                    | COM | 192422103 | 3674  | 260044  | SH | DEFINED | 0 |
| COGNEX CORP                    | COM | 192422103 | 52    | 3715    | SH | DEFINED | 0 |
| COGNIZANT TECH SOLUTIONS-A     | COM | 192446102 | 2996  | 112217  | SH | DEFINED | 0 |
| COGNIZANT TECH SOLUTIONS-A     | COM | 192446102 | 349   | 13055   | SH | DEFINED | 0 |
| COGNIZANT TECH SOLUTIONS-A     | COM | 192446102 | 79    | 2806    | SH | DEFINED | 0 |
| COGNIZANT TECH SOLUTIONS-A     | COM | 192446102 | 386   | 14444   | SH | DEFINED | 0 |
|                                |     |           |       |         |    |         |   |
| COGO GROUP INC COM             | COM | 192448108 | 1     | 200     | SH | DEFINED | 0 |
| COHERENT INC                   | COM | 192479103 | 2718  | 131447  | SH | DEFINED | 0 |
| COHERENT INC                   | COM | 192479103 | 21    | 1000    | SH | DEFINED | 0 |
| COHEN & STEERS INC             | COM | 19247A100 | 9     | 600     | SH | DEFINED | 0 |
| COHEN & STEERS QUALITY IN      | COM | 19247L106 | 28    | 6845    | SH | DEFINED | 0 |
| COHEN & STEERS TOTALRETURN RLT | COM | 19247R103 | 76    | 10515   | SH | DEFINED | 0 |
| COHEN & STEERS ADV INC REAL    | COM | 19247W102 | 52    | 14279   | SH | DEFINED | 0 |
| COHEN & STEERS ADV INC REAL    | COM | 19247W102 | 15    | 4065    | SH | DEFINED | 0 |
| COHEN & STEERS SELECT UTI      | COM | 19248A109 | 59    | 4832    | SH | DEFINED | 0 |
| COHEN & STEERS DIVID MAJORS FD | COM | 19248G106 | 6     | 700     | SH | DEFINED | 0 |
| COHEN & STEERS WORLDWIDE       | COM | 19248J100 | 107   | 25115   | SH | DEFINED | 0 |
| COHEN & STEERS CLOSED-END      | COM | 19248P106 | 2     | 250     | SH | DEFINED | 0 |
| COHU INC                       | COM | 192576106 | 1853  | 206364  | SH | DEFINED | 0 |
| COHU INC                       | COM | 192576106 | 1     | 110     | SH | DEFINED | 0 |
| COINSTAR INC                   | COM | 19259P300 | 46915 | 1757139 | SH | DEFINED | 0 |
| COINSTAR INC                   | COM | 19259P300 | 5     | 200     | SH | DEFINED | 0 |
| COLDWATER CREEK INC            | COM | 193068103 | 39    | 6488    | SH | DEFINED | 0 |
| COLDWATER CREEK INC            | COM | 193068103 | 27    | 4505    | SH | DEFINED | 0 |
| COLGATE-PALMOLIVE CO           | COM | 194162103 | 51591 | 729341  | SH | DEFINED | 0 |
| COLGATE-PALMOLIVE CO           | COM | 194162103 | 2198  | 31069   | SH | DEFINED | 0 |
| COLGATE-PALMOLIVE CO           | COM | 194162103 | 26801 | 382194  | SH | DEFINED | 0 |
| COLGATE-PALMOLIVE CO           | COM | 194162103 | 11433 | 161616  | SH | DEFINED | 0 |
| COLLECTIVE BRANDS INC          | COM | 19421W100 | 2372  | 162862  | SH | DEFINED | 0 |
| COLONIAL BANCGROUP             | COM | 195493309 | 3     | 4132    | SH | DEFINED | 0 |
| COLONIAL PROPERTIES TRUST      | COM | 195872106 | 395   | 53352   | SH | DEFINED | 0 |
| COLONIAL PROPERTIES TRUST      | COM | 195872106 | 1     | 125     | SH | DEFINED | 0 |
| COLUMBIA BANCORP OR            | COM | 197231103 | 0     | 121     | SH | DEFINED | 0 |
| COLUMBIA BANKING SYSTEM INC    | COM | 197236102 | 264   | 25836   | SH | DEFINED | 0 |
| COLUMBIA LABORATORI            | COM | 197779101 | 2     | 2000    | SH | DEFINED | 0 |
| COLUMBIA SPORTSWEAR CO         | COM | 198516106 | 1110  | 35900   | SH | DEFINED | 0 |
| COLUMBIA SPORTSWEAR CO         | COM | 198516106 | 6     | 200     | SH | DEFINED | 0 |
| COLUMBUS MCKINNON CORP         | COM | 199333105 | 1     | 104     | SH | DEFINED | 0 |
| COMFORT SYSTEMS USA INC        | COM | 199908104 | 6123  | 597429  | SH | DEFINED | 0 |
| COMFORT SYSTEMS USA INC        | COM | 199908104 | 79    | 7680    | SH | DEFINED | 0 |
| COMFORT SYSTEMS USA INC        | COM | 199908104 | 3     | 250     | SH | DEFINED | 0 |
| COMCAST CORP-CL A              | COM | 20030N101 | 27106 | 1870703 | SH | DEFINED | 0 |
| COMCAST CORP-CL A              | COM | 20030N101 | 3048  | 210367  | SH | DEFINED | 0 |
| COMCAST CORP-CL A              | COM | 20030N101 | 2572  | 177510  | SH | DEFINED | 0 |
| COMCAST CORP-CL A              | COM | 20030N101 | 26821 | 1852149 | SH | DEFINED | 0 |
| COMCAST CORP-CL A              | COM | 20030N101 | 881   | 60932   | SH | DEFINED | 0 |
| COMCAST CORP-SPECIAL CL A      | COM | 20030N200 | 27121 | 1923407 | SH | DEFINED | 0 |

## Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| COMCAST CORP-SPECIAL CL A      | COM | 20030N200 | 115   | 8122    | SH | DEFINED | 0 |
| COMERICA INC                   | COM | 200340107 | 6878  | 325084  | SH | DEFINED | 0 |
| COMERICA INC                   | COM | 200340107 | 320   | 15149   | SH | DEFINED | 0 |
| COMERICA INC                   | COM | 200340107 | 75    | 3536    | SH | DEFINED | 0 |
| COMMERCE BANCSHARES INC        | COM | 200525103 | 204   | 6395    | SH | DEFINED | 0 |
| COMMERCE BANCSHARES INC        | COM | 200525103 | 48    | 1498    | SH | DEFINED | 0 |
| COMMERCIAL METALS CO           | COM | 201723103 | 1469  | 91566   | SH | DEFINED | 0 |
| COMMERCIAL METALS CO           | COM | 201723103 | 59    | 3703    | SH | DEFINED | 0 |
| COMMSCOPE INC                  | COM | 203372107 | 3767  | 143444  | SH | DEFINED | 0 |
| COMMSCOPE INC                  | COM | 203372107 | 73757 | 2816226 | SH | DEFINED | 0 |
| COMMSCOPE INC                  | COM | 203372107 | 47    | 1789    | SH | DEFINED | 0 |
| COMMUNITY BANK SYSTEM INC      | COM | 203607106 | 3196  | 219505  | SH | DEFINED | 0 |
| COMMUNITY BANK SYSTEM INC      | COM | 203607106 | 29    | 2000    | SH | DEFINED | 0 |
| COMMUNITY HEALTH SYSTEMS INC   | COM | 203668108 | 18274 | 723686  | SH | DEFINED | 0 |
| COMMUNITY HEALTH SYSTEMS INC   | COM | 203668108 | 8     | 333     | SH | DEFINED | 0 |
| COMMUNITY TRUST BANCORP INC    | COM | 204149108 | 108   | 4027    | SH | DEFINED | 0 |
| COMMVAULT SYSTEMS INC          | COM | 204166102 | 4951  | 298541  | SH | DEFINED | 0 |
| COMMVAULT SYSTEMS INC          | COM | 204166102 | 137   | 8266    | SH | DEFINED | 0 |
| COMMVAULT SYSTEMS INC          | COM | 204166102 | 985   | 59441   | SH | DEFINED | 0 |
| COMPAGNIE GENERALE DE GEOPHYS  | COM | 204386106 | 0     | 25      | SH | DEFINED | 0 |
| COMP ENER MINAS SPONSORED ADR  | COM | 204409601 | 640   | 47625   | SH | DEFINED | 0 |
| COMP ENER MINAS SPONSORED ADR  | COM | 204409601 | 1     | 105     | SH | DEFINED | 0 |
| BRASIL DISTR PAO ACU-SP ADR    | COM | 20440T201 | 888   | 23100   | SH | DEFINED | 0 |
| BRASIL DISTR PAO ACU-SP ADR    | COM | 20440T201 | 16660 | 433971  | SH | DEFINED | 0 |
| CIA SIDERURGICA NACL-SP ADR    | COM | 20440W105 | 1188  | 53168   | SH | DEFINED | 0 |
| CIA SIDERURGICA NACL-SP ADR    | COM | 20440W105 | 19370 | 866712  | SH | DEFINED | 0 |
| CIA SIDERURGICA NACL-SP ADR    | COM | 20440W105 | 31929 | 1434757 | SH | DEFINED | 0 |
| CIA SIDERURGICA NACL-SP ADR    | COM | 20440W105 | 388   | 17381   | SH | DEFINED | 0 |
| COMPANHIA DE SANEAMENTO BASICO | COM | 20441A102 | 5     | 183     | SH | DEFINED | 0 |
| CIA PARANAENSE DE ENERGIA SP   | COM | 20441B407 | 5     | 350     | SH | DEFINED | 0 |
| AMBEV CIA DE BEBID SPON ADR RE | COM | 20441W203 | 32134 | 496899  | SH | DEFINED | 0 |
| AMBEV CIA DE BEBID SPON ADR RE | COM | 20441W203 | 37    | 570     | SH | DEFINED | 0 |
| CIA DE MINAS BUENAVENTUR-ADR   | COM | 204448104 | 5955  | 247793  | SH | DEFINED | 0 |
| CIA DE MINAS BUENAVENTUR-ADR   | COM | 204448104 | 17227 | 717490  | SH | DEFINED | 0 |
| CIA DE MINAS BUENAVENTUR-ADR   | COM | 204448104 | 7     | 300     | SH | DEFINED | 0 |
| COMPANIA DE TELECOMUNI         | COM | 204449300 | 5     | 928     | SH | DEFINED | 0 |
| COMPASS MINERALS INTERNATIONAL | COM | 20451N101 | 15865 | 288931  | SH | DEFINED | 0 |
| COMPASS MINERALS INTERNATIONAL | COM | 20451N101 | 45    | 800     | SH | DEFINED | 0 |
| COMPASS MINERALS INTERNATIONAL | COM | 20451N101 | 187   | 3405    | SH | DEFINED | 0 |
| COMPASS DIVERSIFIED HLDGS SH B | COM | 20451Q104 | 13    | 1570    | SH | DEFINED | 0 |
| COMPELLENT TECHNOLOGIES INC    | COM | 20452A108 | 467   | 30615   | SH | DEFINED | 0 |
| COMPLETE PRODUCTION SERVICES   | COM | 20453E109 | 924   | 145295  | SH | DEFINED | 0 |
| COMPUCREDIT CORP               | COM | 20478N100 | 1     | 600     | SH | DEFINED | 0 |
| COMPUCREDIT HOLDINGS CORP      | COM | 20478T107 | 46    | 20180   | SH | DEFINED | 0 |
| COMPUCREDIT HOLDINGS CORP      | COM | 20478T107 | 15    | 6400    | SH | DEFINED | 0 |
| COMPUTER PROGRAMS & SYSTEMS    | COM | 205306103 | 1386  | 36173   | SH | DEFINED | 0 |
| COMPUTER SCIENCES CORP         | COM | 205363104 | 50179 | 1132695 | SH | DEFINED | 0 |
| COMPUTER SCIENCES CORP         | COM | 205363104 | 628   | 14169   | SH | DEFINED | 0 |
| COMPUTER SERVICES INC          | COM | 20539A105 | 1031  | 30720   | SH | DEFINED | 0 |
| COMPUTER TASK GROUP INC        | COM | 205477102 | 88    | 14396   | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| COMPUWARE CORP                | COM | 205638109 | 10627  | 1549292 | SH | DEFINED | 0 |
| COMPUWARE CORP                | COM | 205638109 | 267    | 38812   | SH | DEFINED | 0 |
| COMPUWARE CORP                | COM | 205638109 | 4      | 570     | SH | DEFINED | 0 |
| COMSCORE INC                  | COM | 20564W105 | 261    | 19643   | SH | DEFINED | 0 |
| COMSTOCK RESOURCES INC        | COM | 205768203 | 1452   | 43913   | SH | DEFINED | 0 |
| COMSYS IT PARTNERS INC        | COM | 20581E104 | 74     | 12624   | SH | DEFINED | 0 |
| COMTECH TELECOMMUNICATIONS    | COM | 205826209 | 4024   | 126238  | SH | DEFINED | 0 |
| COMTECH TELECOMMUNICATIONS    | COM | 205826209 | 91     | 1875    | SH | DEFINED | 0 |
| COMTECH TELECOMMUNICATIONS    | COM | 205826209 | 12     | 367     | SH | DEFINED | 0 |
| COMVERGE INC                  | COM | 205859101 | 227    | 18789   | SH | DEFINED | 0 |
| COMVERGE INC                  | COM | 205859101 | 4      | 300     | SH | DEFINED | 0 |
| CONAGRA FOODS INC             | COM | 205887102 | 9214   | 483496  | SH | DEFINED | 0 |
| CONAGRA FOODS INC             | COM | 205887102 | 729    | 38262   | SH | DEFINED | 0 |
| CONAGRA FOODS INC             | COM | 205887102 | 422    | 22135   | SH | DEFINED | 0 |
| CON-WAY INC                   | COM | 205944101 | 187    | 5313    | SH | DEFINED | 0 |
| CON-WAY INC                   | COM | 205944101 | 55     | 1700    | SH | DEFINED | 0 |
| CON-WAY INC                   | COM | 205944101 | 14     | 400     | SH | DEFINED | 0 |
| CONCEPTUS INC                 | COM | 206016107 | 34     | 2000    | SH | DEFINED | 0 |
| CONCHO RESOURCES INC          | COM | 20605P101 | 951    | 33140   | SH | DEFINED | 0 |
| CONCHO RESOURCES INC          | COM | 20605P101 | 12320  | 430000  | SH | DEFINED | 0 |
| CONCUR TECHNOLOGIES INC       | COM | 206708109 | 2650   | 85256   | SH | DEFINED | 0 |
| CONCUR TECHNOLOGIES INC       | COM | 206708109 | 5      | 165     | SH | DEFINED | 0 |
| CONEXANT SYS INC COMNEW       | COM | 207142308 | 3      | 1914    | SH | DEFINED | 0 |
| CONMED CORP                   | COM | 207410101 | 3416   | 220142  | SH | DEFINED | 0 |
| CONMED CORP                   | COM | 207410101 | 1      | 75      | SH | DEFINED | 0 |
| CONMED CORP SR SB NT C        | COM | 207410AD3 | 1      | 1000    | SH | DEFINED | 0 |
| CONNECTICUT WATER SVC INC     | COM | 207797101 | 29     | 1347    | SH | DEFINED | 0 |
| CONNECTICUT WATER SVC INC     | COM | 207797101 | 37     | 1718    | SH | DEFINED | 0 |
| CONN'S INC                    | COM | 208242107 | 1013   | 81025   | SH | DEFINED | 0 |
| CONN'S INC                    | COM | 208242107 | 101    | 8060    | SH | DEFINED | 0 |
| CONN'S INC                    | COM | 208242107 | 820    | 58700   | SH | DEFINED | 0 |
| CONOCOPHILLIPS..              | COM | 20825C104 | 250056 | 5945183 | SH | DEFINED | 0 |
| CONOCOPHILLIPS..              | COM | 20825C104 | 4950   | 117690  | SH | DEFINED | 0 |
| CONOCOPHILLIPS..              | COM | 20825C104 | 45093  | 1072370 | SH | DEFINED | 0 |
| CONOCOPHILLIPS..              | COM | 20825C104 | 2835   | 67394   | SH | DEFINED | 0 |
| CONSECO INC                   | COM | 208464883 | 530    | 223940  | SH | DEFINED | 0 |
| CONSECO INC                   | COM | 208464883 | 0      | 27      | SH | DEFINED | 0 |
| CONSOL ENERGY INC             | COM | 20854P109 | 5769   | 169852  | SH | DEFINED | 0 |
| CONSOL ENERGY INC             | COM | 20854P109 | 280    | 8259    | SH | DEFINED | 0 |
| CONSOL ENERGY INC             | COM | 20854P109 | 133    | 3909    | SH | DEFINED | 0 |
| CONSOLIDATED COMMUNICATIONS   | COM | 209034107 | 341    | 29100   | SH | DEFINED | 0 |
| CONSOLIDATED COMMUNICATIONS   | COM | 209034107 | 4      | 356     | SH | DEFINED | 0 |
| CONSOLIDATED EDISON INC       | COM | 209115104 | 35123  | 938654  | SH | DEFINED | 0 |
| CONSOLIDATED EDISON INC       | COM | 209115104 | 888    | 23732   | SH | DEFINED | 0 |
| CONSOLIDATED EDISON INC       | COM | 209115104 | 644    | 17203   | SH | DEFINED | 0 |
| CONSOLIDATED GRAPHICS INC     | COM | 209341106 | 281    | 16132   | SH | DEFINED | 0 |
| CONSOLIDATED TOMOKA LD CO     | COM | 210226106 | 3      | 86      | SH | DEFINED | 0 |
| CONSTELLATION BRANDS INC-A    | COM | 21036P108 | 316    | 24940   | SH | DEFINED | 0 |
| CONSTELLATION BRANDS INC-A    | COM | 21036P108 | 288    | 22688   | SH | DEFINED | 0 |
| CONSTELLATION BRANDS INC-A    | COM | 21036P108 | 140    | 11010   | SH | DEFINED | 0 |
| CONSTELLATION ENERGY GROUP    | COM | 210371100 | 9914   | 372982  | SH | DEFINED | 0 |
| CONSTELLATION ENERGY GROUP    | COM | 210371100 | 450    | 16932   | SH | DEFINED | 0 |
| CONSTELLATION ENERGY GROUP    | COM | 210371100 | 94     | 3534    | SH | DEFINED | 0 |
| CONTANGO OIL & GAS INC COM NE | COM | 21075N204 | 4      | 100     | SH | DEFINED | 0 |
| CONTINENTAL AIRLINES-CLASS B  | COM | 210795308 | 35443  | 4000169 | SH | DEFINED | 0 |
| CONTINENTAL AIRLINES-CLASS B  | COM | 210795308 | 94     | 10667   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| CONTINENTAL AIRLINES-CLASS B   | COM | 210795308 | 1506  | 170000  | SH | DEFINED | 0 |
| CONTINENTAL AIRLS INC CL B     | COM | 210795308 | 688   | 77670   | SH | DEFINED | 0 |
| CONTINENTAL RESOURCES INC/OK   | COM | 212015101 | 847   | 30524   | SH | DEFINED | 0 |
| CONTINENTAL RESOURCES INC/OK   | COM | 212015101 | 13    | 471     | SH | DEFINED | 0 |
| CONVERGYS CORP                 | COM | 212485106 | 341   | 36767   | SH | DEFINED | 0 |
| CONVERGYS CORP                 | COM | 212485106 | 183   | 19705   | SH | DEFINED | 0 |
| CONVERGYS CORP                 | COM | 212485106 | 35    | 3800    | SH | DEFINED | 0 |
| CONVERTED ORGANICS INC         | COM | 21254S107 | 3     | 2247    | SH | DEFINED | 0 |
| COOPER COS INC/THE             | COM | 216648402 | 5518  | 223125  | SH | DEFINED | 0 |
| COOPER TIRE & RUBBER           | COM | 216831107 | 9757  | 983455  | SH | DEFINED | 0 |
| COOPER TIRE & RUBBER           | COM | 216831107 | 142   | 14342   | SH | DEFINED | 0 |
| COOPER TIRE & RUBBER           | COM | 216831107 | 138   | 15200   | SH | DEFINED | 0 |
| COPANO ENERGY L L C COM UNITS  | COM | 217202100 | 63    | 3930    | SH | DEFINED | 0 |
| COPART INC                     | COM | 217204106 | 8226  | 237250  | SH | DEFINED | 0 |
| COPART INC                     | COM | 217204106 | 62    | 1785    | SH | DEFINED | 0 |
| COPART INC                     | COM | 217204106 | 31    | 895     | SH | DEFINED | 0 |
| COPERNIC INC ISIN #CA21        | COM | 21727W107 | 0     | 11      | SH | DEFINED | 0 |
| CORE-MARK HOLDING CO INC       | COM | 218681104 | 298   | 11430   | SH | DEFINED | 0 |
| CORE-MARK HOLDING CO INC       | COM | 218681104 | 89    | 3400    | SH | DEFINED | 0 |
| CORE LABORATORIES LPSR NT EXCH | COM | 21868FAB9 | 2     | 2000    | SH | DEFINED | 0 |
| CORINTHIAN COLLEGES INC        | COM | 218868107 | 4582  | 270602  | SH | DEFINED | 0 |
| CORINTHIAN COLLEGES INC        | COM | 218868107 | 4     | 235     | SH | DEFINED | 0 |
| CORN PRODS INTL INC            | COM | 219023108 | 1     | 54      | SH | DEFINED | 0 |
| CORNELL COMPANIES INC          | COM | 219141108 | 470   | 28956   | SH | DEFINED | 0 |
| CORNERSTONE STRATEGIC          | COM | 21924B203 | 76    | 7311    | SH | DEFINED | 0 |
| CORNERSTONE TOTAL TOTAL RETU   | COM | 21924U201 | 99    | 9838    | SH | DEFINED | 0 |
| CORNING INC                    | COM | 219350105 | 46702 | 2907921 | SH | DEFINED | 0 |
| CORNING INC                    | COM | 219350105 | 1851  | 115254  | SH | DEFINED | 0 |
| CORNING INC                    | COM | 219350105 | 673   | 45200   | SH | DEFINED | 0 |
|                                |     |           |       |         |    |         |   |
| CORNING INC                    | COM | 219350105 | 954   | 59371   | SH | DEFINED | 0 |
| CORPORATE EXECUTIVE BRD CO     | COM | 21988R102 | 160   | 7692    | SH | DEFINED | 0 |
| CORPORATE OFFICE PROPERTIES    | COM | 22002T108 | 3773  | 128627  | SH | DEFINED | 0 |
| CORPORATE OFFICE PROPERTIES    | COM | 22002T108 | 15    | 500     | SH | DEFINED | 0 |
| CORRECTIONS CORP OF AMERICA    | COM | 22025Y407 | 611   | 35944   | SH | DEFINED | 0 |
| CORRECTIONS CORP OF AMERICA    | COM | 22025Y407 | 105   | 3850    | SH | DEFINED | 0 |
| CORRECTIONS CORP OF AMERICA    | COM | 22025Y407 | 12    | 708     | SH | DEFINED | 0 |
| CORTEX PHARMACEUT              | COM | 220524300 | 15    | 64000   | SH | DEFINED | 0 |
| CORUS BANKSHARES INC           | COM | 220873103 | 0     | 1160    | SH | DEFINED | 0 |
| CORVEL CORP                    | COM | 221006109 | 161   | 7089    | SH | DEFINED | 0 |
| COSTCO WHOLESALE CORP          | COM | 22160K105 | 67737 | 1482214 | SH | DEFINED | 0 |
| COSTCO WHOLESALE CORP          | COM | 22160K105 | 1149  | 25141   | SH | DEFINED | 0 |
| COSTCO WHOLESALE CORP          | COM | 22160K105 | 2520  | 55042   | SH | DEFINED | 0 |
| COSTCO WHSL CORP NEW           | COM | 22160K105 | 5502  | 120192  | SH | DEFINED | 0 |
| COSTAR GROUP INC               | COM | 22160N109 | 136   | 3400    | SH | DEFINED | 0 |
| COUGAR BIOTECHNOLOGY INC       | COM | 222083107 | 364   | 8470    | SH | DEFINED | 0 |
| COUNTRYWIDE 7% 11/01/36        | PRF | 222388209 | 248   | 14547   | SH | DEFINED | 0 |
| COUSINS PROPERTIES INC         | COM | 222795106 | 273   | 32100   | SH | DEFINED | 0 |
| COUSINS PROPERTIES INC         | COM | 222795106 | 89    | 10500   | SH | DEFINED | 0 |
| COUSINS PROPERTIES INC         | COM | 222795106 | 2     | 217     | SH | DEFINED | 0 |
| COVANCE INC                    | COM | 222816100 | 24449 | 496940  | SH | DEFINED | 0 |
| COVANCE INC                    | COM | 222816100 | 25673 | 545869  | SH | DEFINED | 0 |
| COVANCE INC                    | COM | 222816100 | 104   | 2119    | SH | DEFINED | 0 |
| COVANTA HLDG CORP COM          | COM | 22282E102 | 443   | 26127   | SH | DEFINED | 0 |
| CONVANTA HOLDING CONV NOTE     | COM | 22282EAA0 | 3     | 3000    | SH | DEFINED | 0 |
| COVENTRY HEALTH CARE INC       | COM | 222862104 | 4356  | 232619  | SH | DEFINED | 0 |
| COVENTRY HEALTH CARE INC       | COM | 222862104 | 275   | 14690   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                 |     |           |       |          |    |         |   |
|---------------------------------|-----|-----------|-------|----------|----|---------|---|
| COVENTRY HEALTH CARE INC        | COM | 222862104 | 715   | 38200    | SH | DEFINED | 0 |
| COVENTRY HEALTH CARE INC        | COM | 222862104 | 25    | 1345     | SH | DEFINED | 0 |
| CRACKER BARREL OLD COUNTRY      | COM | 22410J106 | 5276  | 189093   | SH | DEFINED | 0 |
| CRACKER BARREL OLD COUNTRY      | COM | 22410J106 | 51    | 1842     | SH | DEFINED | 0 |
| CRANE CO                        | COM | 224399105 | 1876  | 84061    | SH | DEFINED | 0 |
| CRAWFORD & CO -CL B             | COM | 224633107 | 139   | 28980    | SH | DEFINED | 0 |
| CRAWFORD & CO -CL B             | COM | 224633107 | 45    | 9300     | SH | DEFINED | 0 |
| CREDIT SUISSE INCOMEFD          | COM | 224916106 | 28    | 9710     | SH | DEFINED | 0 |
| CRAY INC                        | COM | 225223304 | 397   | 50390    | SH | DEFINED | 0 |
| CRAY INC                        | COM | 225223304 | 84    | 10700    | SH | DEFINED | 0 |
| CRAY INC                        | COM | 225223304 | 2     | 250      | SH | DEFINED | 0 |
| CREDIT ACCEPTANCE CORP          | COM | 225310101 | 46    | 2100     | SH | DEFINED | 0 |
| CREDIT SUISSE GRP SPON ADR I    | COM | 225401108 | 35    | 768      | SH | DEFINED | 0 |
| CREE INC                        | COM | 225447101 | 1607  | 54679    | SH | DEFINED | 0 |
| CREE INC                        | COM | 225447101 | 42    | 1850     | SH | DEFINED | 0 |
| CREE INC                        | COM | 225447101 | 62    | 2099     | SH | DEFINED | 0 |
| CRESUD S.A. SPON ADRISIN #US22  | COM | 226406106 | 17    | 1834     | SH | DEFINED | 0 |
|                                 |     |           |       |          |    |         |   |
| CROCS INC                       | COM | 227046109 | 424   | 124704   | SH | DEFINED | 0 |
| CROCS INC                       | COM | 227046109 | 4     | 1301     | SH | DEFINED | 0 |
| CROSS A T CO CL A               | COM | 227478104 | 0     | 100      | SH | DEFINED | 0 |
| CROSS COUNTRY HEALTHCARE INC    | COM | 227483104 | 142   | 20735    | SH | DEFINED | 0 |
| CROSS TIMBERS ROYALTY TR        | COM | 22757R109 | 62    | 2500     | SH | DEFINED | 0 |
| CROSSTEX ENERGY LP COM UNITS    | COM | 22765U102 | 16    | 5000     | SH | DEFINED | 0 |
| CROSSTEX ENERGY INC             | COM | 22765Y104 | 20    | 4807     | SH | DEFINED | 0 |
| CROWN CASTLE INTL CORP          | COM | 228227104 | 68    | 2840     | SH | DEFINED | 0 |
| CROWN CASTLE INTL CORP          | COM | 228227104 | 125   | 5203     | SH | DEFINED | 0 |
| CROWN HOLDINGS INC              | COM | 228368106 | 3761  | 155758   | SH | DEFINED | 0 |
| CROWN HOLDINGS INC              | COM | 228368106 | 1759  | 76424    | SH | DEFINED | 0 |
| CROWN HOLDINGS INC              | COM | 228368106 | 65    | 2690     | SH | DEFINED | 0 |
| CROWN MEDIA HLDGS INC CL A      | COM | 228411104 | 9     | 5153     | SH | DEFINED | 0 |
| CROWN PAPER ESCROW              | COM | 2284499A4 | 0     | 46360000 | SH | DEFINED | 0 |
| CRUCCELL N V SPON ADRISIN #US22 | COM | 228769105 | 5     | 200      | SH | DEFINED | 0 |
| CRYOLIFE INC                    | COM | 228903100 | 315   | 56867    | SH | DEFINED | 0 |
| CRYOLIFE INC                    | COM | 228903100 | 1     | 250      | SH | DEFINED | 0 |
| CRYSTALLEX INTL CORPISIN #CA22  | COM | 22942F101 | 0     | 1336     | SH | DEFINED | 0 |
| CTRIIP.COM INTERNATIONAL-ADR    | COM | 22943F100 | 1980  | 42754    | SH | DEFINED | 0 |
| CTRIIP.COM INTERNATIONAL-ADR    | COM | 22943F100 | 1     | 25       | SH | DEFINED | 0 |
| CUBIC CORP                      | COM | 229669106 | 14365 | 401348   | SH | DEFINED | 0 |
| CUBIC CORP                      | COM | 229669106 | 3400  | 95000    | SH | DEFINED | 0 |
| CUBIC CORP                      | COM | 229669106 | 1997  | 55799    | SH | DEFINED | 0 |
| CUBIC ENERGY INC                | COM | 229675103 | 119   | 110000   | SH | DEFINED | 0 |
| CUBIST PHARMACEUTICALS INC      | COM | 229678107 | 3250  | 177360   | SH | DEFINED | 0 |
| CUBIST PHARMACEUTICALS INC      | COM | 229678107 | 26    | 1435     | SH | DEFINED | 0 |
| CULLEN/FROST BANKERS INC        | COM | 229899109 | 13586 | 294575   | SH | DEFINED | 0 |
| CULLEN/FROST BANKERS INC        | COM | 229899109 | 3     | 71       | SH | DEFINED | 0 |
| CUMMINS INC                     | COM | 231021106 | 32253 | 916046   | SH | DEFINED | 0 |
| CUMMINS INC                     | COM | 231021106 | 497   | 14115    | SH | DEFINED | 0 |
| CUMMINS INC                     | COM | 231021106 | 162   | 4614     | SH | DEFINED | 0 |
| CURAGEN CORP                    | COM | 23126R101 | 4     | 3000     | SH | DEFINED | 0 |
| CURRENCYSHARES SWEDISH KR       | COM | 23129R108 | 22    | 170      | SH | DEFINED | 0 |
| CURRENCYSHARES AUSTRALIAN       | COM | 23129U101 | 325   | 4030     | SH | DEFINED | 0 |
| CURRENCYSHARES SWISS FRANC TR   | COM | 23129V109 | 46    | 501      | SH | DEFINED | 0 |
| CURRENCYSHARES CDN DLR TR CDN   | COM | 23129X105 | 17    | 200      | SH | DEFINED | 0 |
| CURRENCYSHARES JAPANESE Y       | COM | 23130A102 | 1     | 14       | SH | DEFINED | 0 |
| CURRENCYSHARES EURO TR EURO SH  | COM | 23130C108 | 43    | 304      | SH | DEFINED | 0 |
| CURTISS-WRIGHT CORP             | COM | 231561101 | 2113  | 71075    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| CURTISS-WRIGHT CORP            | COM | 231561101 | 42    | 1408    | SH | DEFINED | 0 |
| CYBERSOURCE CORP               | COM | 23251J106 | 1654  | 108115  | SH | DEFINED | 0 |
| CYBERONICS INC                 | COM | 23251P102 | 2057  | 123753  | SH | DEFINED | 0 |
| CYBERONICS INC                 | COM | 23251P102 | 3     | 185     | SH | DEFINED | 0 |
| CYMER INC                      | COM | 232572107 | 1152  | 38718   | SH | DEFINED | 0 |
|                                |     |           |       |         |    |         |   |
| CYNOSURE INC-CLASS A           | COM | 232577205 | 64    | 8400    | SH | DEFINED | 0 |
| CYNOSURE INC-CLASS A           | COM | 232577205 | 1     | 100     | SH | DEFINED | 0 |
| CYPRESS BIOSCIENCE INC         | COM | 232674507 | 203   | 21500   | SH | DEFINED | 0 |
| CYPRESS SEMICONDUCTOR CORP     | COM | 232806109 | 13103 | 1424193 | SH | DEFINED | 0 |
| CYPRESS SEMICONDUCTOR CORP     | COM | 232806109 | 118   | 13300   | SH | DEFINED | 0 |
| CYPRESS SEMICONDUCTOR CORP     | COM | 232806109 | 38    | 4150    | SH | DEFINED | 0 |
| CYPRESS SEMICONDUCTOR CORP     | COM | 232806109 | 1840  | 200000  | SH | DEFINED | 0 |
| CYPRESS SEMICONDUCTOR CORP COM | COM | 232806109 | 677   | 73583   | SH | DEFINED | 0 |
| CYTEC INDUSTRIES INC           | COM | 232820100 | 302   | 16204   | SH | DEFINED | 0 |
| CYTEC INDUSTRIES INC           | COM | 232820100 | 2     | 105     | SH | DEFINED | 0 |
| CYTRX CORP                     | COM | 232828301 | 0     | 200     | SH | DEFINED | 0 |
| CYTORI THERAPEUTICS INC COM    | COM | 23283K105 | 18    | 4929    | SH | DEFINED | 0 |
| DCT INDUSTRIAL TRUST INC       | COM | 233153105 | 949   | 232540  | SH | DEFINED | 0 |
| DCT INDUSTRIAL TRUST INC       | COM | 233153105 | 721   | 176813  | SH | DEFINED | 0 |
| DNP SELECT INCOME FD INC       | COM | 23325P104 | 156   | 19521   | SH | DEFINED | 0 |
| DG FASTCHANNEL INC             | COM | 23326R109 | 196   | 10695   | SH | DEFINED | 0 |
| DG FASTCHANNEL INC             | COM | 23326R109 | 6     | 330     | SH | DEFINED | 0 |
| DPL INC                        | COM | 233293109 | 660   | 28517   | SH | DEFINED | 0 |
| DPL INC                        | COM | 233293109 | 77    | 3321    | SH | DEFINED | 0 |
| DR HORTON INC                  | COM | 23331A109 | 29809 | 3184538 | SH | DEFINED | 0 |
| DR HORTON INC                  | COM | 23331A109 | 376   | 40161   | SH | DEFINED | 0 |
| DR HORTON INC                  | COM | 23331A109 | 77    | 6300    | SH | DEFINED | 0 |
| DR HORTON INC                  | COM | 23331A109 | 2     | 210     | SH | DEFINED | 0 |
| DST SYSTEMS INC                | COM | 233326107 | 895   | 24238   | SH | DEFINED | 0 |
| DST SYSTEMS INC                | COM | 233326107 | 28    | 768     | SH | DEFINED | 0 |
| DSP GROUP INC                  | COM | 23332B106 | 758   | 112125  | SH | DEFINED | 0 |
| DSP GROUP INC                  | COM | 23332B106 | 65    | 9600    | SH | DEFINED | 0 |
| DTE ENERGY COMPANY             | COM | 233331107 | 26607 | 831457  | SH | DEFINED | 0 |
| DTE ENERGY COMPANY             | COM | 233331107 | 484   | 15135   | SH | DEFINED | 0 |
| DTE ENERGY COMPANY             | COM | 233331107 | 243   | 7596    | SH | DEFINED | 0 |
| DSW INC-CLASS A                | COM | 23334L102 | 1807  | 183480  | SH | DEFINED | 0 |
| DSW INC-CLASS A                | COM | 23334L102 | 44    | 4500    | SH | DEFINED | 0 |
| DTS INC                        | COM | 23335C101 | 491   | 18150   | SH | DEFINED | 0 |
| DXP ENTERPRISES INC            | COM | 233377407 | 193   | 16844   | SH | DEFINED | 0 |
| DXP ENTERPRISES INC            | COM | 233377407 | 14    | 1200    | SH | DEFINED | 0 |
| DWS MUN INCOME TR COM          | COM | 23338M106 | 177   | 17704   | SH | DEFINED | 0 |
| DWS STRATEGIC MUNI INCOM       | COM | 23338T101 | 144   | 13475   | SH | DEFINED | 0 |
| DWS RREEF REAL ESTATE FD       | COM | 23338X102 | 5     | 9205    | SH | DEFINED | 0 |
| DWS DREMAN VALUE INCOME EDG    | COM | 23339M105 | 140   | 31818   | SH | DEFINED | 0 |
| DWS RREEF WORLD REALESTATE & T | COM | 23339T100 | 10    | 1702    | SH | DEFINED | 0 |
| DAKTRONICS INC                 | COM | 234264109 | 298   | 38730   | SH | DEFINED | 0 |
| DAKTRONICS INC                 | COM | 234264109 | 1     | 100     | SH | DEFINED | 0 |
| DANA HOLDING CORP              | COM | 235825205 | 1777  | 1387721 | SH | DEFINED | 0 |
| DANAHER CORP                   | COM | 235851102 | 678   | 10984   | SH | DEFINED | 0 |
|                                |     |           |       |         |    |         |   |
| DANAHER CORP                   | COM | 235851102 | 956   | 15486   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |          |    |         |   |
|--------------------------------|-----|-----------|-------|----------|----|---------|---|
| DANAHER CORP                   | COM | 235851102 | 58366 | 945320   | SH | DEFINED | 0 |
| DANAHER CORP                   | COM | 235851102 | 552   | 8934     | SH | DEFINED | 0 |
| DANVERS BANCORP INC            | COM | 236442109 | 477   | 35460    | SH | DEFINED | 0 |
| DANVERS BANCORP INC            | COM | 236442109 | 132   | 9800     | SH | DEFINED | 0 |
| DANVERS BANCORP INC            | COM | 236442109 | 13    | 1000     | SH | DEFINED | 0 |
| DARDEN RESTAURANTS INC         | COM | 237194105 | 9766  | 296169   | SH | DEFINED | 0 |
| DARDEN RESTAURANTS INC         | COM | 237194105 | 239   | 7235     | SH | DEFINED | 0 |
| DARDEN RESTAURANTS INC         | COM | 237194105 | 99    | 3008     | SH | DEFINED | 0 |
| DARLING INTERNATIONAL INC      | COM | 237266101 | 2251  | 341164   | SH | DEFINED | 0 |
| DARLING INTERNATIONAL INC      | COM | 237266101 | 5     | 700      | SH | DEFINED | 0 |
| DATA DOMAIN INC                | COM | 23767P109 | 581   | 17410    | SH | DEFINED | 0 |
| DATA DOMAIN INC                | COM | 23767P109 | 1665  | 49960    | SH | DEFINED | 0 |
| DAVITA INC                     | COM | 23918K108 | 41243 | 833899   | SH | DEFINED | 0 |
| DAVITA INC                     | COM | 23918K108 | 394   | 7967     | SH | DEFINED | 0 |
| DAVITA INC                     | COM | 23918K108 | 138   | 2450     | SH | DEFINED | 0 |
| DAVITA INC                     | COM | 23918K108 | 77    | 1566     | SH | DEFINED | 0 |
| DAWSON GEOPHYSICAL CO          | COM | 239359102 | 78    | 2624     | SH | DEFINED | 0 |
| DAWSON GEOPHYSICAL CO          | COM | 239359102 | 34    | 1130     | SH | DEFINED | 0 |
| DEALERTRACK HOLDINGS INC       | COM | 242309102 | 3624  | 213181   | SH | DEFINED | 0 |
| DEALERTRACK HOLDINGS INC       | COM | 242309102 | 76    | 3175     | SH | DEFINED | 0 |
| DEAN FOODS CO                  | COM | 242370104 | 11371 | 592511   | SH | DEFINED | 0 |
| DEAN FOODS CO                  | COM | 242370104 | 432   | 22529    | SH | DEFINED | 0 |
| DEAN FOODS CO                  | COM | 242370104 | 158   | 8210     | SH | DEFINED | 0 |
| DECKERS OUTDOOR CORP           | COM | 243537107 | 2288  | 32558    | SH | DEFINED | 0 |
| DECKERS OUTDOOR CORP           | COM | 243537107 | 50    | 712      | SH | DEFINED | 0 |
| DECODE GENETICS INC            | COM | 243586104 | 1     | 1000     | SH | DEFINED | 0 |
| DEERE & CO                     | COM | 244199105 | 62804 | 1572057  | SH | DEFINED | 0 |
| DEERE & CO                     | COM | 244199105 | 1241  | 31062    | SH | DEFINED | 0 |
| DEERE & CO                     | COM | 244199105 | 862   | 21575    | SH | DEFINED | 0 |
| DEERFIELD CAP CORP COM NEW     | COM | 244331302 | 0     | 71       | SH | DEFINED | 0 |
| DEFINED STRATEGY FD INC        | COM | 24476Y100 | 2     | 200      | SH | DEFINED | 0 |
| DEL MONTE FOODS CO             | COM | 24522P103 | 25    | 2700     | SH | DEFINED | 0 |
| DEL MONTE FOODS CO             | COM | 24522P103 | 21    | 2190     | SH | DEFINED | 0 |
| DELAWARE ENHANCED GLOBAL DIV   | COM | 246060107 | 17    | 1939     | SH | DEFINED | 0 |
| DELAWARE INVTS COLO MUN INCOME | COM | 246101109 | 89    | 7165     | SH | DEFINED | 0 |
| DELAWARE INVTS MINN MUN INCOME | COM | 24610V103 | 9     | 735      | SH | DEFINED | 0 |
| DELEK US HOLDINGS INC          | COM | 246647101 | 569   | 67050    | SH | DEFINED | 0 |
| DELL INC                       | COM | 24702R101 | 47749 | 3477685  | SH | DEFINED | 0 |
| DELL INC                       | COM | 24702R101 | 9294  | 676887   | SH | DEFINED | 0 |
| DELL INC                       | COM | 24702R101 | 1768  | 128804   | SH | DEFINED | 0 |
| DELL INC                       | COM | 24702R101 | 63837 | 4649394  | SH | DEFINED | 0 |
| DELL INC                       | COM | 24702R101 | 1108  | 80707    | SH | DEFINED | 0 |
| DELPHI FINANCIAL GROUP-CL A    | COM | 247131105 | 2887  | 148609   | SH | DEFINED | 0 |
| DELPHI FINANCIAL GROUP-CL A    | COM | 247131105 | 84    | 4300     | SH | DEFINED | 0 |
| DELPHI FINANCIAL GROUP-CL A    | COM | 247131105 | 104   | 3325     | SH | DEFINED | 0 |
| DELTA AIR LINES INC            | COM | 247361702 | 71070 | 12274627 | SH | DEFINED | 0 |
| DELTA AIR LINES INC            | COM | 247361702 | 124   | 21464    | SH | DEFINED | 0 |
| DELTA AIR LINES INC            | COM | 247361702 | 49    | 8409     | SH | DEFINED | 0 |
| DELTA AIR LINES INC            | COM | 247361702 | 2895  | 500000   | SH | DEFINED | 0 |
| DELTA AIR LINES INC DEL COM NE | COM | 247361702 | 1685  | 290954   | SH | DEFINED | 0 |
| DELTA NAT GAS INC              | COM | 247748106 | 8     | 350      | SH | DEFINED | 0 |
| DELTIC TIMBER CORP             | COM | 247850100 | 290   | 8174     | SH | DEFINED | 0 |
| DELTA PETROLEUM CORP           | COM | 247907207 | 21    | 10966    | SH | DEFINED | 0 |
| DELTA PETROLEUM CORP           | COM | 247907207 | 47    | 24425    | SH | DEFINED | 0 |
| DENBURY RESOURCES INC          | COM | 247916208 | 5856  | 397484   | SH | DEFINED | 0 |
| DENBURY RESOURCES INC          | COM | 247916208 | 299   | 20287    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| DENBURY RESOURCES INC          | COM | 247916208 | 19    | 1320    | SH | DEFINED | 0 |
| DELUXE CORP                    | COM | 248019101 | 4369  | 341054  | SH | DEFINED | 0 |
| DELUXE CORP                    | COM | 248019101 | 5     | 400     | SH | DEFINED | 0 |
| DEMANDTEC INC                  | COM | 24802R506 | 34    | 3850    | SH | DEFINED | 0 |
| DENALI FD INC COM              | COM | 24823A102 | 2     | 150     | SH | DEFINED | 0 |
| DENDREON CORP                  | COM | 24823Q107 | 121   | 4857    | SH | DEFINED | 0 |
| DENISON MINES CORP COM ISIN #  | COM | 248356107 | 7     | 4250    | SH | DEFINED | 0 |
| DENNY'S CORP                   | COM | 24869P104 | 49    | 22700   | SH | DEFINED | 0 |
| DENNY'S CORP                   | COM | 24869P104 | 1     | 500     | SH | DEFINED | 0 |
| DENTSPLY INTERNATIONAL INC     | COM | 249030107 | 96    | 3141    | SH | DEFINED | 0 |
| DENTSPLY INTERNATIONAL INC     | COM | 249030107 | 193   | 6332    | SH | DEFINED | 0 |
| DENTSPLY INTERNATIONAL INC     | COM | 249030107 | 280   | 9161    | SH | DEFINED | 0 |
| DEPOMED INC                    | COM | 249908104 | 5     | 1500    | SH | DEFINED | 0 |
| DESARROLLADORA HOMEX-ADR       | COM | 25030W100 | 360   | 12900   | SH | DEFINED | 0 |
| DESARROLLADORA HOMEX-ADR       | COM | 25030W100 | 13293 | 476627  | SH | DEFINED | 0 |
| DESARROLLADORA HOMEX-ADR       | COM | 25030W100 | 23134 | 829478  | SH | DEFINED | 0 |
| DESARROLLADORA HOMEX-ADR       | COM | 25030W100 | 28    | 1007    | SH | DEFINED | 0 |
| DEUTSCHE BK AG LON BRH GOLD D  | COM | 25154H749 | 78    | 3935    | SH | DEFINED | 0 |
| POWERSHARES DB CRUDEOIL DOUBLE | COM | 25154K882 | 1621  | 366815  | SH | DEFINED | 0 |
| DEUTSCHE TELEKOM AG-SPON ADR   | COM | 251566105 | 66089 | 5600702 | SH | DEFINED | 0 |
| DEUTSCHE TELEKOM AG-SPON ADR   | COM | 251566105 | 119   | 10119   | SH | DEFINED | 0 |
| DEUTSCHE TELEKOM AG-SPON ADR   | COM | 251566105 | 74    | 6270    | SH | DEFINED | 0 |
| DEVELOPERS DIVERSIFIED RLTY    | COM | 251591103 | 197   | 40400   | SH | DEFINED | 0 |
| DEVELOPERS DIVERSIFIED RLTY    | COM | 251591103 | 49    | 10000   | SH | DEFINED | 0 |
| DEVELOPERS DIVERSIFIED RLTY    | COM | 251591103 | 87    | 17887   | SH | DEFINED | 0 |
| DEVON ENERGY CORPORATION       | COM | 25179M103 | 77564 | 1423227 | SH | DEFINED | 0 |
| DEVON ENERGY CORPORATION       | COM | 25179M103 | 1867  | 34262   | SH | DEFINED | 0 |
| DEVON ENERGY CORPORATION       | COM | 25179M103 | 1832  | 33609   | SH | DEFINED | 0 |
| DEVON ENERGY CORPORATION       | COM | 25179M103 | 8101  | 148000  | SH | DEFINED | 0 |
| DEVON ENERGY CORPORATION       | COM | 25179M103 | 972   | 17838   | SH | DEFINED | 0 |
| DEVRY INC                      | COM | 251893103 | 3349  | 66919   | SH | DEFINED | 0 |
| DEVRY INC                      | COM | 251893103 | 114   | 2276    | SH | DEFINED | 0 |
| DEVRY INC                      | COM | 251893103 | 409   | 8175    | SH | DEFINED | 0 |
| DEVRY INC                      | COM | 251893103 | 39    | 780     | SH | DEFINED | 0 |
| DEXCOM INC                     | COM | 252131107 | 60    | 9706    | SH | DEFINED | 0 |
| DIAGEO PLC-SPONSORED ADR       | COM | 25243Q205 | 33436 | 584048  | SH | DEFINED | 0 |
| DIAGEO PLC-SPONSORED ADR       | COM | 25243Q205 | 1503  | 26255   | SH | DEFINED | 0 |
| DIALYSIS CORP AMER CL A        | COM | 252529102 | 1     | 100     | SH | DEFINED | 0 |
| DIAMOND FOODS INC              | COM | 252603105 | 1397  | 50145   | SH | DEFINED | 0 |
| DIAMOND HILL FINL TRENDS FD    | COM | 25264C101 | 8     | 1200    | SH | DEFINED | 0 |
| DIAMOND HILL INVESTMENT GRP    | COM | 25264R207 | 2492  | 62009   | SH | DEFINED | 0 |
| DIAMOND OFFSHORE DRILLING      | COM | 25271C102 | 10748 | 129411  | SH | DEFINED | 0 |
| DIAMOND OFFSHORE DRILLING      | COM | 25271C102 | 252   | 3032    | SH | DEFINED | 0 |
| DIAMOND OFFSHORE DRILLING      | COM | 25271C102 | 8117  | 103639  | SH | DEFINED | 0 |
| DIAMOND OFFSHORE DRILLING      | COM | 25271C102 | 203   | 2439    | SH | DEFINED | 0 |
| DIAMONDROCK HOSPITALITY CO     | COM | 252784301 | 4411  | 704679  | SH | DEFINED | 0 |
| DIAMONDROCK HOSPITALITY CO     | COM | 252784301 | 74    | 11800   | SH | DEFINED | 0 |
| DIAMONDS TRUST SER I           | COM | 252787106 | 2902  | 34274   | SH | DEFINED | 0 |
| DICE HOLDINGS INC              | COM | 253017107 | 5     | 1079    | SH | DEFINED | 0 |
| DICK'S SPORTING GOODS INC      | COM | 253393102 | 8758  | 509210  | SH | DEFINED | 0 |
| DICK'S SPORTING GOODS INC      | COM | 253393102 | 122   | 7119    | SH | DEFINED | 0 |
| DICK'S SPORTING GOODS INC      | COM | 253393102 | 22    | 1250    | SH | DEFINED | 0 |
| DIEBOLD INC                    | COM | 253651103 | 8179  | 310234  | SH | DEFINED | 0 |
| DIEBOLD INC                    | COM | 253651103 | 41    | 1545    | SH | DEFINED | 0 |
| DIGI INTERNATIONAL INC         | COM | 253798102 | 372   | 38107   | SH | DEFINED | 0 |
| DIGIMARC CORP NEW COM          | COM | 25381B101 | 7     | 571     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                 |     |           |        |         |    |         |   |
|---------------------------------|-----|-----------|--------|---------|----|---------|---|
| DIGITAL ANGEL CORP NEW COM NE   | COM | 25383A200 | 10     | 7289    | SH | DEFINED | 0 |
| DIGITAL POWER CORP              | COM | 253862106 | 0      | 100     | SH | DEFINED | 0 |
| DIGITAL REALTY TRUST INC        | COM | 253868103 | 9981   | 278405  | SH | DEFINED | 0 |
| DIGITAL REALTY TRUST INC        | COM | 253868103 | 94     | 2300    | SH | DEFINED | 0 |
| DIGITAL RIVER INC               | COM | 25388B104 | 1442   | 39720   | SH | DEFINED | 0 |
| DIGITAL RIVER INC               | COM | 25388B104 | 0      | 5       | SH | DEFINED | 0 |
| DIGITALBLOBE INC                | COM | 25389M877 | 2981   | 155270  | SH | DEFINED | 0 |
| DIGITALBLOBE INC                | COM | 25389M877 | 6183   | 322726  | SH | DEFINED | 0 |
| DIME COMMUNITY BANCSHARES       | COM | 253922108 | 160    | 17531   | SH | DEFINED | 0 |
| DILLARDS INC-CL A               | COM | 254067101 | 7210   | 783923  | SH | DEFINED | 0 |
| DILLARDS INC-CL A               | COM | 254067101 | 68     | 7400    | SH | DEFINED | 0 |
| DINEEQUITY INC                  | COM | 254423106 | 527    | 16921   | SH | DEFINED | 0 |
| DIODES INC                      | COM | 254543101 | 884    | 56512   | SH | DEFINED | 0 |
| DIODES INC                      | COM | 254543101 | 5      | 300     | SH | DEFINED | 0 |
| DIONEX CORP                     | COM | 254546104 | 1309   | 21445   | SH | DEFINED | 0 |
| DIONEX CORP                     | COM | 254546104 | 6      | 100     | SH | DEFINED | 0 |
| DIRECTV GROUP INC/THE           | COM | 25459L106 | 16307  | 659952  | SH | DEFINED | 0 |
| DIRECTV GROUP INC/THE           | COM | 25459L106 | 5024   | 203305  | SH | DEFINED | 0 |
| DIRECTV GROUP INC/THE           | COM | 25459L106 | 714    | 28892   | SH | DEFINED | 0 |
|                                 |     |           |        |         |    |         |   |
| DIRECTV GROUP INC/THE           | COM | 25459L106 | 130169 | 5268284 | SH | DEFINED | 0 |
| DIRECTV GROUP INC/THE           | COM | 25459L106 | 126    | 5081    | SH | DEFINED | 0 |
| DIREXION DAILY EMERGING M       | COM | 25459W409 | 10     | 750     | SH | DEFINED | 0 |
| DIREXION DAILY FINANCIAL        | COM | 25459W508 | 1829   | 197901  | SH | DEFINED | 0 |
| DIREXION DAILY FINANCIAL        | COM | 25459W607 | 21     | 4400    | SH | DEFINED | 0 |
| DIREXION DAILY DEVELOPED        | COM | 25459W797 | 10     | 300     | SH | DEFINED | 0 |
| DIREXION DAILY SMALLCAP BEAR 3  | COM | 25459W839 | 1031   | 45312   | SH | DEFINED | 0 |
| DIREXION DAILY SML CAP BULL 3   | COM | 25459W847 | 28     | 1000    | SH | DEFINED | 0 |
| DIREXION DAILY LARGE CAP BEAR 3 | COM | 25459W854 | 35     | 991     | SH | DEFINED | 0 |
| DIREXION DAILY LARGE CAP BULL 3 | COM | 25459W862 | 24     | 720     | SH | DEFINED | 0 |
| DIREXION DAILY ENERGY BUL       | COM | 25459W888 | 1344   | 45925   | SH | DEFINED | 0 |
| DISCOVERY LAB INC               | COM | 254668106 | 0      | 400     | SH | DEFINED | 0 |
| WALT DISNEY CO/THE              | COM | 254687106 | 13122  | 562460  | SH | DEFINED | 0 |
| WALT DISNEY CO/THE              | COM | 254687106 | 3391   | 145340  | SH | DEFINED | 0 |
| WALT DISNEY CO/THE              | COM | 254687106 | 2709   | 116125  | SH | DEFINED | 0 |
| WALT DISNEY CO/THE              | COM | 254687106 | 16838  | 722338  | SH | DEFINED | 0 |
| WALT DISNEY CO/THE              | COM | 254687106 | 1578   | 67626   | SH | DEFINED | 0 |
| DISCOVER FINANCIAL SERVICES     | COM | 254709108 | 26145  | 2545771 | SH | DEFINED | 0 |
| DISCOVER FINANCIAL SERVICES     | COM | 254709108 | 422    | 41074   | SH | DEFINED | 0 |
| DISCOVER FINANCIAL SERVICES     | COM | 254709108 | 286    | 27832   | SH | DEFINED | 0 |
| DISCOVERY COMMUNICATIONS-A      | COM | 25470F104 | 23     | 1000    | SH | DEFINED | 0 |
| DISCOVERY COMMUNICATIONS-A      | COM | 25470F104 | 47     | 2079    | SH | DEFINED | 0 |
| DISCOVERY COMMUNICATIONS-C      | COM | 25470F302 | 31     | 1500    | SH | DEFINED | 0 |
| DISCOVERY COMMUNICATIONS-C      | COM | 25470F302 | 23     | 1000    | SH | DEFINED | 0 |
| DISCOVERY COMMUNICATIONS-C      | COM | 25470F302 | 114    | 5572    | SH | DEFINED | 0 |
| DISH NETWORK CORP-A             | COM | 25470M109 | 439    | 27130   | SH | DEFINED | 0 |
| DISH NETWORK CORP-A             | COM | 25470M109 | 21149  | 1304710 | SH | DEFINED | 0 |
| DISH NETWORK CORP-A             | COM | 25470M109 | 0      | 25      | SH | DEFINED | 0 |
| DIVX INC COM                    | COM | 255413106 | 9      | 1650    | SH | DEFINED | 0 |
| DR REDDYS LABS LTD ADR          | COM | 256135203 | 129    | 7584    | SH | DEFINED | 0 |
| DOCUMENT SEC SYS INC            | COM | 25614T101 | 0      | 75      | SH | DEFINED | 0 |
| DOLAN MEDIA COMPANY             | COM | 25659P402 | 183    | 14347   | SH | DEFINED | 0 |
| DOLBY LABORATORIES INC-CL A     | COM | 25659T107 | 82     | 2196    | SH | DEFINED | 0 |
| DOLBY LABORATORIES INC-CL A     | COM | 25659T107 | 6263   | 186300  | SH | DEFINED | 0 |
| DOLBY LABORATORIES INC-CL A     | COM | 25659T107 | 50     | 1331    | SH | DEFINED | 0 |
| DOLLAR FINANCIAL CORP           | COM | 256664103 | 540    | 39140   | SH | DEFINED | 0 |
| DOLLAR FINANCIAL CORP           | COM | 256664103 | 36     | 2600    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| DOLLAR THRIFTY AUTOMOTIVE GP   | COM | 256743105 | 77     | 5540    | SH | DEFINED | 0 |
| DOLLAR THRIFTY AUTOMOTIVE GRCO | COM | 256743105 | 156    | 11201   | SH | DEFINED | 0 |
| DOLLAR TREE INC                | COM | 256746108 | 8343   | 198162  | SH | DEFINED | 0 |
| DOLLAR TREE INC                | COM | 256746108 | 25     | 596     | SH | DEFINED | 0 |
| DOMINION RES BLACK WARRIOR TR  | COM | 25746Q108 | 19     | 1185    | SH | DEFINED | 0 |
| DOMINION RESOURCES INC/VA      | COM | 25746U109 | 100559 | 3008963 | SH | DEFINED | 0 |
| DOMINION RESOURCES INC/VA      | COM | 25746U109 | 1284   | 38411   | SH | DEFINED | 0 |
| DOMINION RESOURCES INC/VA      | COM | 25746U109 | 1011   | 30251   | SH | DEFINED | 0 |
| DOMINO'S PIZZA INC             | COM | 25754A201 | 625    | 83482   | SH | DEFINED | 0 |
| DOMINO'S PIZZA INC             | COM | 25754A201 | 55     | 7400    | SH | DEFINED | 0 |
| DOMINO'S PIZZA INC             | COM | 25754A201 | 0      | 50      | SH | DEFINED | 0 |
| DOMTAR CORP                    | COM | 257559203 | 7410   | 446908  | SH | DEFINED | 0 |
| DONALDSON CO INC               | COM | 257651109 | 6804   | 193600  | SH | DEFINED | 0 |
| DONALDSON CO INC               | COM | 257651109 | 12     | 336     | SH | DEFINED | 0 |
| RR DONNELLEY & SONS CO         | COM | 257867101 | 14665  | 1262267 | SH | DEFINED | 0 |
| RR DONNELLEY & SONS CO         | COM | 257867101 | 279    | 24021   | SH | DEFINED | 0 |
| RR DONNELLEY & SONS CO         | COM | 257867101 | 8      | 673     | SH | DEFINED | 0 |
| DORAL FINL CORP COM NEW        | COM | 25811P886 | 0      | 138     | SH | DEFINED | 0 |
| DOUBLE EAGLE PETROLEUM         | COM | 258570209 | 44     | 8750    | SH | DEFINED | 0 |
| DOUBLE-TAKE SOFTWARE INC       | COM | 258598101 | 114    | 13200   | SH | DEFINED | 0 |
| DOUBLE-TAKE SOFTWARE INC       | COM | 258598101 | 23     | 2700    | SH | DEFINED | 0 |
| DOUGLAS EMMETT INC             | COM | 25960P109 | 1542   | 171473  | SH | DEFINED | 0 |
| DOVER CORP                     | COM | 260003108 | 9607   | 290377  | SH | DEFINED | 0 |
| DOVER CORP                     | COM | 260003108 | 380    | 11484   | SH | DEFINED | 0 |
| DOVER CORP                     | COM | 260003108 | 30     | 893     | SH | DEFINED | 0 |
| DOVER SADDLERY INC COM         | COM | 260412101 | 0      | 100     | SH | DEFINED | 0 |
| DOW 30SM ENHANCED PREM & INC   | COM | 260537105 | 19     | 1702    | SH | DEFINED | 0 |
| DOW CHEMICAL                   | COM | 260543103 | 149748 | 9278176 | SH | DEFINED | 0 |
| DOW CHEMICAL                   | COM | 260543103 | 1504   | 93204   | SH | DEFINED | 0 |
| DOW CHEMICAL                   | COM | 260543103 | 581    | 36003   | SH | DEFINED | 0 |
| DOW 30 PREMIUM & DIVIDEND      | COM | 260582101 | 0      | 9       | SH | DEFINED | 0 |
| DR PEPPER SNAPPLE GROUP INC    | COM | 26138E109 | 2342   | 110549  | SH | DEFINED | 0 |
| DR PEPPER SNAPPLE GROUP INC    | COM | 26138E109 | 281    | 13238   | SH | DEFINED | 0 |
| DR PEPPER SNAPPLE GROUP INC    | COM | 26138E109 | 52     | 2461    | SH | DEFINED | 0 |
| DRDGOLD LTD SPONSORED          | COM | 26152H301 | 1      | 114     | SH | DEFINED | 0 |
| DREAMWORKS ANIMATION SKG-A     | COM | 26153C103 | 221    | 8009    | SH | DEFINED | 0 |
| DREAMWORKS ANIMATION SKG-A     | COM | 26153C103 | 7      | 264     | SH | DEFINED | 0 |
| DRESS BARN INC                 | COM | 261570105 | 6817   | 476777  | SH | DEFINED | 0 |
| DRESS BARN INC                 | COM | 261570105 | 104    | 7300    | SH | DEFINED | 0 |
| DRESSER RAND GROUP INC COM     | COM | 261608103 | 37     | 1427    | SH | DEFINED | 0 |
| DREW INDUSTRIES INC            | COM | 26168L205 | 267    | 21945   | SH | DEFINED | 0 |
| DREYFUS STRATEGIC MUNS INC     | COM | 261932107 | 33     | 4700    | SH | DEFINED | 0 |
| DREYFUS HIGH YIELD STRATEGIES  | COM | 26200S101 | 181    | 59341   | SH | DEFINED | 0 |
| DREYFUS MUN INCOME INC         | COM | 26201R102 | 113    | 14636   | SH | DEFINED | 0 |
| DREYFUS STRATEGIC MUN BD FD    | COM | 26202F107 | 64     | 9616    | SH | DEFINED | 0 |
| DRIL-QUIP INC                  | COM | 262037104 | 2559   | 67150   | SH | DEFINED | 0 |
| DRIL-QUIP INC                  | COM | 262037104 | 32     | 850     | SH | DEFINED | 0 |
| DU PONT (E.I.) DE NEMOURS      | COM | 263534109 | 182495 | 7123198 | SH | DEFINED | 0 |
| DU PONT (E.I.) DE NEMOURS      | COM | 263534109 | 2023   | 78983   | SH | DEFINED | 0 |
| DU PONT (E.I.) DE NEMOURS      | COM | 263534109 | 316    | 12370   | SH | DEFINED | 0 |
| DU PONT (E.I.) DE NEMOURS      | COM | 263534109 | 690    | 26932   | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| DU PONT E I DE NEMOURS CO      | COM | 263534109 | 3471   | 135463   | SH | DEFINED | 0 |
| DUCOMMUN INC                   | COM | 264147109 | 770    | 41026    | SH | DEFINED | 0 |
| DUFF & PHELPS UTIL &CORP BD TR | COM | 26432K108 | 31     | 2550     | SH | DEFINED | 0 |
| DUFF & PHELPS CORP             | COM | 26433B107 | 645    | 36258    | SH | DEFINED | 0 |
| DUKE REALTY CORP               | COM | 264411505 | 7457   | 850192   | SH | DEFINED | 0 |
| DUKE REALTY CORP               | COM | 264411505 | 37     | 4255     | SH | DEFINED | 0 |
| DUKE ENERGY CORP               | COM | 26441C105 | 10815  | 741213   | SH | DEFINED | 0 |
| DUKE ENERGY CORP               | COM | 26441C105 | 1458   | 99955    | SH | DEFINED | 0 |
| DUKE ENERGY CORP               | COM | 26441C105 | 1983   | 135932   | SH | DEFINED | 0 |
| DUN & BRADSTREET CORP          | COM | 26483E100 | 8940   | 110093   | SH | DEFINED | 0 |
| DUN & BRADSTREET CORP          | COM | 26483E100 | 327    | 4023     | SH | DEFINED | 0 |
| DUN & BRADSTREET CORP          | COM | 26483E100 | 3      | 43       | SH | DEFINED | 0 |
| DUNCAN ENERGY PARTNERS L       | COM | 265026104 | 8      | 500      | SH | DEFINED | 0 |
| DUSA PHARMACEUTICALSINC        | COM | 266898105 | 0      | 1        | SH | DEFINED | 0 |
| ABC-MART INC                   | COM | 2670.JP   | 18352  | 7400     | SH | DEFINED | 0 |
| DYCOM INDUSTRIES INC           | COM | 267475101 | 1946   | 175849   | SH | DEFINED | 0 |
| DYCOM INDUSTRIES INC           | COM | 267475101 | 72     | 6500     | SH | DEFINED | 0 |
| DYNCORP INTERNATIONAL INC-A    | COM | 26817C101 | 201    | 11989    | SH | DEFINED | 0 |
| DYNCORP INTERNATIONAL INC-A    | COM | 26817C101 | 2      | 100      | SH | DEFINED | 0 |
| DYNEGY INC-CL A                | COM | 26817G102 | 45     | 19695    | SH | DEFINED | 0 |
| DYNEGY INC-CL A                | COM | 26817G102 | 45     | 19944    | SH | DEFINED | 0 |
| DYNEGY INC-CL A                | COM | 26817G102 | 12     | 5293     | SH | DEFINED | 0 |
| DYNEX CAPITAL INC              | COM | 26817Q506 | 2      | 292      | SH | DEFINED | 0 |
| DYNEX CAP INC PFD SER D        | PRF | 26817Q605 | 4      | 426      | SH | DEFINED | 0 |
| EDCI HLDGS INC COM             | COM | 268315108 | 0      | 5        | SH | DEFINED | 0 |
| EF JOHNSON TECHNOLOGIES INC    | COM | 26843B101 | 39     | 61900    | SH | DEFINED | 0 |
| EF JOHNSON TECHNOLOGIES INC    | COM | 26843B101 | 1      | 1000     | SH | DEFINED | 0 |
| E-HOUSE CHINA HOLDINGS-ADS     | COM | 26852W103 | 130    | 8430     | SH | DEFINED | 0 |
| E-HOUSE CHINA HOLDINGS-ADS     | COM | 26852W103 | 243    | 15764    | SH | DEFINED | 0 |
| EMC CORP/MASS                  | COM | 268648102 | 162241 | 12384755 | SH | DEFINED | 0 |
| EMC CORP/MASS                  | COM | 268648102 | 1561   | 119180   | SH | DEFINED | 0 |
| EMC CORP/MASS                  | COM | 268648102 | 1335   | 101874   | SH | DEFINED | 0 |
| E M C CORP MASS SR NT CV 1     | COM | 268648AK8 | 3      | 3000     | SH | DEFINED | 0 |
| EMC INS GROUP INC              | COM | 268664109 | 218    | 10497    | SH | DEFINED | 0 |
| EMS TECHNOLOGIES INC           | COM | 26873N108 | 381    | 18244    | SH | DEFINED | 0 |
| EMS TECHNOLOGIES INC           | COM | 26873N108 | 3      | 150      | SH | DEFINED | 0 |
| ENSCO INTERNATIONAL INC        | COM | 26874Q100 | 26443  | 758316   | SH | DEFINED | 0 |
| ENSCO INTERNATIONAL INC        | COM | 26874Q100 | 3198   | 91713    | SH | DEFINED | 0 |
| ENSCO INTERNATIONAL INC        | COM | 26874Q100 | 583    | 16714    | SH | DEFINED | 0 |
| ENSCO INTERNATIONAL INC        | COM | 26874Q100 | 44915  | 1290261  | SH | DEFINED | 0 |
| ENSCO INTERNATIONAL INC        | COM | 26874Q100 | 70     | 1996     | SH | DEFINED | 0 |
| ENI SPA-SPONSORED ADR          | COM | 26874R108 | 1090   | 22989    | SH | DEFINED | 0 |
| ENI SPA-SPONSORED ADR          | COM | 26874R108 | 13     | 284      | SH | DEFINED | 0 |
| EOG RESOURCES INC              | COM | 26875P101 | 49529  | 729243   | SH | DEFINED | 0 |
| EOG RESOURCES INC              | COM | 26875P101 | 953    | 14028    | SH | DEFINED | 0 |
| EOG RESOURCES INC              | COM | 26875P101 | 9774   | 131500   | SH | DEFINED | 0 |
| EOG RESOURCES INC              | COM | 26875P101 | 240    | 3538     | SH | DEFINED | 0 |
| EPIQ SYSTEMS INC               | COM | 26882D109 | 1567   | 102154   | SH | DEFINED | 0 |
| EPIQ SYSTEMS INC               | COM | 26882D109 | 16     | 1009     | SH | DEFINED | 0 |
| EQUITABLE RESOURCES INC        | COM | 26884L109 | 14394  | 412350   | SH | DEFINED | 0 |
| EQUITABLE RESOURCES INC        | COM | 26884L109 | 194    | 5571     | SH | DEFINED | 0 |
| EQUITABLE RESOURCES INC        | COM | 26884L109 | 91     | 2000     | SH | DEFINED | 0 |
| EQUITABLE RESOURCES INC        | COM | 26884L109 | 300    | 8583     | SH | DEFINED | 0 |
| E*TRADE FINANCIAL CORP         | COM | 269246104 | 472    | 369147   | SH | DEFINED | 0 |
| E*TRADE FINANCIAL CORP         | COM | 269246104 | 253    | 197631   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                 |     |           |        |         |    |         |   |
|---------------------------------|-----|-----------|--------|---------|----|---------|---|
| E*TRADE FINANCIAL CORP          | COM | 269246104 | 10     | 7506    | SH | DEFINED | 0 |
| EV ENERGY PARTNERS LP COM UNI   | COM | 26926V107 | 32     | 1680    | SH | DEFINED | 0 |
| EXCO RESOURCES INC              | COM | 269279402 | 9238   | 715029  | SH | DEFINED | 0 |
| EXCO RESOURCES INC              | COM | 269279402 | 84     | 6500    | SH | DEFINED | 0 |
| EV3 INC                         | COM | 26928A200 | 189    | 17671   | SH | DEFINED | 0 |
| EV3 INC                         | COM | 26928A200 | 61     | 5696    | SH | DEFINED | 0 |
| EV3 INC                         | COM | 26928A200 | 1      | 100     | SH | DEFINED | 0 |
| EAGLE MATERIALS INC             | COM | 26969P108 | 1320   | 52288   | SH | DEFINED | 0 |
| EAGLE MATERIALS INC             | COM | 26969P108 | 47     | 1844    | SH | DEFINED | 0 |
| EARTHLINK INC                   | COM | 270321102 | 3545   | 478568  | SH | DEFINED | 0 |
| EARTHLINK INC                   | COM | 270321102 | 48     | 6500    | SH | DEFINED | 0 |
| EARTHLINK INC                   | COM | 270321102 | 24     | 3190    | SH | DEFINED | 0 |
| EAST WEST BANCORP INC           | COM | 27579R104 | 607    | 93480   | SH | DEFINED | 0 |
| EAST WEST BANCORP INC           | COM | 27579R104 | 22     | 3400    | SH | DEFINED | 0 |
| EAST WEST BANCORP INC           | COM | 27579R104 | 13     | 2000    | SH | DEFINED | 0 |
| EASTERN AMER NATURAL GAS TR     | COM | 276217106 | 1157   | 48200   | SH | DEFINED | 0 |
| EASTERN AMER NATURAL GAS TR     | COM | 276217106 | 27     | 1127    | SH | DEFINED | 0 |
| EASTGROUP PROPERTIES INC        | COM | 277276101 | 3056   | 92553   | SH | DEFINED | 0 |
| EASTGROUP PROPERTIES INC        | COM | 277276101 | 35     | 1050    | SH | DEFINED | 0 |
| EASTGROUP PROPERTIES INC        | COM | 277276101 | 4      | 131     | SH | DEFINED | 0 |
| EASTMAN CHEMICAL COMPANY        | COM | 277432100 | 51507  | 1359018 | SH | DEFINED | 0 |
| EASTMAN CHEMICAL COMPANY        | COM | 277432100 | 376    | 9926    | SH | DEFINED | 0 |
| EASTMAN CHEMICAL COMPANY        | COM | 277432100 | 43     | 1144    | SH | DEFINED | 0 |
| EASTMAN KODAK CO                | COM | 277461109 | 11218  | 3789813 | SH | DEFINED | 0 |
| EASTMAN KODAK CO                | COM | 277461109 | 323    | 108977  | SH | DEFINED | 0 |
| EASTMAN KODAK CO                | COM | 277461109 | 17     | 5629    | SH | DEFINED | 0 |
| EATON CORP                      | COM | 278058102 | 136635 | 3062871 | SH | DEFINED | 0 |
| EATON CORP                      | COM | 278058102 | 710    | 15925   | SH | DEFINED | 0 |
| EATON CORP                      | COM | 278058102 | 29     | 750     | SH | DEFINED | 0 |
| EATON CORP                      | COM | 278058102 | 697    | 15629   | SH | DEFINED | 0 |
| EATON VANCE CORP                | COM | 278265103 | 190    | 7100    | SH | DEFINED | 0 |
| EATON VANCE CORP                | COM | 278265103 | 147    | 3850    | SH | DEFINED | 0 |
| EATON VANCE CORP                | COM | 278265103 | 63     | 2338    | SH | DEFINED | 0 |
| EATON VANCE CAL MUNIINCOME      | COM | 27826F101 | 4      | 350     | SH | DEFINED | 0 |
| EATON VANCE MUN INCOME TR       | COM | 27826U108 | 38     | 3990    | SH | DEFINED | 0 |
| EATON VANCE ENHANCED EQUITY INC | COM | 278274105 | 48     | 3929    | SH | DEFINED | 0 |
| EATON VANCE ENHANCED E          | COM | 278277108 | 114    | 9274    | SH | DEFINED | 0 |
| EATON VANCE FLOATING RATE INCO  | COM | 278279104 | 43     | 4109    | SH | DEFINED | 0 |
| EATON VANCE INSD MUN BD FD      | COM | 27827X101 | 238    | 20577   | SH | DEFINED | 0 |
| EATON VANCE INSD CALIF MUN      | COM | 27828A100 | 5      | 500     | SH | DEFINED | 0 |
| EATON VANCE TAX ADVANTAGE       | COM | 27828G107 | 372    | 30784   | SH | DEFINED | 0 |
| EATON VANCE LTD DURATION I      | COM | 27828H105 | 325    | 25370   | SH | DEFINED | 0 |
| EATON VANCE TAX MANAGED DI      | COM | 27828N102 | 1734   | 147593  | SH | DEFINED | 0 |
| EATON VANCE SR FLOATING R       | COM | 27828Q105 | 325    | 30907   | SH | DEFINED | 0 |
| EATON VANCE TAX ADVANTAGED      | COM | 27828S101 | 130    | 12134   | SH | DEFINED | 0 |
| EATON VANCE TAX ADVANTAGED      | COM | 27828U106 | 128    | 8622    | SH | DEFINED | 0 |
| EATON VANCE SHORT DURATION D    | COM | 27828V104 | 322    | 22386   | SH | DEFINED | 0 |
| EATON VANCE TAX MANAGED BU      | COM | 27828X100 | 78     | 5535    | SH | DEFINED | 0 |
| EATON VANCE TAX MANAGED BU      | COM | 27828Y108 | 288    | 22713   | SH | DEFINED | 0 |
| EATON VANCE TAX MANAGED GL      | COM | 27829C105 | 438    | 36619   | SH | DEFINED | 0 |
| EATON VANCE TAX MANAGED GL      | COM | 27829F108 | 1559   | 144504  | SH | DEFINED | 0 |
| EATON VANCE RISK MANAGED DI     | COM | 27829G106 | 9253   | 543682  | SH | DEFINED | 0 |
| EBAY INC                        | COM | 278642103 | 51478  | 3005098 | SH | DEFINED | 0 |
| EBAY INC                        | COM | 278642103 | 2139   | 124893  | SH | DEFINED | 0 |
| EBAY INC                        | COM | 278642103 | 1053   | 61473   | SH | DEFINED | 0 |
| EBAY INC                        | COM | 278642103 | 7322   | 427960  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| EBAY INC                      | COM | 278642103 | 572   | 33397   | SH | DEFINED | 0 |
| EBIX INC                      | COM | 278715206 | 290   | 9249    | SH | DEFINED | 0 |
| EBIX INC                      | COM | 278715206 | 38    | 1200    | SH | DEFINED | 0 |
| ECHELON CORP                  | COM | 27874N105 | 131   | 15390   | SH | DEFINED | 0 |
| EHOSTAR CORP-A                | COM | 278768106 | 0     | 4       | SH | DEFINED | 0 |
| EHOSTAR CORP-A                | COM | 278768106 | 0     | 5       | SH | DEFINED | 0 |
| ECLIPSYS CORP                 | COM | 278856109 | 9622  | 541199  | SH | DEFINED | 0 |
| ECLIPSYS CORP                 | COM | 278856109 | 2489  | 140000  | SH | DEFINED | 0 |
| ECLIPSYS CORP COM             | COM | 278856109 | 965   | 54262   | SH | DEFINED | 0 |
| ECOLAB INC                    | COM | 278865100 | 2996  | 76834   | SH | DEFINED | 0 |
| ECOLAB INC                    | COM | 278865100 | 574   | 14732   | SH | DEFINED | 0 |
| ECOLAB INC                    | COM | 278865100 | 33123 | 845978  | SH | DEFINED | 0 |
| ECOLAB INC                    | COM | 278865100 | 83    | 2123    | SH | DEFINED | 0 |
| EDIETS COM INC                | COM | 280597105 | 0     | 26      | SH | DEFINED | 0 |
| EDISON INTERNATIONAL          | COM | 281020107 | 4834  | 153637  | SH | DEFINED | 0 |
| EDISON INTERNATIONAL          | COM | 281020107 | 862   | 27385   | SH | DEFINED | 0 |
| EDISON INTERNATIONAL          | COM | 281020107 | 588   | 18704   | SH | DEFINED | 0 |
| EDWARDS LIFESCIENCES CORP     | COM | 28176E108 | 1829  | 26880   | SH | DEFINED | 0 |
| EDWARDS LIFESCIENCES CORP     | COM | 28176E108 | 604   | 8876    | SH | DEFINED | 0 |
| EHEALTH INC                   | COM | 28238P109 | 1477  | 83671   | SH | DEFINED | 0 |
| EINSTEIN NOAH RESTAURANT GRO  | COM | 28257U104 | 158   | 18268   | SH | DEFINED | 0 |
| EINSTEIN NOAH RESTAURANT GRO  | COM | 28257U104 | 2     | 200     | SH | DEFINED | 0 |
| EL PASO CORP                  | COM | 28336L109 | 30904 | 3348024 | SH | DEFINED | 0 |
| EL PASO CORP                  | COM | 28336L109 | 337   | 36536   | SH | DEFINED | 0 |
| EL PASO CORP                  | COM | 28336L109 | 205   | 22240   | SH | DEFINED | 0 |
| EL PASO ELECTRIC CO           | COM | 283677854 | 2999  | 214839  | SH | DEFINED | 0 |
| EL PASO ENERGY CAP TR I PFD 4 | PRF | 283678209 | 13    | 400     | SH | DEFINED | 0 |
| ELAN CORP PLC - SPONS ADR     | COM | 284131208 | 738   | 115855  | SH | DEFINED | 0 |
| ELDORADO GOLD CORP            | COM | 284902103 | 473   | 52800   | SH | DEFINED | 0 |
| ELDORADO GOLD CORP            | COM | 284902103 | 26    | 2932    | SH | DEFINED | 0 |
| ELECTRO RENT CORP             | COM | 285218103 | 1973  | 207880  | SH | DEFINED | 0 |
| ELECTRO SCIENTIFIC INDS INC   | COM | 285229100 | 345   | 30864   | SH | DEFINED | 0 |
| ELECTRONIC ARTS INC           | COM | 285512109 | 211   | 9685    | SH | DEFINED | 0 |
| ELECTRONIC ARTS INC           | COM | 285512109 | 283   | 13014   | SH | DEFINED | 0 |
| ELECTRONIC ARTS INC           | COM | 285512109 | 23    | 1070    | SH | DEFINED | 0 |
| ELECTRONICS FOR IMAGING       | COM | 286082102 | 90164 | 8457955 | SH | DEFINED | 0 |
| ELECTRONICS FOR IMAGING       | COM | 286082102 | 48    | 4500    | SH | DEFINED | 0 |
| ELECTRONICS FOR IMAGING       | COM | 286082102 | 3     | 301     | SH | DEFINED | 0 |
| PERRY ELLIS INTERNATIONAL     | COM | 288853104 | 58    | 7944    | SH | DEFINED | 0 |
| EMBARQ CORP                   | COM | 29078E105 | 48308 | 1148579 | SH | DEFINED | 0 |
| EMBARQ CORP                   | COM | 29078E105 | 547   | 12995   | SH | DEFINED | 0 |
| EMBARQ CORP                   | COM | 29078E105 | 25    | 602     | SH | DEFINED | 0 |
| EMBRAER EMPRESA BRASILERIA    | COM | 29081M102 | 17    | 1005    | SH | DEFINED | 0 |
| EMCORE CORP                   | COM | 290846104 | 29    | 23100   | SH | DEFINED | 0 |
| EMCORE CORP                   | COM | 290846104 | 1     | 450     | SH | DEFINED | 0 |
| EMCOR GROUP INC               | COM | 29084Q100 | 13770 | 684433  | SH | DEFINED | 0 |
| EMCOR GROUP INC               | COM | 29084Q100 | 247   | 12286   | SH | DEFINED | 0 |
| EMCOR GROUP INC               | COM | 29084Q100 | 27    | 1339    | SH | DEFINED | 0 |
| EMERGING MARKET TELECOMMUN    | COM | 290890102 | 10    | 698     | SH | DEFINED | 0 |
| EMERGENT BIOSOLUTIONS INC     | COM | 29089Q105 | 374   | 26036   | SH | DEFINED | 0 |
| EMERGENT BIOSOLUTIONS INC     | COM | 29089Q105 | 33    | 2300    | SH | DEFINED | 0 |
| EMERGENT BIOSOLUTIONS INC     | COM | 29089Q105 | 16    | 1100    | SH | DEFINED | 0 |
| EMERITUS CORP                 | COM | 291005106 | 212   | 16055   | SH | DEFINED | 0 |
| EMERGENCY MEDICAL SERVICES-A  | COM | 29100P102 | 5316  | 144373  | SH | DEFINED | 0 |
| EMERGENCY MEDICAL SERVICES-A  | COM | 29100P102 | 37    | 1000    | SH | DEFINED | 0 |
| EMERSON ELECTRIC CO           | COM | 291011104 | 21090 | 650945  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| EMERSON ELECTRIC CO            | COM | 291011104 | 1436  | 44322   | SH | DEFINED | 0 |
| EMERSON ELECTRIC CO            | COM | 291011104 | 1258  | 38822   | SH | DEFINED | 0 |
| EMERSON RADIO CORP             | COM | 291087203 | 3     | 5900    | SH | DEFINED | 0 |
| EMISPHERE TECH INC             | COM | 291345106 | 3     | 3000    | SH | DEFINED | 0 |
| EMPIRE DISTRICT ELECTRIC CO    | COM | 291641108 | 2288  | 138503  | SH | DEFINED | 0 |
| EMPIRE DISTRICT ELECTRIC CO    | COM | 291641108 | 51    | 3100    | SH | DEFINED | 0 |
| EMPIRE DISTRICT ELECTRIC CO    | COM | 291641108 | 64    | 3845    | SH | DEFINED | 0 |
| EMPIRE RESORTS INC             | COM | 292052107 | 37    | 20401   | SH | DEFINED | 0 |
| EMPIRE RESOURCES INCDEL COM    | COM | 29206E100 | 1     | 500     | SH | DEFINED | 0 |
| EMPLOYERS HOLDINGS INC         | COM | 292218104 | 3232  | 238507  | SH | DEFINED | 0 |
| EMPLOYERS HOLDINGS INC         | COM | 292218104 | 102   | 7500    | SH | DEFINED | 0 |
| EMPRESAS ICA SOCIEDAD C        | COM | 292448206 | 0     | 6       | SH | DEFINED | 0 |
| EMRISE CORP COM NEW            | COM | 29246J200 | 0     | 1       | SH | DEFINED | 0 |
| EMULEX CORP                    | COM | 292475209 | 292   | 29900   | SH | DEFINED | 0 |
| EMULEX CORP                    | COM | 292475209 | 3     | 300     | SH | DEFINED | 0 |
| EN POINTE TECH INC             | COM | 29247F108 | 9     | 4000    | SH | DEFINED | 0 |
| ENCANA CORP                    | COM | 292505104 | 41206 | 832981  | SH | DEFINED | 0 |
| ENCANA CORP                    | COM | 292505104 | 90    | 1829    | SH | DEFINED | 0 |
| ENCANA CORP                    | COM | 292505104 | 361   | 7295    | SH | DEFINED | 0 |
| ENBRIDGE INC                   | COM | 29250N105 | 64808 | 1866102 | SH | DEFINED | 0 |
| ENBRIDGE INC                   | COM | 29250N105 | 10    | 300     | SH | DEFINED | 0 |
| ENBRIDGE ENERGY PARTNERS L     | COM | 29250R106 | 186   | 4834    | SH | DEFINED | 0 |
| ENCORE CAPITAL GROUP INC       | COM | 292554102 | 298   | 22465   | SH | DEFINED | 0 |
| ENCORE ACQUISITION CO          | COM | 29255W100 | 7772  | 251914  | SH | DEFINED | 0 |
| ENCORE WIRE CORP               | COM | 292562105 | 1203  | 56337   | SH | DEFINED | 0 |
| ENCORE WIRE CORP               | COM | 292562105 | 107   | 5000    | SH | DEFINED | 0 |
| ENCORE WIRE CORP               | COM | 292562105 | 3     | 151     | SH | DEFINED | 0 |
| ENDEAVOUR SILVER CRPISIN #CA29 | COM | 29258Y103 | 6     | 3200    | SH | DEFINED | 0 |
| ENDO PHARMACEUT HLDGS INC      | COM | 29264F205 | 1976  | 110300  | SH | DEFINED | 0 |
| ENDO PHARMACEUT HLDGS INC      | COM | 29264F205 | 2     | 129     | SH | DEFINED | 0 |
| ENERGY CONVERSION DEVICES      | COM | 292659109 | 5905  | 417306  | SH | DEFINED | 0 |
| ENERGY CONVERSION DEVICES      | COM | 292659109 | 104   | 7328    | SH | DEFINED | 0 |
| ENERGY CONVERSION DEVICES      | COM | 292659109 | 46    | 3233    | SH | DEFINED | 0 |
| ENERGEN CORP                   | COM | 29265N108 | 3467  | 86886   | SH | DEFINED | 0 |
| ENERGEN CORP                   | COM | 29265N108 | 230   | 5763    | SH | DEFINED | 0 |
| ENERGIZER HOLDINGS INC         | COM | 29266R108 | 1536  | 29400   | SH | DEFINED | 0 |
| ENERGIZER HOLDINGS INC         | COM | 29266R108 | 850   | 16300   | SH | DEFINED | 0 |
| ENERGIZER HOLDINGS INC         | COM | 29266R108 | 123   | 2355    | SH | DEFINED | 0 |
| ENERGY RECOVERY INC COM        | COM | 29270J100 | 8     | 1200    | SH | DEFINED | 0 |
| ENERGY PARTNERS LTD COM        | COM | 29270U105 | 0     | 483     | SH | DEFINED | 0 |
| ENERGY TRANSFER PARTNERS L     | COM | 29273R109 | 122   | 3002    | SH | DEFINED | 0 |
| ENERGY TRANSFER EQUITY L P     | COM | 29273V100 | 36    | 1400    | SH | DEFINED | 0 |
| ENERPLUS RES FD TR UNIT SER G  | COM | 29274D604 | 462   | 21507   | SH | DEFINED | 0 |
| ENERSIS SA -SPONS ADR          | COM | 29274F104 | 2903  | 157528  | SH | DEFINED | 0 |
| ENERSIS SA -SPONS ADR          | COM | 29274F104 | 31    | 1698    | SH | DEFINED | 0 |
| ENERGYSOLUTIONS INC            | COM | 292756202 | 2708  | 294300  | SH | DEFINED | 0 |
| ENERGYSOLUTIONS INC            | COM | 292756202 | 10486 | 1142205 | SH | DEFINED | 0 |
| ENERGYSOLUTIONS INC            | COM | 292756202 | 129   | 14029   | SH | DEFINED | 0 |
| ENERSYS                        | COM | 29275Y102 | 12961 | 712470  | SH | DEFINED | 0 |
| ENERSYS                        | COM | 29275Y102 | 71    | 3900    | SH | DEFINED | 0 |
| ENERSYS                        | COM | 29275Y102 | 2910  | 160000  | SH | DEFINED | 0 |
| ENERSYS                        | COM | 29275Y102 | 1201  | 66012   | SH | DEFINED | 0 |
| ENERNOC INC                    | COM | 292764107 | 21    | 968     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| ENERNOC INC                    | COM | 292764107 | 117   | 5420    | SH | DEFINED | 0 |
| ENGLOBAL CORP                  | COM | 293306106 | 30    | 6060    | SH | DEFINED | 0 |
| ENGLOBAL CORP                  | COM | 293306106 | 5     | 1012    | SH | DEFINED | 0 |
| ENHANCED S&P 500 COVERED CA    | COM | 29332W107 | 10    | 1177    | SH | DEFINED | 0 |
| ENNIS INC                      | COM | 293389102 | 4284  | 343796  | SH | DEFINED | 0 |
| ENNIS INC                      | COM | 293389102 | 65    | 5229    | SH | DEFINED | 0 |
| ENPRO INDUSTRIES INC           | COM | 29355X107 | 1130  | 62829   | SH | DEFINED | 0 |
| ENPRO INDUSTRIES INC           | COM | 29355X107 | 92    | 5082    | SH | DEFINED | 0 |
| ENPRO INDUSTRIES INC           | COM | 29355X107 | 4     | 206     | SH | DEFINED | 0 |
| ENSIGN GROUP INC/THE           | COM | 29358P101 | 61    | 4300    | SH | DEFINED | 0 |
| ENTEGRIS INC                   | COM | 29362U104 | 2808  | 1032500 | SH | DEFINED | 0 |
| ENTEGRITY SOLUTIONS            | COM | 29362W993 | 0     | 3325    | SH | DEFINED | 0 |
| ENTERCOM COMMS CORP            | COM | 293639100 | 3     | 1800    | SH | DEFINED | 0 |
| ENERGY CORP                    | COM | 29364G103 | 512   | 6605    | SH | DEFINED | 0 |
| ENERGY CORP                    | COM | 29364G103 | 828   | 10685   | SH | DEFINED | 0 |
| ENERGY CORP                    | COM | 29364G103 | 177   | 2278    | SH | DEFINED | 0 |
| ENTERPRISE GP HLDGS L P UNIT L | COM | 293716106 | 30    | 1200    | SH | DEFINED | 0 |
| ENTERPRISE PRODUCTS PPTNS LP   | COM | 293792107 | 339   | 13601   | SH | DEFINED | 0 |
| ENTERTAINMENT PROPERTIES TR    | COM | 29380T105 | 1858  | 90200   | SH | DEFINED | 0 |
| ENTERTAINMENT PROPERTIES TR    | COM | 29380T105 | 57    | 2773    | SH | DEFINED | 0 |
| ENTERTAINMENT PROPERTIES TR    | COM | 29380T105 | 43    | 2072    | SH | DEFINED | 0 |
| ENTERTAINMENT PPTYS TR C       | PRF | 29380T600 | 3     | 180     | SH | DEFINED | 0 |
| ENTERRA ENERGY TR TR UNITS I   | COM | 29381P102 | 3     | 2252    | SH | DEFINED | 0 |
| ENTREMED INC                   | COM | 29382F103 | 0     | 75      | SH | DEFINED | 0 |
| ENTRUST INC                    | COM | 293848107 | 1770  | 977800  | SH | DEFINED | 0 |
| ENZON PHARMACEUTICALS INC      | COM | 293904108 | 134   | 17040   | SH | DEFINED | 0 |
| ENTEGRITY SOLUTIONS CORP       | COM | 29399A956 | 0     | 15477   | SH | DEFINED | 0 |
| ENVIRONMENTAL PWR CORP COM N   | COM | 29406L201 | 0     | 71      | SH | DEFINED | 0 |
| ENZO BIOCHEM INC               | COM | 294100102 | 163   | 36894   | SH | DEFINED | 0 |
| ENVOY CAP GROUP INC ISIN #CA29 | COM | 29413B105 | 0     | 100     | SH | DEFINED | 0 |
| EPICOR SOFTWARE CORP           | COM | 29426L108 | 495   | 93402   | SH | DEFINED | 0 |
| EPICOR SOFTWARE CORP           | COM | 29426L108 | 75    | 14200   | SH | DEFINED | 0 |
| EPICOR SOFTWARE CORP           | COM | 29426L108 | 5     | 975     | SH | DEFINED | 0 |
| EQUIFAX INC                    | COM | 294429105 | 81    | 3085    | SH | DEFINED | 0 |
| EQUIFAX INC                    | COM | 294429105 | 140   | 5352    | SH | DEFINED | 0 |
| EQUIFAX INC                    | COM | 294429105 | 48    | 1847    | SH | DEFINED | 0 |
| EQUINIX INC                    | COM | 29444U502 | 3021  | 41531   | SH | DEFINED | 0 |
| EQUITY LIFESTYLE PROPERTIES    | COM | 29472R108 | 5773  | 155270  | SH | DEFINED | 0 |
| EQUITY LIFESTYLE PROPERTIES    | COM | 29472R108 | 71    | 1900    | SH | DEFINED | 0 |
| EQUITY ONE INC                 | COM | 294752100 | 154   | 11600   | SH | DEFINED | 0 |
| EQUITY ONE INC                 | COM | 294752100 | 50    | 3800    | SH | DEFINED | 0 |
| EQUITY ONE INC                 | COM | 294752100 | 2     | 125     | SH | DEFINED | 0 |
| EQUUS TOTAL RETURN INC         | COM | 294766100 | 23    | 7021    | SH | DEFINED | 0 |
| EQUITY RESIDENTIAL             | COM | 29476L107 | 24236 | 1090235 | SH | DEFINED | 0 |
| EQUITY RESIDENTIAL             | COM | 29476L107 | 328   | 14762   | SH | DEFINED | 0 |
| EQUITY RESIDENTIAL             | COM | 29476L107 | 353   | 15917   | SH | DEFINED | 0 |
| EQUITY RESIDENTIAL             | COM | 29476L107 | 167   | 7533    | SH | DEFINED | 0 |
| ERESEARCH TECHNOLOGY INC       | COM | 29481V108 | 183   | 29407   | SH | DEFINED | 0 |
| ERICSSON (LM) TEL-SP ADR       | COM | 294821608 | 27424 | 2803907 | SH | DEFINED | 0 |
| ERICSSON (LM) TEL-SP ADR       | COM | 294821608 | 1395  | 142655  | SH | DEFINED | 0 |
| ERIE INDEMNITY COMPANY-CL A    | COM | 29530P102 | 2616  | 73160   | SH | DEFINED | 0 |
| ERIE INDEMNITY COMPANY-CL A    | COM | 29530P102 | 18    | 500     | SH | DEFINED | 0 |
| ESCALON MEDICAL CORPISIN #US29 | COM | 296074305 | 2     | 1000    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| ESCO TECHNOLOGIES INC          | COM | 296315104 | 4222   | 94260   | SH | DEFINED | 0 |
| ESSA BANCORP INC               | COM | 29667D104 | 48     | 3487    | SH | DEFINED | 0 |
| ESSEX PROPERTY TRUST INC       | COM | 297178105 | 4481   | 72013   | SH | DEFINED | 0 |
| ESSEX PROPERTY TRUST INC       | COM | 297178105 | 92     | 850     | SH | DEFINED | 0 |
| ESTERLINE TECHNOLOGIES CORP    | COM | 297425100 | 1158   | 42793   | SH | DEFINED | 0 |
| ESTERLINE TECHNOLOGIES CORP    | COM | 297425100 | 35     | 1300    | SH | DEFINED | 0 |
| ESTABLISHMENTS DELHAIZE F      | COM | 29759W101 | 205    | 2908    | SH | DEFINED | 0 |
| ETHAN ALLEN INTERIORS INC      | COM | 297602104 | 259    | 24985   | SH | DEFINED | 0 |
| EURASIA DRILLING CO LTD-GDR 14 | COM | 29843U103 | 7351   | 657353  | SH | DEFINED | 0 |
| EURONET WORLDWIDE INC          | COM | 298736109 | 723    | 37295   | SH | DEFINED | 0 |
| EVERCORE PARTNERS INC-CL A     | COM | 29977A105 | 1525   | 77620   | SH | DEFINED | 0 |
| EVERGREEN INCOME ADVANTAGE     | COM | 30023Y105 | 609    | 76660   | SH | DEFINED | 0 |
| EVERGREEN ENERGY INCNEW COM    | COM | 30024B104 | 2      | 2205    | SH | DEFINED | 0 |
| EVERGREEN INTL BALANCED I      | COM | 30024R109 | 62     | 4949    | SH | DEFINED | 0 |
| EVERGREEN MULT SECTOR INC      | COM | 30024Y104 | 24     | 1958    | SH | DEFINED | 0 |
| EVERGREEN SOLAR INC            | COM | 30033R108 | 9945   | 4582786 | SH | DEFINED | 0 |
| EVERGREEN SOLAR INC            | COM | 30033R108 | 199    | 91654   | SH | DEFINED | 0 |
| EVERGREEN SOLAR INC            | COM | 30033R108 | 38     | 17618   | SH | DEFINED | 0 |
| EVERGREEN UTILS & HIGH INCOM   | COM | 30034Q109 | 11     | 965     | SH | DEFINED | 0 |
| EVOLUTION PETROLEUM CORP       | COM | 30049A107 | 1486   | 571353  | SH | DEFINED | 0 |
| EVRAZ GROUP SA GDR 144A        | COM | 30050A103 | 11638  | 612507  | SH | DEFINED | 0 |
| EXAR CORP                      | COM | 300645108 | 325    | 45100   | SH | DEFINED | 0 |
| EXAR CORP                      | COM | 300645108 | 10     | 1350    | SH | DEFINED | 0 |
| EXACTECH INC                   | COM | 30064E109 | 4      | 300     | SH | DEFINED | 0 |
| EXELON CORP                    | COM | 30161N101 | 1168   | 22802   | SH | DEFINED | 0 |
| EXELON CORP                    | COM | 30161N101 | 2072   | 40462   | SH | DEFINED | 0 |
| EXELON CORP                    | COM | 30161N101 | 1054   | 20583   | SH | DEFINED | 0 |
| EXELIXIS INC                   | COM | 30161Q104 | 89     | 18200   | SH | DEFINED | 0 |
| EXELIXIS INC                   | COM | 30161Q104 | 27     | 5625    | SH | DEFINED | 0 |
| EXIDE TECHNOLOGIES WTS EXP 01  | WT  | 302051123 | 0      | 4       | SH | DEFINED | 0 |
| EXIDE TECHNOLOGIES             | COM | 302051206 | 1455   | 390030  | SH | DEFINED | 0 |
| EXIDE TECHNOLOGIES             | COM | 302051206 | 39     | 10500   | SH | DEFINED | 0 |
| EXIDE TECHNOLOGIES             | COM | 302051206 | 0      | 1       | SH | DEFINED | 0 |
| EXPEDIA INC                    | COM | 30212P105 | 64     | 4237    | SH | DEFINED | 0 |
| EXPEDIA INC                    | COM | 30212P105 | 135    | 8937    | SH | DEFINED | 0 |
| EXPEDIA INC                    | COM | 30212P105 | 17     | 1144    | SH | DEFINED | 0 |
| EXPEDITORS INTL WASH INC       | COM | 302130109 | 943    | 28287   | SH | DEFINED | 0 |
| EXPEDITORS INTL WASH INC       | COM | 302130109 | 274    | 8225    | SH | DEFINED | 0 |
| EXPEDITORS INTL WASH INC       | COM | 302130109 | 171    | 5130    | SH | DEFINED | 0 |
| EXPRESS SCRIPTS INC            | COM | 302182100 | 35762  | 520130  | SH | DEFINED | 0 |
| EXPRESS SCRIPTS INC            | COM | 302182100 | 1129   | 16421   | SH | DEFINED | 0 |
| EXPRESS SCRIPTS INC            | COM | 302182100 | 240    | 3620    | SH | DEFINED | 0 |
| EXPRESS SCRIPTS INC            | COM | 302182100 | 396    | 5753    | SH | DEFINED | 0 |
| EXTRA SPACE STORAGE INC        | COM | 30225T102 | 1244   | 148921  | SH | DEFINED | 0 |
| EXTRA SPACE STORAGE INC        | COM | 30225T102 | 89     | 10600   | SH | DEFINED | 0 |
| EXTERRAN HOLDINGS INC          | COM | 30225X103 | 4989   | 311000  | SH | DEFINED | 0 |
| EXTERRAN HOLDINGS INC          | COM | 30225X103 | 1      | 51      | SH | DEFINED | 0 |
| EXTERRAN HOLDINGS INC          | COM | 30225X103 | 1      | 65      | SH | DEFINED | 0 |
| EXTERRAN HOLDINGS INC          | COM | 30225X103 | 1123   | 70000   | SH | DEFINED | 0 |
| EXTERRAN HLDGS INC COM         | COM | 30225X103 | 580    | 36183   | SH | DEFINED | 0 |
| EXTREME NETWORKS INC           | COM | 30226D106 | 6      | 2800    | SH | DEFINED | 0 |
| EZCORP INC-CL A                | COM | 302301106 | 306    | 28455   | SH | DEFINED | 0 |
| EZCORP INC-CL A                | COM | 302301106 | 104    | 7900    | SH | DEFINED | 0 |
| EZCORP INC-CL A                | COM | 302301106 | 5      | 500     | SH | DEFINED | 0 |
| EXXON MOBIL CORP               | COM | 30231G102 | 165885 | 2372850 | SH | DEFINED | 0 |
| EXXON MOBIL CORP               | COM | 30231G102 | 23419  | 334989  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| EXXON MOBIL CORP               | COM | 30231G102 | 154922 | 2208338 | SH | DEFINED | 0 |
| EXXON MOBIL CORP               | COM | 30231G102 | 20222  | 289261  | SH | DEFINED | 0 |
| FEI COMPANY                    | COM | 30241L109 | 2428   | 105992  | SH | DEFINED | 0 |
| FEI COMPANY                    | COM | 30241L109 | 66     | 2900    | SH | DEFINED | 0 |
| FEI COMPANY                    | COM | 30241L109 | 3      | 145     | SH | DEFINED | 0 |
| FLIR SYSTEMS INC               | COM | 302445101 | 2844   | 126007  | SH | DEFINED | 0 |
| FLIR SYSTEMS INC               | COM | 302445101 | 392    | 17380   | SH | DEFINED | 0 |
| FLIR SYSTEMS INC               | COM | 302445101 | 11001  | 432358  | SH | DEFINED | 0 |
| FLIR SYSTEMS INC               | COM | 302445101 | 65     | 2876    | SH | DEFINED | 0 |
| FBR CAPITAL MARKETS CORP       | COM | 30247C301 | 188    | 40000   | SH | DEFINED | 0 |
| FMC CORP                       | COM | 302491303 | 54     | 1075    | SH | DEFINED | 0 |
| FMC CORP                       | COM | 302491303 | 66     | 1403    | SH | DEFINED | 0 |
| FMC TECHNOLOGIES INC           | COM | 30249U101 | 559    | 14900   | SH | DEFINED | 0 |
| FMC TECHNOLOGIES INC           | COM | 30249U101 | 164    | 4375    | SH | DEFINED | 0 |
| FMC TECHNOLOGIES INC           | COM | 30249U101 | 38     | 1023    | SH | DEFINED | 0 |
| FNB CORP                       | COM | 302520101 | 438    | 70850   | SH | DEFINED | 0 |
| FNB CORP                       | COM | 302520101 | 144    | 23200   | SH | DEFINED | 0 |
| FPIC INSURANCE GROUP INC       | COM | 302563101 | 937    | 30601   | SH | DEFINED | 0 |
| FPIC INSURANCE GROUP INC       | COM | 302563101 | 70     | 2300    | SH | DEFINED | 0 |
| FPL GROUP INC                  | COM | 302571104 | 15614  | 274626  | SH | DEFINED | 0 |
| FPL GROUP INC                  | COM | 302571104 | 1407   | 24746   | SH | DEFINED | 0 |
| FPL GROUP INC                  | COM | 302571104 | 5111   | 89895   | SH | DEFINED | 0 |
| FTI CONSULTING INC             | COM | 302941109 | 37285  | 735089  | SH | DEFINED | 0 |
| FTI CONSULTING INC             | COM | 302941109 | 16     | 311     | SH | DEFINED | 0 |
| FTI CONSULTING INC COM         | COM | 302941109 | 238    | 4697    | SH | DEFINED | 0 |
| FACET BIOTECH CORP             | COM | 30303Q103 | 138    | 14920   | SH | DEFINED | 0 |
| FACET BIOTECH CORP             | COM | 30303Q103 | 23     | 2498    | SH | DEFINED | 0 |
| FACTSET RESEARCH SYSTEMS INC   | COM | 303075105 | 352    | 7050    | SH | DEFINED | 0 |
| FACTSET RESEARCH SYSTEMS INC   | COM | 303075105 | 9      | 189     | SH | DEFINED | 0 |
| FAIR ISAAC CORP                | COM | 303250104 | 341    | 22040   | SH | DEFINED | 0 |
| FAIR ISAAC CORP                | COM | 303250104 | 100    | 6500    | SH | DEFINED | 0 |
| FAIRCHILD SEMICON INTERNATIO   | COM | 303726103 | 1946   | 278310  | SH | DEFINED | 0 |
| FAIRCHILD SEMICON INTERNATIO   | COM | 303726103 | 53     | 7600    | SH | DEFINED | 0 |
| FAIRCHILD SEMICON INTERNATIO   | COM | 303726103 | 77     | 11500   | SH | DEFINED | 0 |
| FAIRPOINT COMMUNICATIONS INC   | COM | 305560104 | 1980   | 3301279 | SH | DEFINED | 0 |
| FAIRPOINT COMMUNICATIONS INC   | COM | 305560104 | 24     | 39697   | SH | DEFINED | 0 |
| FAIRPOINT COMMUNICATIONS INC   | COM | 305560104 | 10     | 17456   | SH | DEFINED | 0 |
| FALCONSTOR SOFTWARE INC        | COM | 306137100 | 4      | 745     | SH | DEFINED | 0 |
| FAMILY DOLLAR STORES           | COM | 307000109 | 41578  | 1469266 | SH | DEFINED | 0 |
| FAMILY DOLLAR STORES           | COM | 307000109 | 361    | 12751   | SH | DEFINED | 0 |
| FAMILY DOLLAR STORES           | COM | 307000109 | 64     | 2271    | SH | DEFINED | 0 |
| FARO TECHNOLOGIES INC          | COM | 311642102 | 632    | 40710   | SH | DEFINED | 0 |
| FASTENAL CO                    | COM | 311900104 | 10932  | 329542  | SH | DEFINED | 0 |
| FASTENAL CO                    | COM | 311900104 | 385    | 11591   | SH | DEFINED | 0 |
| FASTENAL CO                    | COM | 311900104 | 10801  | 281400  | SH | DEFINED | 0 |
| FASTENAL CO                    | COM | 311900104 | 233    | 7025    | SH | DEFINED | 0 |
| FEDL AGRIC MTG CORP CL C NON-V | COM | 313148306 | 121    | 25005   | SH | DEFINED | 0 |
| FREDDIE MAC                    | COM | 313400301 | 767    | 1236553 | SH | DEFINED | 0 |
| FREDDIE MAC                    | COM | 313400301 | 185    | 298081  | SH | DEFINED | 0 |
| FEDERAL-MOGUL CORP             | COM | 313549404 | 156    | 16510   | SH | DEFINED | 0 |
| FEDERAL-MOGUL CORP             | COM | 313549404 | 39     | 4100    | SH | DEFINED | 0 |
| FANNIE MAE                     | COM | 313586109 | 1000   | 1724934 | SH | DEFINED | 0 |
| FANNIE MAE                     | COM | 313586109 | 165    | 284867  | SH | DEFINED | 0 |
| FEDERAL REALTY INVS TRUST      | COM | 313747206 | 6701   | 130087  | SH | DEFINED | 0 |
| FEDERAL REALTY INVS TRUST      | COM | 313747206 | 15     | 300     | SH | DEFINED | 0 |
| FEDERAL SIGNAL CORP            | COM | 313855108 | 253    | 33020   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| FEDERAL SIGNAL CORP            | COM | 313855108 | 78    | 10200   | SH | DEFINED | 0 |
| FEDERATED INVESTORS INC-CL B   | COM | 314211103 | 83    | 3440    | SH | DEFINED | 0 |
| FEDERATED INVESTORS INC-CL B   | COM | 314211103 | 183   | 7580    | SH | DEFINED | 0 |
| FEDERATED INVESTORS INC-CL B   | COM | 314211103 | 100   | 4139    | SH | DEFINED | 0 |
| FEDEX CORP                     | COM | 31428X106 | 26529 | 476945  | SH | DEFINED | 0 |
| FEDEX CORP                     | COM | 31428X106 | 1313  | 23599   | SH | DEFINED | 0 |
| FEDEX CORP                     | COM | 31428X106 | 471   | 8471    | SH | DEFINED | 0 |
| FELCOR LODGING TR \$1.95 SER   | PRF | 31430F200 | 6     | 1000    | SH | DEFINED | 0 |
| FERRELLGAS PARTNERS L P UNIT L | COM | 315293100 | 273   | 16793   | SH | DEFINED | 0 |
| FERRO CORP                     | COM | 315405100 | 17    | 6006    | SH | DEFINED | 0 |
| F5 NETWORKS INC                | COM | 315616102 | 21966 | 635083  | SH | DEFINED | 0 |
| F5 NETWORKS INC                | COM | 315616102 | 100   | 2906    | SH | DEFINED | 0 |
| F5 NETWORKS INC                | COM | 315616102 | 34    | 1200    | SH | DEFINED | 0 |
| F5 NETWORKS INC                | COM | 315616102 | 46    | 1321    | SH | DEFINED | 0 |
| F5 NETWORKS INC                | COM | 315616102 | 3805  | 110000  | SH | DEFINED | 0 |
| F5 NETWORKS INC COM            | COM | 315616102 | 2186  | 63168   | SH | DEFINED | 0 |
| FIDELITY NATIONAL INFORMATIO   | COM | 31620M106 | 47965 | 2403111 | SH | DEFINED | 0 |
| FIDELITY NATIONAL INFORMATIO   | COM | 31620M106 | 433   | 21670   | SH | DEFINED | 0 |
| FIDELITY NATIONAL INFORMATIO   | COM | 31620M106 | 33    | 1658    | SH | DEFINED | 0 |
| FIDELITY NATIONAL FINL-A       | COM | 31620R105 | 513   | 37862   | SH | DEFINED | 0 |
| FIDELITY NATIONAL FINL-A       | COM | 31620R105 | 28    | 2103    | SH | DEFINED | 0 |
| FIDELITY SOUTHERN CORP NEW     | COM | 316394105 | 3     | 1115    | SH | DEFINED | 0 |
| FIDUCIARY / CLAYMOREMLP OPPORT | COM | 31647Q106 | 30    | 2000    | SH | DEFINED | 0 |
| FIELDPOINT PETROLEUMCORP       | COM | 316570100 | 0     | 150     | SH | DEFINED | 0 |
| FIFTH THIRD BANCORP            | COM | 316773100 | 7175  | 1010750 | SH | DEFINED | 0 |
| FIFTH THIRD BANCORP            | COM | 316773100 | 550   | 77435   | SH | DEFINED | 0 |
| FIFTH THIRD BANCORP            | COM | 316773100 | 26577 | 3748600 | SH | DEFINED | 0 |
| FIFTH THIRD BANCORP            | COM | 316773100 | 652   | 91901   | SH | DEFINED | 0 |
| FIFTH STREET FINANCE CORP      | COM | 31678A103 | 238   | 23742   | SH | DEFINED | 0 |
| FIFTH THIRD CAPITAL TRUST V    | PRF | 31678W204 | 107   | 6584    | SH | DEFINED | 0 |
| FINANCIAL FEDERAL CORP         | COM | 317492106 | 1301  | 63224   | SH | DEFINED | 0 |
| FINANCIAL FEDERAL CORP         | COM | 317492106 | 76    | 3700    | SH | DEFINED | 0 |
| FINANCIAL FEDERAL CORP         | COM | 317492106 | 4     | 187     | SH | DEFINED | 0 |
| FINISAR CORP                   | COM | 31787A101 | 4     | 7800    | SH | DEFINED | 0 |
| FINISH LINE/THE - CL A         | COM | 317923100 | 1478  | 198945  | SH | DEFINED | 0 |
| FINISH LINE/THE - CL A         | COM | 317923100 | 76    | 10300   | SH | DEFINED | 0 |
| FIRST AMERICAN CORPORATION     | COM | 318522307 | 1241  | 47879   | SH | DEFINED | 0 |
| FIRST AMERICAN CORPORATION     | COM | 318522307 | 126   | 4869    | SH | DEFINED | 0 |
| FIRST BANCORP PUERTO RICO      | COM | 318672102 | 565   | 143056  | SH | DEFINED | 0 |
| FIRST BANCORP PUERTO RICO      | COM | 318672102 | 57    | 14500   | SH | DEFINED | 0 |
| FIRST BANCORP/NC               | COM | 318910106 | 271   | 17309   | SH | DEFINED | 0 |
| FIRST BANCORP/NC               | COM | 318910106 | 80    | 5100    | SH | DEFINED | 0 |
| FIRST CASH FINL SVCS INC       | COM | 31942D107 | 1078  | 61587   | SH | DEFINED | 0 |
| FIRST CASH FINL SVCS INC       | COM | 31942D107 | 23    | 1300    | SH | DEFINED | 0 |
| FIRST CASH FINL SVCS INC       | COM | 31942D107 | 1     | 55      | SH | DEFINED | 0 |
| FIRST CITIZENS BCSS -CL A      | COM | 31946M103 | 3000  | 22447   | SH | DEFINED | 0 |
| FIRST COMMONWEALTH FINL CORP   | COM | 319829107 | 529   | 83365   | SH | DEFINED | 0 |
| FIRST DEFIANCE FINL CORP       | COM | 32006W106 | 11    | 824     | SH | DEFINED | 0 |
| FIRST FINANCIAL BANCORP        | COM | 320209109 | 272   | 36145   | SH | DEFINED | 0 |
| FIRST FINL BANKSHARES INC      | COM | 32020R109 | 4347  | 86304   | SH | DEFINED | 0 |
| FIRST FINANCIAL CORP INDIANA   | COM | 320218100 | 1374  | 43552   | SH | DEFINED | 0 |
| FIRST FINL HLDGS INC           | COM | 320239106 | 5     | 500     | SH | DEFINED | 0 |
| FIRST HORIZON NATIONAL CORP    | COM | 320517105 | 9007  | 750628  | SH | DEFINED | 0 |
| FIRST HORIZON NATIONAL CORP    | COM | 320517105 | 376   | 31373   | SH | DEFINED | 0 |
| FIRST HORIZON NATIONAL CORP    | COM | 320517105 | 100   | 8373    | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| FIRST INDL RLTY TR INC         | COM | 32054K103 | 0     | 100     | SH | DEFINED | 0 |
| FIRST MARBLEHEAD CORP/THE      | COM | 320771108 | 3447  | 1706389 | SH | DEFINED | 0 |
| FIRST MARBLEHEAD CORP/THE      | COM | 320771108 | 48    | 23893   | SH | DEFINED | 0 |
| FIRST MARBLEHEAD CORP/THE      | COM | 320771108 | 4     | 1912    | SH | DEFINED | 0 |
| FIRST MERCURY FINANCIAL CORP   | COM | 320841109 | 140   | 10105   | SH | DEFINED | 0 |
| FIRST MERCURY FINANCIAL CORP   | COM | 320841109 | 20    | 1436    | SH | DEFINED | 0 |
| FIRST MIDWEST BANCORP INC/IL   | COM | 320867104 | 571   | 78182   | SH | DEFINED | 0 |
| FIRST MIDWEST BANCORP INC/IL   | COM | 320867104 | 56    | 7600    | SH | DEFINED | 0 |
| FIRST MIDWEST BANCORP INC/IL   | COM | 320867104 | 1     | 148     | SH | DEFINED | 0 |
| FIRST NIAGARA FINANCIAL GRP    | COM | 33582V108 | 4437  | 388468  | SH | DEFINED | 0 |
| FIRST NIAGARA FINANCIAL GRP    | COM | 33582V108 | 51    | 4478    | SH | DEFINED | 0 |
| 1ST PAC BANCORP                | COM | 335894101 | 0     | 100     | SH | DEFINED | 0 |
| FIRST POTOMAC RLTY TR          | COM | 33610F109 | 28    | 2850    | SH | DEFINED | 0 |
| FIRST SOLAR INC                | COM | 336433107 | 7857  | 48457   | SH | DEFINED | 0 |
| FIRST SOLAR INC                | COM | 336433107 | 103   | 638     | SH | DEFINED | 0 |
| FIRST SOLAR INC                | COM | 336433107 | 310   | 1440    | SH | DEFINED | 0 |
| FIRST SOLAR INC                | COM | 336433107 | 199   | 1229    | SH | DEFINED | 0 |
| FIRST SOURCE CORP              | COM | 336901103 | 25    | 1469    | SH | DEFINED | 0 |
| FIRST TR MORNINGSTARDIVID LEAD | COM | 336917109 | 5     | 378     | SH | DEFINED | 0 |
| FIRST TR TAX ADVANTAGED        | COM | 336918107 | 4     | 800     | SH | DEFINED | 0 |
| FIRST TR ENHANCED EQUITY INC   | COM | 337318109 | 40    | 4509    | SH | DEFINED | 0 |
| FIRST TR / ABERDEEN GLOBAL OPP | COM | 337319107 | 80    | 6042    | SH | DEFINED | 0 |
| FIRST TR ISE CHINDIA IN        | COM | 33733A102 | 194   | 11775   | SH | DEFINED | 0 |
| FIRST TR ISE WTR INDEX FD      | COM | 33733B100 | 3     | 200     | SH | DEFINED | 0 |
| FIRST TR EXCHANGE TRADED FD    | COM | 33733E203 | 35    | 1650    | SH | DEFINED | 0 |
| FIRST TR EXCHANGE TRADED FD    | COM | 33733E500 | 2     | 160     | SH | DEFINED | 0 |
| FIRST TR / FOUR CORNERS SR     | COM | 33733U108 | 111   | 11286   | SH | DEFINED | 0 |
| FIRST TR STRATEGIC HIGH INCOM  | COM | 337347108 | 5     | 1336    | SH | DEFINED | 0 |
| FIRST TRUST/FIDAC MTG INCOME   | COM | 33734E103 | 175   | 10432   | SH | DEFINED | 0 |
| FIRST TR VALUE LINE DIVID INDE | COM | 33734H106 | 54    | 4842    | SH | DEFINED | 0 |
| FIRST TR ISE REVERE NAT        | COM | 33734J102 | 4     | 300     | SH | DEFINED | 0 |
| FIRST TR EXCHANGE TRADED FD    | COM | 33734X119 | 11    | 725     | SH | DEFINED | 0 |
| FIRST TR EXCHANGE TRADED FD    | COM | 33734X176 | 4     | 275     | SH | DEFINED | 0 |
| FIRST TR STRATEGIC HIGH INCOM  | COM | 337353106 | 4     | 800     | SH | DEFINED | 0 |
| FIRST TR STRATEGIC HIGH INCOM  | COM | 33735C106 | 7     | 2009    | SH | DEFINED | 0 |
| FIRST TR VALUE LINE 100 EXCHAN | COM | 33735G107 | 116   | 13120   | SH | DEFINED | 0 |
| FIRST TR ISE GLOBAL WIND ENERG | COM | 33736G106 | 18    | 1192    | SH | DEFINED | 0 |
| FIRST TR ISE GLOBAL ENGR & CON | COM | 33736M103 | 339   | 9859    | SH | DEFINED | 0 |
| FIRSTSERVICE CORPORATION-VTG   | COM | 33761N109 | 1377  | 116370  | SH | DEFINED | 0 |
| FISERV INC                     | COM | 337738108 | 16547 | 362045  | SH | DEFINED | 0 |
| FISERV INC                     | COM | 337738108 | 589   | 12891   | SH | DEFINED | 0 |
| FISERV INC                     | COM | 337738108 | 1078  | 23550   | SH | DEFINED | 0 |
| FISERV INC                     | COM | 337738108 | 301   | 6576    | SH | DEFINED | 0 |
| FIRSTMERIT CORP                | COM | 337915102 | 984   | 57916   | SH | DEFINED | 0 |
| FIRSTMERIT CORP                | COM | 337915102 | 1666  | 98172   | SH | DEFINED | 0 |
| FIRSTMERIT CORP                | COM | 337915102 | 0     | 24      | SH | DEFINED | 0 |
| FLAGSTAR BANCORP INC           | COM | 337930101 | 0     | 225     | SH | DEFINED | 0 |
| FIRSTENERGY CORP               | COM | 337932107 | 2625  | 67752   | SH | DEFINED | 0 |
| FIRSTENERGY CORP               | COM | 337932107 | 835   | 21547   | SH | DEFINED | 0 |
| FIRSTENERGY CORP               | COM | 337932107 | 366   | 9436    | SH | DEFINED | 0 |
| FISHER SCIENTIFIC INTL INC 3   | COM | 338032AX3 | 1     | 1000    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| FIVE STAR QUALITY CARE         | COM | 33832D106 | 2560  | 1340267 | SH | DEFINED | 0 |
| FIVE STAR QUALITY CARE         | COM | 33832D106 | 0     | 8       | SH | DEFINED | 0 |
| FLAHERTY & CRUMRINE / CLAYMORE | COM | 338478100 | 29    | 2776    | SH | DEFINED | 0 |
| FLAHERTY CRUMINE/ CLAYMORE T   | COM | 338479108 | 5     | 490     | SH | DEFINED | 0 |
| FLAHERTY & CRUMRINE PFD INCOME | COM | 338480106 | 9     | 1186    | SH | DEFINED | 0 |
| FLASHPOINT TECHNOLOGY          | COM | 33899A979 | 0     | 246914  | SH | DEFINED | 0 |
| FLEETWOOD ENTPRISES            | COM | 339099103 | 0     | 2600    | SH | DEFINED | 0 |
| FLOTEK INDS INC DEL            | COM | 343389102 | 1     | 400     | SH | DEFINED | 0 |
| FLUOR CORP                     | COM | 343412102 | 71301 | 1390116 | SH | DEFINED | 0 |
| FLUOR CORP                     | COM | 343412102 | 532   | 10369   | SH | DEFINED | 0 |
| FLUOR CORP                     | COM | 343412102 | 16594 | 323508  | SH | DEFINED | 0 |
| FLUOR CORP                     | COM | 343412102 | 515   | 10050   | SH | DEFINED | 0 |
| FLOW INTL CORP                 | COM | 343468104 | 0     | 200     | SH | DEFINED | 0 |
| FLOWERS FOODS INC              | COM | 343498101 | 3509  | 160681  | SH | DEFINED | 0 |
| FLOWERS FOODS INC              | COM | 343498101 | 89    | 3575    | SH | DEFINED | 0 |
| FLOWERS FOODS INC              | COM | 343498101 | 25    | 1124    | SH | DEFINED | 0 |
| FLOWSERVE CORP                 | COM | 34354P105 | 11644 | 166786  | SH | DEFINED | 0 |
| FLOWSERVE CORP                 | COM | 34354P105 | 211   | 3026    | SH | DEFINED | 0 |
| FLOWSERVE CORP                 | COM | 34354P105 | 60    | 858     | SH | DEFINED | 0 |
| FLUSHING FINANCIAL CORP        | COM | 343873105 | 197   | 20930   | SH | DEFINED | 0 |
| FLUSHING FINANCIAL CORP        | COM | 343873105 | 61    | 6500    | SH | DEFINED | 0 |
| FLUSHING FINANCIAL CORP        | COM | 343873105 | 35    | 3702    | SH | DEFINED | 0 |
| FOCUS MEDIA HLDG LTDSPONSORED  | COM | 34415V109 | 39    | 4800    | SH | DEFINED | 0 |
| FOMENTO ECONOMICO MEX-SP ADR   | COM | 344419106 | 15436 | 478786  | SH | DEFINED | 0 |
| FOMENTO ECONOMICO MEX-SP ADR   | COM | 344419106 | 50    | 1545    | SH | DEFINED | 0 |
| FONAR CORP COM NEW             | COM | 344437405 | 0     | 8       | SH | DEFINED | 0 |
| FOOT LOCKER INC                | COM | 344849104 | 5756  | 549729  | SH | DEFINED | 0 |
| FOOT LOCKER INC                | COM | 344849104 | 2     | 182     | SH | DEFINED | 0 |
| FORCE PROTECTION INC           | COM | 345203202 | 3639  | 411640  | SH | DEFINED | 0 |
| FORCE PROTECTION INC           | COM | 345203202 | 78    | 8800    | SH | DEFINED | 0 |
| FORCE PROTECTION INC           | COM | 345203202 | 52    | 5920    | SH | DEFINED | 0 |
| FORD MOTOR CO                  | COM | 345370860 | 18969 | 3125090 | SH | DEFINED | 0 |
| FORD MOTOR CO                  | COM | 345370860 | 1514  | 249385  | SH | DEFINED | 0 |
| FORD MOTOR CO                  | COM | 345370860 | 123   | 21400   | SH | DEFINED | 0 |
| FORD MOTOR CO                  | COM | 345370860 | 1995  | 328731  | SH | DEFINED | 0 |
| FORD MTR CO CAP TR II TR ORIG  | PRF | 345395206 | 122   | 5535    | SH | DEFINED | 0 |
| FOREST CITY ENTERPRISES-CL A   | COM | 345550107 | 511   | 77409   | SH | DEFINED | 0 |
| FOREST CITY ENTERPRISES-CL A   | COM | 345550107 | 64    | 9756    | SH | DEFINED | 0 |
| FOREST LABORATORIES INC        | COM | 345838106 | 22806 | 908174  | SH | DEFINED | 0 |
| FOREST LABORATORIES INC        | COM | 345838106 | 648   | 25788   | SH | DEFINED | 0 |
| FOREST LABORATORIES INC        | COM | 345838106 | 882   | 35181   | SH | DEFINED | 0 |
| FOREST LABORATORIES INC        | COM | 345838106 | 269   | 10712   | SH | DEFINED | 0 |
| FOREST OIL CORP COM PAR \$0.01 | COM | 346091705 | 3     | 200     | SH | DEFINED | 0 |
| FORESTAR REAL ESTATE GROUP     | COM | 346233109 | 383   | 32207   | SH | DEFINED | 0 |
| FORESTAR REAL ESTATE GROUP     | COM | 346233109 | 1     | 66      | SH | DEFINED | 0 |
| FORMFACTOR INC                 | COM | 346375108 | 483   | 28000   | SH | DEFINED | 0 |
| FORRESTER RESEARCH INC         | COM | 346563109 | 427   | 17374   | SH | DEFINED | 0 |
| FORT DEARBORN INCOME SEC       | COM | 347200107 | 3     | 200     | SH | DEFINED | 0 |
| FORTIS RTS COUPON 42           | WT  | 349556951 | 0     | 883121  | SH | DEFINED | 0 |
| FORTRESS INVESTMENT GRP-CL A   | LP  | 34958B106 | 30    | 8634    | SH | DEFINED | 0 |
| FORTRESS INVESTMENT GRP-CL A   | LP  | 34958B106 | 15    | 4400    | SH | DEFINED | 0 |
| FORTUNE BRANDS INC             | COM | 349631101 | 964   | 27749   | SH | DEFINED | 0 |
| FORTUNE BRANDS INC             | COM | 349631101 | 234   | 6735    | SH | DEFINED | 0 |
| FORTUNE BRANDS INC             | COM | 349631101 | 178   | 5110    | SH | DEFINED | 0 |
| FORTUNE BRANDS INC PFD CONV \$ | PRF | 349631200 | 24    | 111     | SH | DEFINED | 0 |
| 40/86 STRATEGIC INCOME FD      | COM | 349739102 | 30    | 4246    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| FORWARD AIR CORPORATION       | COM | 349853101 | 2203   | 103333  | SH | DEFINED | 0 |
| FORWARD AIR CORPORATION       | COM | 349853101 | 43     | 2002    | SH | DEFINED | 0 |
| FORWARD AIR CORPORATION       | COM | 349853101 | 4      | 171     | SH | DEFINED | 0 |
| FOSSIL INC                    | COM | 349882100 | 501    | 20802   | SH | DEFINED | 0 |
| FOSTER (LB) CO -CL A          | COM | 350060109 | 139    | 4619    | SH | DEFINED | 0 |
| FOX CHASE BANCORP INC COM     | COM | 35137P106 | 0      | 50      | SH | DEFINED | 0 |
| FRANCE TELECOM SA SPONS ADR   | COM | 35177Q105 | 53     | 2342    | SH | DEFINED | 0 |
| FRANKLIN RESOURCES INC        | COM | 354613101 | 1417   | 19679   | SH | DEFINED | 0 |
| FRANKLIN RESOURCES INC        | COM | 354613101 | 549    | 7619    | SH | DEFINED | 0 |
| FRANKLIN RESOURCES INC        | COM | 354613101 | 142    | 1971    | SH | DEFINED | 0 |
| FRANKLIN STREET PROPERTIES C  | COM | 35471R106 | 2330   | 175828  | SH | DEFINED | 0 |
| FRANKLIN TEMPLETON LTD DURATI | COM | 35472T101 | 84     | 8373    | SH | DEFINED | 0 |
| FRANKLIN UNVL TR SH BEN INT   | COM | 355145103 | 19     | 4000    | SH | DEFINED | 0 |
| FRED'S INC-CLASS A            | COM | 356108100 | 16738  | 1328536 | SH | DEFINED | 0 |
| FRED'S INC-CLASS A            | COM | 356108100 | 3276   | 260000  | SH | DEFINED | 0 |
| FREDS INC CL A                | COM | 356108100 | 1146   | 90644   | SH | DEFINED | 0 |
| FREEPORT MCMORAN COPPER & G   | PRF | 35671D782 | 87     | 1100    | SH | DEFINED | 0 |
| FREEPORT-MCMORAN COPPER       | COM | 35671D857 | 106224 | 2119826 | SH | DEFINED | 0 |
| FREEPORT-MCMORAN COPPER       | COM | 35671D857 | 1738   | 34686   | SH | DEFINED | 0 |
| FREEPORT-MCMORAN COPPER       | COM | 35671D857 | 15631  | 312069  | SH | DEFINED | 0 |
| FREEPORT-MCMORAN COPPER       | COM | 35671D857 | 1161   | 23176   | SH | DEFINED | 0 |
| FREIGHTCAR AMERICA INC        | COM | 357023100 | 434    | 25828   | SH | DEFINED | 0 |
| FREIGHTCAR AMERICA INC        | COM | 357023100 | 8      | 505     | SH | DEFINED | 0 |
| FRESENIUS MED CARE AKTIENGESE | COM | 358029106 | 121    | 2692    | SH | DEFINED | 0 |
| FRESENIUS KABI PHARMACEUT     | COM | 35802M115 | 0      | 177     | SH | DEFINED | 0 |
| FRISCH'S RESTAURANTS INC      | COM | 358748101 | 3098   | 104865  | SH | DEFINED | 0 |
| FRONTEER DEV GROUP INC (CANAD | COM | 35903Q106 | 12     | 3600    | SH | DEFINED | 0 |
| FRONTIER COMMUNICATIONS CORP  | COM | 35906A108 | 9415   | 1318770 | SH | DEFINED | 0 |
| FRONTIER COMMUNICATIONS CORP  | COM | 35906A108 | 190    | 26644   | SH | DEFINED | 0 |
| FRONTIER COMMUNICATIONS CORP  | COM | 35906A108 | 82     | 11493   | SH | DEFINED | 0 |
| FRONTIER FINANCIAL CORP       | COM | 35907K105 | 65     | 53340   | SH | DEFINED | 0 |
| FRONTIER OIL CORP             | COM | 35914P105 | 7681   | 585916  | SH | DEFINED | 0 |
| FRONTIER OIL CORP             | COM | 35914P105 | 78     | 5922    | SH | DEFINED | 0 |
| FRONTIER OIL CORP             | COM | 35914P105 | 207    | 15774   | SH | DEFINED | 0 |
| FUEL TECH INC COM             | COM | 359523107 | 123    | 12638   | SH | DEFINED | 0 |
| FUELCELL ENERGY INC           | COM | 35952H106 | 236    | 56468   | SH | DEFINED | 0 |
| FUELCELL ENERGY INC           | COM | 35952H106 | 35     | 8340    | SH | DEFINED | 0 |
| FUEL SYSTEMS SOLUTIONS INC    | COM | 35952W103 | 73     | 3600    | SH | DEFINED | 0 |
| FUEL SYSTEMS SOLUTIONS INC    | COM | 35952W103 | 8      | 412     | SH | DEFINED | 0 |
| FUJIFILM HLDGS CORP ADR 2 ORD | COM | 35958N107 | 141    | 4450    | SH | DEFINED | 0 |
| H.B. FULLER CO.               | COM | 359694106 | 2316   | 123405  | SH | DEFINED | 0 |
| H.B. FULLER CO.               | COM | 359694106 | 2859   | 152323  | SH | DEFINED | 0 |
| H.B. FULLER CO.               | COM | 359694106 | 72     | 3826    | SH | DEFINED | 0 |
| FULTON FINL CORP PA           | COM | 360271100 | 98     | 18870   | SH | DEFINED | 0 |
| FURNITURE BRANDS INTL INC     | COM | 360921100 | 182    | 79900   | SH | DEFINED | 0 |
| FUQI INTL INC COM NEW         | COM | 36102A207 | 21     | 1000    | SH | DEFINED | 0 |
| FURMANITE CORP                | COM | 361086101 | 7      | 1594    | SH | DEFINED | 0 |
| G & K SERVICES INC -CL A      | COM | 361268105 | 455    | 21521   | SH | DEFINED | 0 |
| GAMCO INVS INC COM            | COM | 361438104 | 0      | 2       | SH | DEFINED | 0 |
| GATX CORP                     | COM | 361448103 | 1161   | 45149   | SH | DEFINED | 0 |
| GATX CORP                     | COM | 361448103 | 32     | 1259    | SH | DEFINED | 0 |
| GEO GROUP INC/THE             | COM | 36159R103 | 8644   | 465310  | SH | DEFINED | 0 |
| GEO GROUP INC/THE             | COM | 36159R103 | 8      | 420     | SH | DEFINED | 0 |
| GFI GROUP INC                 | COM | 361652209 | 1892   | 280655  | SH | DEFINED | 0 |
| GSI GROUP INC CDA ISIN #CA36  | COM | 36229U102 | 1      | 1134    | SH | DEFINED | 0 |
| GSI COMMERCE INC              | COM | 36238G102 | 589    | 41313   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| GSI COMMERCE INC               | COM | 36238G102 | 7     | 511     | SH | DEFINED | 0 |
| GABELLI EQUITY TR INC          | COM | 362397101 | 116   | 25810   | SH | DEFINED | 0 |
| GABELLI UTIL TR                | COM | 36240A101 | 41    | 5845    | SH | DEFINED | 0 |
| GABELLI CONV & INCOME SEC      | COM | 36240B109 | 6     | 1250    | SH | DEFINED | 0 |
| GABELLI DIVID & INCOME TR      | COM | 36242H104 | 163   | 16166   | SH | DEFINED | 0 |
| GABELLI GLOBAL UTIL & INCOME T | COM | 36242L105 | 6     | 381     | SH | DEFINED | 0 |
| GABELLI GLOBAL GOLD NAT RES &  | COM | 36244N109 | 59    | 4359    | SH | DEFINED | 0 |
| GABELLI GLOBAL DEAL FD CO      | COM | 36245G103 | 14    | 1000    | SH | DEFINED | 0 |
| GABELLI HEALTHCARE &WELLNESS T | COM | 36246K103 | 3     | 555     | SH | DEFINED | 0 |
| GSC INVT CORP                  | COM | 362493108 | 4     | 1937    | SH | DEFINED | 0 |
| GAFISA S A SPONSORED           | COM | 362607301 | 16    | 989     | SH | DEFINED | 0 |
| GAIAM INC                      | COM | 36268Q103 | 3     | 500     | SH | DEFINED | 0 |
| ARTHUR J GALLAGHER & CO        | COM | 363576109 | 199   | 9336    | SH | DEFINED | 0 |
| ARTHUR J GALLAGHER & CO        | COM | 363576109 | 6     | 288     | SH | DEFINED | 0 |
| GALLERY OF HISTORY INC         | COM | 363812108 | 0     | 2       | SH | DEFINED | 0 |
| GAMETECH INTL INC              | COM | 36466D102 | 0     | 100     | SH | DEFINED | 0 |
| GAMMON GOLD INC ISIN #CA36     | COM | 36467T106 | 143   | 21370   | SH | DEFINED | 0 |
| GAMESTOP CORP-CLASS A          | COM | 36467W109 | 3787  | 172094  | SH | DEFINED | 0 |
| GAMESTOP CORP-CLASS A          | COM | 36467W109 | 207   | 9392    | SH | DEFINED | 0 |
| GAMESTOP CORP-CLASS A          | COM | 36467W109 | 51    | 1675    | SH | DEFINED | 0 |
| GAMESTOP CORP-CLASS A          | COM | 36467W109 | 449   | 20417   | SH | DEFINED | 0 |
| GANNETT CO                     | COM | 364730101 | 3852  | 1078827 | SH | DEFINED | 0 |
| GANNETT CO                     | COM | 364730101 | 182   | 50961   | SH | DEFINED | 0 |
| GANNETT CO                     | COM | 364730101 | 4     | 1078    | SH | DEFINED | 0 |
| GAP INC/THE                    | COM | 364760108 | 28157 | 1716863 | SH | DEFINED | 0 |
| GAP INC/THE                    | COM | 364760108 | 537   | 32754   | SH | DEFINED | 0 |
| GAP INC/THE                    | COM | 364760108 | 128   | 7930    | SH | DEFINED | 0 |
| GAP INC/THE                    | COM | 364760108 | 96    | 5843    | SH | DEFINED | 0 |
| G A P INC                      | COM | 364760108 | 7517  | 458364  | SH | DEFINED | 0 |
| GARDNER DENVER INC             | COM | 365558105 | 1866  | 74146   | SH | DEFINED | 0 |
| GARDNER DENVER INC             | COM | 365558105 | 25    | 1000    | SH | DEFINED | 0 |
| GARTNER INC                    | COM | 366651107 | 211   | 13800   | SH | DEFINED | 0 |
| GASCO ENERGY INC               | COM | 367220100 | 1     | 2700    | SH | DEFINED | 0 |
| GATEHOUSE MEDIA INC            | COM | 367348109 | 58    | 328856  | SH | DEFINED | 0 |
| GAZPROM OAO-SPON ADR           | COM | 368287207 | 1844  | 87608   | SH | DEFINED | 0 |
| GAZPROM OAO-SPON ADR           | COM | 368287207 | 18335 | 904731  | SH | DEFINED | 0 |
| GAZPROM OAO-SPON ADR           | COM | 368287991 | 11    | 533     | SH | DEFINED | 0 |
| GEN-PROBE INC                  | COM | 36866T103 | 4065  | 94517   | SH | DEFINED | 0 |
| GEN-PROBE INC                  | COM | 36866T103 | 52    | 1214    | SH | DEFINED | 0 |
| GEN-PROBE INC                  | COM | 36866T103 | 36    | 841     | SH | DEFINED | 0 |
| GENCORP INC                    | COM | 368682100 | 141   | 73643   | SH | DEFINED | 0 |
| GENCORP INC                    | COM | 368682100 | 14    | 7417    | SH | DEFINED | 0 |
| GENERAL AMER INVSTRSCO         | COM | 368802104 | 70    | 3736    | SH | DEFINED | 0 |
| GENERAL CABLE CORP             | COM | 369300108 | 9923  | 264061  | SH | DEFINED | 0 |
| GENERAL CABLE CORP             | COM | 369300108 | 53    | 1421    | SH | DEFINED | 0 |
| GENERAL CABLE CORP             | COM | 369300108 | 813   | 21647   | SH | DEFINED | 0 |
| GENERAL COMMUNICATION INC-A    | COM | 369385109 | 1481  | 213758  | SH | DEFINED | 0 |
| GENERAL COMMUNICATION INC-A    | COM | 369385109 | 125   | 18100   | SH | DEFINED | 0 |
| GENERAL DYNAMICS CORP          | COM | 369550108 | 39289 | 709340  | SH | DEFINED | 0 |
| GENERAL DYNAMICS CORP          | COM | 369550108 | 1611  | 29093   | SH | DEFINED | 0 |
| GENERAL DYNAMICS CORP          | COM | 369550108 | 1032  | 18662   | SH | DEFINED | 0 |
| GENERAL DYNAMICS CORP          | COM | 369550108 | 474   | 8556    | SH | DEFINED | 0 |
| GENERAL DYNAMICS CORP COM      | COM | 369550108 | 7289  | 131599  | SH | DEFINED | 0 |
| GENERAL ELECTRIC CO            | COM | 369604103 | 68200 | 5819221 | SH | DEFINED | 0 |
| GENERAL ELECTRIC CO            | COM | 369604103 | 8551  | 729568  | SH | DEFINED | 0 |
| GENERAL ELECTRIC CO            | COM | 369604103 | 21155 | 1808235 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| GENERAL ELECTRIC CO            | COM | 369604103 | 12157 | 1037278 | SH | DEFINED | 0 |
| GENERAL GROWTH PPTYS INC       | COM | 370021107 | 10    | 5623    | SH | DEFINED | 0 |
| GENERAL MILLS INC              | COM | 370334104 | 25626 | 457418  | SH | DEFINED | 0 |
| GENERAL MILLS INC              | COM | 370334104 | 1432  | 25570   | SH | DEFINED | 0 |
| GENERAL MILLS INC              | COM | 370334104 | 851   | 15197   | SH | DEFINED | 0 |
| GENERAL MOLY INC               | COM | 370373102 | 150   | 67310   | SH | DEFINED | 0 |
| GENERAL MOLY INC               | COM | 370373102 | 31    | 14100   | SH | DEFINED | 0 |
| GENERAL MOTORS CORP            | COM | 370442105 | 0     | 18      | SH | DEFINED | 0 |
| GENERAL MOTORS CORP            | COM | 370442105 | 81    | 74349   | SH | DEFINED | 0 |
| GENERAL MOTORS CORP SR DEB CON | PRF | 370442717 | 11    | 4300    | SH | DEFINED | 0 |
| GENEREX BIOTECHNOL             | COM | 371485103 | 1     | 1000    | SH | DEFINED | 0 |
| GENESCO INC                    | COM | 371532102 | 1820  | 96966   | SH | DEFINED | 0 |
| GENESEE & WYOMING INC-CL A     | COM | 371559105 | 546   | 20581   | SH | DEFINED | 0 |
| GENESEE & WYOMING INC-CL A     | COM | 371559105 | 9     | 350     | SH | DEFINED | 0 |
| GENTEX CORP                    | COM | 371901109 | 10151 | 875100  | SH | DEFINED | 0 |
| GENTEX CORP                    | COM | 371901109 | 279   | 20800   | SH | DEFINED | 0 |
| GENTEX CORP                    | COM | 371901109 | 143   | 12290   | SH | DEFINED | 0 |
| GEOGRAPHIC NETWORK AFFIL INTL  | COM | 37199A9K8 | 0     | 80000   | SH | DEFINED | 0 |
| GENOPTIX INC                   | COM | 37243V100 | 693   | 21657   | SH | DEFINED | 0 |
| GENOMIC HEALTH INC             | COM | 37244C101 | 600   | 34600   | SH | DEFINED | 0 |
| GENTEK INC - CW10 - CLASS C    | WT  | 37245X138 | 1     | 61      | SH | DEFINED | 0 |
| GENUINE PARTS CO               | COM | 372460105 | 25679 | 765159  | SH | DEFINED | 0 |
| GENUINE PARTS CO               | COM | 372460105 | 386   | 11509   | SH | DEFINED | 0 |
| GENUINE PARTS CO               | COM | 372460105 | 82    | 2250    | SH | DEFINED | 0 |
| GENUINE PARTS CO               | COM | 372460105 | 167   | 4963    | SH | DEFINED | 0 |
| GENVEC INC                     | COM | 37246C109 | 5     | 6400    | SH | DEFINED | 0 |
| GEORESOURCES INC               | COM | 372476101 | 10    | 1000    | SH | DEFINED | 0 |
| GENTIVA HEALTH SERVICES        | COM | 37247A102 | 3451  | 209535  | SH | DEFINED | 0 |
| GENTIVA HEALTH SERVICES        | COM | 37247A102 | 63    | 3800    | SH | DEFINED | 0 |
| GENTIVA HEALTH SERVICES        | COM | 37247A102 | 2     | 120     | SH | DEFINED | 0 |
| GENWORTH FINANCIAL INC-CL A    | COM | 37247D106 | 4820  | 689671  | SH | DEFINED | 0 |
| GENWORTH FINANCIAL INC-CL A    | COM | 37247D106 | 406   | 58027   | SH | DEFINED | 0 |
| GENWORTH FINANCIAL INC-CL A    | COM | 37247D106 | 84    | 12031   | SH | DEFINED | 0 |
| GEOEYE INC                     | COM | 37250W108 | 988   | 41995   | SH | DEFINED | 0 |
| GEOEYE INC                     | COM | 37250W108 | 33    | 1415    | SH | DEFINED | 0 |
| GENZYME CORP                   | COM | 372917104 | 6176  | 110961  | SH | DEFINED | 0 |
| GENZYME CORP                   | COM | 372917104 | 893   | 16032   | SH | DEFINED | 0 |
| GENZYME CORP                   | COM | 372917104 | 16870 | 303031  | SH | DEFINED | 0 |
| GENZYME CORP                   | COM | 372917104 | 167   | 3003    | SH | DEFINED | 0 |
| GEOGRAPHIC NETWORK AFF SERIES  | COM | 372995936 | 0     | 20000   | SH | DEFINED | 0 |
| GEOGRAPHIC NETWORK             | COM | 37299A943 | 0     | 8000    | SH | DEFINED | 0 |
| GEOGRAPHIC NETWORK AF INTL INC | COM | 37299B917 | 0     | 8000    | SH | DEFINED | 0 |
| GEOGRAPHIC NETWORK             | COM | 37299B974 | 0     | 8000    | SH | DEFINED | 0 |
| GEOGRAPHIC NETWORK AFF INTL. I | COM | 37299B982 | 0     | 180000  | SH | DEFINED | 0 |
| GEOGRAPHIC NETWORK             | COM | 37299B9B5 | 0     | 80000   | SH | DEFINED | 0 |
| GEOGRAPHIC NETWORK AFFIL INTL  | COM | 37299D954 | 0     | 8000    | SH | DEFINED | 0 |
| GEOGRAPHIC NETWORK AFFILIATES  | COM | 37299T9Y6 | 0     | 80000   | SH | DEFINED | 0 |
| GERBER SCIENTIFIC INC          | COM | 373730100 | 229   | 92174   | SH | DEFINED | 0 |
| GERBER SCIENTIFIC INC          | COM | 373730100 | 25    | 10100   | SH | DEFINED | 0 |
| GERDAU SA-SPON ADR             | COM | 373737105 | 10659 | 1018054 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| GERDAU SA-SPON ADR             | COM | 373737105 | 50     | 4755    | SH | DEFINED | 0 |
| GERDAU AMERISTEEL CORP ISIN    | COM | 37373P105 | 29     | 4205    | SH | DEFINED | 0 |
| GERON CORP                     | COM | 374163103 | 33     | 4300    | SH | DEFINED | 0 |
| GETTY REALTY CORP              | COM | 374297109 | 549    | 29143   | SH | DEFINED | 0 |
| GETTY REALTY CORP              | COM | 374297109 | 45     | 2400    | SH | DEFINED | 0 |
| GIANT INTERACTIVE GROUP INC    | COM | 374511103 | 27     | 3350    | SH | DEFINED | 0 |
| GIBRALTAR INDUSTRIES INC       | COM | 374689107 | 249    | 36329   | SH | DEFINED | 0 |
| GIBRALTAR INDUSTRIES INC       | COM | 374689107 | 15     | 2179    | SH | DEFINED | 0 |
| GILEAD SCIENCES INC            | COM | 375558103 | 69824  | 1490708 | SH | DEFINED | 0 |
| GILEAD SCIENCES INC            | COM | 375558103 | 4664   | 99565   | SH | DEFINED | 0 |
| GILEAD SCIENCES INC            | COM | 375558103 | 2650   | 56565   | SH | DEFINED | 0 |
| GILEAD SCIENCES INC            | COM | 375558103 | 77987  | 1675537 | SH | DEFINED | 0 |
| GILEAD SCIENCES INC            | COM | 375558103 | 2795   | 59666   | SH | DEFINED | 0 |
| GILEAD SCIENCES INC SR CV 0.62 | COM | 375558AH6 | 1      | 1000    | SH | DEFINED | 0 |
| GILDAN ACTIVEWEAR INC          | COM | 375916103 | 265    | 17900   | SH | DEFINED | 0 |
| GILDAN ACTIVEWEAR INC          | COM | 375916103 | 92     | 2425    | SH | DEFINED | 0 |
| GLACIER BANCORP INC            | COM | 37637Q105 | 2019   | 136640  | SH | DEFINED | 0 |
| GLACIER BANCORP INC            | COM | 37637Q105 | 40     | 2700    | SH | DEFINED | 0 |
| GLADSTONE CAPITAL CORP         | COM | 376535100 | 187    | 24900   | SH | DEFINED | 0 |
| GLADSTONE COMMERCIAL CORP      | COM | 376536108 | 250    | 19300   | SH | DEFINED | 0 |
| GLADSTONE INVT CORP            | COM | 376546107 | 3      | 600     | SH | DEFINED | 0 |
| GLATFELTER                     | COM | 377316104 | 5061   | 568611  | SH | DEFINED | 0 |
| GLATFELTER                     | COM | 377316104 | 1      | 72      | SH | DEFINED | 0 |
| GLAXOSMITHKLINE PLC- ADS       | COM | 37733W105 | 800    | 22636   | SH | DEFINED | 0 |
| GLOBAL CASH ACCESS HOLDINGS    | COM | 378967103 | 673    | 84423   | SH | DEFINED | 0 |
| GLOBAL CASH ACCESS HOLDINGS    | COM | 378967103 | 60     | 7600    | SH | DEFINED | 0 |
| GLOBAL INCOME & CURRENCY F     | COM | 378968101 | 30     | 2196    | SH | DEFINED | 0 |
| GLG PARTNERS INC               | COM | 37929X107 | 102    | 24850   | SH | DEFINED | 0 |
| GLIMCHER RLTY TR SH BEN INT    | COM | 379302102 | 24     | 8250    | SH | DEFINED | 0 |
| GLOBAL INDUSTRIES LTD          | COM | 379336100 | 1021   | 180397  | SH | DEFINED | 0 |
| GLOBAL INDUSTRIES LTD          | COM | 379336100 | 34     | 5990    | SH | DEFINED | 0 |
| GLOBAL HIGH INCOME FUND INC    | COM | 37933G108 | 89     | 8064    | SH | DEFINED | 0 |
| GLOBAL PAYMENTS INC            | COM | 37940X102 | 2395   | 63924   | SH | DEFINED | 0 |
| GLOBAL PAYMENTS INC            | COM | 37940X102 | 57     | 1531    | SH | DEFINED | 0 |
| GLOBAL PAYMENTS INC            | COM | 37940X102 | 531    | 14176   | SH | DEFINED | 0 |
| GLOBAL ENERGY HLDGS GROUP INC  | COM | 37991A100 | 0      | 800     | SH | DEFINED | 0 |
| GMX RESOURCES INC              | COM | 38011M108 | 104    | 9797    | SH | DEFINED | 0 |
| GOL LINHAS AEREAS INTELIGENT   | COM | 38045R107 | 1      | 200     | SH | DEFINED | 0 |
| GOLD FIELDS LTD-SPONS ADR      | COM | 38059T106 | 3809   | 316067  | SH | DEFINED | 0 |
| GOLD FIELDS LTD-SPONS ADR      | COM | 38059T106 | 57     | 4690    | SH | DEFINED | 0 |
| GOLD RESERVE INC-CLAISIN #CA38 | COM | 38068N108 | 3      | 5000    | SH | DEFINED | 0 |
| GOLDCORP INC                   | COM | 380956409 | 8199   | 235930  | SH | DEFINED | 0 |
| GOLDCORP INC                   | COM | 380956409 | 5111   | 147065  | SH | DEFINED | 0 |
| GOLDEN STAR RES LTD CDA COM IS | COM | 38119T104 | 1      | 500     | SH | DEFINED | 0 |
| GOLDMAN SACHS GROUP INC        | COM | 38141G104 | 172917 | 1172791 | SH | DEFINED | 0 |
| GOLDMAN SACHS GROUP INC        | COM | 38141G104 | 5046   | 34226   | SH | DEFINED | 0 |
| GOLDMAN SACHS GROUP INC        | COM | 38141G104 | 5138   | 34842   | SH | DEFINED | 0 |
| GOLDMAN SACHS GROUP INC        | COM | 38141G104 | 136177 | 925430  | SH | DEFINED | 0 |
| GOLDMAN SACHS GROUP INC        | COM | 38141G104 | 1185   | 8038    | SH | DEFINED | 0 |
| GS TRIGGER DEC09 GST 80        | COM | 38145K382 | 149149 | 147525  | SH | DEFINED | 0 |
| GS TRIGGER DEC09 GST 80        | COM | 38145K382 | 253    | 250     | SH | DEFINED | 0 |
| GS TRIGGER DEC90 GST 82        | COM | 38145K390 | 148226 | 147525  | SH | DEFINED | 0 |
| GS TRIGGER DEC90 GST 82        | COM | 38145K390 | 251    | 250     | SH | DEFINED | 0 |
| GOLF TRUST OF AMER             | COM | 38168B103 | 0      | 100     | SH | DEFINED | 0 |
| GOODRICH CORP                  | COM | 382388106 | 80867  | 1618357 | SH | DEFINED | 0 |
| GOODRICH CORP                  | COM | 382388106 | 574    | 11482   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| GOODRICH CORP                  | COM | 382388106 | 268    | 5372    | SH | DEFINED | 0 |
| GOODRICH PETROLEUM CORP        | COM | 382410405 | 895    | 36370   | SH | DEFINED | 0 |
| GOODRICH PETROLEUM CORP        | COM | 382410405 | 71     | 2900    | SH | DEFINED | 0 |
| GOODRICH PETROLEUM CORP        | COM | 382410405 | 64     | 2607    | SH | DEFINED | 0 |
| GOODYEAR TIRE & RUBBER CO      | COM | 382550101 | 14914  | 1324695 | SH | DEFINED | 0 |
| GOODYEAR TIRE & RUBBER CO      | COM | 382550101 | 224    | 19864   | SH | DEFINED | 0 |
| GOODYEAR TIRE & RUBBER CO      | COM | 382550101 | 235    | 19900   | SH | DEFINED | 0 |
| GOODYEAR TIRE & RUBBER CO      | COM | 382550101 | 69     | 6100    | SH | DEFINED | 0 |
| GOOGLE INC-CL A                | COM | 38259P508 | 66286  | 157229  | SH | DEFINED | 0 |
| GOOGLE INC-CL A                | COM | 38259P508 | 7198   | 17074   | SH | DEFINED | 0 |
| GOOGLE INC-CL A                | COM | 38259P508 | 6637   | 15742   | SH | DEFINED | 0 |
| GOOGLE INC-CL A                | COM | 38259P508 | 146234 | 346922  | SH | DEFINED | 0 |
| GOOGLE INC-CL A                | COM | 38259P508 | 2508   | 5949    | SH | DEFINED | 0 |
| GORMAN RUPP CO                 | COM | 383082104 | 17     | 833     | SH | DEFINED | 0 |
| GOVERNMENT PROPERTIES INCOME - | COM | 38376A103 | 1105   | 53868   | SH | DEFINED | 0 |
| WR GRACE & CO                  | COM | 38388F108 | 5371   | 434174  | SH | DEFINED | 0 |
| WR GRACE & CO                  | COM | 38388F108 | 75     | 6100    | SH | DEFINED | 0 |
| GRACO INC                      | COM | 384109104 | 504    | 22900   | SH | DEFINED | 0 |
| GRACO INC                      | COM | 384109104 | 6      | 252     | SH | DEFINED | 0 |
| GRAFTECH INTERNATIONAL LTD     | COM | 384313102 | 3129   | 276707  | SH | DEFINED | 0 |
| GRAFTECH INTERNATIONAL LTD     | COM | 384313102 | 8      | 690     | SH | DEFINED | 0 |
| WW GRAINGER INC                | COM | 384802104 | 11258  | 137482  | SH | DEFINED | 0 |
| WW GRAINGER INC                | COM | 384802104 | 462    | 5640    | SH | DEFINED | 0 |
| WW GRAINGER INC                | COM | 384802104 | 67     | 814     | SH | DEFINED | 0 |
| GRAMERCY CAP CORP              | COM | 384871109 | 2      | 1504    | SH | DEFINED | 0 |
| GRAN TIERRA ENERGY INC         | COM | 38500T101 | 161    | 46550   | SH | DEFINED | 0 |
| GRAN TIERRA ENERGY INC         | COM | 38500T101 | 39     | 11300   | SH | DEFINED | 0 |
| GRAN TIERRA ENERGY INC         | COM | 38500T101 | 112    | 32460   | SH | DEFINED | 0 |
| GRAND CANYON EDUCATION INC     | COM | 38526M106 | 85     | 5042    | SH | DEFINED | 0 |
| GRANITE CITY FOOD & BREWERY LT | COM | 38724Q107 | 0      | 1500    | SH | DEFINED | 0 |
| GRANITE CONSTRUCTION INC       | COM | 387328107 | 4918   | 147742  | SH | DEFINED | 0 |
| GRANITE CONSTRUCTION INC       | COM | 387328107 | 47     | 1400    | SH | DEFINED | 0 |
| GRANITE CONSTRUCTION INC       | COM | 387328107 | 21     | 622     | SH | DEFINED | 0 |
| GREAT ATLANTIC & PAC TEA CO    | COM | 390064103 | 232    | 54455   | SH | DEFINED | 0 |
| GREAT ATLANTIC & PAC TEA CO    | COM | 390064103 | 6      | 1500    | SH | DEFINED | 0 |
| GREAT LAKES DREDGE & DOCK COR  | COM | 390607109 | 78     | 16250   | SH | DEFINED | 0 |
| GREAT SOUTHERN BANCORP INC     | COM | 390905107 | 191    | 9289    | SH | DEFINED | 0 |
| GREAT NORTHERN IRON ORE PROPER | COM | 391064102 | 59     | 680     | SH | DEFINED | 0 |
| GREAT PLAINS ENERGY INC        | COM | 391164100 | 219    | 14064   | SH | DEFINED | 0 |
| GREATBATCH INC                 | COM | 39153L106 | 2380   | 105255  | SH | DEFINED | 0 |
| GREATBATCH INC                 | COM | 39153L106 | 179    | 7927    | SH | DEFINED | 0 |
| GREATER CHINA FUND             | COM | 39167B102 | 31     | 2768    | SH | DEFINED | 0 |
| GREEN MOUNTAIN COFFEE ROASTE   | COM | 393122106 | 5466   | 92454   | SH | DEFINED | 0 |
| GREEN MOUNTAIN COFFEE ROASTE   | COM | 393122106 | 165    | 2799    | SH | DEFINED | 0 |
| GREENBRIER COMPANIES INC       | COM | 393657101 | 189    | 26290   | SH | DEFINED | 0 |
| GREENBRIER COMPANIES INC       | COM | 393657101 | 61     | 8500    | SH | DEFINED | 0 |
| GREENHAVEN CONTINUOUS          | COM | 395258106 | 34     | 1500    | SH | DEFINED | 0 |
| GREENHILL & CO INC             | COM | 395259104 | 3076   | 42599   | SH | DEFINED | 0 |
| GREENHILL & CO INC             | COM | 395259104 | 18     | 249     | SH | DEFINED | 0 |
| GREIF INC-CL A                 | COM | 397624107 | 201    | 4550    | SH | DEFINED | 0 |
| GREIF INC-CL A                 | COM | 397624107 | 7      | 148     | SH | DEFINED | 0 |
| GRIFFON CORPORATION            | COM | 398433102 | 541    | 65003   | SH | DEFINED | 0 |
| GRIFFON CORPORATION            | COM | 398433102 | 8      | 1000    | SH | DEFINED | 0 |
| GROUP 1 AUTOMOTIVE INC         | COM | 398905109 | 4809   | 184870  | SH | DEFINED | 0 |
| PUT QQQQ AUG 35                | OPT | 3U299S786 | 1202   | 13354   | SH | DEFINED | 0 |
| GRUBB & ELLIS CO               | COM | 400095204 | 178    | 222600  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| GRUPO SIMEC S A B DE C V ADR   | COM | 400491106 | 1     | 137     | SH | DEFINED | 0 |
| GRUPO TELEVISIA SA-SPONS ADR   | COM | 40049J206 | 15671 | 921810  | SH | DEFINED | 0 |
| GRUPO TELEVISIA SA-SPONS ADR   | COM | 40049J206 | 28729 | 1734141 | SH | DEFINED | 0 |
| GRUPO TELEVISIA SA-SPONS ADR   | COM | 40049J206 | 27    | 1563    | SH | DEFINED | 0 |
| GRUPO AEROPORTUARIO PAC-ADR    | COM | 400506101 | 91    | 2700    | SH | DEFINED | 0 |
| GRUPO TMM SAB SPONS ADR        | COM | 40051D105 | 0     | 100     | SH | DEFINED | 0 |
| GRUPO AEROPORTUARIO SUR-ADR    | COM | 40051E202 | 1637  | 41949   | SH | DEFINED | 0 |
| GUANGSHEN RLY CO LTDSPONS ADR  | COM | 40065W107 | 2     | 100     | SH | DEFINED | 0 |
| GUARANTY BANCORP DELCOM        | COM | 40075T102 | 4     | 2150    | SH | DEFINED | 0 |
| GUARANTY FINL GROUP INC COM    | COM | 40108N106 | 0     | 66      | SH | DEFINED | 0 |
| GUESS? INC                     | COM | 401617105 | 2609  | 101230  | SH | DEFINED | 0 |
| GUESS? INC                     | COM | 401617105 | 70    | 1750    | SH | DEFINED | 0 |
| GUESS? INC                     | COM | 401617105 | 15    | 595     | SH | DEFINED | 0 |
| GULF ISLAND FABRICATION INC    | COM | 402307102 | 256   | 16195   | SH | DEFINED | 0 |
| GULF ISLAND FABRICATION INC    | COM | 402307102 | 9     | 566     | SH | DEFINED | 0 |
| GULFMARK OFFSHORE INC          | COM | 402629109 | 1970  | 71360   | SH | DEFINED | 0 |
| GULFMARK OFFSHORE INC          | COM | 402629109 | 99    | 3600    | SH | DEFINED | 0 |
| GYMBOREE CORP                  | COM | 403777105 | 2481  | 69936   | SH | DEFINED | 0 |
| GYMBOREE CORP                  | COM | 403777105 | 3     | 75      | SH | DEFINED | 0 |
| GYRODYNE CO OF AMERICA INC     | COM | 403820103 | 982   | 23367   | SH | DEFINED | 0 |
| H&E EQUIPMENT SERVICES INC     | COM | 404030108 | 541   | 57849   | SH | DEFINED | 0 |
| H & Q HEALTHCARE FD SH BEN INT | COM | 404052102 | 108   | 10001   | SH | DEFINED | 0 |
| H & Q LIFE SCIENCES INVS SH BE | COM | 404053100 | 53    | 6055    | SH | DEFINED | 0 |
| HCC INSURANCE HOLDINGS INC     | COM | 404132102 | 7829  | 326120  | SH | DEFINED | 0 |
| HCC INSURANCE HOLDINGS INC     | COM | 404132102 | 20116 | 838500  | SH | DEFINED | 0 |
| HCC INSURANCE HOLDINGS INC     | COM | 404132102 | 623   | 25961   | SH | DEFINED | 0 |
| HCP INC                        | COM | 40414L109 | 5897  | 278341  | SH | DEFINED | 0 |
| HCP INC                        | COM | 40414L109 | 316   | 14890   | SH | DEFINED | 0 |
| HCP INC                        | COM | 40414L109 | 768   | 36265   | SH | DEFINED | 0 |
| HDFC BANK LTD-ADR              | COM | 40415F101 | 392   | 3800    | SH | DEFINED | 0 |
| HDFC BANK LTD-ADR              | COM | 40415F101 | 3114  | 30432   | SH | DEFINED | 0 |
| HDFC BANK LTD-ADR              | COM | 40415F101 | 58    | 561     | SH | DEFINED | 0 |
| HLTH CORP                      | COM | 40422Y101 | 137   | 10441   | SH | DEFINED | 0 |
| HLTH CORP                      | COM | 40422Y101 | 54    | 4132    | SH | DEFINED | 0 |
| HMN FINL INC                   | COM | 40424G108 | 0     | 100     | SH | DEFINED | 0 |
| HNI CORP                       | COM | 404251100 | 1949  | 107906  | SH | DEFINED | 0 |
| HNI CORP                       | COM | 404251100 | 180   | 9953    | SH | DEFINED | 0 |
| HMS HOLDINGS CORP              | COM | 40425J101 | 3514  | 86287   | SH | DEFINED | 0 |
| HMS HOLDINGS CORP              | COM | 40425J101 | 24    | 600     | SH | DEFINED | 0 |
| HQ SUSTAINABLE MARITIME INDU   | COM | 40426A208 | 1683  | 183973  | SH | DEFINED | 0 |
| HQ SUSTAINABLE MARITIME INDU   | COM | 40426A208 | 2     | 250     | SH | DEFINED | 0 |
| HRPT PROPERTIES TRUST          | COM | 40426W101 | 48    | 11720   | SH | DEFINED | 0 |
| HRPT PROPERTIES TRUST          | COM | 40426W101 | 36    | 8809    | SH | DEFINED | 0 |
| HSBC HOLDINGS PLC SPONS ADR    | COM | 404280406 | 544   | 13013   | SH | DEFINED | 0 |
| HSBC HOLDINGS PLC ADR SER A    | PRF | 404280604 | 129   | 7120    | SH | DEFINED | 0 |
| HSBC USA INC                   | PRF | 40428H805 | 180   | 13100   | SH | DEFINED | 0 |
| ELEMENTS S&P CTI ETN           | COM | 4042EP602 | 14    | 1535    | SH | DEFINED | 0 |
| HSN INC                        | COM | 404303109 | 366   | 34613   | SH | DEFINED | 0 |
| HSN INC                        | COM | 404303109 | 1     | 86      | SH | DEFINED | 0 |
| HACKETT GROUP INC/THE          | COM | 404609109 | 156   | 66850   | SH | DEFINED | 0 |
| HACKETT GROUP INC/THE          | COM | 404609109 | 50    | 21300   | SH | DEFINED | 0 |
| HACKETT GROUP INC/THE          | COM | 404609109 | 0     | 10      | SH | DEFINED | 0 |
| HAEMONETICS CORP/MASS          | COM | 405024100 | 4703  | 82515   | SH | DEFINED | 0 |
| HAEMONETICS CORP/MASS          | COM | 405024100 | 33    | 583     | SH | DEFINED | 0 |
| HAEMONETICS CORP/MASS          | COM | 405024100 | 85    | 1500    | SH | DEFINED | 0 |
| HAIN CELESTIAL GROUP INC       | COM | 405217100 | 1244  | 79692   | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| HAIN CELESTIAL GROUP INC       | COM | 405217100 | 7      | 423     | SH | DEFINED | 0 |
| HALLIBURTON CO                 | COM | 406216101 | 114563 | 5534325 | SH | DEFINED | 0 |
| HALLIBURTON CO                 | COM | 406216101 | 1537   | 74238   | SH | DEFINED | 0 |
| HALLIBURTON CO                 | COM | 406216101 | 1397   | 67488   | SH | DEFINED | 0 |
| HALLMARK FINL SERVICES INC     | COM | 40624Q203 | 72     | 10048   | SH | DEFINED | 0 |
| HALOZYME THERAPEUTICS INC      | COM | 40637H109 | 192    | 27600   | SH | DEFINED | 0 |
| HAMPSHIRE GROUP LTD            | COM | 408859106 | 333    | 126710  | SH | DEFINED | 0 |
| HANCOCK JOHN BK & THRIFT OPP   | COM | 409735206 | 74     | 6182    | SH | DEFINED | 0 |
| HANCOCK HOLDING CO             | COM | 410120109 | 865    | 26633   | SH | DEFINED | 0 |
| HANCOCK HOLDING CO             | COM | 410120109 | 29     | 900     | SH | DEFINED | 0 |
| HANCOCK JOHN INCOME SECS TR MA | COM | 410123103 | 21     | 1850    | SH | DEFINED | 0 |
| HANCOCK JOHN INVT TRTAX ADVANT | COM | 41013P749 | 38     | 3500    | SH | DEFINED | 0 |
| JOHN HANCOCK PATRIOTPREM DIVID | COM | 41013T105 | 64     | 8021    | SH | DEFINED | 0 |
| HANCOCK JOHN TAX ADVANTAGE     | COM | 41013V100 | 83     | 8267    | SH | DEFINED | 0 |
| HANCOCK JOHN PFD EQUITY INC    | COM | 41013W108 | 496    | 33030   | SH | DEFINED | 0 |
| HANCOCK JOHN PFD INCOME FD     | COM | 41013X106 | 30     | 2025    | SH | DEFINED | 0 |
| HANCOCK JOHN INVS TR SBI       | COM | 410142103 | 33     | 2104    | SH | DEFINED | 0 |
| HANCOCK JOHN PFD INCME FD III  | COM | 41021P103 | 11     | 904     | SH | DEFINED | 0 |
| HANESBRANDS INC                | COM | 410345102 | 0      | 5       | SH | DEFINED | 0 |
| HANESBRANDS INC                | COM | 410345102 | 57     | 3781    | SH | DEFINED | 0 |
| HANGER ORTHOPEDIC GROUP INC    | COM | 41043F208 | 1770   | 130172  | SH | DEFINED | 0 |
| HANMI FINANCIAL CORPORATION    | COM | 410495105 | 74     | 42180   | SH | DEFINED | 0 |
| HANOVER INSURANCE GROUP INC/   | COM | 410867105 | 8689   | 228000  | SH | DEFINED | 0 |
| HANOVER INSURANCE GROUP INC/   | COM | 410867105 | 2668   | 70000   | SH | DEFINED | 0 |
| HANOVER INS GROUP INC COM      | COM | 410867105 | 748    | 19617   | SH | DEFINED | 0 |
| HANSEN MED INC COM             | COM | 411307101 | 2      | 500     | SH | DEFINED | 0 |
| HANSEN NATURAL CORPORATION     | COM | 411310105 | 2212   | 71741   | SH | DEFINED | 0 |
| HANSEN NATURAL CORPORATION     | COM | 411310105 | 30     | 959     | SH | DEFINED | 0 |
| HANSEN NATURAL CORPORATION     | COM | 411310105 | 51     | 1661    | SH | DEFINED | 0 |
| HARBIN ELEC INC                | COM | 41145W109 | 3      | 200     | SH | DEFINED | 0 |
| HARDINGE INC                   | COM | 412324303 | 8      | 1971    | SH | DEFINED | 0 |
| HARLEY-DAVIDSON INC-STOCK      | COM | 412822108 | 23908  | 1474860 | SH | DEFINED | 0 |
| HARLEY-DAVIDSON INC-STOCK      | COM | 412822108 | 442    | 27276   | SH | DEFINED | 0 |
| HARLEY-DAVIDSON INC-STOCK      | COM | 412822108 | 266    | 16404   | SH | DEFINED | 0 |
| HARLEYSVILLE GROUP INC         | COM | 412824104 | 647    | 22941   | SH | DEFINED | 0 |
| HARLEYSVILLE GROUP INC         | COM | 412824104 | 8      | 276     | SH | DEFINED | 0 |
| HARLEYSVILLE NATL CORP PA      | COM | 412850109 | 0      | 51      | SH | DEFINED | 0 |
| HARMAN INTERNATIONAL           | COM | 413086109 | 2498   | 132865  | SH | DEFINED | 0 |
| HARMAN INTERNATIONAL           | COM | 413086109 | 68     | 3599    | SH | DEFINED | 0 |
| HARMAN INTERNATIONAL           | COM | 413086109 | 0      | 13      | SH | DEFINED | 0 |
| HARMONIC INC                   | COM | 413160102 | 862    | 146202  | SH | DEFINED | 0 |
| HARMONIC INC                   | COM | 413160102 | 124    | 21131   | SH | DEFINED | 0 |
| HARMONY GOLD MNG-SPON ADR      | COM | 413216300 | 3584   | 347337  | SH | DEFINED | 0 |
| HARRIS & HARRIS GROUP INC      | COM | 413833104 | 3      | 500     | SH | DEFINED | 0 |
| HARRIS CORP                    | COM | 413875105 | 181    | 6372    | SH | DEFINED | 0 |
| HARRIS CORP                    | COM | 413875105 | 362    | 12778   | SH | DEFINED | 0 |
| HARRIS CORP                    | COM | 413875105 | 78     | 2752    | SH | DEFINED | 0 |
| HARRIS STRATEX NETWORKS-CL A   | COM | 41457P106 | 196    | 30281   | SH | DEFINED | 0 |
| HARRIS STRATEX NETWORKS-CL A   | COM | 41457P106 | 65     | 10100   | SH | DEFINED | 0 |
| HARRIS STRATEX NETWORKS-CL A   | COM | 41457P106 | 10     | 1577    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| HARSCO CORP                    | COM | 415864107 | 13455 | 475391  | SH | DEFINED | 0 |
| HARSCO CORP                    | COM | 415864107 | 10    | 355     | SH | DEFINED | 0 |
| HARTE-HANKS INC                | COM | 416196103 | 38    | 4126    | SH | DEFINED | 0 |
| HARTE-HANKS INC                | COM | 416196103 | 25    | 2672    | SH | DEFINED | 0 |
| HARTFORD FINANCIAL SVCS GRP    | COM | 416515104 | 15300 | 1288861 | SH | DEFINED | 0 |
| HARTFORD FINANCIAL SVCS GRP    | COM | 416515104 | 340   | 28683   | SH | DEFINED | 0 |
| HARTFORD FINANCIAL SVCS GRP    | COM | 416515104 | 243   | 20463   | SH | DEFINED | 0 |
| HARTFORD INCOME SHS FD INC     | COM | 416537108 | 72    | 13600   | SH | DEFINED | 0 |
| HARVARD BIOSCIENCE INCORPORATE | COM | 416906105 | 1018  | 257635  | SH | DEFINED | 0 |
| HARVEST ENERGY TR UNITS ISIN   | COM | 41752X101 | 191   | 35034   | SH | DEFINED | 0 |
| HASBRO INC                     | COM | 418056107 | 16941 | 698836  | SH | DEFINED | 0 |
| HASBRO INC                     | COM | 418056107 | 121   | 4994    | SH | DEFINED | 0 |
| HASBRO INC                     | COM | 418056107 | 36    | 1500    | SH | DEFINED | 0 |
| HATTERAS FINANCIAL CORP        | COM | 41902R103 | 733   | 25650   | SH | DEFINED | 0 |
| HATTERAS FINANCIAL CORP        | COM | 41902R103 | 49    | 1700    | SH | DEFINED | 0 |
| HATTERAS FINANCIAL CORP        | COM | 41902R103 | 20    | 700     | SH | DEFINED | 0 |
| HAVERTY FURNITURE              | COM | 419596101 | 115   | 12538   | SH | DEFINED | 0 |
| HAWAIIAN ELECTRIC INDS         | COM | 419870100 | 1437  | 75333   | SH | DEFINED | 0 |
| HAWAIIAN ELECTRIC INDS         | COM | 419870100 | 2977  | 156356  | SH | DEFINED | 0 |
| HAWAIIAN ELECTRIC INDS         | COM | 419870100 | 259   | 13570   | SH | DEFINED | 0 |
| HAWAIIAN HOLDINGS INC          | COM | 419879101 | 1716  | 284789  | SH | DEFINED | 0 |
| HAWAIIAN HOLDINGS INC          | COM | 419879101 | 51    | 8500    | SH | DEFINED | 0 |
| HAWAIIAN HOLDINGS INC          | COM | 419879101 | 11    | 1900    | SH | DEFINED | 0 |
| HAWK CORP - CLASS A            | COM | 420089104 | 149   | 10726   | SH | DEFINED | 0 |
| HAYNES INTERNATIONAL INC       | COM | 420877201 | 133   | 5646    | SH | DEFINED | 0 |
| HEALTHCARE SERVICES GROUP      | COM | 421906108 | 2195  | 122721  | SH | DEFINED | 0 |
| HEALTHCARE SERVICES GROUP      | COM | 421906108 | 7     | 415     | SH | DEFINED | 0 |
| HEALTHSOUTH CORP               | COM | 421924309 | 691   | 47780   | SH | DEFINED | 0 |
| HEALTHSOUTH CORP               | COM | 421924309 | 100   | 6900    | SH | DEFINED | 0 |
| HEALTHSOUTH CORP               | COM | 421924309 | 3085  | 213659  | SH | DEFINED | 0 |
| HEALTHSOUTH CORP               | COM | 421924309 | 53    | 3646    | SH | DEFINED | 0 |
| HEALTH MGMT ASSOCIATES INC-A   | COM | 421933102 | 3696  | 747973  | SH | DEFINED | 0 |
| HEALTH MGMT ASSOCIATES INC-A   | COM | 421933102 | 11    | 2150    | SH | DEFINED | 0 |
| HEALTHCARE REALTY TRUST INC    | COM | 421946104 | 1204  | 71514   | SH | DEFINED | 0 |
| HEALTHCARE REALTY TRUST INC    | COM | 421946104 | 52    | 3100    | SH | DEFINED | 0 |
| HEALTHCARE REALTY TRUST INC    | COM | 421946104 | 63    | 3739    | SH | DEFINED | 0 |
| HEAD NV-NY REG                 | COM | 422070102 | 411   | 894200  | SH | DEFINED | 0 |
| HEADWATERS INC                 | COM | 42210P102 | 323   | 96160   | SH | DEFINED | 0 |
| HEADWATERS INC                 | COM | 42210P102 | 52    | 15500   | SH | DEFINED | 0 |
| HEADWATERS INC                 | COM | 42210P102 | 22    | 6640    | SH | DEFINED | 0 |
| HEALTH CARE REIT INC           | COM | 42217K106 | 5190  | 152214  | SH | DEFINED | 0 |
| HEALTH CARE REIT INC           | COM | 42217K106 | 400   | 11733   | SH | DEFINED | 0 |
| HEALTH CARE REIT INC           | COM | 42217K106 | 219   | 6416    | SH | DEFINED | 0 |
| HEALTH CARE REIT INC           | COM | 42217K502 | 7     | 250     | SH | DEFINED | 0 |
| HEALTH CARE REIT INCCONV SR NT | COM | 42217KAP1 | 2     | 2000    | SH | DEFINED | 0 |
| HEALTH CARE REIT INCNT 04.7500 | COM | 42217KAQ9 | 1     | 1000    | SH | DEFINED | 0 |
| HEALTH NET INC                 | COM | 42222G108 | 2691  | 173183  | SH | DEFINED | 0 |
| HEALTH NET INC                 | COM | 42222G108 | 2     | 105     | SH | DEFINED | 0 |
| HEALTHTRONICS INC COM FO       | COM | 42222L107 | 1     | 477     | SH | DEFINED | 0 |
| HEALTHWAYS INC                 | COM | 422245100 | 500   | 37179   | SH | DEFINED | 0 |
| HEALTHWAYS INC                 | COM | 422245100 | 1     | 100     | SH | DEFINED | 0 |
| HEALTHSPRING INC               | COM | 42224N101 | 3620  | 333234  | SH | DEFINED | 0 |
| HEALTHSPRING INC               | COM | 42224N101 | 90    | 8300    | SH | DEFINED | 0 |
| HEALTHSPRING INC               | COM | 42224N101 | 0     | 44      | SH | DEFINED | 0 |
| HEARST-ARGYLE TELEVISION       | COM | 422317107 | 0     | 72      | SH | DEFINED | 0 |
| HEARTLAND EXPRESS INC          | COM | 422347104 | 2007  | 136347  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| HEARTLAND EXPRESS INC          | COM | 422347104 | 40     | 2700     | SH | DEFINED | 0 |
| HEARTLAND EXPRESS INC          | COM | 422347104 | 45     | 3062     | SH | DEFINED | 0 |
| HEARTLAND PAYMENT SYSTEMS IN   | COM | 42235N108 | 213    | 22285    | SH | DEFINED | 0 |
| HEARTLAND PAYMENT SYSTEMS IN   | COM | 42235N108 | 5      | 501      | SH | DEFINED | 0 |
| HEARUSA INC NEW                | COM | 422360305 | 30     | 33500    | SH | DEFINED | 0 |
| HECKMANN CORP COM              | COM | 422680108 | 21     | 5700     | SH | DEFINED | 0 |
| HECLA MINING CO                | COM | 422704106 | 123    | 45940    | SH | DEFINED | 0 |
| HECLA MINING CO                | COM | 422704106 | 40     | 14900    | SH | DEFINED | 0 |
| HECLA MINING CO                | COM | 422704106 | 17     | 6375     | SH | DEFINED | 0 |
| HEICO CORP                     | COM | 422806109 | 279    | 7700     | SH | DEFINED | 0 |
| HEICO CORP-CLASS A             | COM | 422806208 | 173    | 5900     | SH | DEFINED | 0 |
| HEIDRICK & STRUGGLES INTL      | COM | 422819102 | 2128   | 116585   | SH | DEFINED | 0 |
| HEIDRICK & STRUGGLES INTL      | COM | 422819102 | 55     | 3000     | SH | DEFINED | 0 |
| HEIDRICK & STRUGGLES INTL      | COM | 422819102 | 30     | 1628     | SH | DEFINED | 0 |
| HJ HEINZ CO                    | COM | 423074103 | 499    | 13988    | SH | DEFINED | 0 |
| HJ HEINZ CO                    | COM | 423074103 | 664    | 18611    | SH | DEFINED | 0 |
| HJ HEINZ CO                    | COM | 423074103 | 2197   | 61533    | SH | DEFINED | 0 |
| HELIOS TOTAL RETURN FD INC COM | COM | 42327V109 | 17     | 3600     | SH | DEFINED | 0 |
| HELIOS MULTI-SECTOR HIGH INCOM | COM | 42327Y103 | 2      | 1973     | SH | DEFINED | 0 |
| HELIOS STRATEGIC INCOME FD     | COM | 42328A104 | 4      | 4000     | SH | DEFINED | 0 |
| HELIX ENERGY SOLUTIONS GROUP   | COM | 42330P107 | 1397   | 128520   | SH | DEFINED | 0 |
| HELIX ENERGY SOLUTIONS GROUP   | COM | 42330P107 | 66     | 6055     | SH | DEFINED | 0 |
| HELLENIC TELECOMM ORG ADR RE   | COM | 423325307 | 15     | 2000     | SH | DEFINED | 0 |
| HELMERICH & PAYNE              | COM | 423452101 | 1665   | 53937    | SH | DEFINED | 0 |
| HELMERICH & PAYNE              | COM | 423452101 | 6326   | 225100   | SH | DEFINED | 0 |
| HELMERICH & PAYNE              | COM | 423452101 | 112    | 3629     | SH | DEFINED | 0 |
| HEMISPERIX BIOPRARMACINC       | COM | 42366C103 | 6      | 2300     | SH | DEFINED | 0 |
| HENRY JACK & ASSOC INC         | COM | 426281101 | 104    | 5014     | SH | DEFINED | 0 |
| HENRY BROS ELECTRONIC          | COM | 426286100 | 4      | 700      | SH | DEFINED | 0 |
| HERCULES OFFSHORE INC COM      | COM | 427093109 | 6      | 1575     | SH | DEFINED | 0 |
| HERCULES TECHNOLOGY GROWTH     | COM | 427096508 | 444    | 53064    | SH | DEFINED | 0 |
| HERLEY INDUSTRIES INC          | COM | 427398102 | 376    | 34252    | SH | DEFINED | 0 |
| HERLEY INDUSTRIES INC          | COM | 427398102 | 75     | 6800     | SH | DEFINED | 0 |
| HERSHEY CO/THE                 | COM | 427866108 | 6300   | 175010   | SH | DEFINED | 0 |
| HERSHEY CO/THE                 | COM | 427866108 | 223    | 6201     | SH | DEFINED | 0 |
| HERSHEY CO/THE                 | COM | 427866108 | 252    | 6996     | SH | DEFINED | 0 |
| HERZFELD CARIBBEAN BASIN FD I  | COM | 42804T106 | 14     | 2250     | SH | DEFINED | 0 |
| HERTZ GLOBAL HOLDINGS INC      | COM | 42805T105 | 44     | 5560     | SH | DEFINED | 0 |
| HERTZ GLOBAL HOLDINGS INC      | COM | 42805T105 | 227    | 33000    | SH | DEFINED | 0 |
| HERTZ GLOBAL HOLDINGS INC      | COM | 42805T105 | 5      | 572      | SH | DEFINED | 0 |
| HESS CORP                      | COM | 42809H107 | 27457  | 510829   | SH | DEFINED | 0 |
| HESS CORP                      | COM | 42809H107 | 839    | 15607    | SH | DEFINED | 0 |
| HESS CORP                      | COM | 42809H107 | 840    | 15625    | SH | DEFINED | 0 |
| HEWITT ASSOCIATES INC-CL A     | COM | 42822Q100 | 129    | 4332     | SH | DEFINED | 0 |
| HEWITT ASSOCIATES INC-CL A     | COM | 42822Q100 | 1218   | 40902    | SH | DEFINED | 0 |
| HEWLETT-PACKARD CO             | COM | 428236103 | 664121 | 17182908 | SH | DEFINED | 0 |
| HEWLETT-PACKARD CO             | COM | 428236103 | 6969   | 180298   | SH | DEFINED | 0 |
| HEWLETT-PACKARD CO             | COM | 428236103 | 3361   | 86970    | SH | DEFINED | 0 |
| HEXCEL CORP                    | COM | 428291108 | 1416   | 148600   | SH | DEFINED | 0 |
| HEXCEL CORP                    | COM | 428291108 | 622    | 65283    | SH | DEFINED | 0 |
| HHGREGG INC                    | COM | 42833L108 | 201    | 13227    | SH | DEFINED | 0 |
| HI-TECH PHARMACAL INC          | COM | 42840B101 | 1      | 150      | SH | DEFINED | 0 |
| HIBBETT SPORTS INC             | COM | 428567101 | 1664   | 92478    | SH | DEFINED | 0 |
| HIBBETT SPORTS INC             | COM | 428567101 | 2      | 105      | SH | DEFINED | 0 |
| HIGHLAND CREDIT STRATEGIES     | COM | 43005Q107 | 33     | 6701     | SH | DEFINED | 0 |
| HIGHWOODS PROPERTIES INC       | COM | 431284108 | 4244   | 189692   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |          |    |         |   |
|-------------------------------|-----|-----------|--------|----------|----|---------|---|
| HIGHWOODS PROPERTIES INC      | COM | 431284108 | 87     | 3900     | SH | DEFINED | 0 |
| HIGHWOODS PROPERTIES INC      | COM | 431284108 | 25     | 1096     | SH | DEFINED | 0 |
| HILAND HLDGS GP LP UNIT LTD P | COM | 43129M107 | 2      | 800      | SH | DEFINED | 0 |
| HILL INTERNATIONAL INC        | COM | 431466101 | 68     | 4800     | SH | DEFINED | 0 |
| HILL INTERNATIONAL INC        | COM | 431466101 | 2      | 500      | SH | DEFINED | 0 |
| HILLENBRAND INC               | COM | 431571108 | 3603   | 216494   | SH | DEFINED | 0 |
| HILLTOP HOLDINGS INC          | COM | 432748101 | 3227   | 271750   | SH | DEFINED | 0 |
| HILLTOP HOLDINGS INC          | COM | 432748101 | 72     | 6100     | SH | DEFINED | 0 |
| HILLTOP HOLDINGS INC          | COM | 432748101 | 11     | 900      | SH | DEFINED | 0 |
| HIMAX TECHNOLOGIES INC SPONSO | COM | 43289P106 | 7      | 1919     | SH | DEFINED | 0 |
| HITACHI LTD ADR 10 COM        | COM | 433578507 | 5      | 168      | SH | DEFINED | 0 |
| HITTITE MICROWAVE CORP        | COM | 43365Y104 | 1149   | 33060    | SH | DEFINED | 0 |
| HITTITE MICROWAVE CORP        | COM | 43365Y104 | 46     | 1323     | SH | DEFINED | 0 |
| HITTITE MICROWAVE CORP        | COM | 43365Y104 | 4      | 115      | SH | DEFINED | 0 |
| HOLLY CORP                    | COM | 435758305 | 3388   | 188411   | SH | DEFINED | 0 |
| HOLLY CORP                    | COM | 435758305 | 2      | 90       | SH | DEFINED | 0 |
| HOLOGIC INC                   | COM | 436440101 | 9534   | 669724   | SH | DEFINED | 0 |
| HOLOGIC INC                   | COM | 436440101 | 105    | 7371     | SH | DEFINED | 0 |
| HOLOGIC INC                   | COM | 436440101 | 34     | 1685     | SH | DEFINED | 0 |
| HOLOGIC INC                   | COM | 436440101 | 59     | 4122     | SH | DEFINED | 0 |
| HOME BANCSHARES INC           | COM | 436893200 | 914    | 48003    | SH | DEFINED | 0 |
| HOME BANCSHARES INC           | COM | 436893200 | 8      | 432      | SH | DEFINED | 0 |
| HOME DEPOT INC                | COM | 437076102 | 301690 | 12767379 | SH | DEFINED | 0 |
| HOME DEPOT INC                | COM | 437076102 | 2991   | 126601   | SH | DEFINED | 0 |
| HOME DEPOT INC                | COM | 437076102 | 490    | 20753    | SH | DEFINED | 0 |
| HOME DEPOT INC                | COM | 437076102 | 1930   | 81681    | SH | DEFINED | 0 |
| HOME PROPERTIES INC           | COM | 437306103 | 4651   | 136388   | SH | DEFINED | 0 |
| HOME PROPERTIES INC           | COM | 437306103 | 87     | 2539     | SH | DEFINED | 0 |
| HOME PROPERTIES INC           | COM | 437306103 | 22     | 640      | SH | DEFINED | 0 |
| HONDA MOTORS LTD ADRNEW       | COM | 438128308 | 188    | 6864     | SH | DEFINED | 0 |
| HONEYWELL INTERNATIONAL INC   | COM | 438516106 | 101004 | 3216617  | SH | DEFINED | 0 |
| HONEYWELL INTERNATIONAL INC   | COM | 438516106 | 1587   | 50529    | SH | DEFINED | 0 |
| HONEYWELL INTERNATIONAL INC   | COM | 438516106 | 754    | 24001    | SH | DEFINED | 0 |
| HONEYWELL INTL INC            | COM | 438516106 | 6923   | 220492   | SH | DEFINED | 0 |
| HONGKONG LAND HOLDINGS LTD    | COM | 438584997 | 593    | 168581   | SH | DEFINED | 0 |
| HORACE MANN EDUCATORS         | COM | 440327104 | 3279   | 328895   | SH | DEFINED | 0 |
| HORACE MANN EDUCATORS         | COM | 440327104 | 54     | 5400     | SH | DEFINED | 0 |
| HORIZON LINES INC             | COM | 44044K101 | 16     | 4070     | SH | DEFINED | 0 |
| HORMEL FOODS CORP             | COM | 440452100 | 276    | 7987     | SH | DEFINED | 0 |
| HORMEL FOODS CORP             | COM | 440452100 | 189    | 5479     | SH | DEFINED | 0 |
| HORMEL FOODS CORP             | COM | 440452100 | 70     | 2026     | SH | DEFINED | 0 |
| HORNBECK OFFSHORE SERVICES    | COM | 440543106 | 559    | 26120    | SH | DEFINED | 0 |
| HORSEHEAD HOLDING CORP        | COM | 440694305 | 1723   | 231245   | SH | DEFINED | 0 |
| HORSEHEAD HOLDING CORP        | COM | 440694305 | 48     | 6500     | SH | DEFINED | 0 |
| HORSEHEAD HOLDING CORP        | COM | 440694305 | 14     | 1900     | SH | DEFINED | 0 |
| HOSPIRA INC                   | COM | 441060100 | 23276  | 604265   | SH | DEFINED | 0 |
| HOSPIRA INC                   | COM | 441060100 | 323    | 8380     | SH | DEFINED | 0 |
| HOSPIRA INC                   | COM | 441060100 | 334    | 8675     | SH | DEFINED | 0 |
| HOSPITALITY PROPERTIES TRUST  | COM | 44106M102 | 1266   | 106386   | SH | DEFINED | 0 |
| HOSPITALITY PROPERTIES TRUST  | COM | 44106M102 | 51     | 4279     | SH | DEFINED | 0 |
| HOST HOTELS & RESORTS INC     | COM | 44107P104 | 7859   | 936769   | SH | DEFINED | 0 |
| HOST HOTELS & RESORTS INC     | COM | 44107P104 | 187    | 22290    | SH | DEFINED | 0 |
| HOST HOTELS & RESORTS INC     | COM | 44107P104 | 309    | 36923    | SH | DEFINED | 0 |
| HOST HOTELS & RESORTS INC     | COM | 44107P104 | 415    | 49433    | SH | DEFINED | 0 |
| HOT TOPIC INC                 | COM | 441339108 | 2487   | 340201   | SH | DEFINED | 0 |
| HOT TOPIC INC                 | COM | 441339108 | 28     | 3800     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| HOUSTON WIRE & CABLE CO        | COM | 44244K109 | 457   | 38400   | SH | DEFINED | 0 |
| HOUSTON WIRE & CABLE CO        | COM | 44244K109 | 2     | 165     | SH | DEFINED | 0 |
| HOVNANIAN ENTERPRISES 7.625% P | PRF | 442487112 | 87    | 43450   | SH | DEFINED | 0 |
| HUB GROUP INC-CL A             | COM | 443320106 | 1589  | 76948   | SH | DEFINED | 0 |
| HUB GROUP INC-CL A             | COM | 443320106 | 23    | 1100    | SH | DEFINED | 0 |
| HUBBELL INC CL A FRMLY HUBB    | COM | 443510102 | 4     | 133     | SH | DEFINED | 0 |
| HUBBELL INC -CL B              | COM | 443510201 | 35012 | 1092100 | SH | DEFINED | 0 |
| HUBBELL INC -CL B              | COM | 443510201 | 164   | 5114    | SH | DEFINED | 0 |
| HUBBELL INC -CL B              | COM | 443510201 | 4     | 139     | SH | DEFINED | 0 |
| HUDSON CITY BANCORP INC        | COM | 443683107 | 3537  | 266086  | SH | DEFINED | 0 |
| HUDSON CITY BANCORP INC        | COM | 443683107 | 269   | 20244   | SH | DEFINED | 0 |
| HUDSON CITY BANCORP INC        | COM | 443683107 | 161   | 12117   | SH | DEFINED | 0 |
| HUGHES COMMUNICATIONS INC      | COM | 444398101 | 43    | 1900    | SH | DEFINED | 0 |
| HUGOTON RTY TR TEX UNIT BEN I  | COM | 444717102 | 84    | 5788    | SH | DEFINED | 0 |
| HUMANA INC                     | COM | 444859102 | 18671 | 578780  | SH | DEFINED | 0 |
| HUMANA INC                     | COM | 444859102 | 5828  | 180660  | SH | DEFINED | 0 |
| HUMANA INC                     | COM | 444859102 | 240   | 7428    | SH | DEFINED | 0 |
| HUMANA INC                     | COM | 444859102 | 55814 | 1730133 | SH | DEFINED | 0 |
| HUMANA INC                     | COM | 444859102 | 72    | 2244    | SH | DEFINED | 0 |
| HUMANA INC COM                 | COM | 444859102 | 6541  | 202761  | SH | DEFINED | 0 |
| HUMAN GENOME SCIENCES INC      | COM | 444903108 | 84    | 29300   | SH | DEFINED | 0 |
| HUMAN GENOME SCIENCES INC      | COM | 444903108 | 1     | 200     | SH | DEFINED | 0 |
| HUNT (JB) TRANSPRT SVCS INC    | COM | 445658107 | 1327  | 43460   | SH | DEFINED | 0 |
| HUNT (JB) TRANSPRT SVCS INC    | COM | 445658107 | 3     | 105     | SH | DEFINED | 0 |
| HUNTINGTON BANCSHARES INC      | COM | 446150104 | 2082  | 498039  | SH | DEFINED | 0 |
| HUNTINGTON BANCSHARES INC      | COM | 446150104 | 267   | 63765   | SH | DEFINED | 0 |
| HUNTINGTON BANCSHARES INC      | COM | 446150104 | 89    | 21235   | SH | DEFINED | 0 |
| HUNTINGTON BANCSHARES          | PRF | 446150401 | 11    | 15      | SH | DEFINED | 0 |
| HUNTSMAN CORP                  | COM | 447011107 | 221   | 43990   | SH | DEFINED | 0 |
| HUNTSMAN CORP                  | COM | 447011107 | 43    | 8500    | SH | DEFINED | 0 |
| HUNTSMAN CORP                  | COM | 447011107 | 42    | 8381    | SH | DEFINED | 0 |
| HURCO COMPANIES INC            | COM | 447324104 | 35    | 2252    | SH | DEFINED | 0 |
| HURON CONSULTING GROUP INC     | COM | 447462102 | 2224  | 48106   | SH | DEFINED | 0 |
| HURON CONSULTING GROUP INC COM | COM | 447462102 | 210   | 4548    | SH | DEFINED | 0 |
| HUTCHINSON TECH                | COM | 448407106 | 122   | 62590   | SH | DEFINED | 0 |
| HUTCHINSON TECH                | COM | 448407106 | 2     | 1220    | SH | DEFINED | 0 |
| HUTCHISON TELECOM INTL LTD S   | COM | 44841T107 | 1     | 194     | SH | DEFINED | 0 |
| HUTTIG BUILDING PRODUCTS INC   | COM | 448451104 | 254   | 297560  | SH | DEFINED | 0 |
| HYDROGENICS CORP ISIN #CA44    | COM | 448882100 | 22    | 43000   | SH | DEFINED | 0 |
| HYPERCOM CORP                  | COM | 44913M105 | 2     | 1000    | SH | DEFINED | 0 |
| IAC/INTERACTIVECORP            | COM | 44919P508 | 1045  | 65161   | SH | DEFINED | 0 |
| IAC/INTERACTIVECORP            | COM | 44919P508 | 61    | 3800    | SH | DEFINED | 0 |
| IAC/INTERACTIVECORP            | COM | 44919P508 | 25    | 1580    | SH | DEFINED | 0 |
| ICF INTERNATIONAL INC          | COM | 44925C103 | 839   | 30419   | SH | DEFINED | 0 |
| ICO INC                        | COM | 449293109 | 73    | 26890   | SH | DEFINED | 0 |
| ICO INC                        | COM | 449293109 | 13    | 4700    | SH | DEFINED | 0 |
| ICU MEDICAL INC                | COM | 44930G107 | 2503  | 60830   | SH | DEFINED | 0 |
| ICU MEDICAL INC                | COM | 44930G107 | 4     | 100     | SH | DEFINED | 0 |
| IDM PHARMA INC COM             | COM | 449394105 | 1     | 200     | SH | DEFINED | 0 |
| IGO INC COM                    | COM | 449593102 | 0     | 500     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| ING PRIME RATE TR SH BEN INT   | COM | 44977W106 | 44     | 10487   | SH | DEFINED | 0 |
| ING CLARION REAL ESTATE INC    | COM | 449788108 | 13     | 3354    | SH | DEFINED | 0 |
| IPG PHOTONICS CORP COM         | COM | 44980X109 | 14     | 1283    | SH | DEFINED | 0 |
| ING RISK MANAGED NAT RES FD    | COM | 449810100 | 29     | 1807    | SH | DEFINED | 0 |
| ING CLARION GLOBAL REA         | COM | 44982G104 | 337    | 69437   | SH | DEFINED | 0 |
| ING GLOBAL ADVANTAGE& PREM OPP | COM | 44982N109 | 23     | 1776    | SH | DEFINED | 0 |
| ING ASIA PAC HIGH DIVID EQUI   | COM | 44983J107 | 14     | 1000    | SH | DEFINED | 0 |
| IPC THE HOSPITALIST CO         | COM | 44984A105 | 229    | 8594    | SH | DEFINED | 0 |
| IPC THE HOSPITALIST CO         | COM | 44984A105 | 5      | 184     | SH | DEFINED | 0 |
| IMS HEALTH INC                 | COM | 449934108 | 2730   | 214986  | SH | DEFINED | 0 |
| IMS HEALTH INC                 | COM | 449934108 | 344    | 27096   | SH | DEFINED | 0 |
| IMS HEALTH INC                 | COM | 449934108 | 200    | 15760   | SH | DEFINED | 0 |
| ISTAR FIN INC                  | COM | 45031U101 | 141    | 49817   | SH | DEFINED | 0 |
| ITT EDUCATIONAL SERVICES INC   | COM | 45068B109 | 564    | 5609    | SH | DEFINED | 0 |
| ITT EDUCATIONAL SERVICES INC   | COM | 45068B109 | 17     | 173     | SH | DEFINED | 0 |
| IXIA                           | COM | 45071R109 | 268    | 39700   | SH | DEFINED | 0 |
| IBASIS INC COM NEW             | COM | 450732201 | 1      | 915     | SH | DEFINED | 0 |
| ITT CORP                       | COM | 450911102 | 22929  | 515276  | SH | DEFINED | 0 |
| ITT CORP                       | COM | 450911102 | 419    | 9423    | SH | DEFINED | 0 |
| ITT CORP                       | COM | 450911102 | 285    | 6412    | SH | DEFINED | 0 |
| IAMGOLD CORP ISIN #CA45        | COM | 450913108 | 7      | 669     | SH | DEFINED | 0 |
| ICON PLC - SPONSORED ADR       | COM | 45103T107 | 7894   | 365800  | SH | DEFINED | 0 |
| ICICI BANK LTD-SPON ADR        | COM | 45104G104 | 454    | 15400   | SH | DEFINED | 0 |
| ICICI BANK LTD-SPON ADR        | COM | 45104G104 | 37     | 1262    | SH | DEFINED | 0 |
| ICONIX BRAND GROUP INC         | COM | 451055107 | 2560   | 166403  | SH | DEFINED | 0 |
| ICAHN ENTERPRISES LPDESPOSTITA | COM | 451100101 | 8      | 200     | SH | DEFINED | 0 |
| IDACORP INC                    | COM | 451107106 | 8326   | 318558  | SH | DEFINED | 0 |
| IDACORP INC                    | COM | 451107106 | 89     | 3400    | SH | DEFINED | 0 |
| IDACORP INC                    | COM | 451107106 | 55     | 2091    | SH | DEFINED | 0 |
| IDEARC INC                     | COM | 451663108 | 0      | 2       | SH | DEFINED | 0 |
| IDEX CORP                      | COM | 45167R104 | 1171   | 47680   | SH | DEFINED | 0 |
| IDEX CORP                      | COM | 45167R104 | 1978   | 80541   | SH | DEFINED | 0 |
| IDEX CORP                      | COM | 45167R104 | 310    | 12613   | SH | DEFINED | 0 |
| IDEXX LABORATORIES INC         | COM | 45168D104 | 424    | 9200    | SH | DEFINED | 0 |
| IDEXX LABORATORIES INC         | COM | 45168D104 | 15     | 334     | SH | DEFINED | 0 |
| IDERA PHARMACEUTICALS INC      | COM | 45168K306 | 41     | 7000    | SH | DEFINED | 0 |
| IGATE CORP                     | COM | 45169U105 | 221    | 33320   | SH | DEFINED | 0 |
| IHS INC-CLASS A                | COM | 451734107 | 28939  | 581222  | SH | DEFINED | 0 |
| IHS INC-CLASS A                | COM | 451734107 | 2      | 40      | SH | DEFINED | 0 |
| IKANOS COMMUNICATIONS INC      | COM | 45173E105 | 862    | 538600  | SH | DEFINED | 0 |
| ILLINOIS TOOL WORKS            | COM | 452308109 | 131220 | 3514224 | SH | DEFINED | 0 |
| ILLINOIS TOOL WORKS            | COM | 452308109 | 1429   | 38256   | SH | DEFINED | 0 |
| ILLINOIS TOOL WORKS            | COM | 452308109 | 112    | 3100    | SH | DEFINED | 0 |
| ILLINOIS TOOL WORKS            | COM | 452308109 | 397    | 10642   | SH | DEFINED | 0 |
| ILLUMINA INC                   | COM | 452327109 | 22821  | 586068  | SH | DEFINED | 0 |
| ILLUMINA INC                   | COM | 452327109 | 111    | 2855    | SH | DEFINED | 0 |
| ILLUMINA INC                   | COM | 452327109 | 5422   | 139246  | SH | DEFINED | 0 |
| ILLUMINA INC                   | COM | 452327109 | 62     | 1590    | SH | DEFINED | 0 |
| IMATION CORP                   | COM | 45245A107 | 355    | 46600   | SH | DEFINED | 0 |
| IMATION CORP                   | COM | 45245A107 | 4      | 563     | SH | DEFINED | 0 |
| IMMERSION CORPORATION          | COM | 452521107 | 27     | 5386    | SH | DEFINED | 0 |
| IMMERSION CORPORATION          | COM | 452521107 | 1      | 200     | SH | DEFINED | 0 |
| IMMUCOR INC                    | COM | 452526106 | 1037   | 75310   | SH | DEFINED | 0 |
| IMMUCOR INC                    | COM | 452526106 | 8      | 550     | SH | DEFINED | 0 |
| IMMUNOGEN INC                  | COM | 45253H101 | 9      | 1000    | SH | DEFINED | 0 |
| IMMUNOMEDICS INC               | COM | 452907108 | 35     | 13800   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |          |    |         |   |
|--------------------------------|-----|-----------|-------|----------|----|---------|---|
| IMMUNOMEDICS INC               | COM | 452907108 | 6     | 2500     | SH | DEFINED | 0 |
| IMPERIAL OIL LTD               | COM | 453038408 | 500   | 13013    | SH | DEFINED | 0 |
| IMPERIAL OIL LTD               | COM | 453038408 | 66    | 1723     | SH | DEFINED | 0 |
| IMPERIAL SUGAR CO              | COM | 453096208 | 59    | 4899     | SH | DEFINED | 0 |
| INCYTE CORP                    | COM | 45337C102 | 291   | 88600    | SH | DEFINED | 0 |
| INCYTE CORP                    | COM | 45337C102 | 14    | 4210     | SH | DEFINED | 0 |
| INDEPENDENT BANK CORP/MA       | COM | 453836108 | 2331  | 118295   | SH | DEFINED | 0 |
| INDEPENDENT BANK CORP/MA       | COM | 453836108 | 8     | 400      | SH | DEFINED | 0 |
| INDEPENDENT BANK CORP - MICH   | COM | 453838104 | 29    | 21672    | SH | DEFINED | 0 |
| INDIA FD INC                   | COM | 454089103 | 326   | 10475    | SH | DEFINED | 0 |
| INDUSTRIAL SVCS OF AMERICA IN  | COM | 456314103 | 7     | 1000     | SH | DEFINED | 0 |
| INDUSTRIAS BACHOCO SAB SP AD   | COM | 456463108 | 5309  | 249228   | SH | DEFINED | 0 |
| INERGY LP                      | COM | 456615103 | 135   | 5315     | SH | DEFINED | 0 |
| INERGY HLDGS LP                | COM | 45661Q107 | 146   | 3549     | SH | DEFINED | 0 |
| INFINEON TECHNOLOGIES-ADR      | COM | 45662N103 | 3533  | 990947   | SH | DEFINED | 0 |
| INFINEON TECHNOLOGIES-ADR      | COM | 45662N103 | 5     | 1331     | SH | DEFINED | 0 |
| INFINITY PROPERTY & CASUALTY   | COM | 45665Q103 | 10316 | 282940   | SH | DEFINED | 0 |
| INFINITY PROPERTY & CASUALTY   | COM | 45665Q103 | 1823  | 50000    | SH | DEFINED | 0 |
| INFINITY PPTY & CAS CORP COM   | COM | 45665Q103 | 1143  | 31350    | SH | DEFINED | 0 |
| INFORMATICA CORP               | COM | 45666Q102 | 7560  | 439747   | SH | DEFINED | 0 |
| INFORMATICA CORP               | COM | 45666Q102 | 56    | 3256     | SH | DEFINED | 0 |
| INFORMATICA CORP               | COM | 45666Q102 | 7     | 388      | SH | DEFINED | 0 |
| INFINERA CORP                  | COM | 45667G103 | 83    | 9100     | SH | DEFINED | 0 |
| INFINERA CORP                  | COM | 45667G103 | 30    | 3263     | SH | DEFINED | 0 |
| INFINERA CORP                  | COM | 45667G103 | 35    | 3832     | SH | DEFINED | 0 |
| INFOGROUPO INC COM             | COM | 45670G108 | 2     | 300      | SH | DEFINED | 0 |
| INFORMATION SERVICES GROUP INC | COM | 45675Y104 | 160   | 53200    | SH | DEFINED | 0 |
| INFOSYS TECHNOLOGIES-SP ADR    | COM | 456788108 | 296   | 11740    | SH | DEFINED | 0 |
| INFOSYS TECHNOLOGIES-SP ADR    | COM | 456788108 | 83    | 2252     | SH | DEFINED | 0 |
| INFOSPACE INC                  | COM | 45678T300 | 168   | 25368    | SH | DEFINED | 0 |
| ING GROEP NV ADR               | COM | 456837103 | 118   | 11622    | SH | DEFINED | 0 |
| ING GLOBAL EQUITY DIVID & PR   | COM | 45684E107 | 149   | 12663    | SH | DEFINED | 0 |
| FHLB MEMBERSHIP STOCK          | COM | 4568FHLB2 | 10003 | 10003195 | SH | DEFINED | 0 |
| INGLES MARKETS INC -CL A       | COM | 457030104 | 1026  | 67281    | SH | DEFINED | 0 |
| INGRAM MICRO INC-CL A          | COM | 457153104 | 5826  | 332850   | SH | DEFINED | 0 |
| INGRAM MICRO INC-CL A          | COM | 457153104 | 1     | 30       | SH | DEFINED | 0 |
| INLAND REAL ESTATE CORP        | COM | 457461200 | 458   | 65470    | SH | DEFINED | 0 |
| INLAND REAL ESTATE CORP        | COM | 457461200 | 143   | 20424    | SH | DEFINED | 0 |
| INSIGHT ENTERPRISES INC        | COM | 45765U103 | 2987  | 309172   | SH | DEFINED | 0 |
| INSITE VISION                  | COM | 457660108 | 0     | 1000     | SH | DEFINED | 0 |
| INSITUFORM TECHNOLOGIES-CL A   | COM | 457667103 | 27369 | 1612836  | SH | DEFINED | 0 |
| INSITUFORM TECHNOLOGIES-CL A   | COM | 457667103 | 33    | 1941     | SH | DEFINED | 0 |
| INSITUFORM TECHNOLOGIES-CL A   | COM | 457667103 | 60    | 3533     | SH | DEFINED | 0 |
| INNOSPEC INC                   | COM | 45768S105 | 489   | 45410    | SH | DEFINED | 0 |
| INNOSPEC INC                   | COM | 45768S105 | 139   | 12900    | SH | DEFINED | 0 |
| INNOSPEC INC                   | COM | 45768S105 | 1     | 100      | SH | DEFINED | 0 |
| INSPIRE PHARMACEUTICALS INC    | COM | 457733103 | 59    | 10582    | SH | DEFINED | 0 |
| INNERWORKINGS INC COM          | COM | 45773Y105 | 2     | 325      | SH | DEFINED | 0 |
| INNOPHOS HOLDINGS INC          | COM | 45774N108 | 1389  | 82290    | SH | DEFINED | 0 |
| INNOPHOS HOLDINGS INC          | COM | 45774N108 | 90    | 5300     | SH | DEFINED | 0 |
| INNOPHOS HOLDINGS INC          | COM | 45774N108 | 33    | 1250     | SH | DEFINED | 0 |
| INSTEEL INDUSTRIES INC         | COM | 45774W108 | 885   | 107351   | SH | DEFINED | 0 |
| INSTEEL INDUSTRIES INC         | COM | 45774W108 | 0     | 40       | SH | DEFINED | 0 |
| INSULET CORP COM               | COM | 45784P101 | 3     | 384      | SH | DEFINED | 0 |
| INTEGRA LIFESCIENCES HOLDING   | COM | 457985208 | 3012  | 113613   | SH | DEFINED | 0 |
| INTEGRA LIFESCIENCES HOLDING   | COM | 457985208 | 3     | 100      | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |        |          |    |         |   |
|------------------------------|-----|-----------|--------|----------|----|---------|---|
| INSURANCE SVCS OFFICE INC    | COM | 458065109 | 6178   | 11767    | SH | DEFINED | 0 |
| INSURED MUNICIPAL INCOME FD  | COM | 45809F104 | 7      | 580      | SH | DEFINED | 0 |
| INTEGRAL SYSTEMS INC/MD      | COM | 45810H107 | 287    | 34381    | SH | DEFINED | 0 |
| INTEGRATED AMERICA INC       | COM | 45810N302 | 7      | 1017     | SH | DEFINED | 0 |
| INTEGRATED DEVICE TECH INC   | COM | 458118106 | 2654   | 439435   | SH | DEFINED | 0 |
| INTEGRATED DEVICE TECH INC   | COM | 458118106 | 5      | 828      | SH | DEFINED | 0 |
| INTEGRATED SILICON SOLUTION  | COM | 45812P107 | 1435   | 541462   | SH | DEFINED | 0 |
| INTEGRATED SILICON SOLUTION  | COM | 45812P107 | 47     | 17797    | SH | DEFINED | 0 |
| INTEGRATED SILICON SOLUTION  | COM | 45812P107 | 0      | 100      | SH | DEFINED | 0 |
| INTEL CORP                   | COM | 458140100 | 467328 | 28237559 | SH | DEFINED | 0 |
| INTEL CORP                   | COM | 458140100 | 6761   | 408522   | SH | DEFINED | 0 |
| INTEL CORP                   | COM | 458140100 | 13958  | 784858   | SH | DEFINED | 0 |
| INTEL CORP                   | COM | 458140100 | 4300   | 259825   | SH | DEFINED | 0 |
| INTEL CORP JR SUB DB         | COM | 458140AD2 | 1      | 1000     | SH | DEFINED | 0 |
| INTEGRYS ENERGY GROUP INC    | COM | 45822P105 | 98     | 3261     | SH | DEFINED | 0 |
| INTEGRYS ENERGY GROUP INC    | COM | 45822P105 | 196    | 6545     | SH | DEFINED | 0 |
| INTEGRYS ENERGY GROUP INC    | COM | 45822P105 | 133    | 4427     | SH | DEFINED | 0 |
| INTER PARFUMS INC            | COM | 458334109 | 110    | 14840    | SH | DEFINED | 0 |
| INTER PARFUMS INC            | COM | 458334109 | 34     | 4650     | SH | DEFINED | 0 |
| INTER PARFUMS INC            | COM | 458334109 | 3      | 450      | SH | DEFINED | 0 |
| INTERACTIVE INTELLIGENCE INC | COM | 45839M103 | 391    | 31874    | SH | DEFINED | 0 |
| INTERACTIVE DATA CORP        | COM | 45840J107 | 1487   | 64435    | SH | DEFINED | 0 |
| INTERACTIVE BROKERS GRO-CL A | COM | 45841N107 | 11633  | 748999   | SH | DEFINED | 0 |
| INTERCONTINENTALEXCHANGE INC | COM | 45865V100 | 6242   | 54636    | SH | DEFINED | 0 |
| INTERCONTINENTALEXCHANGE INC | COM | 45865V100 | 593    | 5191     | SH | DEFINED | 0 |
| INTERCONTINENTALEXCHANGE INC | COM | 45865V100 | 257    | 2250     | SH | DEFINED | 0 |
| INTERFACE INC-CL A           | COM | 458665106 | 395    | 63700    | SH | DEFINED | 0 |
| INTERGENERATION JSC          | COM | 458672995 | 0      | 29083    | SH | DEFINED | 0 |
| INTERDIGITAL INC             | COM | 45867G101 | 145    | 5909     | SH | DEFINED | 0 |
| INTERDIGITAL INC             | COM | 45867G101 | 56     | 2300     | SH | DEFINED | 0 |
| INTERLINE BRANDS INC         | COM | 458743101 | 665    | 48630    | SH | DEFINED | 0 |
| INTERLINE BRANDS INC         | COM | 458743101 | 101    | 7400     | SH | DEFINED | 0 |
| INTERLINE BRANDS INC         | COM | 458743101 | 16     | 1200     | SH | DEFINED | 0 |
| INTERMEC INC                 | COM | 458786100 | 572    | 44357    | SH | DEFINED | 0 |
| INTERMEC INC                 | COM | 458786100 | 46     | 3536     | SH | DEFINED | 0 |
| INTERMUNE INC                | COM | 45884X103 | 41     | 2700     | SH | DEFINED | 0 |
| INTERNAP NETWORK SVCCORP COM | COM | 45885A300 | 0      | 5        | SH | DEFINED | 0 |
| INTERNATIONAL ABSORBENTS     | COM | 45885E203 | 5      | 1666     | SH | DEFINED | 0 |
| INTERMEDIA COMM ESCROW       | COM | 458ESCBB5 | 0      | 3300000  | SH | DEFINED | 0 |
| INTERNATIONAL BANCSHARES CRP | COM | 459044103 | 1387   | 134610   | SH | DEFINED | 0 |
| INTERNATIONAL BANCSHARES CRP | COM | 459044103 | 72     | 6982     | SH | DEFINED | 0 |
| INTL BUSINESS MACHINES CORP. | COM | 459200101 | 335605 | 3213981  | SH | DEFINED | 0 |
| INTL BUSINESS MACHINES CORP. | COM | 459200101 | 7832   | 75000    | SH | DEFINED | 0 |
| INTL BUSINESS MACHINES CORP. | COM | 459200101 | 9674   | 92649    | SH | DEFINED | 0 |
| INTL BUSINESS MACHINES CORP. | COM | 459200101 | 112129 | 1075091  | SH | DEFINED | 0 |
| INTL BUSINESS MACHINES CORP. | COM | 459200101 | 9646   | 92374    | SH | DEFINED | 0 |
| INTERNATIONAL COAL GROUP INC | COM | 45928H106 | 183    | 63680    | SH | DEFINED | 0 |
| INTERNATIONAL COAL GROUP INC | COM | 45928H106 | 51     | 17700    | SH | DEFINED | 0 |
| INTERNATIONAL COAL GROUP INC | COM | 45928H106 | 10     | 3500     | SH | DEFINED | 0 |
| INTL FLAVORS & FRAGRANCES    | COM | 459506101 | 4464   | 136420   | SH | DEFINED | 0 |
| INTL FLAVORS & FRAGRANCES    | COM | 459506101 | 220    | 6731     | SH | DEFINED | 0 |
| INTL FLAVORS & FRAGRANCES    | COM | 459506101 | 11     | 349      | SH | DEFINED | 0 |
| INTL GAME TECHNOLOGY         | COM | 459902102 | 2455   | 154394   | SH | DEFINED | 0 |
| INTL GAME TECHNOLOGY         | COM | 459902102 | 201    | 12625    | SH | DEFINED | 0 |
| INTL GAME TECHNOLOGY         | COM | 459902102 | 61718  | 3884172  | SH | DEFINED | 0 |
| INTL GAME TECHNOLOGY         | COM | 459902102 | 148    | 9337     | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |        |    |         |   |
|--------------------------------|-----|-----------|-------|--------|----|---------|---|
| INTERNATIONAL PAPER CO         | COM | 460146103 | 7250  | 479079 | SH | DEFINED | 0 |
| INTERNATIONAL PAPER CO         | COM | 460146103 | 633   | 41800  | SH | DEFINED | 0 |
| INTERNATIONAL PAPER CO         | COM | 460146103 | 94    | 6192   | SH | DEFINED | 0 |
| INTL RECTIFIER CORP            | COM | 460254105 | 647   | 43689  | SH | DEFINED | 0 |
| INTL RECTIFIER CORP            | COM | 460254105 | 13    | 875    | SH | DEFINED | 0 |
| INTERNATIONAL ROYALTY CO       | COM | 460277106 | 8     | 2500   | SH | DEFINED | 0 |
| INTL SHIPHOLDING CORP COM N    | COM | 460321201 | 5     | 200    | SH | DEFINED | 0 |
| INTL SPEEDWAY CORP-CL A        | COM | 460335201 | 1428  | 55750  | SH | DEFINED | 0 |
| INTL SPEEDWAY CORP-CL A        | COM | 460335201 | 1     | 50     | SH | DEFINED | 0 |
| INTERNET CAP GROUP INC         | COM | 46059C205 | 4     | 535    | SH | DEFINED | 0 |
| INTERNET INITIATIVE INC SPONS  | COM | 46059T109 | 0     | 11     | SH | DEFINED | 0 |
| INTERNET INFRASTRUC            | COM | 46059V104 | 2     | 1000   | SH | DEFINED | 0 |
| INTERNET HOLDERS TR            | COM | 46059W102 | 17    | 400    | SH | DEFINED | 0 |
| INTERPUBLIC GROUP OF COS INC   | COM | 460690100 | 545   | 107882 | SH | DEFINED | 0 |
| INTERPUBLIC GROUP OF COS INC   | COM | 460690100 | 146   | 28799  | SH | DEFINED | 0 |
| INTERPUBLIC GROUP OF COS INC   | COM | 460690100 | 163   | 32286  | SH | DEFINED | 0 |
| INTERSIL CORP -CL A            | COM | 46069S109 | 6617  | 526267 | SH | DEFINED | 0 |
| INTERSTATE HOTELS & RESORTS IN | COM | 46088S106 | 0     | 1      | SH | DEFINED | 0 |
| INTEROIL CORP                  | COM | 460951106 | 4771  | 161700 | SH | DEFINED | 0 |
| INTEROIL CORP                  | COM | 460951106 | 31    | 1050   | SH | DEFINED | 0 |
| INTERSECTIONS INC              | COM | 460981301 | 2     | 500    | SH | DEFINED | 0 |
| INTERVAL LEISURE GROUP         | COM | 46113M108 | 416   | 44630  | SH | DEFINED | 0 |
| INTERVAL LEISURE GROUP         | COM | 46113M108 | 1     | 86     | SH | DEFINED | 0 |
| INTEVAC INC                    | COM | 461148108 | 215   | 24720  | SH | DEFINED | 0 |
| INTUIT INC                     | COM | 461202103 | 23256 | 825859 | SH | DEFINED | 0 |
| INTUIT INC                     | COM | 461202103 | 816   | 28962  | SH | DEFINED | 0 |
| INTUIT INC                     | COM | 461202103 | 222   | 7860   | SH | DEFINED | 0 |
| INVACARE CORP                  | COM | 461203101 | 8106  | 459263 | SH | DEFINED | 0 |
| INVACARE CORP                  | COM | 461203101 | 16    | 900    | SH | DEFINED | 0 |
| INTUITIVE SURGICAL INC         | COM | 46120E602 | 4904  | 29967  | SH | DEFINED | 0 |
| INTUITIVE SURGICAL INC         | COM | 46120E602 | 283   | 1729   | SH | DEFINED | 0 |
| INTUITIVE SURGICAL INC         | COM | 46120E602 | 24    | 150    | SH | DEFINED | 0 |
| INTUITIVE SURGICAL INC         | COM | 46120E602 | 256   | 1562   | SH | DEFINED | 0 |
| INTREPID POTASH INC            | COM | 46121Y102 | 31    | 1100   | SH | DEFINED | 0 |
| INTREPID POTASH INC            | COM | 46121Y102 | 62    | 2220   | SH | DEFINED | 0 |
| INVENTIV HEALTH INC            | COM | 46122E105 | 471   | 34809  | SH | DEFINED | 0 |
| INVENTIV HEALTH INC            | COM | 46122E105 | 2     | 171    | SH | DEFINED | 0 |
| INVERNESS MEDICAL INNOVATION   | COM | 46126P106 | 188   | 5300   | SH | DEFINED | 0 |
| INVERNESS MEDICAL INNOVATION   | COM | 46126P106 | 25447 | 715244 | SH | DEFINED | 0 |
| INVERNESS MEDICAL INNOVATION   | COM | 46126P106 | 37    | 1050   | SH | DEFINED | 0 |
| INVERSIONES AGUAS M-ADR        | COM | 46128Q201 | 30    | 1300   | SH | DEFINED | 0 |
| INVESTMENT TECHNOLOGY GROUP    | COM | 46145F105 | 2236  | 109666 | SH | DEFINED | 0 |
| INVESTORS REAL ESTATE TRUST    | COM | 461730103 | 1092  | 122849 | SH | DEFINED | 0 |
| INX INC                        | COM | 46185W109 | 3     | 600    | SH | DEFINED | 0 |
| INVESTORS ECON SYSTS           | COM | 461990103 | 0     | 2305   | SH | DEFINED | 0 |
| ION GEOPHYSICAL CORP           | COM | 462044108 | 192   | 74562  | SH | DEFINED | 0 |
| ION GEOPHYSICAL CORP           | COM | 462044108 | 29    | 11345  | SH | DEFINED | 0 |
| IOWA TELECOMMUNICATIONS SERV   | COM | 462594201 | 499   | 39929  | SH | DEFINED | 0 |
| IOWA TELECOMMUNICATIONS SERV   | COM | 462594201 | 14    | 1130   | SH | DEFINED | 0 |
| IRELAND BK SPONSORED           | COM | 46267Q103 | 5     | 578    | SH | DEFINED | 0 |
| IRIDEX CORP                    | COM | 462684101 | 0     | 100    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| IROBOT CORP COM                | COM | 462726100 | 38    | 2900    | SH | DEFINED | 0 |
| IRON MOUNTAIN INC              | COM | 462846106 | 35624 | 1239115 | SH | DEFINED | 0 |
| IRON MOUNTAIN INC              | COM | 462846106 | 526   | 18284   | SH | DEFINED | 0 |
| IRON MOUNTAIN INC              | COM | 462846106 | 164   | 5708    | SH | DEFINED | 0 |
| ISCO INTL INC                  | COM | 46426P103 | 0     | 4485    | SH | DEFINED | 0 |
| ISHARES COMEX GOLD TRUST ISHA  | COM | 464285105 | 807   | 8844    | SH | DEFINED | 0 |
| ISHARES INC MSCI AUSTRALIA     | COM | 464286103 | 354   | 21087   | SH | DEFINED | 0 |
| ISHARES INC MSCI AUSTRIA IN    | COM | 464286202 | 0     | 29      | SH | DEFINED | 0 |
| ISHARES INC MSCI BRAZIL IND    | COM | 464286400 | 1884  | 35568   | SH | DEFINED | 0 |
| ISHARES INC MSCI CANADA IND    | COM | 464286509 | 174   | 8134    | SH | DEFINED | 0 |
| ISHARES INC MSCI EMUINDEX FD   | COM | 464286608 | 133   | 4394    | SH | DEFINED | 0 |
| ISHARES INC MCSI THAILAND I    | COM | 464286624 | 13    | 400     | SH | DEFINED | 0 |
| ISHARES INC MCSI CHILE INVE    | COM | 464286640 | 25    | 552     | SH | DEFINED | 0 |
| ISHARES INC MCSI BRIC          | COM | 464286657 | 731   | 20575   | SH | DEFINED | 0 |
| ISHARES INC MSCI PACIFIC EX    | COM | 464286665 | 289   | 9136    | SH | DEFINED | 0 |
| ISHARES INC MSCI SINGAPORE     | COM | 464286673 | 375   | 41601   | SH | DEFINED | 0 |
| ISHARES INC MSCI UNITED KIN    | COM | 464286699 | 8     | 600     | SH | DEFINED | 0 |
| ISHARES INC MSCI FRANCE IND    | COM | 464286707 | 16    | 800     | SH | DEFINED | 0 |
| ISHARES INC MSCI SWITZERLAN    | COM | 464286749 | 15    | 871     | SH | DEFINED | 0 |
| ISHARES INC MSCI SWEDEN IND    | COM | 464286756 | 266   | 14378   | SH | DEFINED | 0 |
| ISHARES INC MSCI SPAIN INDE    | COM | 464286764 | 4     | 100     | SH | DEFINED | 0 |
| ISHARES INC MSCI SOUTH         | COM | 464286780 | 313   | 6629    | SH | DEFINED | 0 |
| ISHARES INC MSCI GERMANY IN    | COM | 464286806 | 20    | 1100    | SH | DEFINED | 0 |
| ISHARES INC MSCI NETHERLAND    | COM | 464286814 | 12    | 760     | SH | DEFINED | 0 |
| ISHARES INC MSCI MEXICO INV    | COM | 464286822 | 99    | 2675    | SH | DEFINED | 0 |
| ISHARES INC MSCI MALAYSIA F    | COM | 464286830 | 97    | 10878   | SH | DEFINED | 0 |
| ISHARES INC MSCI JAPAN INDE    | COM | 464286848 | 265   | 28092   | SH | DEFINED | 0 |
| ISHARES S&P 100 IDX FD ETF     | COM | 464287101 | 380   | 8825    | SH | DEFINED | 0 |
| ISHARES S&P 100 IDX FD ETF     | COM | 464287101 | 368   | 8551    | SH | DEFINED | 0 |
| ISHARES TR LARGE GROWTH IND    | COM | 464287119 | 873   | 18404   | SH | DEFINED | 0 |
| ISHARES TR LARGE CORE INDEX    | COM | 464287127 | 2027  | 37907   | SH | DEFINED | 0 |
| ISHARES TR NYSE 100 I          | COM | 464287135 | 24    | 522     | SH | DEFINED | 0 |
| ISHARES TR NYSE COMPO          | COM | 464287143 | 161   | 2975    | SH | DEFINED | 0 |
| ISHARES TR S&P 1500 I          | COM | 464287150 | 54    | 1298    | SH | DEFINED | 0 |
| ISHARES TRUST DJ SELECT DIV ID | COM | 464287168 | 3104  | 87781   | SH | DEFINED | 0 |
| ISHARES TRUST DJ SELECT DIV ID | COM | 464287168 | 4320  | 122180  | SH | DEFINED | 0 |
| ISHARES LEHMAN TRES INF PR S E | COM | 464287176 | 9308  | 91579   | SH | DEFINED | 0 |
| ISHARES LEHMAN TRES INF PR S E | COM | 464287176 | 22893 | 225236  | SH | DEFINED | 0 |
| ISHARES TR FTSE XINHUA HK      | COM | 464287184 | 3559  | 92764   | SH | DEFINED | 0 |
| ISHARES TR TRANSPORTA          | COM | 464287192 | 548   | 9418    | SH | DEFINED | 0 |
| ISHARES S&P 500 IDX FD ETF     | COM | 464287200 | 10509 | 113900  | SH | DEFINED | 0 |
| ISHARES S&P 500 IDX FD ETF     | COM | 464287200 | 10025 | 108552  | SH | DEFINED | 0 |
| ISHARES BARCLAYS U S AGGREG    | COM | 464287226 | 26207 | 256551  | SH | DEFINED | 0 |
| ISHARES TR MSCI EMERG          | COM | 464287234 | 7900  | 245108  | SH | DEFINED | 0 |
| ISHARES IBOX \$ INVESTOP I     | COM | 464287242 | 5174  | 51598   | SH | DEFINED | 0 |
| ISHARES TR S&P GLOBAL TEL      | COM | 464287275 | 23    | 482     | SH | DEFINED | 0 |
| ISHARES TR S&P GLOBAL INF      | COM | 464287291 | 1637  | 36613   | SH | DEFINED | 0 |
| ISHARES TR S&P 500/ GROWTH IND | COM | 464287309 | 4917  | 102936  | SH | DEFINED | 0 |
| ISHARES TR S&P GLOBAL HEA      | COM | 464287325 | 1505  | 34877   | SH | DEFINED | 0 |
| ISHARES TR S&P GLOBAL FIN      | COM | 464287333 | 308   | 8309    | SH | DEFINED | 0 |
| ISHARES TR S&P GLOBAL ENE      | COM | 464287341 | 2213  | 72074   | SH | DEFINED | 0 |
| ISHARES S&P NORTH AMERICAN N   | COM | 464287374 | 1037  | 37435   | SH | DEFINED | 0 |
| ISHARES TR S&P/TOPIX150 INDEX  | COM | 464287382 | 4     | 100     | SH | DEFINED | 0 |
| ISHARES TR S&P LATIN AMER      | COM | 464287390 | 512   | 14751   | SH | DEFINED | 0 |
| ISHARES TR S&P 500/ VALUE IND  | COM | 464287408 | 2125  | 48675   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|         |                        |     |           |       |        |    |         |   |
|---------|------------------------|-----|-----------|-------|--------|----|---------|---|
| ISHARES | BARCLAYS 20+ YR TREASU | COM | 464287432 | 1053  | 11139  | SH | DEFINED | 0 |
| ISHARES | BARCLAYS 7-10 YEAR     | COM | 464287440 | 5045  | 55646  | SH | DEFINED | 0 |
| ISHARES | BARCLAYS 1-3YEAR TREAS | COM | 464287457 | 8744  | 104460 | SH | DEFINED | 0 |
| ISHARES | MSCI EAFE IDX FD ETF   | COM | 464287465 | 6071  | 132508 | SH | DEFINED | 0 |
| ISHARES | MSCI EAFE IDX FD ETF   | COM | 464287465 | 14148 | 308850 | SH | DEFINED | 0 |
| ISHARES | RUSSELL MIDCAP VALUE E | COM | 464287473 | 688   | 23760  | SH | DEFINED | 0 |
| ISHARES | RUSSELL MIDCAP VALUE E | COM | 464287473 | 5057  | 174512 | SH | DEFINED | 0 |
| ISHARES | TR RUSSELL MIDCAP GRO  | COM | 464287481 | 1522  | 41752  | SH | DEFINED | 0 |
| ISHARES | RUSSELL MIDCAP IDX ETF | COM | 464287499 | 18934 | 288909 | SH | DEFINED | 0 |
| ISHARES | RUSSELL MIDCAP IDX ETF | COM | 464287499 | 5374  | 82031  | SH | DEFINED | 0 |
| ISHARES | TR S&P MIDCAP 400      | COM | 464287507 | 2062  | 35689  | SH | DEFINED | 0 |
| ISHARES | S&P NORTH AMERICAN T   | COM | 464287515 | 51    | 1314   | SH | DEFINED | 0 |
| ISHARES | S&P GSTI SEMICONDUCT E | COM | 464287523 | 312   | 8503   | SH | DEFINED | 0 |
| ISHARES | S&P GSTI SEMICONDUCT E | COM | 464287523 | 70    | 1900   | SH | DEFINED | 0 |
| ISHARES | S&P NORTH AMERICAN T   | COM | 464287531 | 14    | 575    | SH | DEFINED | 0 |
| ISHARES | S&P NORTH AMERICAN T   | COM | 464287549 | 261   | 6190   | SH | DEFINED | 0 |
| ISHARES | NASDAQ BIOTECHNOLOGY I | COM | 464287556 | 521   | 7165   | SH | DEFINED | 0 |
| ISHARES | TR COHEN & STEERS REA  | COM | 464287564 | 459   | 12769  | SH | DEFINED | 0 |
| ISHARES | TR S&P GLOBAL          | COM | 464287572 | 272   | 5508   | SH | DEFINED | 0 |
| ISHARES | TR DOW JONESU S CONSUM | COM | 464287580 | 14    | 308    | SH | DEFINED | 0 |
| ISHARES | TR RUSSELL 1000 VALUE  | COM | 464287598 | 3783  | 79487  | SH | DEFINED | 0 |
| ISHARES | TR S&P MICAP 400/      | COM | 464287606 | 987   | 15823  | SH | DEFINED | 0 |
| ISHARES | RUSL 1000 GROWTH ETF   | COM | 464287614 | 410   | 9989   | SH | DEFINED | 0 |
| ISHARES | RUSL 1000 GROWTH ETF   | COM | 464287614 | 5624  | 137080 | SH | DEFINED | 0 |
| ISHARES | RUSSELL 1000 INDEX ETF | COM | 464287622 | 1122  | 22141  | SH | DEFINED | 0 |
| ISHARES | RUSSELL 2000 VALUE ETF | COM | 464287630 | 12152 | 261164 | SH | DEFINED | 0 |
| ISHARES | RUSSELL 2000 VALUE ETF | COM | 464287630 | 3418  | 73449  | SH | DEFINED | 0 |
| ISHARES | RUSSELL 2000 GROWTH ET | COM | 464287648 | 799   | 14100  | SH | DEFINED | 0 |
| ISHARES | RUSSELL 2000 GROWTH ET | COM | 464287648 | 2941  | 51883  | SH | DEFINED | 0 |
| ISHARES | RUSSELL 2000 ETF       | COM | 464287655 | 12331 | 241968 | SH | DEFINED | 0 |
| ISHARES | RUSSELL 2000 ETF       | COM | 464287655 | 315   | 6180   | SH | DEFINED | 0 |
| ISHARES | RUSSELL 2000 ETF       | COM | 464287655 | 5664  | 110882 | SH | DEFINED | 0 |
| ISHARES | TR RUSSELL 3000 VALUE  | COM | 464287663 | 44    | 714    | SH | DEFINED | 0 |
| ISHARES | TR RUSSELL 3000 GROWT  | COM | 464287671 | 447   | 13375  | SH | DEFINED | 0 |
| ISHARES | TR RUSSELL 3000 INDEX  | COM | 464287689 | 608   | 11277  | SH | DEFINED | 0 |
| ISHARES | TR DOW JONES           | COM | 464287697 | 270   | 4040   | SH | DEFINED | 0 |
| ISHARES | TR S&P MIDCAP 400      | COM | 464287705 | 633   | 12143  | SH | DEFINED | 0 |
| ISHARES | TR DOW JONESU S TELECO | COM | 464287713 | 131   | 7380   | SH | DEFINED | 0 |
| ISHARES | TR DOW JONESUS TECHNOL | COM | 464287721 | 159   | 3575   | SH | DEFINED | 0 |
| ISHARES | TR DOW JONES U S REAL  | COM | 464287739 | 744   | 22934  | SH | DEFINED | 0 |
| ISHARES | DJ US INDUTRL SEC ETF  | COM | 464287754 | 316   | 7576   | SH | DEFINED | 0 |
| ISHARES | DJ US HEALTHCARE SECTO | COM | 464287762 | 1394  | 25751  | SH | DEFINED | 0 |
| ISHARES | TR DOW JONES U S FINL  | COM | 464287770 | 78    | 1712   | SH | DEFINED | 0 |
| ISHARES | TR DOW JONESUS FINL SE | COM | 464287788 | 393   | 9232   | SH | DEFINED | 0 |
| ISHARES | TR DOW JONES U S ENERG | COM | 464287796 | 1151  | 40202  | SH | DEFINED | 0 |
| ISHARES | S&P SMALLCAP 600 ETF   | COM | 464287804 | 31    | 725    | SH | DEFINED | 0 |
| ISHARES | S&P SMALLCAP 600 ETF   | COM | 464287804 | 1359  | 30585  | SH | DEFINED | 0 |
| ISHARES | TR DOW JONESU S CONSUM | COM | 464287812 | 398   | 8515   | SH | DEFINED | 0 |
| ISHARES | TR DOW JONESU S BASIC  | COM | 464287838 | 903   | 20798  | SH | DEFINED | 0 |
| ISHARES | TR DOW JONES U S TOTAL | COM | 464287846 | 275   | 6063   | SH | DEFINED | 0 |
| ISHARES | TR S&P EUROPE 350      | COM | 464287861 | 185   | 5908   | SH | DEFINED | 0 |
| ISHARES | TR S&P SMALLCAP 6      | COM | 464287879 | 587   | 12469  | SH | DEFINED | 0 |
| ISHARES | TR S&P SMALLCAP 6      | COM | 464287887 | 621   | 13394  | SH | DEFINED | 0 |
| ISHARES | TR LARGE VALUE INDE    | COM | 464288109 | 284   | 6172   | SH | DEFINED | 0 |
| ISHARES | TR S&P/CITIGR          | COM | 464288117 | 27    | 272    | SH | DEFINED | 0 |
| ISHARES | TR S&P/CITIGR          | COM | 464288125 | 900   | 8781   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|         |    |                     |            |     |           |      |        |    |         |   |
|---------|----|---------------------|------------|-----|-----------|------|--------|----|---------|---|
| ISHARES | TR | MID CORE INDEX      | FD         | COM | 464288208 | 328  | 6123   | SH | DEFINED | 0 |
| ISHARES | TR | S&P GLOBAL          | CLE        | COM | 464288224 | 34   | 1496   | SH | DEFINED | 0 |
| ISHARES | TR | MSCI ACWI           | EX US      | COM | 464288240 | 24   | 715    | SH | DEFINED | 0 |
| ISHARES | TR | MSCI EAFESMALL      | CAP        | COM | 464288273 | 675  | 22505  | SH | DEFINED | 0 |
| ISHARES | TR | JPMORGAN USD        | EMERGI     | COM | 464288281 | 812  | 8629   | SH | DEFINED | 0 |
| ISHARES | TR | MID GROWTH          | IND        | COM | 464288307 | 140  | 2314   | SH | DEFINED | 0 |
| ISHARES | TR | S&P NY MUN          | BD FD      | COM | 464288323 | 343  | 3392   | SH | DEFINED | 0 |
| ISHARES | TR | S&P CALIF           | MUN        | COM | 464288356 | 12   | 122    | SH | DEFINED | 0 |
| ISHARES | TR | S&P GLOBAL          | INF        | COM | 464288372 | 1086 | 37145  | SH | DEFINED | 0 |
| ISHARES | TR | MID VALUEINDEX      | FD         | COM | 464288406 | 26   | 527    | SH | DEFINED | 0 |
| ISHARES | TR | S&P NATL MUN        | BD FD      | COM | 464288414 | 1589 | 15946  | SH | DEFINED | 0 |
| ISHARES | TR | S&P WORLDEX-US      | PPTY       | COM | 464288422 | 42   | 1588   | SH | DEFINED | 0 |
| ISHARES | TR | S&P ASIA            | 5          | COM | 464288430 | 16   | 492    | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESEPAC       | SELEC      | COM | 464288448 | 114  | 4701   | SH | DEFINED | 0 |
| ISHARES | TR | FTSE EPRA/NAREI     |            | COM | 464288463 | 120  | 4600   | SH | DEFINED | 0 |
| ISHARES | TR | FTSE EPRANAREIT     | EUR        | COM | 464288471 | 157  | 7140   | SH | DEFINED | 0 |
| ISHARES | TR | FTSE EPRA/NAREI     |            | COM | 464288489 | 230  | 9167   | SH | DEFINED | 0 |
| ISHARES | TR | SMALL CORE          | INDEX      | COM | 464288505 | 406  | 7300   | SH | DEFINED | 0 |
| ISHARES | TR | IBOXX \$            | HIGH YIE   | COM | 464288513 | 7359 | 92326  | SH | DEFINED | 0 |
| ISHARES | TR | FTSE NAREIT         | REA        | COM | 464288521 | 5    | 250    | SH | DEFINED | 0 |
| ISHARES | TR | FTSE NAREIT         | MTG        | COM | 464288539 | 13   | 1000   | SH | DEFINED | 0 |
| ISHARES | TR | FTSE NAREIT         | RET        | COM | 464288547 | 19   | 1200   | SH | DEFINED | 0 |
| ISHARES | TR | FTSE NAREIT         | IND        | COM | 464288554 | 16   | 1013   | SH | DEFINED | 0 |
| ISHARES | TR | KLD 400             | SOCIAL IND | COM | 464288570 | 2    | 60     | SH | DEFINED | 0 |
| ISHARES |    | BARCLAYS MBS        | BOND F     | COM | 464288588 | 907  | 8618   | SH | DEFINED | 0 |
| ISHARES |    | BARCLAYS GOVT       | CR BD      | COM | 464288596 | 386  | 3732   | SH | DEFINED | 0 |
| ISHARES | TR | SMALL GROWTH        | IND        | COM | 464288604 | 80   | 1459   | SH | DEFINED | 0 |
| ISHARES |    | BARCLAYS INTERMED   | G          | COM | 464288612 | 580  | 5567   | SH | DEFINED | 0 |
| ISHARES |    | BARCLAYS CR         | BD FD      | COM | 464288620 | 1610 | 16447  | SH | DEFINED | 0 |
| ISHARES |    | BARCLAYS INTERMEDIA |            | COM | 464288638 | 303  | 3028   | SH | DEFINED | 0 |
| ISHARES |    | BARCLAYS 1-3YR      | CD BD F    | COM | 464288646 | 469  | 4551   | SH | DEFINED | 0 |
| ISHARES |    | BARCLAYS 10-20      | YR T       | COM | 464288653 | 21   | 190    | SH | DEFINED | 0 |
| ISHARES |    | BARCLAYS 3-7        | YR TRE     | COM | 464288661 | 707  | 6386   | SH | DEFINED | 0 |
| ISHARES |    | BARCLAYS SHORT      | TREA       | COM | 464288679 | 1481 | 13435  | SH | DEFINED | 0 |
| ISHARES | TR | S&P U S PFD         | STK IN     | COM | 464288687 | 3026 | 94137  | SH | DEFINED | 0 |
| ISHARES |    | TRUST S&P GLOBAL    | MAT        | COM | 464288695 | 741  | 15919  | SH | DEFINED | 0 |
| ISHARES | TR | SMALL VALUE         | INDE       | COM | 464288703 | 119  | 2423   | SH | DEFINED | 0 |
| ISHARES | TR | S&P GLOBAL          | UTI        | COM | 464288711 | 495  | 11691  | SH | DEFINED | 0 |
| ISHARES |    | TRUST S&P GLOBAL    | CON        | COM | 464288737 | 1085 | 23125  | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESUS         | HOME CO    | COM | 464288752 | 145  | 14732  | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESUS         | AEROSPA    | COM | 464288760 | 68   | 1668   | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESUS         | REGIONA    | COM | 464288778 | 58   | 3328   | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESUS         | INS IND    | COM | 464288786 | 32   | 1500   | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESUS         | BROKER     | COM | 464288794 | 20   | 785    | SH | DEFINED | 0 |
| ISHARES | TR | KLD SELECT          |            | COM | 464288802 | 67   | 1688   | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESUS         | MEDICAL    | COM | 464288810 | 10   | 227    | SH | DEFINED | 0 |
| ISHARES | DJ | US HEALTH CARE      | INDE       | COM | 464288828 | 118  | 3050   | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESUS         | PHARMAC    | COM | 464288836 | 2    | 50     | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESUS         | OIL EQU    | COM | 464288844 | 645  | 19351  | SH | DEFINED | 0 |
| ISHARES | TR | DOW JONESUS         | OIL & G    | COM | 464288851 | 128  | 3111   | SH | DEFINED | 0 |
| ISHARES | TR | RUSSELL             | MI         | COM | 464288869 | 144  | 4371   | SH | DEFINED | 0 |
| ISHARES | TR | MSCI EAFEVALUE      | INDE       | COM | 464288877 | 670  | 15879  | SH | DEFINED | 0 |
| ISHARES | TR | MSCI EAFEGROWTH     | IND        | COM | 464288885 | 266  | 5766   | SH | DEFINED | 0 |
| ISHARES | TR | S&P AGGRESSIVE      |            | COM | 464289859 | 85   | 3400   | SH | DEFINED | 0 |
| ISHARES | TR | S&P CONSERVATI      |            | COM | 464289883 | 7    | 270    | SH | DEFINED | 0 |
| ISHARES |    | SILVER TR           | ISHARES    | COM | 46428Q109 | 3170 | 236885 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                   |     |           |        |          |    |         |   |
|-----------------------------------|-----|-----------|--------|----------|----|---------|---|
| ISHARES S&P GSCI COMMODITY        | COM | 46428R107 | 1662   | 55300    | SH | DEFINED | 0 |
| ISILON SYS INC COM                | COM | 46432L104 | 31     | 7300     | SH | DEFINED | 0 |
| ISIS PHARMACEUTICALS INC          | COM | 464330109 | 2310   | 140001   | SH | DEFINED | 0 |
| ISIS PHARMACEUTICALS INC          | COM | 464330109 | 40     | 2426     | SH | DEFINED | 0 |
| ISIS PHARMACEUTICALS INC          | COM | 464330109 | 266    | 16121    | SH | DEFINED | 0 |
| ISLE OF CAPRI CASINOS             | COM | 464592104 | 440    | 33116    | SH | DEFINED | 0 |
| ISLE OF CAPRI CASINOS             | COM | 464592104 | 99     | 7400     | SH | DEFINED | 0 |
| ITAU UNIBANCO BANCO MULT-ADR      | COM | 465562106 | 4641   | 293163   | SH | DEFINED | 0 |
| ITAU UNIBANCO BANCO MULT-ADR      | COM | 465562106 | 34526  | 2189327  | SH | DEFINED | 0 |
| ITC HOLDINGS CORP                 | COM | 465685105 | 4745   | 104588   | SH | DEFINED | 0 |
| ITC HOLDINGS CORP                 | COM | 465685105 | 26     | 650      | SH | DEFINED | 0 |
| ITRON INC                         | COM | 465741106 | 5216   | 94735    | SH | DEFINED | 0 |
| ITRON INC                         | COM | 465741106 | 68     | 1230     | SH | DEFINED | 0 |
| ITRON INC                         | COM | 465741106 | 78     | 1125     | SH | DEFINED | 0 |
| ITRON INC                         | COM | 465741106 | 62     | 1134     | SH | DEFINED | 0 |
| ITRON INC SR SB CV 2              | COM | 465741AJ5 | 1      | 1000     | SH | DEFINED | 0 |
| I2 TECHNOLOGIES INC               | COM | 465754208 | 519    | 41320    | SH | DEFINED | 0 |
| I2 TECHNOLOGIES INC               | COM | 465754208 | 110    | 8779     | SH | DEFINED | 0 |
| I2 TECHNOLOGIES INC               | COM | 465754208 | 0      | 30       | SH | DEFINED | 0 |
| IVANHOE ENERGY COM ISIN #         | COM | 465790103 | 2      | 1300     | SH | DEFINED | 0 |
| IVANHOE MINES LTD.                | COM | 46579N103 | 1745   | 311700   | SH | DEFINED | 0 |
| IVANHOE MINES LTD.                | COM | 46579N103 | 12     | 2113     | SH | DEFINED | 0 |
| INFINITY BIO-ENERGY LTD           | COM | 465938991 | 14     | 340514   | SH | DEFINED | 0 |
| IXYS CORP DEL                     | COM | 46600W106 | 4      | 401      | SH | DEFINED | 0 |
| J & J SNACK FOODS CORP            | COM | 466032109 | 6750   | 187994   | SH | DEFINED | 0 |
| J & J SNACK FOODS CORP            | COM | 466032109 | 147    | 4100     | SH | DEFINED | 0 |
| JA SOLAR HOLDINGS CO LTD-ADR      | COM | 466090107 | 3112   | 662273   | SH | DEFINED | 0 |
| JA SOLAR HOLDINGS CO LTD-ADR      | COM | 466090107 | 68     | 14436    | SH | DEFINED | 0 |
| JA SOLAR HOLDINGS CO LTD-ADR      | COM | 466090107 | 8      | 1800     | SH | DEFINED | 0 |
| J CREW GROUP INC                  | COM | 46612H402 | 1237   | 45797    | SH | DEFINED | 0 |
| J CREW GROUP INC                  | COM | 46612H402 | 36     | 1320     | SH | DEFINED | 0 |
| J CREW GROUP INC                  | COM | 46612H402 | 1      | 46       | SH | DEFINED | 0 |
| JDS UNIPHASE CORP                 | COM | 46612J507 | 696    | 121720   | SH | DEFINED | 0 |
| JDS UNIPHASE CORP                 | COM | 46612J507 | 51     | 8954     | SH | DEFINED | 0 |
| JDS UNIPHASE CORP                 | COM | 46612J507 | 33     | 5763     | SH | DEFINED | 0 |
| JDA SOFTWARE GROUP INC            | COM | 46612K108 | 891    | 59544    | SH | DEFINED | 0 |
| JDA SOFTWARE GROUP INC            | COM | 46612K108 | 4      | 300      | SH | DEFINED | 0 |
| JL FRENCH AUTOMOTIVE WTS EXP 0 WT | COM | 46620Q154 | 0      | 24838    | SH | DEFINED | 0 |
| JPMORGAN CHASE & CO               | COM | 46625H100 | 366916 | 10756903 | SH | DEFINED | 0 |
| JPMORGAN CHASE & CO               | COM | 46625H100 | 9124   | 267487   | SH | DEFINED | 0 |
| JPMORGAN CHASE & CO               | COM | 46625H100 | 9792   | 287067   | SH | DEFINED | 0 |
| JPMORGAN CHASE & CO               | COM | 46625H100 | 202681 | 5944145  | SH | DEFINED | 0 |
| JPMORGAN CHASE & CO               | COM | 46625H100 | 5143   | 150763   | SH | DEFINED | 0 |
| J P MORGAN CHASE & CO             | COM | 46625H100 | 6534   | 191568   | SH | DEFINED | 0 |
| JSC MMC NORILSK NICKEL            | COM | 46626D108 | 1918   | 201912   | SH | DEFINED | 0 |
| MMC NORILSK NICKEL JSC-ADR        | COM | 46626D991 | 2153   | 233619   | SH | DEFINED | 0 |
| J2 GLOBAL COMMUNICATIONS INC      | COM | 46626E205 | 2805   | 124316   | SH | DEFINED | 0 |
| JABIL CIRCUIT INC                 | COM | 466313103 | 7608   | 1025243  | SH | DEFINED | 0 |
| JABIL CIRCUIT INC                 | COM | 466313103 | 252    | 33957    | SH | DEFINED | 0 |
| JABIL CIRCUIT INC                 | COM | 466313103 | 4043   | 545721   | SH | DEFINED | 0 |
| JABIL CIRCUIT INC                 | COM | 466313103 | 9      | 1235     | SH | DEFINED | 0 |
| JACK IN THE BOX INC               | COM | 466367109 | 1513   | 67411    | SH | DEFINED | 0 |
| JACK IN THE BOX INC               | COM | 466367109 | 13     | 600      | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |        |         |    |         |   |
|------------------------------|-----|-----------|--------|---------|----|---------|---|
| JACKSON HEWITT TAX SVC INC   | COM | 468202106 | 3      | 450     | SH | DEFINED | 0 |
| JACOBS ENGINEERING GROUP INC | COM | 469814107 | 18953  | 450305  | SH | DEFINED | 0 |
| JACOBS ENGINEERING GROUP INC | COM | 469814107 | 253    | 6025    | SH | DEFINED | 0 |
| JACOBS ENGINEERING GROUP INC | COM | 469814107 | 663    | 15745   | SH | DEFINED | 0 |
| JAGUAR MINING INC            | COM | 47009M103 | 231    | 30500   | SH | DEFINED | 0 |
| JAKKS PACIFIC INC            | COM | 47012E106 | 4959   | 386516  | SH | DEFINED | 0 |
| JAKKS PACIFIC INC            | COM | 47012E106 | 79     | 6119    | SH | DEFINED | 0 |
| JAKKS PACIFIC INC            | COM | 47012E106 | 23     | 1800    | SH | DEFINED | 0 |
| JAMES HARDIE INDS N V SPONSO | COM | 47030M106 | 5      | 300     | SH | DEFINED | 0 |
| JAMES RIVER COAL CO          | COM | 470355207 | 632    | 41770   | SH | DEFINED | 0 |
| JANUS CAPITAL GROUP INC      | COM | 47102X105 | 5379   | 471806  | SH | DEFINED | 0 |
| JANUS CAPITAL GROUP INC      | COM | 47102X105 | 108    | 9454    | SH | DEFINED | 0 |
| JANUS CAPITAL GROUP INC      | COM | 47102X105 | 202    | 17700   | SH | DEFINED | 0 |
| JAPAN SMALLER CAPITALIZA     | COM | 47109U104 | 121    | 16500   | SH | DEFINED | 0 |
| JARDEN CORP                  | COM | 471109108 | 308    | 16440   | SH | DEFINED | 0 |
| JARDEN CORP                  | COM | 471109108 | 6      | 321     | SH | DEFINED | 0 |
| JAVELIN PHARMACEUT           | COM | 471894105 | 6      | 5100    | SH | DEFINED | 0 |
| JAZZ PHARMACEUTICALSINC      | COM | 472147107 | 1      | 200     | SH | DEFINED | 0 |
| JEFFERIES GROUP INC (NEW)    | COM | 472319102 | 2400   | 112520  | SH | DEFINED | 0 |
| JEFFERIES GROUP INC (NEW)    | COM | 472319102 | 0      | 22      | SH | DEFINED | 0 |
| JETBLUE AIRWAYS CORP         | COM | 477143101 | 114    | 26610   | SH | DEFINED | 0 |
| JETBLUE AIRWAYS CORP         | COM | 477143101 | 36     | 8400    | SH | DEFINED | 0 |
| JETBLUE AIRWAYS CORP         | COM | 477143101 | 111    | 25905   | SH | DEFINED | 0 |
| JO-ANN STORES INC            | COM | 47758P307 | 2513   | 121507  | SH | DEFINED | 0 |
| JO-ANN STORES INC            | COM | 47758P307 | 60     | 2900    | SH | DEFINED | 0 |
| JO-ANN STORES INC            | COM | 47758P307 | 33     | 1600    | SH | DEFINED | 0 |
| JOHN BEAN TECHNOLOGIES CORP  | COM | 477839104 | 597    | 47691   | SH | DEFINED | 0 |
| JOHN BEAN TECHNOLOGIES CORP  | COM | 477839104 | 0      | 31      | SH | DEFINED | 0 |
| JOHNSON & JOHNSON            | COM | 478160104 | 470486 | 8283244 | SH | DEFINED | 0 |
| JOHNSON & JOHNSON            | COM | 478160104 | 10193  | 179460  | SH | DEFINED | 0 |
| JOHNSON & JOHNSON            | COM | 478160104 | 10633  | 187192  | SH | DEFINED | 0 |
| JOHNSON & JOHNSON            | COM | 478160104 | 206986 | 3633869 | SH | DEFINED | 0 |
| JOHNSON & JOHNSON            | COM | 478160104 | 10550  | 185746  | SH | DEFINED | 0 |
| JOHNSON CONTROLS INC         | COM | 478366107 | 6080   | 279933  | SH | DEFINED | 0 |
| JOHNSON CONTROLS INC         | COM | 478366107 | 655    | 30166   | SH | DEFINED | 0 |
| JOHNSON CONTROLS INC         | COM | 478366107 | 581    | 29500   | SH | DEFINED | 0 |
| JOHNSON CONTROLS INC         | COM | 478366107 | 253    | 11638   | SH | DEFINED | 0 |
| JONES APPAREL GROUP INC      | COM | 480074103 | 4628   | 431394  | SH | DEFINED | 0 |
| JONES LANG LASALLE INC       | COM | 48020Q107 | 363    | 11100   | SH | DEFINED | 0 |
| JONES LANG LASALLE INC       | COM | 48020Q107 | 1110   | 34000   | SH | DEFINED | 0 |
| JONES LANG LASALLE INC       | COM | 48020Q107 | 78     | 2370    | SH | DEFINED | 0 |
| JONES SODA CO                | COM | 48023P106 | 0      | 350     | SH | DEFINED | 0 |
| JOS A BANK CLOTHIERS INC     | COM | 480838101 | 7066   | 205072  | SH | DEFINED | 0 |
| JOS A BANK CLOTHIERS INC     | COM | 480838101 | 24     | 700     | SH | DEFINED | 0 |
| JOS A BANK CLOTHIERS INC     | COM | 480838101 | 11     | 306     | SH | DEFINED | 0 |
| JOY GLOBAL INC               | COM | 481165108 | 16801  | 470342  | SH | DEFINED | 0 |
| JOY GLOBAL INC               | COM | 481165108 | 42     | 1184    | SH | DEFINED | 0 |
| JOY GLOBAL INC               | COM | 481165108 | 193    | 5394    | SH | DEFINED | 0 |
| SISTEMA JSFC-REG S SPONS GDR | COM | 48122U998 | 165    | 13600   | SH | DEFINED | 0 |
| JUNIPER NETWORKS INC         | COM | 48203R104 | 18459  | 782230  | SH | DEFINED | 0 |
| JUNIPER NETWORKS INC         | COM | 48203R104 | 783    | 33176   | SH | DEFINED | 0 |
| JUNIPER NETWORKS INC         | COM | 48203R104 | 229    | 10200   | SH | DEFINED | 0 |
| JUNIPER NETWORKS INC         | COM | 48203R104 | 173    | 7348    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| JUNIPER NETWORKS INC          | COM | 48203R104 | 10332 | 437797  | SH | DEFINED | 0 |
| KB FINANCIAL GROUP INC-ADR    | COM | 48241A105 | 48    | 1428    | SH | DEFINED | 0 |
| KB FINANCIAL GROUP INC-ADR    | COM | 48241A105 | 182   | 5428    | SH | DEFINED | 0 |
| KB FINANCIAL GROUP INC-ADR    | COM | 48241A105 | 7     | 219     | SH | DEFINED | 0 |
| KBW INC                       | COM | 482423100 | 1383  | 48080   | SH | DEFINED | 0 |
| KBR INC                       | COM | 48242W106 | 14279 | 774413  | SH | DEFINED | 0 |
| KBR INC                       | COM | 48242W106 | 55    | 2960    | SH | DEFINED | 0 |
| KHD HUMBOLDT WEDAG INTL LTD C | COM | 482462108 | 12    | 1415    | SH | DEFINED | 0 |
| KLA-TENCOR CORPORATION        | COM | 482480100 | 951   | 37657   | SH | DEFINED | 0 |
| KLA-TENCOR CORPORATION        | COM | 482480100 | 200   | 7935    | SH | DEFINED | 0 |
| KLA-TENCOR CORPORATION        | COM | 482480100 | 17    | 690     | SH | DEFINED | 0 |
| KKR FINANCIAL HOLDINGS LLC    | LP  | 48248A306 | 845   | 908867  | SH | DEFINED | 0 |
| KKR FINANCIAL HOLDINGS LLC    | LP  | 48248A306 | 3     | 2860    | SH | DEFINED | 0 |
| K-SWISS INC -CL A             | COM | 482686102 | 506   | 59706   | SH | DEFINED | 0 |
| K-SWISS INC -CL A             | COM | 482686102 | 53    | 6200    | SH | DEFINED | 0 |
| KT CORPORATION                | COM | 48268K101 | 2     | 171     | SH | DEFINED | 0 |
| K SEA TRANSN PARTNERS L       | COM | 48268Y101 | 4     | 200     | SH | DEFINED | 0 |
| K12 INC                       | COM | 48273U102 | 251   | 11653   | SH | DEFINED | 0 |
| K12 INC                       | COM | 48273U102 | 16    | 750     | SH | DEFINED | 0 |
| KADANT INC                    | COM | 48282T104 | 1     | 75      | SH | DEFINED | 0 |
| KAISER ALUMINUM CORP          | COM | 483007704 | 1197  | 33343   | SH | DEFINED | 0 |
| KAISER ALUMINUM CORP          | COM | 483007704 | 8     | 215     | SH | DEFINED | 0 |
| KAMAN CORP                    | COM | 483548103 | 572   | 34289   | SH | DEFINED | 0 |
| KAMAN CORP                    | COM | 483548103 | 2     | 150     | SH | DEFINED | 0 |
| KANSAS CITY LIFE INS CO       | COM | 484836101 | 239   | 8900    | SH | DEFINED | 0 |
| KANSAS CITY SOUTHERN          | COM | 485170302 | 32400 | 2011235 | SH | DEFINED | 0 |
| KANSAS CITY SOUTHERN          | COM | 485170302 | 190   | 11784   | SH | DEFINED | 0 |
| KANSAS CITY SOUTHERN          | COM | 485170302 | 43    | 950     | SH | DEFINED | 0 |
| KANSAS CITY SOUTHERN          | COM | 485170302 | 54    | 3360    | SH | DEFINED | 0 |
| KAPSTONE PAPER AND PACKAGING  | COM | 48562P103 | 140   | 29879   | SH | DEFINED | 0 |
| KAPSTONE PAPER AND PACKAGING  | COM | 48562P103 | 37    | 7800    | SH | DEFINED | 0 |
| KAYDON CORP                   | COM | 486587108 | 5077  | 155951  | SH | DEFINED | 0 |
| KAYDON CORP                   | COM | 486587108 | 66    | 2035    | SH | DEFINED | 0 |
| KAYDON CORP                   | COM | 486587108 | 61    | 1400    | SH | DEFINED | 0 |
| KAYDON CORP                   | COM | 486587108 | 13    | 404     | SH | DEFINED | 0 |
| KAYNE ANDERSON MLP INVT CO    | COM | 486606106 | 251   | 11455   | SH | DEFINED | 0 |
| KAYNE ANDERSON ENERGY TOT     | COM | 48660P104 | 110   | 6009    | SH | DEFINED | 0 |
| KAYNE ANDERSON ENERGY DEV     | COM | 48660Q102 | 3     | 250     | SH | DEFINED | 0 |
| KB HOME                       | COM | 48666K109 | 25340 | 1852335 | SH | DEFINED | 0 |
| KB HOME                       | COM | 48666K109 | 239   | 17525   | SH | DEFINED | 0 |
| KB HOME                       | COM | 48666K109 | 43    | 3130    | SH | DEFINED | 0 |
| KEEGAN RES INC ISIN #CA48     | COM | 487275109 | 8     | 3000    | SH | DEFINED | 0 |
| KEITHLEY INSTRUMENTS INC      | COM | 487584104 | 69    | 17240   | SH | DEFINED | 0 |
| KELLOGG CO                    | COM | 487836108 | 11483 | 246570  | SH | DEFINED | 0 |
| KELLOGG CO                    | COM | 487836108 | 905   | 19425   | SH | DEFINED | 0 |
| KELLOGG CO                    | COM | 487836108 | 375   | 8060    | SH | DEFINED | 0 |
| KELLY SERVICES INC -CL A      | COM | 488152208 | 980   | 89479   | SH | DEFINED | 0 |
| KEMET CORP                    | COM | 488360108 | 0     | 44      | SH | DEFINED | 0 |
| KENDLE INTERNATIONAL INC      | COM | 48880L107 | 672   | 54883   | SH | DEFINED | 0 |
| KENDLE INTERNATIONAL INC      | COM | 48880L107 | 2     | 150     | SH | DEFINED | 0 |
| KENEXA CORP                   | COM | 488879107 | 147   | 12670   | SH | DEFINED | 0 |
| KENNAMETAL INC                | COM | 489170100 | 38    | 1986    | SH | DEFINED | 0 |
| KENSEY NASH CORP              | COM | 490057106 | 381   | 14505   | SH | DEFINED | 0 |
| KEY ENERGY SERVICES INC       | COM | 492914106 | 392   | 67940   | SH | DEFINED | 0 |
| KEY ENERGY SERVICES INC       | COM | 492914106 | 63    | 11000   | SH | DEFINED | 0 |
| KEY ENERGY SERVICES INC       | COM | 492914106 | 260   | 45162   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| KEYCORP                        | COM | 493267108 | 8991  | 1715741 | SH | DEFINED | 0 |
| KEYCORP                        | COM | 493267108 | 384   | 73371   | SH | DEFINED | 0 |
| KEYCORP                        | COM | 493267108 | 116   | 22193   | SH | DEFINED | 0 |
| KEYCORP CAPITAL X 8% 3/15/68   | PRF | 49327R103 | 107   | 5741    | SH | DEFINED | 0 |
| KFORCE INC                     | COM | 493732101 | 571   | 69066   | SH | DEFINED | 0 |
| KIMBALL INTERNATIONAL-B        | COM | 494274103 | 955   | 153003  | SH | DEFINED | 0 |
| KIMBALL INTERNATIONAL-B        | COM | 494274103 | 1     | 200     | SH | DEFINED | 0 |
| KILROY REALTY CORP             | COM | 49427F108 | 2606  | 126881  | SH | DEFINED | 0 |
| KILROY REALTY CORP             | COM | 49427F108 | 9     | 417     | SH | DEFINED | 0 |
| KIMBER RES INC ISIN #CA49      | COM | 49435N101 | 0     | 200     | SH | DEFINED | 0 |
| KIMBERLY-CLARK CORP            | COM | 494368103 | 2416  | 46107   | SH | DEFINED | 0 |
| KIMBERLY-CLARK CORP            | COM | 494368103 | 1503  | 28662   | SH | DEFINED | 0 |
| KIMBERLY-CLARK CORP            | COM | 494368103 | 12721 | 242636  | SH | DEFINED | 0 |
| KIMBERLY-CLARK CORP            | COM | 494368103 | 644   | 12292   | SH | DEFINED | 0 |
| KIMCO REALTY CORP              | COM | 49446R109 | 3221  | 320544  | SH | DEFINED | 0 |
| KIMCO REALTY CORP              | COM | 49446R109 | 418   | 41622   | SH | DEFINED | 0 |
| KIMCO REALTY CORP              | COM | 49446R109 | 33    | 3297    | SH | DEFINED | 0 |
| KINDER MORGAN ENERGY PRTNRS    | LP  | 494550106 | 1081  | 21143   | SH | DEFINED | 0 |
| KINDER MORGAN ENERGY PRTNRS    | LP  | 494550106 | 1191  | 23339   | SH | DEFINED | 0 |
| KINDER MORGAN ENERGY PRTNRS    | LP  | 494550106 | 1962  | 38376   | SH | DEFINED | 0 |
| KINDER MORGAN MANG COM NPV     | COM | 49455U100 | 697   | 15420   | SH | DEFINED | 0 |
| KINDRED HEALTHCARE INC         | COM | 494580103 | 3518  | 284350  | SH | DEFINED | 0 |
| KINDRED HEALTHCARE INC         | COM | 494580103 | 102   | 8258    | SH | DEFINED | 0 |
| KINDRED HEALTHCARE INC         | COM | 494580103 | 1     | 106     | SH | DEFINED | 0 |
| KINETIC CONCEPTS INC           | COM | 49460W208 | 34487 | 1265600 | SH | DEFINED | 0 |
| KING PHARMACEUTICALS INC       | COM | 495582108 | 32050 | 3328133 | SH | DEFINED | 0 |
| KING PHARMACEUTICALS INC       | COM | 495582108 | 345   | 35732   | SH | DEFINED | 0 |
| KING PHARMACEUTICALS INC       | COM | 495582108 | 28    | 2920    | SH | DEFINED | 0 |
| KINROSS GOLD CORP              | COM | 496902404 | 13010 | 716854  | SH | DEFINED | 0 |
| KINROSS GOLD CORP              | COM | 496902404 | 40    | 2197    | SH | DEFINED | 0 |
| KINROSS GOLD CORP              | COM | 496902404 | 219   | 12064   | SH | DEFINED | 0 |
| KIRBY CORP                     | COM | 497266106 | 3648  | 114722  | SH | DEFINED | 0 |
| KITE REALTY GROUP TRUST        | COM | 49803T102 | 143   | 48894   | SH | DEFINED | 0 |
| KITE REALTY GROUP TRUST        | COM | 49803T102 | 0     | 100     | SH | DEFINED | 0 |
| KNOLL INC                      | COM | 498904200 | 1958  | 258335  | SH | DEFINED | 0 |
| KNOLL INC                      | COM | 498904200 | 2     | 255     | SH | DEFINED | 0 |
| KNIGHT CAPITAL GROUP INC-A     | COM | 499005106 | 2606  | 152845  | SH | DEFINED | 0 |
| KNIGHT CAPITAL GROUP INC-A     | COM | 499005106 | 94    | 5500    | SH | DEFINED | 0 |
| KNIGHT CAPITAL GROUP INC-A     | COM | 499005106 | 742   | 43535   | SH | DEFINED | 0 |
| KNIGHT CAPITAL GROUP INC-A     | COM | 499005106 | 34    | 1975    | SH | DEFINED | 0 |
| KNIGHT TRANSPORTATION INC      | COM | 499064103 | 2189  | 132245  | SH | DEFINED | 0 |
| KNIGHT TRANSPORTATION INC      | COM | 499064103 | 40    | 2418    | SH | DEFINED | 0 |
| KNIGHT TRANSPORTATION INC      | COM | 499064103 | 10    | 600     | SH | DEFINED | 0 |
| KNOT INC/THE                   | COM | 499184109 | 665   | 84343   | SH | DEFINED | 0 |
| KNOT INC/THE                   | COM | 499184109 | 6     | 700     | SH | DEFINED | 0 |
| KODIAK OIL & GAS CORP ISIN     | COM | 50015Q100 | 28    | 25725   | SH | DEFINED | 0 |
| KOHLBERG CAP CORP COM          | COM | 500233101 | 9     | 1500    | SH | DEFINED | 0 |
| KOHL'S CORP                    | COM | 500255104 | 51147 | 1196372 | SH | DEFINED | 0 |
| KOHL'S CORP                    | COM | 500255104 | 1080  | 25274   | SH | DEFINED | 0 |
| KOHL'S CORP                    | COM | 500255104 | 4537  | 109965  | SH | DEFINED | 0 |
| KOHL'S CORP                    | COM | 500255104 | 292   | 6820    | SH | DEFINED | 0 |
| KONINKLIJKE PHILIPS ELECTRS NV | COM | 500472303 | 117   | 6377    | SH | DEFINED | 0 |
| KOPIN CORP                     | COM | 500600101 | 282   | 76910   | SH | DEFINED | 0 |
| KOPIN CORP                     | COM | 500600101 | 3     | 700     | SH | DEFINED | 0 |
| KOPPERS HOLDINGS INC           | COM | 50060P106 | 1434  | 54382   | SH | DEFINED | 0 |
| KOPPERS HOLDINGS INC           | COM | 50060P106 | 107   | 2675    | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| KOREA ELEC POWER CORP-SP ADR  | COM | 500631106 | 2862   | 248850  | SH | DEFINED | 0 |
| KOREA ELEC POWER CORP-SP ADR  | COM | 500631106 | 5      | 435     | SH | DEFINED | 0 |
| KOREA FD INC COM NEW          | COM | 500634209 | 9      | 321     | SH | DEFINED | 0 |
| KOREA EQUITY FD INC           | COM | 50063B104 | 30     | 4387    | SH | DEFINED | 0 |
| KORN/FERRY INTERNATIONAL      | COM | 500643200 | 10723  | 1007958 | SH | DEFINED | 0 |
| KORN/FERRY INTERNATIONAL      | COM | 500643200 | 82     | 7700    | SH | DEFINED | 0 |
| KRAFT FOODS INC-CLASS A       | COM | 50075N104 | 4879   | 192543  | SH | DEFINED | 0 |
| KRAFT FOODS INC-CLASS A       | COM | 50075N104 | 2361   | 93179   | SH | DEFINED | 0 |
| KRAFT FOODS INC-CLASS A       | COM | 50075N104 | 1773   | 69987   | SH | DEFINED | 0 |
| KRATOS DEFENSE & SECSOLUTIONS | COM | 50077B108 | 2      | 2650    | SH | DEFINED | 0 |
| KRISPY KREME DOUGHNUTS INC    | COM | 501014104 | 54     | 18100   | SH | DEFINED | 0 |
| KRISPY KREME DOUGHNUTS INC    | COM | 501014104 | 4      | 1352    | SH | DEFINED | 0 |
| KROGER CO                     | COM | 501044101 | 2100   | 95263   | SH | DEFINED | 0 |
| KROGER CO                     | COM | 501044101 | 786    | 35643   | SH | DEFINED | 0 |
| KROGER CO                     | COM | 501044101 | 118653 | 5382229 | SH | DEFINED | 0 |
| KROGER CO                     | COM | 501044101 | 1692   | 76739   | SH | DEFINED | 0 |
| KUBOTA LTD ADR                | COM | 501173207 | 184    | 4504    | SH | DEFINED | 0 |
| KULICKE & SOFFA INDUSTRIES    | COM | 501242101 | 283    | 82329   | SH | DEFINED | 0 |
| KULICKE & SOFFA INDUSTRIES    | COM | 501242101 | 17     | 5094    | SH | DEFINED | 0 |
| KYOCERA ADR 1 ADR REPSNTG 1   | COM | 501556203 | 76     | 1022    | SH | DEFINED | 0 |
| LAN AIRLINES S A FORMERLY L   | COM | 501723100 | 2      | 200     | SH | DEFINED | 0 |
| LCA-VISION INC                | COM | 501803308 | 184    | 43683   | SH | DEFINED | 0 |
| LCA-VISION INC                | COM | 501803308 | 28     | 6707    | SH | DEFINED | 0 |
| LDK SOLAR CO LTD SPONSORED    | COM | 50183L107 | 42     | 3700    | SH | DEFINED | 0 |
| LG DISPLAY CO LTD-ADR         | COM | 50186V102 | 809    | 64808   | SH | DEFINED | 0 |
| LG DISPLAY CO LTD-ADR         | COM | 50186V102 | 22     | 1783    | SH | DEFINED | 0 |
| LHC GROUP INC                 | COM | 50187A107 | 1796   | 80832   | SH | DEFINED | 0 |
| LHC GROUP INC                 | COM | 50187A107 | 98     | 4420    | SH | DEFINED | 0 |
| LKQ CORP                      | COM | 501889208 | 6224   | 378371  | SH | DEFINED | 0 |
| LKQ CORP                      | COM | 501889208 | 125    | 7573    | SH | DEFINED | 0 |
| LKQ CORP                      | COM | 501889208 | 146    | 8050    | SH | DEFINED | 0 |
| LKQ CORP                      | COM | 501889208 | 40     | 2440    | SH | DEFINED | 0 |
| LL&E ROYALTY TR UBI           | COM | 502003106 | 2      | 4050    | SH | DEFINED | 0 |
| LMP REAL ESTATE INCOME FD     | COM | 50208C108 | 5      | 754     | SH | DEFINED | 0 |
| L-1 IDENTITY SOLUTIONS INC    | COM | 50212A106 | 247    | 31900   | SH | DEFINED | 0 |
| L-1 IDENTITY SOLUTIONS INC    | COM | 50212A106 | 178    | 22985   | SH | DEFINED | 0 |
| LSB INDUSTRIES INC            | COM | 502160104 | 356    | 22029   | SH | DEFINED | 0 |
| LSB INDUSTRIES INC            | COM | 502160104 | 20     | 1250    | SH | DEFINED | 0 |
| LSI CORP                      | COM | 502161102 | 22215  | 4871994 | SH | DEFINED | 0 |
| LSI CORP                      | COM | 502161102 | 112    | 24605   | SH | DEFINED | 0 |
| LSI CORP                      | COM | 502161102 | 106    | 23259   | SH | DEFINED | 0 |
| LTC PROPERTIES INC            | COM | 502175102 | 653    | 31935   | SH | DEFINED | 0 |
| LTC PROPERTIES INC            | COM | 502175102 | 37     | 1800    | SH | DEFINED | 0 |
| LTC PROPERTIES INC            | COM | 502175102 | 4      | 200     | SH | DEFINED | 0 |
| LTX-CREDENCE CORP COM         | COM | 502403108 | 0      | 122     | SH | DEFINED | 0 |
| L-3 COMMUNICATIONS CORP 3.000 | COM | 502413AW7 | 2      | 2000    | SH | DEFINED | 0 |
| L-3 COMMUNICATIONS HOLDINGS   | COM | 502424104 | 528    | 7606    | SH | DEFINED | 0 |
| L-3 COMMUNICATIONS HOLDINGS   | COM | 502424104 | 700    | 10087   | SH | DEFINED | 0 |
| L-3 COMMUNICATIONS HOLDINGS   | COM | 502424104 | 249    | 3595    | SH | DEFINED | 0 |
| LABARGE INC                   | COM | 502470107 | 81     | 8700    | SH | DEFINED | 0 |
| LABARGE INC                   | COM | 502470107 | 27     | 2941    | SH | DEFINED | 0 |
| LA JOLLA PHARM COM NEW        | COM | 503459307 | 0      | 751     | SH | DEFINED | 0 |
| LA-Z-BOY INC                  | COM | 505336107 | 276    | 58385   | SH | DEFINED | 0 |
| LA-Z-BOY INC                  | COM | 505336107 | 50     | 10600   | SH | DEFINED | 0 |
| LABORATORY CRP OF AMER HLDGS  | COM | 50540R409 | 125764 | 1855218 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| LABORATORY CRP OF AMER HLDGS  | COM | 50540R409 | 5862   | 86471   | SH | DEFINED | 0 |
| LABORATORY CRP OF AMER HLDGS  | COM | 50540R409 | 306    | 4514    | SH | DEFINED | 0 |
| LABORATORY CRP OF AMER HLDGS  | COM | 50540R409 | 108828 | 1605785 | SH | DEFINED | 0 |
| LABORATORY CRP OF AMER HLDGS  | COM | 50540R409 | 192    | 2828    | SH | DEFINED | 0 |
| LABRANCHE & CO INC            | COM | 505447102 | 144    | 33588   | SH | DEFINED | 0 |
| LACLEDE GROUP INC/THE         | COM | 505597104 | 2813   | 84921   | SH | DEFINED | 0 |
| LACLEDE GROUP INC/THE         | COM | 505597104 | 17     | 510     | SH | DEFINED | 0 |
| LACROSSE FOOTWEAR INC         | COM | 505688101 | 1      | 100     | SH | DEFINED | 0 |
| LADISH COMPANY INC NEW        | COM | 505754200 | 6      | 500     | SH | DEFINED | 0 |
| LADENBURG THALMANN FINL SVCS  | COM | 50575Q102 | 1      | 2242    | SH | DEFINED | 0 |
| LAM RESEARCH CORP             | COM | 512807108 | 21498  | 826840  | SH | DEFINED | 0 |
| LAM RESEARCH CORP             | COM | 512807108 | 92     | 3538    | SH | DEFINED | 0 |
| LAMAR ADVERTISING COCL A      | COM | 512815101 | 2      | 150     | SH | DEFINED | 0 |
| LANCASTER COLONY CORP         | COM | 513847103 | 5745   | 130362  | SH | DEFINED | 0 |
| LANCE INC                     | COM | 514606102 | 2529   | 109355  | SH | DEFINED | 0 |
| LANCE INC                     | COM | 514606102 | 12     | 500     | SH | DEFINED | 0 |
| LANDEC CORP                   | COM | 514766104 | 110    | 9750    | SH | DEFINED | 0 |
| LANDEC CORP                   | COM | 514766104 | 2      | 350     | SH | DEFINED | 0 |
| LANDAUER INC                  | COM | 51476K103 | 1746   | 28473   | SH | DEFINED | 0 |
| LANDAUER INC                  | COM | 51476K103 | 3      | 45      | SH | DEFINED | 0 |
| LANDRY'S RESTAURANTS INC      | COM | 51508L103 | 327    | 38039   | SH | DEFINED | 0 |
| LANDRY'S RESTAURANTS INC      | COM | 51508L103 | 67     | 7800    | SH | DEFINED | 0 |
| LANDSTAR SYSTEM INC           | COM | 515098101 | 1942   | 54100   | SH | DEFINED | 0 |
| LANDSTAR SYSTEM INC           | COM | 515098101 | 105    | 2275    | SH | DEFINED | 0 |
| LANDSTAR SYSTEM INC           | COM | 515098101 | 1192   | 33133   | SH | DEFINED | 0 |
| LANTRONIX INC - WTS           | WT  | 516548906 | 0      | 2188    | SH | DEFINED | 0 |
| LAS VEGAS SANDS CORP          | COM | 517834107 | 779    | 99154   | SH | DEFINED | 0 |
| LAS VEGAS SANDS CORP          | COM | 517834107 | 185    | 23516   | SH | DEFINED | 0 |
| LASALLE HOTEL PROPERTIES      | COM | 517942108 | 12152  | 984748  | SH | DEFINED | 0 |
| LASALLE HOTEL PROPERTIES      | COM | 517942108 | 113    | 3250    | SH | DEFINED | 0 |
| LASALLE HOTEL PROPERTIES      | COM | 517942108 | 6      | 510     | SH | DEFINED | 0 |
| LATIN AMER EQUITY FDINC NEW   | COM | 51827Q106 | 5      | 170     | SH | DEFINED | 0 |
| LATIN AMERN DISCOVERY         | COM | 51828C106 | 59     | 4850    | SH | DEFINED | 0 |
| LATTICE SEMICONDUCTOR CORP    | COM | 518415104 | 227    | 121080  | SH | DEFINED | 0 |
| LATTICE SEMICONDUCTOR CORP    | COM | 518415104 | 30     | 16000   | SH | DEFINED | 0 |
| ESTEE LAUDER COMPANIES-CL A   | COM | 518439104 | 122    | 3749    | SH | DEFINED | 0 |
| ESTEE LAUDER COMPANIES-CL A   | COM | 518439104 | 224    | 6871    | SH | DEFINED | 0 |
| ESTEE LAUDER COMPANIES-CL A   | COM | 518439104 | 67     | 2038    | SH | DEFINED | 0 |
| LAWSON PRODUCTS INC           | COM | 520776105 | 67     | 4741    | SH | DEFINED | 0 |
| LAWSON SOFTWARE INC           | COM | 52078P102 | 9655   | 1730120 | SH | DEFINED | 0 |
| LAWSON SOFTWARE INC           | COM | 52078P102 | 2      | 423     | SH | DEFINED | 0 |
| LAWSON SOFTWARE INC           | COM | 52078P102 | 2176   | 390000  | SH | DEFINED | 0 |
| LAWSON SOFTWARE INC NEW COM   | COM | 52078P102 | 858    | 152974  | SH | DEFINED | 0 |
| LAYNE CHRISTENSEN COMPANY     | COM | 521050104 | 1190   | 58156   | SH | DEFINED | 0 |
| LAYNE CHRISTENSEN COMPANY     | COM | 521050104 | 5      | 265     | SH | DEFINED | 0 |
| LAZARD WORLD DIVID &INCOME FD | COM | 521076109 | 1      | 124     | SH | DEFINED | 0 |
| LEADING BRANDS INC            | COM | 52170U108 | 1      | 2000    | SH | DEFINED | 0 |
| LEAP WIRELESS INTL INC        | COM | 521863308 | 82     | 2480    | SH | DEFINED | 0 |
| LEAP WIRELESS INTL INC        | COM | 521863308 | 15372  | 466922  | SH | DEFINED | 0 |
| LEAR CORP                     | COM | 521865105 | 59     | 118565  | SH | DEFINED | 0 |
| LEAR CORP                     | COM | 521865105 | 0      | 20      | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| LEAPFROG ENTERPRISES INC CL A | COM | 52186N106 | 2      | 1050    | SH | DEFINED | 0 |
| LECROY CORP                   | COM | 52324W109 | 129    | 34486   | SH | DEFINED | 0 |
| LEE ENTERPRISES INC           | COM | 523768109 | 0      | 392     | SH | DEFINED | 0 |
| LEGGETT & PLATT INC           | COM | 524660107 | 5063   | 332384  | SH | DEFINED | 0 |
| LEGGETT & PLATT INC           | COM | 524660107 | 204    | 13371   | SH | DEFINED | 0 |
| LEGGETT & PLATT INC           | COM | 524660107 | 20     | 1342    | SH | DEFINED | 0 |
| LEGG MASON INC                | COM | 524901105 | 13915  | 570743  | SH | DEFINED | 0 |
| LEGG MASON INC                | COM | 524901105 | 244    | 10012   | SH | DEFINED | 0 |
| LEGG MASON INC                | COM | 524901105 | 7      | 282     | SH | DEFINED | 0 |
| LEHMAN BROTHERS HOLDINGS INC  | COM | 524908100 | 21     | 450924  | SH | DEFINED | 0 |
| LENDER PROCESSING SERVICES    | COM | 52602E102 | 1762   | 63452   | SH | DEFINED | 0 |
| LENDER PROCESSING SERVICES    | COM | 52602E102 | 17     | 610     | SH | DEFINED | 0 |
| LENNAR CORP-CL A              | COM | 526057104 | 8134   | 839462  | SH | DEFINED | 0 |
| LENNAR CORP-CL A              | COM | 526057104 | 264    | 27212   | SH | DEFINED | 0 |
| LENNAR CORP-CL A              | COM | 526057104 | 11     | 1115    | SH | DEFINED | 0 |
| LENNAR CORP CL B              | COM | 526057302 | 0      | 20      | SH | DEFINED | 0 |
| LENNOX INTERNATIONAL INC      | COM | 526107107 | 51     | 1601    | SH | DEFINED | 0 |
| LENNOX INTERNATIONAL INC      | COM | 526107107 | 651    | 20300   | SH | DEFINED | 0 |
| LENNOX INTERNATIONAL INC      | COM | 526107107 | 4      | 118     | SH | DEFINED | 0 |
| LEUCADIA NATIONAL CORP        | COM | 527288104 | 116    | 5477    | SH | DEFINED | 0 |
| LEUCADIA NATIONAL CORP        | COM | 527288104 | 163    | 7716    | SH | DEFINED | 0 |
| LEUCADIA NATIONAL CORP        | COM | 527288104 | 68     | 3203    | SH | DEFINED | 0 |
| LEVEL 3 COMMUNICATIONS INC    | COM | 52729N100 | 144    | 95123   | SH | DEFINED | 0 |
| LEXINGTON REALTY TRUST        | COM | 529043101 | 230    | 67570   | SH | DEFINED | 0 |
| LEXINGTON REALTY TRUST        | COM | 529043101 | 1      | 375     | SH | DEFINED | 0 |
| LEXMARK INTERNATIONAL INC-A   | COM | 529771107 | 11569  | 730025  | SH | DEFINED | 0 |
| LEXMARK INTERNATIONAL INC-A   | COM | 529771107 | 175    | 11048   | SH | DEFINED | 0 |
| LIBERTY ALL-STAR GROWTH FUN   | COM | 529900102 | 47     | 17382   | SH | DEFINED | 0 |
| LIBERTY ALL STAR EQUITY FD    | COM | 530158104 | 35     | 10183   | SH | DEFINED | 0 |
| LIBERTY BELL BK CHERRY HIL    | COM | 530233105 | 2      | 618     | SH | DEFINED | 0 |
| LIBERTY GLOBAL INC-A          | COM | 530555101 | 63     | 3988    | SH | DEFINED | 0 |
| LIBERTY GLOBAL INC-A          | COM | 530555101 | 42     | 2614    | SH | DEFINED | 0 |
| LIBERTY GLOBAL INC COM SER C  | COM | 530555309 | 6      | 395     | SH | DEFINED | 0 |
| LIBERTY MEDIA-INTERACTIVE A   | COM | 53071M104 | 1975   | 394278  | SH | DEFINED | 0 |
| LIBERTY MEDIA-INTERACTIVE A   | COM | 53071M104 | 5      | 1031    | SH | DEFINED | 0 |
| LIBERTY MEDIA HLDG CORP CAP C | COM | 53071M302 | 22     | 1626    | SH | DEFINED | 0 |
| LIBERTY MEDIA CORP-ENT SER A  | COM | 53071M500 | 9500   | 355161  | SH | DEFINED | 0 |
| LIBERTY MEDIA CORP-ENT SER A  | COM | 53071M500 | 3324   | 124580  | SH | DEFINED | 0 |
| LIBERTY MEDIA CORP-ENT SER A  | COM | 53071M500 | 24     | 885     | SH | DEFINED | 0 |
| LIBERTY PROPERTY TRUST        | COM | 531172104 | 4171   | 181013  | SH | DEFINED | 0 |
| LIBERTY PROPERTY TRUST        | COM | 531172104 | 5      | 200     | SH | DEFINED | 0 |
| LIFEWAY FOODS INC             | COM | 531914109 | 1      | 100     | SH | DEFINED | 0 |
| LIFE PARTNERS HOLDINGS INC    | COM | 53215T106 | 224    | 15788   | SH | DEFINED | 0 |
| LIFE TIME FITNESS INC         | COM | 53217R207 | 533    | 26652   | SH | DEFINED | 0 |
| LIFE TIME FITNESS INC         | COM | 53217R207 | 3      | 160     | SH | DEFINED | 0 |
| LIFE TECHNOLOGIES CORP        | COM | 53217V109 | 121984 | 2923859 | SH | DEFINED | 0 |
| LIFE TECHNOLOGIES CORP        | COM | 53217V109 | 341    | 8167    | SH | DEFINED | 0 |
| LIFE TECHNOLOGIES CORP        | COM | 53217V109 | 1901   | 45584   | SH | DEFINED | 0 |
| LIFE TECHNOLOGIES CORP        | COM | 53217V109 | 34     | 813     | SH | DEFINED | 0 |
| LIFEPOINT HOSPITALS INC       | COM | 53219L109 | 609    | 23200   | SH | DEFINED | 0 |
| LIFEPOINT HOSPITALS INC       | COM | 53219L109 | 0      | 4       | SH | DEFINED | 0 |
| LIGAND PHARMACEUTICALS-CL B   | COM | 53220K207 | 58     | 20300   | SH | DEFINED | 0 |
| LIGAND PHARMACEUTICALS-CL B   | COM | 53220K207 | 56     | 19560   | SH | DEFINED | 0 |
| LIGAND PHARMACEUTICALS-CL B   | COM | 53220K207 | 0      | 107     | SH | DEFINED | 0 |
| LIGHTPATH TECHNOLOGI          | COM | 532257805 | 0      | 5       | SH | DEFINED | 0 |
| LIHIR GOLD LTD ADR            | COM | 532349107 | 2      | 96      | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| ELI LILLY & CO                 | COM | 532457108 | 6522   | 188310  | SH | DEFINED | 0 |
| ELI LILLY & CO                 | COM | 532457108 | 2180   | 62928   | SH | DEFINED | 0 |
| ELI LILLY & CO                 | COM | 532457108 | 13595  | 392494  | SH | DEFINED | 0 |
| ELI LILLY & CO                 | COM | 532457108 | 459    | 13238   | SH | DEFINED | 0 |
| LIMITED BRANDS INC             | COM | 532716107 | 7923   | 661930  | SH | DEFINED | 0 |
| LIMITED BRANDS INC             | COM | 532716107 | 329    | 27513   | SH | DEFINED | 0 |
| LIMITED BRANDS INC             | COM | 532716107 | 45     | 3719    | SH | DEFINED | 0 |
| LIN TV CORP CL A               | COM | 532774106 | 13     | 7682    | SH | DEFINED | 0 |
| LINCARE HOLDINGS INC           | COM | 532791100 | 1026   | 43650   | SH | DEFINED | 0 |
| LINCARE HOLDINGS INC           | COM | 532791100 | 3      | 148     | SH | DEFINED | 0 |
| LINCOLN EDUCATIONAL SERVICES   | COM | 533535100 | 2280   | 108871  | SH | DEFINED | 0 |
| LINCOLN ELECTRIC HOLDINGS      | COM | 533900106 | 1622   | 45010   | SH | DEFINED | 0 |
| LINCOLN ELECTRIC HOLDINGS      | COM | 533900106 | 69     | 1450    | SH | DEFINED | 0 |
| LINCOLN ELECTRIC HOLDINGS      | COM | 533900106 | 88     | 2438    | SH | DEFINED | 0 |
| LINCOLN NATIONAL CORP          | COM | 534187109 | 77023  | 4475474 | SH | DEFINED | 0 |
| LINCOLN NATIONAL CORP          | COM | 534187109 | 545    | 31634   | SH | DEFINED | 0 |
| LINCOLN NATIONAL CORP          | COM | 534187109 | 60     | 3750    | SH | DEFINED | 0 |
| LINCOLN NATIONAL CORP          | COM | 534187109 | 153    | 8911    | SH | DEFINED | 0 |
| LINCOLN NATIONAL CORP          | COM | 534187109 | 3442   | 200000  | SH | DEFINED | 0 |
| LINCOLN NATL CORP COM          | COM | 534187109 | 1594   | 92629   | SH | DEFINED | 0 |
| LINDSAY CORP                   | COM | 535555106 | 578    | 17472   | SH | DEFINED | 0 |
| LINDSAY CORP                   | COM | 535555106 | 39     | 1164    | SH | DEFINED | 0 |
| LINEAR TECHNOLOGY CORP         | COM | 535678106 | 3305   | 141514  | SH | DEFINED | 0 |
| LINEAR TECHNOLOGY CORP         | COM | 535678106 | 443    | 18976   | SH | DEFINED | 0 |
| LINEAR TECHNOLOGY CORP         | COM | 535678106 | 30     | 1305    | SH | DEFINED | 0 |
| LINK ENERGY LLC                | LP  | 535763106 | 34     | 2336773 | SH | DEFINED | 0 |
| LIONS GATE ENTERTAINM          | COM | 535919203 | 13     | 2302    | SH | DEFINED | 0 |
| LIONS GATE ENTMNT CORP 2.937   | COM | 535919AF1 | 2      | 2000    | SH | DEFINED | 0 |
| LINN ENERGY LLC UNITREPSTG LTD | COM | 536020100 | 257    | 13132   | SH | DEFINED | 0 |
| LITHIA MOTORS INC-CL A         | COM | 536797103 | 174    | 18840   | SH | DEFINED | 0 |
| LITHIA MOTORS INC-CL A         | COM | 536797103 | 24     | 2600    | SH | DEFINED | 0 |
| LITTELFUSE INC                 | COM | 537008104 | 9681   | 484971  | SH | DEFINED | 0 |
| LIVE NATION INC                | COM | 538034109 | 339    | 69721   | SH | DEFINED | 0 |
| LIVE NATION INC                | COM | 538034109 | 0      | 36      | SH | DEFINED | 0 |
| LIZ CLAIBORNE INC              | COM | 539320101 | 2379   | 826412  | SH | DEFINED | 0 |
| LIZ CLAIBORNE INC              | COM | 539320101 | 1      | 415     | SH | DEFINED | 0 |
| LLOYDS BANKING GROUPLC SPONSO  | COM | 539439109 | 46     | 9658    | SH | DEFINED | 0 |
| LOJACK CORPORATION             | COM | 539451104 | 87     | 20854   | SH | DEFINED | 0 |
| LOCKHEED MARTIN CORP           | COM | 539830109 | 22584  | 280020  | SH | DEFINED | 0 |
| LOCKHEED MARTIN CORP           | COM | 539830109 | 6316   | 78313   | SH | DEFINED | 0 |
| LOCKHEED MARTIN CORP           | COM | 539830109 | 1954   | 24223   | SH | DEFINED | 0 |
| LOCKHEED MARTIN CORP           | COM | 539830109 | 85410  | 1059141 | SH | DEFINED | 0 |
| LOCKHEED MARTIN CORP           | COM | 539830109 | 2030   | 25169   | SH | DEFINED | 0 |
| LODGENET INTERACTIVECORP COM   | COM | 540211109 | 19     | 5690    | SH | DEFINED | 0 |
| LODGIAN INC                    | COM | 54021P403 | 852    | 655822  | SH | DEFINED | 0 |
| LOEWS CORP                     | COM | 540424108 | 61460  | 2243100 | SH | DEFINED | 0 |
| LOEWS CORP                     | COM | 540424108 | 523    | 19101   | SH | DEFINED | 0 |
| LOEWS CORP                     | COM | 540424108 | 878    | 32045   | SH | DEFINED | 0 |
| LOGIC DEVICES INC              | COM | 541402103 | 0      | 800     | SH | DEFINED | 0 |
| LOGMEIN INC                    | COM | 54142L109 | 657    | 41033   | SH | DEFINED | 0 |
| LONGTOP FINANCIAL TECHNO-ADR   | COM | 54318P108 | 2333   | 94976   | SH | DEFINED | 0 |
| LONGTOP FINANCIAL TECHNO-ADR   | COM | 54318P108 | 15     | 600     | SH | DEFINED | 0 |
| LOOPNET INC                    | COM | 543524300 | 60     | 7800    | SH | DEFINED | 0 |
| LOOPNET INC                    | COM | 543524300 | 3      | 400     | SH | DEFINED | 0 |
| LORAL SPACE & COMMUNICATIONS   | COM | 543881106 | 880    | 34144   | SH | DEFINED | 0 |
| LORILLARD INC.                 | COM | 544147101 | 467453 | 6897637 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| LORILLARD INC.                 | COM | 544147101 | 1537  | 22680   | SH | DEFINED | 0 |
| LORILLARD INC.                 | COM | 544147101 | 94    | 1382    | SH | DEFINED | 0 |
| LOUISIANA-PACIFIC CORP         | COM | 546347105 | 3772  | 1102693 | SH | DEFINED | 0 |
| LOUISIANA-PACIFIC CORP         | COM | 546347105 | 49    | 13500   | SH | DEFINED | 0 |
| LOUISIANA-PACIFIC CORP         | COM | 546347105 | 44    | 12915   | SH | DEFINED | 0 |
| LOWE'S COS INC                 | COM | 548661107 | 63002 | 3245792 | SH | DEFINED | 0 |
| LOWE'S COS INC                 | COM | 548661107 | 6308  | 324993  | SH | DEFINED | 0 |
| LOWE'S COS INC                 | COM | 548661107 | 2056  | 105947  | SH | DEFINED | 0 |
| LOWE'S COS INC                 | COM | 548661107 | 39019 | 2010237 | SH | DEFINED | 0 |
| LOWE'S COS INC                 | COM | 548661107 | 1645  | 84767   | SH | DEFINED | 0 |
| LOWES COS INC COM              | COM | 548661107 | 6743  | 347396  | SH | DEFINED | 0 |
| LUBRIZOL CORP                  | COM | 549271104 | 35609 | 752650  | SH | DEFINED | 0 |
| LUBRIZOL CORP                  | COM | 549271104 | 655   | 16700   | SH | DEFINED | 0 |
| LUBRIZOL CORP                  | COM | 549271104 | 506   | 10685   | SH | DEFINED | 0 |
| LUFKIN INDUSTRIES INC          | COM | 549764108 | 2146  | 51045   | SH | DEFINED | 0 |
| LULULEMON ATHLETICA INC        | COM | 550021109 | 3958  | 303798  | SH | DEFINED | 0 |
| LULULEMON ATHLETICA INC        | COM | 550021109 | 82    | 6308    | SH | DEFINED | 0 |
| LULULEMON ATHLETICA INC        | COM | 550021109 | 3     | 200     | SH | DEFINED | 0 |
| LUMINEX CORP                   | COM | 55027E102 | 76    | 4100    | SH | DEFINED | 0 |
| LUMINEX CORP                   | COM | 55027E102 | 3     | 160     | SH | DEFINED | 0 |
| LUNDIN MNG CORP ISIN #CA55     | COM | 550372106 | 4     | 1241    | SH | DEFINED | 0 |
| LUXOTTICA GROUP S P A SPON     | COM | 55068R202 | 5     | 225     | SH | DEFINED | 0 |
| LYDALL INC                     | COM | 550819106 | 234   | 68877   | SH | DEFINED | 0 |
| LYDALL INC                     | COM | 550819106 | 46    | 13500   | SH | DEFINED | 0 |
| M & F WORLDWIDE CORP           | COM | 552541104 | 556   | 27810   | SH | DEFINED | 0 |
| M & F WORLDWIDE CORP           | COM | 552541104 | 136   | 6800    | SH | DEFINED | 0 |
| MACC PRIVATE EQUITIES I        | COM | 552617102 | 0     | 91      | SH | DEFINED | 0 |
| M & T BANK CORP                | COM | 55261F104 | 14033 | 275549  | SH | DEFINED | 0 |
| M & T BANK CORP                | COM | 55261F104 | 147   | 2887    | SH | DEFINED | 0 |
| M & T BANK CORP                | COM | 55261F104 | 138   | 2705    | SH | DEFINED | 0 |
| MBIA INC                       | COM | 55262C100 | 1187  | 274195  | SH | DEFINED | 0 |
| MBIA INC                       | COM | 55262C100 | 220   | 50884   | SH | DEFINED | 0 |
| MBIA CAP/CLAYMORE MANAGED DU   | COM | 55266X100 | 275   | 25000   | SH | DEFINED | 0 |
| MDC HOLDINGS INC               | COM | 552676108 | 343   | 11421   | SH | DEFINED | 0 |
| MDC HOLDINGS INC               | COM | 552676108 | 42    | 1400    | SH | DEFINED | 0 |
| MDC HOLDINGS INC               | COM | 552676108 | 123   | 4088    | SH | DEFINED | 0 |
| MDU RESOURCES GROUP INC        | COM | 552690109 | 360   | 18984   | SH | DEFINED | 0 |
| MDU RESOURCES GROUP INC        | COM | 552690109 | 150   | 7915    | SH | DEFINED | 0 |
| MEMC ELECTRONIC MATERIALS      | COM | 552715104 | 16747 | 940423  | SH | DEFINED | 0 |
| MEMC ELECTRONIC MATERIALS      | COM | 552715104 | 401   | 22535   | SH | DEFINED | 0 |
| MEMC ELECTRONIC MATERIALS      | COM | 552715104 | 315   | 17669   | SH | DEFINED | 0 |
| MFA FINANCIAL INC              | COM | 55272X102 | 7158  | 1034297 | SH | DEFINED | 0 |
| MFA FINANCIAL INC              | COM | 55272X102 | 128   | 18500   | SH | DEFINED | 0 |
| MFA FINANCIAL INC              | COM | 55272X102 | 62    | 8993    | SH | DEFINED | 0 |
| MFA MTG INVTS INC COM          | COM | 55272X102 | 94    | 13579   | SH | DEFINED | 0 |
| MFS MULTIMARKET INCOME TR      | COM | 552737108 | 4     | 723     | SH | DEFINED | 0 |
| MFS MUN INCOME TR SH BEN INT   | COM | 552738106 | 94    | 16475   | SH | DEFINED | 0 |
| MFS INTER INCOME TR SH BEN INT | COM | 55273C107 | 40    | 5991    | SH | DEFINED | 0 |
| MGE ENERGY INC                 | COM | 55277P104 | 113   | 3374    | SH | DEFINED | 0 |
| MGIC INVESTMENT CORP           | COM | 552848103 | 10088 | 2292991 | SH | DEFINED | 0 |
| MGIC INVESTMENT CORP           | COM | 552848103 | 203   | 46112   | SH | DEFINED | 0 |
| MGIC INVESTMENT CORP           | COM | 552848103 | 3     | 735     | SH | DEFINED | 0 |
| MFS GOVT MKTS INCOMETR SH BEN  | COM | 552939100 | 7     | 1000    | SH | DEFINED | 0 |
| MGM MIRAGE                     | COM | 552953101 | 2424  | 379354  | SH | DEFINED | 0 |
| MGM MIRAGE                     | COM | 552953101 | 32    | 4970    | SH | DEFINED | 0 |
| MGM MIRAGE                     | COM | 552953101 | 342   | 53594   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| MGP INGREDIENTS INC           | COM | 55302G103 | 1     | 257     | SH | DEFINED | 0 |
| MI DEVELOPMENTS INC-CLASS A   | COM | 55304X104 | 5643  | 743500  | SH | DEFINED | 0 |
| M/I HOMES INC                 | COM | 55305B101 | 132   | 13438   | SH | DEFINED | 0 |
| M/I HOMES INC 9.75% SERIES A  | PRF | 55305B200 | 148   | 16807   | SH | DEFINED | 0 |
| MKS INSTRUMENTS INC           | COM | 55306N104 | 1637  | 124107  | SH | DEFINED | 0 |
| MKS INSTRUMENTS INC           | COM | 55306N104 | 20    | 1500    | SH | DEFINED | 0 |
| MLP & STRATEGY EQUITY FD      | COM | 55312N106 | 2     | 205     | SH | DEFINED | 0 |
| MPS GROUP INC                 | COM | 553409103 | 513   | 67136   | SH | DEFINED | 0 |
| MPS GROUP INC                 | COM | 553409103 | 0     | 50      | SH | DEFINED | 0 |
| MRV COMMUNICATIONS            | COM | 553477100 | 1     | 1250    | SH | DEFINED | 0 |
| MSC INDUSTRIAL DIRECT CO      | COM | 553530106 | 8     | 214     | SH | DEFINED | 0 |
| MSC.SOFTWARE CORP             | COM | 553531104 | 1338  | 200881  | SH | DEFINED | 0 |
| MSC.SOFTWARE CORP             | COM | 553531104 | 17    | 2600    | SH | DEFINED | 0 |
| MSCI INC-A                    | COM | 55354G100 | 4098  | 167674  | SH | DEFINED | 0 |
| MSCI INC-A                    | COM | 55354G100 | 35    | 1452    | SH | DEFINED | 0 |
| MSCI INC-A                    | COM | 55354G100 | 5612  | 229675  | SH | DEFINED | 0 |
| MSCI INC-A                    | COM | 55354G100 | 3     | 133     | SH | DEFINED | 0 |
| MTS MEDICATION TECH INC       | COM | 553773102 | 1     | 200     | SH | DEFINED | 0 |
| MTS SYSTEMS CORP              | COM | 553777103 | 462   | 22338   | SH | DEFINED | 0 |
| MTS SYSTEMS CORP              | COM | 553777103 | 14    | 700     | SH | DEFINED | 0 |
| MVC CAPITAL INC               | COM | 553829102 | 958   | 113115  | SH | DEFINED | 0 |
| MVC CAPITAL INC               | COM | 553829102 | 4     | 450     | SH | DEFINED | 0 |
| MWI VETERINARY SUPPLY INC     | COM | 55402X105 | 855   | 24534   | SH | DEFINED | 0 |
| MYR GROUP INC/DELAWARE        | COM | 55405W104 | 257   | 12729   | SH | DEFINED | 0 |
| MYR GROUP INC/DELAWARE        | COM | 55405W104 | 16    | 800     | SH | DEFINED | 0 |
| MAC-GRAY CORP                 | COM | 554153106 | 5792  | 437430  | SH | DEFINED | 0 |
| MACATAWA BANK CORP            | COM | 554225102 | 4     | 1271    | SH | DEFINED | 0 |
| MACERICH CO/THE               | COM | 554382101 | 756   | 42942   | SH | DEFINED | 0 |
| MACK-CALI REALTY CORP         | COM | 554489104 | 2963  | 129975  | SH | DEFINED | 0 |
| MACK-CALI REALTY CORP         | COM | 554489104 | 88    | 3859    | SH | DEFINED | 0 |
| MACQUARIE/FIRST TR GLOBAL INF | COM | 55607W100 | 11    | 1056    | SH | DEFINED | 0 |
| MACQUARIE INFRASTRUC          | COM | 55608B105 | 2     | 500     | SH | DEFINED | 0 |
| MACQUARIE GLOBAL INFRASTRUC   | COM | 55608D101 | 30    | 2345    | SH | DEFINED | 0 |
| MACROVISION SOLUTIONS CORP    | COM | 55611C108 | 64133 | 2940510 | SH | DEFINED | 0 |
| MACROVISION SOLUTIONS CORP    | COM | 55611C108 | 7     | 302     | SH | DEFINED | 0 |
| MACY'S INC                    | COM | 55616P104 | 64679 | 5499924 | SH | DEFINED | 0 |
| MACY'S INC                    | COM | 55616P104 | 486   | 41331   | SH | DEFINED | 0 |
| MACY'S INC                    | COM | 55616P104 | 108   | 9226    | SH | DEFINED | 0 |
| STEVEN MADDEN LTD             | COM | 556269108 | 2310  | 90740   | SH | DEFINED | 0 |
| STEVEN MADDEN LTD             | COM | 556269108 | 2     | 65      | SH | DEFINED | 0 |
| MAGELLAN HEALTH SERVICES INC  | COM | 559079207 | 7289  | 222107  | SH | DEFINED | 0 |
| MAGELLAN HEALTH SERVICES INC  | COM | 559079207 | 95    | 2900    | SH | DEFINED | 0 |
| MAGELLAN MIDSTREAM HLDGS L P  | COM | 55907R108 | 279   | 13275   | SH | DEFINED | 0 |
| MAGELLAN MIDSTREAM PARTNERS L | COM | 559080106 | 78    | 2241    | SH | DEFINED | 0 |
| MAGMA DESIGN AUTOMATION INC   | COM | 559181102 | 2231  | 1529900 | SH | DEFINED | 0 |
| MAGNA INTERNATIONAL INC-CL A  | COM | 559222401 | 8342  | 197478  | SH | DEFINED | 0 |
| MAGNA INTERNATIONAL INC-CL A  | COM | 559222401 | 80    | 1904    | SH | DEFINED | 0 |
| MAGNETEK INC                  | COM | 559424106 | 48    | 34776   | SH | DEFINED | 0 |
| MAGUIRE PPTYS INC             | COM | 559775101 | 1     | 1248    | SH | DEFINED | 0 |
| MAGYAR TELECOM LTD SPON ADR I | COM | 559776109 | 39    | 2650    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| MAHANAGAR TEL NIGAM LTD SPON A | COM | 559778402 | 2      | 400     | SH | DEFINED | 0 |
| MAIDENFORM BRANDS INC          | COM | 560305104 | 196    | 17119   | SH | DEFINED | 0 |
| MAINE & MARITIMES CORP         | COM | 560377103 | 19     | 537     | SH | DEFINED | 0 |
| MAINE & MARITIMES CORP         | COM | 560377103 | 6      | 176     | SH | DEFINED | 0 |
| MAINSOURCE FINANCIAL GROUP I   | COM | 56062Y102 | 401    | 54084   | SH | DEFINED | 0 |
| MAINSOURCE FINANCIAL GROUP I   | COM | 56062Y102 | 36     | 4800    | SH | DEFINED | 0 |
| MANAGED HIGH YIELD PLUS FD IN  | COM | 561911108 | 145    | 92288   | SH | DEFINED | 0 |
| MANHATTAN ASSOCIATES INC       | COM | 562750109 | 624    | 34266   | SH | DEFINED | 0 |
| MANITOWOC COMPANY INC          | COM | 563571108 | 9512   | 1808251 | SH | DEFINED | 0 |
| MANITOWOC COMPANY INC          | COM | 563571108 | 229    | 43595   | SH | DEFINED | 0 |
| MANITOWOC COMPANY INC          | COM | 563571108 | 315    | 59975   | SH | DEFINED | 0 |
| MANNATECH INC                  | COM | 563771104 | 58     | 17715   | SH | DEFINED | 0 |
| MANNATECH INC                  | COM | 563771104 | 2      | 675     | SH | DEFINED | 0 |
| MANNKIND CORP                  | COM | 56400P201 | 16     | 1900    | SH | DEFINED | 0 |
| MANPOWER INC                   | COM | 56418H100 | 1185   | 27983   | SH | DEFINED | 0 |
| MANPOWER INC                   | COM | 56418H100 | 23     | 542     | SH | DEFINED | 0 |
| MANTECH INTERNATIONAL CORP-A   | COM | 564563104 | 3910   | 90839   | SH | DEFINED | 0 |
| MANTECH INTERNATIONAL CORP-A   | COM | 564563104 | 34     | 780     | SH | DEFINED | 0 |
| MANULIFE FINL CORP ISIN #CA56  | COM | 56501R106 | 623    | 35913   | SH | DEFINED | 0 |
| MARATHON OIL CORP              | COM | 565849106 | 118930 | 3947243 | SH | DEFINED | 0 |
| MARATHON OIL CORP              | COM | 565849106 | 1608   | 53368   | SH | DEFINED | 0 |
| MARATHON OIL CORP              | COM | 565849106 | 699    | 23190   | SH | DEFINED | 0 |
| MARATHON OIL CORP COM          | COM | 565849106 | 5526   | 183395  | SH | DEFINED | 0 |
| MARCUS CORPORATION             | COM | 566330106 | 179    | 17061   | SH | DEFINED | 0 |
| MARCUS CORPORATION             | COM | 566330106 | 0      | 2       | SH | DEFINED | 0 |
| MARINEMAX INC                  | COM | 567908108 | 937    | 272300  | SH | DEFINED | 0 |
| MARKEL CORP                    | COM | 570535104 | 1374   | 4877    | SH | DEFINED | 0 |
| MARKEL CORP                    | COM | 570535104 | 45     | 160     | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR GOLD MI  | COM | 57060U100 | 1430   | 37818   | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR ENVIRON  | COM | 57060U209 | 14     | 404     | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR STEEL E  | COM | 57060U308 | 29     | 682     | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR GLOBAL   | COM | 57060U407 | 188    | 7725    | SH | DEFINED | 0 |
| MARKET VECTORS TR RUSSIA ETF   | COM | 57060U506 | 15     | 700     | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR AGRIBUS  | COM | 57060U605 | 490    | 14231   | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR NUCLEAR  | COM | 57060U704 | 175    | 7813    | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR RVE HAR  | COM | 57060U795 | 61     | 2214    | SH | DEFINED | 0 |
| MARKET VECTORS SHORT MUNI      | COM | 57060U803 | 35     | 2053    | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR SOLAR E  | COM | 57060U811 | 24     | 1600    | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR COAL ET  | COM | 57060U837 | 160    | 6915    | SH | DEFINED | 0 |
| MARKET VECTORS INTERMEDIA      | COM | 57060U845 | 22     | 1053    | SH | DEFINED | 0 |
| MARKET VECTORS ETF TR LEHMAN   | COM | 57060U878 | 96     | 3468    | SH | DEFINED | 0 |
| MARKET VECTORS LONG MUNIC      | COM | 57060U886 | 17     | 1000    | SH | DEFINED | 0 |
| MARSH & MCLENNAN COS           | COM | 571748102 | 87564  | 4349999 | SH | DEFINED | 0 |
| MARSH & MCLENNAN COS           | COM | 571748102 | 1029   | 51083   | SH | DEFINED | 0 |
| MARSH & MCLENNAN COS           | COM | 571748102 | 244    | 12124   | SH | DEFINED | 0 |
| MARSHALL & ILSLEY CORP         | COM | 571837103 | 3784   | 788440  | SH | DEFINED | 0 |
| MARSHALL & ILSLEY CORP         | COM | 571837103 | 235    | 48940   | SH | DEFINED | 0 |
| MARSHALL & ILSLEY CORP         | COM | 571837103 | 5      | 1013    | SH | DEFINED | 0 |
| MARRIOTT INTERNATIONAL-CL A    | COM | 571903202 | 3431   | 155482  | SH | DEFINED | 0 |
| MARRIOTT INTERNATIONAL-CL A    | COM | 571903202 | 290    | 13175   | SH | DEFINED | 0 |
| MARRIOTT INTERNATIONAL-CL A    | COM | 571903202 | 749    | 33951   | SH | DEFINED | 0 |
| MARTEK BIOSCIENCES CORP        | COM | 572901106 | 4000   | 189081  | SH | DEFINED | 0 |
| MARTEK BIOSCIENCES CORP        | COM | 572901106 | 61     | 2900    | SH | DEFINED | 0 |
| MARTEK BIOSCIENCES CORP        | COM | 572901106 | 2      | 100     | SH | DEFINED | 0 |
| MARTEN TRANSPORT LTD           | COM | 573075108 | 249    | 12011   | SH | DEFINED | 0 |
| MARTHA STEWART LIVING          | COM | 573083102 | 3      | 863     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                             |     |           |       |        |    |         |   |
|-----------------------------|-----|-----------|-------|--------|----|---------|---|
| MARTIN MARIETTA MATERIALS   | COM | 573284106 | 14677 | 186069 | SH | DEFINED | 0 |
| MARTIN MARIETTA MATERIALS   | COM | 573284106 | 62    | 792    | SH | DEFINED | 0 |
| MARTIN MARIETTA MATERIALS   | COM | 573284106 | 10    | 128    | SH | DEFINED | 0 |
| MARTIN MIDSTREAM PARTNERS L | COM | 573331105 | 44    | 2145   | SH | DEFINED | 0 |
| MARVEL ENTERTAINMENT INC    | COM | 57383T103 | 771   | 21686  | SH | DEFINED | 0 |
| MARVEL ENTERTAINMENT INC    | COM | 57383T103 | 522   | 14663  | SH | DEFINED | 0 |
| MASCO CORP                  | COM | 574599106 | 4451  | 464710 | SH | DEFINED | 0 |
| MASCO CORP                  | COM | 574599106 | 371   | 38728  | SH | DEFINED | 0 |
| MASCO CORP                  | COM | 574599106 | 20    | 2047   | SH | DEFINED | 0 |
| MASIMO CORPORATION          | COM | 574795100 | 68    | 2500   | SH | DEFINED | 0 |
| MASIMO CORPORATION          | COM | 574795100 | 5     | 212    | SH | DEFINED | 0 |
| MASSEY ENERGY CO            | COM | 576206106 | 4462  | 228295 | SH | DEFINED | 0 |
| MASSEY ENERGY CO            | COM | 576206106 | 71    | 3654   | SH | DEFINED | 0 |
| MASSEY ENERGY CO            | COM | 576206106 | 122   | 6232   | SH | DEFINED | 0 |
| MASSMUTUAL CORP INVS        | COM | 576292106 | 5     | 219    | SH | DEFINED | 0 |
| MASSMUTUAL PARTN INVS       | COM | 576299101 | 1     | 66     | SH | DEFINED | 0 |
| MASTEC INC                  | COM | 576323109 | 1818  | 155169 | SH | DEFINED | 0 |
| MASTEC INC                  | COM | 576323109 | 47    | 4000   | SH | DEFINED | 0 |
| MASTERCARD INC-CLASS A      | COM | 57636Q104 | 42641 | 254879 | SH | DEFINED | 0 |
| MASTERCARD INC-CLASS A      | COM | 57636Q104 | 616   | 3683   | SH | DEFINED | 0 |
| MASTERCARD INC-CLASS A      | COM | 57636Q104 | 37525 | 224299 | SH | DEFINED | 0 |
| MASTERCARD INC-CLASS A      | COM | 57636Q104 | 284   | 1698   | SH | DEFINED | 0 |
| MATRIX SERVICE CO           | COM | 576853105 | 529   | 46014  | SH | DEFINED | 0 |
| MATRIX SERVICE CO           | COM | 576853105 | 1     | 121    | SH | DEFINED | 0 |
| MATRIX INITIATIVES INC      | COM | 57685L105 | 128   | 23001  | SH | DEFINED | 0 |
| MATTEL INC                  | COM | 577081102 | 8106  | 505129 | SH | DEFINED | 0 |
| MATTEL INC                  | COM | 577081102 | 541   | 33703  | SH | DEFINED | 0 |

|                             |     |           |        |         |    |         |   |
|-----------------------------|-----|-----------|--------|---------|----|---------|---|
| MATTEL INC                  | COM | 577081102 | 25     | 1532    | SH | DEFINED | 0 |
| MATTHEWS INTL CORP CL A     | COM | 577128101 | 11     | 352     | SH | DEFINED | 0 |
| MAXIM INTEGRATED PRODUCTS   | COM | 57772K101 | 36544  | 2329285 | SH | DEFINED | 0 |
| MAXIM INTEGRATED PRODUCTS   | COM | 57772K101 | 74     | 4690    | SH | DEFINED | 0 |
| MAXIM INTEGRATED PRODUCTS   | COM | 57772K101 | 70     | 4432    | SH | DEFINED | 0 |
| MXYGEN INC                  | COM | 577776107 | 49     | 7300    | SH | DEFINED | 0 |
| MAXIMUS INC                 | COM | 577933104 | 12265  | 297336  | SH | DEFINED | 0 |
| M B T FINANCIAL CORP        | COM | 578877102 | 37     | 16000   | SH | DEFINED | 0 |
| MCAFEE INC                  | COM | 579064106 | 218388 | 5176294 | SH | DEFINED | 0 |
| MCAFEE INC                  | COM | 579064106 | 576    | 13646   | SH | DEFINED | 0 |
| MCAFEE INC                  | COM | 579064106 | 63     | 2200    | SH | DEFINED | 0 |
| MCAFEE INC                  | COM | 579064106 | 93     | 2199    | SH | DEFINED | 0 |
| MCCORMICK & CO INC VTG      | COM | 579780107 | 30     | 912     | SH | DEFINED | 0 |
| MCCORMICK & CO-NON VTG SHRS | COM | 579780206 | 838    | 25783   | SH | DEFINED | 0 |
| MCCORMICK & CO-NON VTG SHRS | COM | 579780206 | 181    | 5561    | SH | DEFINED | 0 |
| MCCORMICK & CO-NON VTG SHRS | COM | 579780206 | 137    | 4197    | SH | DEFINED | 0 |
| MCDERMOTT INTL INC          | COM | 580037109 | 48315  | 2378796 | SH | DEFINED | 0 |
| MCDERMOTT INTL INC          | COM | 580037109 | 159    | 7300    | SH | DEFINED | 0 |
| MCDERMOTT INTL INC          | COM | 580037109 | 638    | 31428   | SH | DEFINED | 0 |
| MCDONALD'S CORP             | COM | 580135101 | 290724 | 5056972 | SH | DEFINED | 0 |
| MCDONALD'S CORP             | COM | 580135101 | 4469   | 77729   | SH | DEFINED | 0 |
| MCDONALD'S CORP             | COM | 580135101 | 6380   | 109841  | SH | DEFINED | 0 |
| MCDONALD'S CORP             | COM | 580135101 | 6096   | 106037  | SH | DEFINED | 0 |
| MCG CAPITAL CORP            | COM | 58047P107 | 7      | 3000    | SH | DEFINED | 0 |
| MCGRATH RENTCORP            | COM | 580589109 | 978    | 51335   | SH | DEFINED | 0 |
| MCGRAW-HILL COMPANIES INC   | COM | 580645109 | 2921   | 97002   | SH | DEFINED | 0 |
| MCGRAW-HILL COMPANIES INC   | COM | 580645109 | 646    | 21447   | SH | DEFINED | 0 |
| MCGRAW-HILL COMPANIES INC   | COM | 580645109 | 4818   | 160000  | SH | DEFINED | 0 |
| MCGRAW-HILL COMPANIES INC   | COM | 580645109 | 193    | 6394    | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |        |          |    |         |   |
|------------------------------|-----|-----------|--------|----------|----|---------|---|
| MCKESSON CORP                | COM | 58155Q103 | 39670  | 901576   | SH | DEFINED | 0 |
| MCKESSON CORP                | COM | 58155Q103 | 609    | 13835    | SH | DEFINED | 0 |
| MCKESSON CORP                | COM | 58155Q103 | 74     | 1673     | SH | DEFINED | 0 |
| MCMORAN EXPLORATION CO       | COM | 582411104 | 724    | 121366   | SH | DEFINED | 0 |
| MCMORAN EXPLORATION CO       | COM | 582411104 | 0      | 31       | SH | DEFINED | 0 |
| MEAD JOHNSON NUTRITION CO-A  | COM | 582839106 | 8824   | 277738   | SH | DEFINED | 0 |
| MEADOWBROOK INSURANCE GROUP  | COM | 58319P108 | 579    | 88737    | SH | DEFINED | 0 |
| MEADOWBROOK INSURANCE GROUP  | COM | 58319P108 | 67     | 10200    | SH | DEFINED | 0 |
| MEADWESTVACO CORP            | COM | 583334107 | 5556   | 338583   | SH | DEFINED | 0 |
| MEADWESTVACO CORP            | COM | 583334107 | 119    | 7278     | SH | DEFINED | 0 |
| MEADWESTVACO CORP            | COM | 583334107 | 138    | 8433     | SH | DEFINED | 0 |
| MEASUREMENT SPECIALTIES INC  | COM | 583421102 | 439    | 62210    | SH | DEFINED | 0 |
| MEASUREMENT SPECIALTIES INC  | COM | 583421102 | 111    | 15800    | SH | DEFINED | 0 |
| MECHEL - SPONSORED ADR       | COM | 583840103 | 669    | 80100    | SH | DEFINED | 0 |
| MEDAREX INC                  | COM | 583916101 | 43     | 5100     | SH | DEFINED | 0 |
| MEDAREX INC                  | COM | 583916101 | 82     | 9876     | SH | DEFINED | 0 |
| MEDALLION FINANCIAL CORP     | COM | 583928106 | 3102   | 405458   | SH | DEFINED | 0 |
| MEDASSETS INC                | COM | 584045108 | 826    | 42470    | SH | DEFINED | 0 |
| MEDASSETS INC                | COM | 584045108 | 1960   | 100843   | SH | DEFINED | 0 |
| MEDCATH CORPORATION          | COM | 58404W109 | 586    | 49857    | SH | DEFINED | 0 |
| MEDCO HEALTH SOLUTIONS INC   | COM | 58405U102 | 2674   | 58631    | SH | DEFINED | 0 |
| MEDCO HEALTH SOLUTIONS INC   | COM | 58405U102 | 1321   | 28954    | SH | DEFINED | 0 |
| MEDCO HEALTH SOLUTIONS INC   | COM | 58405U102 | 2539   | 55663    | SH | DEFINED | 0 |
| MEDIACOM COMMUNICATIONS-CL A | COM | 58446K105 | 219    | 42783    | SH | DEFINED | 0 |
| MEDIA SCIENCES INTL INC      | COM | 58446X107 | 9      | 25000    | SH | DEFINED | 0 |
| MEDICAL ACTION IND INC       | COM | 58449L100 | 693    | 60572    | SH | DEFINED | 0 |
| MEDICAL PROPERTIES TRUST INC | COM | 58463J304 | 540    | 88775    | SH | DEFINED | 0 |
| MEDICAL PROPERTIES TRUST INC | COM | 58463J304 | 25     | 4200     | SH | DEFINED | 0 |
| MEDICAL PROPERTIES TRUST INC | COM | 58463J304 | 26     | 4250     | SH | DEFINED | 0 |
| MEDICINES COMPANY            | COM | 584688105 | 444    | 52900    | SH | DEFINED | 0 |
| MEDICINES COMPANY            | COM | 584688105 | 13     | 1500     | SH | DEFINED | 0 |
| MEDICIS PHARMACEUTICAL-CL A  | COM | 584690309 | 220    | 13497    | SH | DEFINED | 0 |
| MEDICIS PHARMACEUTICAL-CL A  | COM | 584690309 | 10     | 640      | SH | DEFINED | 0 |
| MEDIDAT SOLUTIONS INC        | COM | 58471A105 | 1810   | 110500   | SH | DEFINED | 0 |
| MEDIVATION INC               | COM | 58501N101 | 152    | 6800     | SH | DEFINED | 0 |
| MEDNAX INC                   | COM | 58502B106 | 22237  | 527785   | SH | DEFINED | 0 |
| MEDNAX INC                   | COM | 58502B106 | 79     | 1869     | SH | DEFINED | 0 |
| MEDNAX INC                   | COM | 58502B106 | 17     | 400      | SH | DEFINED | 0 |
| MEDTRONIC INC                | COM | 585055106 | 95079  | 2725132  | SH | DEFINED | 0 |
| MEDTRONIC INC                | COM | 585055106 | 2910   | 83415    | SH | DEFINED | 0 |
| MEDTRONIC INC                | COM | 585055106 | 18781  | 476969   | SH | DEFINED | 0 |
| MEDTRONIC INC                | COM | 585055106 | 1117   | 32028    | SH | DEFINED | 0 |
| MEDTRONIC INC                | COM | 585055106 | 4513   | 129341   | SH | DEFINED | 0 |
| MEDTRONIC INC SR NT CV 1     | COM | 585055AM8 | 4      | 4000     | SH | DEFINED | 0 |
| MELCO CROWN ENTMT LTD ADR    | COM | 585464100 | 2      | 425      | SH | DEFINED | 0 |
| MEMSIC INC                   | COM | 586264103 | 8679   | 2047012  | SH | DEFINED | 0 |
| MEN'S WEARHOUSE INC/THE      | COM | 587118100 | 20419  | 1064605  | SH | DEFINED | 0 |
| MENTOR GRAPHICS CORP         | COM | 587200106 | 75404  | 13785174 | SH | DEFINED | 0 |
| MENTOR GRAPHICS CORP         | COM | 587200106 | 3      | 500      | SH | DEFINED | 0 |
| MERCADOLIBRE INC             | COM | 58733R102 | 877    | 32630    | SH | DEFINED | 0 |
| MERCADOLIBRE INC             | COM | 58733R102 | 17     | 625      | SH | DEFINED | 0 |
| MERCER INTL INC COM          | COM | 588056101 | 3      | 6000     | SH | DEFINED | 0 |
| MERCK & CO. INC.             | COM | 589331107 | 223050 | 7977520  | SH | DEFINED | 0 |
| MERCK & CO. INC.             | COM | 589331107 | 4270   | 152694   | SH | DEFINED | 0 |
| MERCK & CO. INC.             | COM | 589331107 | 2089   | 74794    | SH | DEFINED | 0 |
| MERCK & CO. INC.             | COM | 589331107 | 2144   | 76694    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| MERCURY COMPUTER SYSTEMS INC   | COM | 589378108 | 5018   | 542502   | SH | DEFINED | 0 |
| MERCURY COMPUTER SYSTEMS INC   | COM | 589378108 | 87     | 9357     | SH | DEFINED | 0 |
| MERCURY GENERAL CORP           | COM | 589400100 | 182    | 5441     | SH | DEFINED | 0 |
| MERCURY GENERAL CORP           | COM | 589400100 | 49     | 1475     | SH | DEFINED | 0 |
| MEREDITH CORP                  | COM | 589433101 | 2229   | 87209    | SH | DEFINED | 0 |
| MEREDITH CORP                  | COM | 589433101 | 79     | 3088     | SH | DEFINED | 0 |
| MEREDITH CORP                  | COM | 589433101 | 8      | 310      | SH | DEFINED | 0 |
| MERGE HEALTHCARE INC           | COM | 589499102 | 99     | 23064    | SH | DEFINED | 0 |
| MERGE HEALTHCARE INC           | COM | 589499102 | 13     | 3000     | SH | DEFINED | 0 |
| MERIDIAN BIOSCIENCE INC        | COM | 589584101 | 4396   | 194674   | SH | DEFINED | 0 |
| MERIDIAN BIOSCIENCE INC        | COM | 589584101 | 3      | 140      | SH | DEFINED | 0 |
| MERIT MEDICAL SYSTEMS INC      | COM | 589889104 | 407    | 24986    | SH | DEFINED | 0 |
| MERITAGE HOMES CORP            | COM | 59001A102 | 3915   | 207615   | SH | DEFINED | 0 |
| MERITAGE HOMES CORP            | COM | 59001A102 | 66     | 3500     | SH | DEFINED | 0 |
| MERITAGE HOMES CORP            | COM | 59001A102 | 2      | 114      | SH | DEFINED | 0 |
| MESA AIR GROUP INC             | COM | 590479101 | 0      | 1200     | SH | DEFINED | 0 |
| MESABI TRUST CTF BENINT        | COM | 590672101 | 627    | 56510    | SH | DEFINED | 0 |
| MET PRO CORP                   | COM | 590876306 | 522    | 48209    | SH | DEFINED | 0 |
| METABOLIX INC                  | COM | 591018809 | 108    | 13100    | SH | DEFINED | 0 |
| METABOLIX INC                  | COM | 591018809 | 19     | 2277     | SH | DEFINED | 0 |
| METAVANTE TECHNOLOGI           | COM | 591407101 | 9      | 358      | SH | DEFINED | 0 |
| METHANEX CORP ISIN #CA59       | COM | 59151K108 | 6      | 525      | SH | DEFINED | 0 |
| METHODE ELECTRONICS INC        | COM | 591520200 | 1792   | 255335   | SH | DEFINED | 0 |
| METLIFE INC                    | COM | 59156R108 | 48758  | 1624678  | SH | DEFINED | 0 |
| METLIFE INC                    | COM | 59156R108 | 1497   | 49881    | SH | DEFINED | 0 |
| METLIFE INC                    | COM | 59156R108 | 955    | 31812    | SH | DEFINED | 0 |
| METLIFE INC COM                | COM | 59156R108 | 8342   | 277980   | SH | DEFINED | 0 |
| METROPCS COMMUNICATIONS INC    | COM | 591708102 | 1498   | 112618   | SH | DEFINED | 0 |
| METTLER-TOLEDO INTERNATIONAL   | COM | 592688105 | 46     | 600      | SH | DEFINED | 0 |
| METTLER-TOLEDO INTERNATIONAL   | COM | 592688105 | 16119  | 209205   | SH | DEFINED | 0 |
| METTLER-TOLEDO INTERNATIONAL   | COM | 592688105 | 28     | 368      | SH | DEFINED | 0 |
| MEXICO EQUITY & INCOME FD      | COM | 592834105 | 4      | 739      | SH | DEFINED | 0 |
| MEXICO FUND INC                | COM | 592835102 | 7      | 387      | SH | DEFINED | 0 |
| MFS HIGH INCOME MUN TR         | COM | 59318D104 | 21     | 5250     | SH | DEFINED | 0 |
| MFS INTER HIGH INCOME FD       | COM | 59318T109 | 122    | 59200    | SH | DEFINED | 0 |
| MICREL INC                     | COM | 594793101 | 303    | 41326    | SH | DEFINED | 0 |
| MICROS SYSTEMS INC             | COM | 594901100 | 303    | 11969    | SH | DEFINED | 0 |
| MICROS SYSTEMS INC             | COM | 594901100 | 1385   | 53998    | SH | DEFINED | 0 |
| MICROS SYSTEMS INC             | COM | 594901100 | 8      | 310      | SH | DEFINED | 0 |
| MICROSOFT CORP                 | COM | 594918104 | 524481 | 22064691 | SH | DEFINED | 0 |
| MICROSOFT CORP                 | COM | 594918104 | 11292  | 475057   | SH | DEFINED | 0 |
| MICROSOFT CORP                 | COM | 594918104 | 12315  | 518090   | SH | DEFINED | 0 |
| MICROSOFT CORP                 | COM | 594918104 | 196800 | 8260524  | SH | DEFINED | 0 |
| MICROSOFT CORP                 | COM | 594918104 | 7971   | 335357   | SH | DEFINED | 0 |
| MICROSTRATEGY INC-CL A         | COM | 594972408 | 100    | 2000     | SH | DEFINED | 0 |
| MICROSTRATEGY INC-CL A         | COM | 594972408 | 5365   | 106784   | SH | DEFINED | 0 |
| MICROCHIP TECHNOLOGY INC       | COM | 595017104 | 66479  | 2948119  | SH | DEFINED | 0 |
| MICROCHIP TECHNOLOGY INC       | COM | 595017104 | 264    | 11706    | SH | DEFINED | 0 |
| MICROCHIP TECHNOLOGY INC       | COM | 595017104 | 147    | 6530     | SH | DEFINED | 0 |
| MICROCHIP TECHNOLOGY INC 2.125 | COM | 595017AB0 | 1      | 1000     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| MICRON TECHNOLOGY INC         | COM | 595112103 | 33624 | 6644712 | SH | DEFINED | 0 |
| MICRON TECHNOLOGY INC         | COM | 595112103 | 165   | 32543   | SH | DEFINED | 0 |
| MICRON TECHNOLOGY INC         | COM | 595112103 | 5608  | 1110579 | SH | DEFINED | 0 |
| MICRON TECHNOLOGY INC         | COM | 595112103 | 69    | 13726   | SH | DEFINED | 0 |
| MICROSEMI CORP                | COM | 595137100 | 29526 | 2139612 | SH | DEFINED | 0 |
| MICROSEMI CORP                | COM | 595137100 | 6     | 400     | SH | DEFINED | 0 |
| MICROSEMI CORP COM            | COM | 595137100 | 143   | 10337   | SH | DEFINED | 0 |
| MICRUS ENDOVASCULAR CORP      | COM | 59518V102 | 1105  | 122200  | SH | DEFINED | 0 |
| MID-AMERICA APARTMENT COMM    | COM | 59522J103 | 2502  | 68132   | SH | DEFINED | 0 |
| MID-AMERICA APARTMENT COMM    | COM | 59522J103 | 15    | 403     | SH | DEFINED | 0 |
| MID-AMERICA APARTMENT COMM    | COM | 59522J103 | 7     | 200     | SH | DEFINED | 0 |
| MIDAS INC                     | COM | 595626102 | 99    | 9449    | SH | DEFINED | 0 |
| MIDDLEBROOK PHARMACEUT        | COM | 596087106 | 5     | 4000    | SH | DEFINED | 0 |
| MIDDLEBY CORP                 | COM | 596278101 | 17    | 383     | SH | DEFINED | 0 |
| MIDDLESEX WTR CO              | COM | 596680108 | 11    | 750     | SH | DEFINED | 0 |
| MIDWEST BANC HLDGS INC        | COM | 598251106 | 10    | 13953   | SH | DEFINED | 0 |
| HERMAN MILLER INC             | COM | 600544100 | 1035  | 67409   | SH | DEFINED | 0 |
| HERMAN MILLER INC             | COM | 600544100 | 31    | 2000    | SH | DEFINED | 0 |
| MILLIPORE CORP                | COM | 601073109 | 3056  | 43535   | SH | DEFINED | 0 |
| MILLIPORE CORP                | COM | 601073109 | 143   | 2043    | SH | DEFINED | 0 |
| MILLIPORE CORP                | COM | 601073109 | 1844  | 26259   | SH | DEFINED | 0 |
| MILLIPORE CORP                | COM | 601073109 | 162   | 2305    | SH | DEFINED | 0 |
| MINDRAY MEDICAL INTL LTD-ADR  | COM | 602675100 | 1053  | 37700   | SH | DEFINED | 0 |
| MINDRAY MEDICAL INTL LTD-ADR  | COM | 602675100 | 30    | 1069    | SH | DEFINED | 0 |
| MINDSPEED TECHNOLOGI          | COM | 602682205 | 3     | 1315    | SH | DEFINED | 0 |
| MINE SAFETY APPLIANCES CO     | COM | 602720104 | 1101  | 45670   | SH | DEFINED | 0 |
| MINE SAFETY APPLIANCES CO     | COM | 602720104 | 2     | 100     | SH | DEFINED | 0 |
| MINEFINDERS LTD CORP          | COM | 602900102 | 3     | 391     | SH | DEFINED | 0 |
| MINERALS TECHNOLOGIES INC     | COM | 603158106 | 6309  | 175154  | SH | DEFINED | 0 |
| MINERALS TECHNOLOGIES INC     | COM | 603158106 | 50    | 1400    | SH | DEFINED | 0 |
| MINERALS TECHNOLOGIES INC     | COM | 603158106 | 1441  | 40000   | SH | DEFINED | 0 |
| MINERALS TECHNOLOGIES INC     | COM | 603158106 | 585   | 16232   | SH | DEFINED | 0 |
| MIRANT CORP                   | COM | 60467R100 | 100   | 6320    | SH | DEFINED | 0 |
| MIRANT CORP                   | COM | 60467R100 | 3     | 193     | SH | DEFINED | 0 |
| MIRANT COREP NEW WTS SER A    | WT  | 60467R118 | 2     | 1139    | SH | DEFINED | 0 |
| MITSUBISHI UFJ FINL GROUP INC | COM | 606822104 | 138   | 22480   | SH | DEFINED | 0 |
| MOBILE TELESYSTEMS-SP ADR     | COM | 607409109 | 8134  | 192000  | SH | DEFINED | 0 |
| MOBILE TELESYSTEMS-SP ADR     | COM | 607409109 | 30    | 800     | SH | DEFINED | 0 |
| MOBILE MINI INC               | COM | 60740F105 | 1057  | 72083   | SH | DEFINED | 0 |
| MODINE MANUFACTURING CO       | COM | 607828100 | 136   | 28550   | SH | DEFINED | 0 |
| MODINE MANUFACTURING CO       | COM | 607828100 | 43    | 8900    | SH | DEFINED | 0 |
| MODUSLINK GLOBAL SOLUTIONS I  | COM | 60786L107 | 1618  | 235762  | SH | DEFINED | 0 |
| MODUSLINK GLOBAL SOLUTIONS I  | COM | 60786L107 | 1     | 199     | SH | DEFINED | 0 |
| MOHAWK INDUSTRIES INC         | COM | 608190104 | 14792 | 414582  | SH | DEFINED | 0 |
| MOHAWK INDUSTRIES INC         | COM | 608190104 | 14    | 380     | SH | DEFINED | 0 |
| MOLEX INC                     | COM | 608554101 | 455   | 29231   | SH | DEFINED | 0 |
| MOLEX INC                     | COM | 608554101 | 188   | 12058   | SH | DEFINED | 0 |
| MOLEX INC                     | COM | 608554101 | 5     | 293     | SH | DEFINED | 0 |
| MOLINA HEALTHCARE INC         | COM | 60855R100 | 3199  | 133789  | SH | DEFINED | 0 |
| MOLINA HEALTHCARE INC         | COM | 60855R100 | 124   | 5196    | SH | DEFINED | 0 |
| MOLINA HEALTHCARE INC         | COM | 60855R100 | 2     | 70      | SH | DEFINED | 0 |
| MOLSON COORS BREWING CO -B    | COM | 60871R209 | 1811  | 42781   | SH | DEFINED | 0 |
| MOLSON COORS BREWING CO -B    | COM | 60871R209 | 240   | 5658    | SH | DEFINED | 0 |
| MOLSON COORS BREWING CO -B    | COM | 60871R209 | 70    | 1655    | SH | DEFINED | 0 |
| MOLSON COORS BREWINGCO 2.500% | COM | 60871RAA8 | 2     | 2000    | SH | DEFINED | 0 |
| MONARCH CASINO & RESORT INC   | COM | 609027107 | 95    | 12990   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| MONEYGRAM INTL INC             | COM | 60935Y109 | 0      | 200     | SH | DEFINED | 0 |
| MONOGRAM BIOSCIENCESINC COM NE | COM | 60975U207 | 0      | 91      | SH | DEFINED | 0 |
| MONOLITHIC POWER SYSTEMS INC   | COM | 609839105 | 55     | 2453    | SH | DEFINED | 0 |
| MONOLITHIC POWER SYSTEMS INC   | COM | 609839105 | 24     | 1050    | SH | DEFINED | 0 |
| MONOTYPE IMAGING HOLDINGS IN   | COM | 61022P100 | 29     | 4300    | SH | DEFINED | 0 |
| MONRO MUFFLER BRAKE INC        | COM | 610236101 | 1109   | 43118   | SH | DEFINED | 0 |
| MONRO MUFFLER BRAKE INC        | COM | 610236101 | 2      | 97      | SH | DEFINED | 0 |
| MONSANTO CO                    | COM | 61166W101 | 32837  | 441716  | SH | DEFINED | 0 |
| MONSANTO CO                    | COM | 61166W101 | 2659   | 35762   | SH | DEFINED | 0 |
| MONSANTO CO                    | COM | 61166W101 | 30922  | 404158  | SH | DEFINED | 0 |
| MONSANTO CO                    | COM | 61166W101 | 1782   | 23976   | SH | DEFINED | 0 |
| MONSTER WORLDWIDE INC          | COM | 611742107 | 54     | 4530    | SH | DEFINED | 0 |
| MONSTER WORLDWIDE INC          | COM | 611742107 | 125    | 10544   | SH | DEFINED | 0 |
| MONTGOMERY ST INCOMESEC INC MA | COM | 614115103 | 3      | 200     | SH | DEFINED | 0 |
| MOODY'S CORP                   | COM | 615369105 | 13795  | 523551  | SH | DEFINED | 0 |
| MOODY'S CORP                   | COM | 615369105 | 215    | 8142    | SH | DEFINED | 0 |
| MOODY'S CORP                   | COM | 615369105 | 17752  | 673698  | SH | DEFINED | 0 |
| MOODY'S CORP                   | COM | 615369105 | 45     | 1721    | SH | DEFINED | 0 |
| MOOG INC-CLASS A               | COM | 615394202 | 3392   | 131433  | SH | DEFINED | 0 |
| MOOG INC-CLASS A               | COM | 615394202 | 77     | 3000    | SH | DEFINED | 0 |
| MORGAN STANLEY EASTN EURO      | COM | 616988101 | 1      | 100     | SH | DEFINED | 0 |
| MORGAN STANLEY                 | COM | 617446448 | 156552 | 5491118 | SH | DEFINED | 0 |
| MORGAN STANLEY                 | COM | 617446448 | 2865   | 100508  | SH | DEFINED | 0 |
| MORGAN STANLEY                 | COM | 617446448 | 43320  | 1520127 | SH | DEFINED | 0 |
| MORGAN STANLEY                 | COM | 617446448 | 964    | 33802   | SH | DEFINED | 0 |
| MORGAN STANLEY COM NEW         | COM | 617446448 | 6651   | 233281  | SH | DEFINED | 0 |
| MORGAN STANLEY EMERGING M      | COM | 61744G107 | 5      | 500     | SH | DEFINED | 0 |
| MORGAN STANLEY EMERGING M      | COM | 61744H105 | 3      | 400     | SH | DEFINED | 0 |
| MORGAN STANLEY INDIAINVT FD IN | COM | 61745C105 | 42     | 2000    | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER MUN | COM | 61745P429 | 8      | 1200    | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER MUN | COM | 61745P437 | 84     | 12187   | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER MUN | COM | 61745P452 | 14     | 2500    | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER NEW | COM | 61745P528 | 23     | 2000    | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER QUA | COM | 61745P585 | 192    | 16800   | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER CAL | COM | 61745P635 | 0      | 2       | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER QUA | COM | 61745P668 | 4      | 330     | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER INS | COM | 61745P791 | 84     | 6626    | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER INS | COM | 61745P866 | 31     | 2500    | SH | DEFINED | 0 |
| MORGAN STANLEY DEAN WITTER INC | COM | 61745P874 | 6      | 425     | SH | DEFINED | 0 |
| MORGAN STANLEY CHINA A SHARE F | COM | 617468103 | 61     | 1918    | SH | DEFINED | 0 |
| MORGAN STANLEY EMERGING M      | COM | 617477104 | 105    | 8384    | SH | DEFINED | 0 |
| MORGANS HOTEL GROUP CO         | COM | 61748W108 | 188    | 48967   | SH | DEFINED | 0 |
| MORNINGSTAR INC                | COM | 617700109 | 93     | 2257    | SH | DEFINED | 0 |
| MORNINGSTAR INC                | COM | 617700109 | 31     | 761     | SH | DEFINED | 0 |
| MOSAIC CO/THE                  | COM | 61945A107 | 5251   | 118510  | SH | DEFINED | 0 |
| MOSAIC CO/THE                  | COM | 61945A107 | 27     | 615     | SH | DEFINED | 0 |
| MOSAIC CO/THE                  | COM | 61945A107 | 832    | 18778   | SH | DEFINED | 0 |
| MOTOROLA INC                   | COM | 620076109 | 9306   | 1403414 | SH | DEFINED | 0 |
| MOTOROLA INC                   | COM | 620076109 | 1238   | 186778  | SH | DEFINED | 0 |
| MOTOROLA INC                   | COM | 620076109 | 463    | 69826   | SH | DEFINED | 0 |
| MOVADO GROUP INC               | COM | 624580106 | 2578   | 244585  | SH | DEFINED | 0 |
| MUELLER INDUSTRIES INC         | COM | 624756102 | 14381  | 691379  | SH | DEFINED | 0 |
| MUELLER INDUSTRIES INC         | COM | 624756102 | 96     | 4594    | SH | DEFINED | 0 |
| MUELLER INDUSTRIES INC         | COM | 624756102 | 15     | 722     | SH | DEFINED | 0 |
| MUELLER INDUSTRIES INC         | COM | 624756102 | 1872   | 90000   | SH | DEFINED | 0 |
| MUELLER INDS INC               | COM | 624756102 | 574    | 27610   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |          |    |         |   |
|--------------------------------|-----|-----------|-------|----------|----|---------|---|
| MUELLER WTR PRODS INC COM SE   | COM | 624758108 | 26    | 7000     | SH | DEFINED | 0 |
| MULTI-COLOR CORP               | COM | 625383104 | 171   | 13940    | SH | DEFINED | 0 |
| MULTI-FINELINE ELECTRONIX IN   | COM | 62541B101 | 42    | 1960     | SH | DEFINED | 0 |
| MULTIMEDIA GAMES INC           | COM | 625453105 | 130   | 26240    | SH | DEFINED | 0 |
| MURPHY OIL CORP                | COM | 626717102 | 27284 | 502272   | SH | DEFINED | 0 |
| MURPHY OIL CORP                | COM | 626717102 | 550   | 10122    | SH | DEFINED | 0 |
| MURPHY OIL CORP                | COM | 626717102 | 1003  | 18462    | SH | DEFINED | 0 |
| MYERS INDUSTRIES INC           | COM | 628464109 | 257   | 30913    | SH | DEFINED | 0 |
| MYLAN INC                      | COM | 628530107 | 79331 | 6079142  | SH | DEFINED | 0 |
| MYLAN INC                      | COM | 628530107 | 387   | 29630    | SH | DEFINED | 0 |
| MYLAN INC                      | COM | 628530107 | 76    | 5836     | SH | DEFINED | 0 |
| MYRIAD GENETICS INC            | COM | 62855J104 | 7672  | 215178   | SH | DEFINED | 0 |
| MYRIAD GENETICS INC            | COM | 62855J104 | 233   | 6531     | SH | DEFINED | 0 |
| MYRIAD PHARMACEUTICALS         | COM | 62856H107 | 253   | 54325    | SH | DEFINED | 0 |
| N B T BANCORP INC              | COM | 628778102 | 2588  | 119186   | SH | DEFINED | 0 |
| N B T BANCORP INC              | COM | 628778102 | 126   | 5800     | SH | DEFINED | 0 |
| NBTY INC                       | COM | 628782104 | 557   | 19792    | SH | DEFINED | 0 |
| NBTY INC                       | COM | 628782104 | 3     | 100      | SH | DEFINED | 0 |
| NCI BUILDING SYSTEMS INC       | COM | 628852105 | 66    | 24975    | SH | DEFINED | 0 |
| NCR CORPORATION                | COM | 62886E108 | 1916  | 161923   | SH | DEFINED | 0 |
| NCR CORPORATION                | COM | 62886E108 | 707   | 59902    | SH | DEFINED | 0 |
| NCR CORPORATION                | COM | 62886E108 | 15    | 1271     | SH | DEFINED | 0 |
| NCI INC-CLASS A                | COM | 62886K104 | 867   | 28484    | SH | DEFINED | 0 |
| NGP CAP RES CO COM             | COM | 62912R107 | 7     | 1200     | SH | DEFINED | 0 |
| NII HOLDINGS INC               | COM | 62913F201 | 4567  | 239491   | SH | DEFINED | 0 |
| NII HOLDINGS INC               | COM | 62913F201 | 43    | 2247     | SH | DEFINED | 0 |
| NII HOLDINGS INC               | COM | 62913F201 | 107   | 2225     | SH | DEFINED | 0 |
| NII HOLDINGS INC               | COM | 62913F201 | 382   | 20025    | SH | DEFINED | 0 |
| NII HLDGS INC NT CV 3.12       | COM | 62913FAJ1 | 1     | 1000     | SH | DEFINED | 0 |
| NIC INC                        | COM | 62914B100 | 331   | 48855    | SH | DEFINED | 0 |
| NIC INC                        | COM | 62914B100 | 8     | 1200     | SH | DEFINED | 0 |
| NPS PHARMACEUTICALS INC        | COM | 62936P103 | 347   | 74348    | SH | DEFINED | 0 |
| NPS PHARMACEUTICALS INC        | COM | 62936P103 | 19    | 4000     | SH | DEFINED | 0 |
| NRG ENERGY INC                 | COM | 629377508 | 1328  | 51160    | SH | DEFINED | 0 |
| NRG ENERGY INC                 | COM | 629377508 | 2399  | 92648    | SH | DEFINED | 0 |
| NRG ENERGY INC                 | COM | 629377508 | 50    | 1918     | SH | DEFINED | 0 |
| NTT DOCOMO INC SPON ADR I      | COM | 62942M201 | 38    | 2616     | SH | DEFINED | 0 |
| NVE CORP                       | COM | 629445206 | 351   | 7221     | SH | DEFINED | 0 |
| NVE CORP                       | COM | 629445206 | 49    | 1001     | SH | DEFINED | 0 |
| NVR INC                        | COM | 62944T105 | 24674 | 49116    | SH | DEFINED | 0 |
| NYMAGIC INC                    | COM | 629484106 | 166   | 11930    | SH | DEFINED | 0 |
| NYMAGIC INC                    | COM | 629484106 | 29    | 2100     | SH | DEFINED | 0 |
| NYSE EURONEXT                  | COM | 629491101 | 206   | 7542     | SH | DEFINED | 0 |
| NYSE EURONEXT                  | COM | 629491101 | 284   | 10432    | SH | DEFINED | 0 |
| NYSE EURONEXT                  | COM | 629491101 | 3094  | 113544   | SH | DEFINED | 0 |
| NABI BIOPHARMAC                | COM | 629519109 | 2     | 1000     | SH | DEFINED | 0 |
| NACCO INDUSTRIES-CL A          | COM | 629579103 | 970   | 33841    | SH | DEFINED | 0 |
| NACCO INDUSTRIES-CL A          | COM | 629579103 | 89    | 3100     | SH | DEFINED | 0 |
| NACCO INDUSTRIES-CL A          | COM | 629579103 | 74    | 2578     | SH | DEFINED | 0 |
| NALCO HOLDING CO               | COM | 62985Q101 | 3560  | 211424   | SH | DEFINED | 0 |
| NALCO HOLDING CO               | COM | 62985Q101 | 2697  | 160149   | SH | DEFINED | 0 |
| NALCO HOLDING CO               | COM | 62985Q101 | 14    | 844      | SH | DEFINED | 0 |
| NAM TAI ELECTRONICS INC COM PA | COM | 629865205 | 1     | 200      | SH | DEFINED | 0 |
| NPF12 2002-2 A ESCROW          | COM | 629ESC9J5 | 0     | 10000000 | SH | DEFINED | 0 |
| NANOGEN INC ISIN #US63         | COM | 630075109 | 0     | 250      | SH | DEFINED | 0 |
| NANOPHASE TECH CORP            | COM | 630079101 | 3     | 2500     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| NAPCO SEC TECHNOLOGI           | COM | 630402105 | 1     | 450     | SH | DEFINED | 0 |
| NARA BANCORP INC               | COM | 63080P105 | 242   | 46745   | SH | DEFINED | 0 |
| NARA BANCORP INC               | COM | 63080P105 | 35    | 6800    | SH | DEFINED | 0 |
| NASDAQ OMX GROUP/THE           | COM | 631103108 | 3323  | 155920  | SH | DEFINED | 0 |
| NASDAQ OMX GROUP/THE           | COM | 631103108 | 326   | 15293   | SH | DEFINED | 0 |
| NASDAQ OMX GROUP/THE           | COM | 631103108 | 12    | 600     | SH | DEFINED | 0 |
| NASDAQ OMX GROUP/THE           | COM | 631103108 | 580   | 27232   | SH | DEFINED | 0 |
| NASDAQ PREM INCOME & GROWTH FD | COM | 63110R105 | 1141  | 93043   | SH | DEFINED | 0 |
| NASH FINCH CO                  | COM | 631158102 | 1305  | 48231   | SH | DEFINED | 0 |
| NATCO GROUP INC-A              | COM | 63227W203 | 701   | 21301   | SH | DEFINED | 0 |
| NATHAN'S FAMOUS INC            | COM | 632347100 | 843   | 62932   | SH | DEFINED | 0 |
| NATIONAL BANK OF GREECE-ADR    | COM | 633643408 | 31    | 5687    | SH | DEFINED | 0 |
| NATIONAL BANK OF GREECE-ADR    | COM | 633643408 | 31    | 5687    | SH | DEFINED | 0 |
| NATIONAL BANK OF GREECE-ADR    | COM | 633643408 | 2     | 390     | SH | DEFINED | 0 |
| NATIONAL BANKSHARES INC VA     | COM | 634865109 | 18    | 746     | SH | DEFINED | 0 |
| NATIONAL BEVERAGE CORP         | COM | 635017106 | 2470  | 231956  | SH | DEFINED | 0 |
| NATIONAL BEVERAGE CORP         | COM | 635017106 | 47    | 4400    | SH | DEFINED | 0 |
| NATIONAL CINEMEDIA INC         | COM | 635309107 | 32952 | 2394907 | SH | DEFINED | 0 |
| NATIONAL CINEMEDIA INC         | COM | 635309107 | 3     | 200     | SH | DEFINED | 0 |
| NATIONAL FINANCIAL PARTNERS    | COM | 63607P208 | 3984  | 544300  | SH | DEFINED | 0 |
| NATIONAL FUEL GAS CO           | COM | 636180101 | 42    | 1170    | SH | DEFINED | 0 |
| NATIONAL FUEL GAS CO           | COM | 636180101 | 210   | 5817    | SH | DEFINED | 0 |
| NATIONAL GRID TRANSCO PL       | COM | 636274300 | 170   | 3763    | SH | DEFINED | 0 |
| NATL HEALTH INVESTORS INC      | COM | 63633D104 | 157   | 5891    | SH | DEFINED | 0 |
| NATIONAL INSTRUMENTS CORP      | COM | 636518102 | 9     | 378     | SH | DEFINED | 0 |
| NATIONAL INTERSTATE CORP       | COM | 63654U100 | 311   | 20519   | SH | DEFINED | 0 |
| NATIONAL OILWELL VARCO INC     | COM | 637071101 | 40433 | 1237967 | SH | DEFINED | 0 |
| NATIONAL OILWELL VARCO INC     | COM | 637071101 | 774   | 23694   | SH | DEFINED | 0 |
| NATIONAL OILWELL VARCO INC     | COM | 637071101 | 136   | 4168    | SH | DEFINED | 0 |
| NATL PENN BCSHS INC            | COM | 637138108 | 419   | 90830   | SH | DEFINED | 0 |
| NATL PENN BCSHS INC            | COM | 637138108 | 9     | 2053    | SH | DEFINED | 0 |
| NATIONAL PRESTO INDS INC       | COM | 637215104 | 2421  | 31796   | SH | DEFINED | 0 |
| NATIONAL PRESTO INDS INC       | COM | 637215104 | 84    | 1100    | SH | DEFINED | 0 |
| NATIONAL PRESTO INDS INC       | COM | 637215104 | 4     | 50      | SH | DEFINED | 0 |
| NATIONAL RETAIL PROPERTIES     | COM | 637417106 | 4267  | 245912  | SH | DEFINED | 0 |
| NATIONAL RETAIL PROPERTIES     | COM | 637417106 | 120   | 6900    | SH | DEFINED | 0 |
| NATIONAL RETAIL PROPERTIES     | COM | 637417106 | 389   | 22408   | SH | DEFINED | 0 |
| NATIONAL SEMICONDUCTOR CORP    | COM | 637640103 | 3385  | 269786  | SH | DEFINED | 0 |
| NATIONAL SEMICONDUCTOR CORP    | COM | 637640103 | 341   | 27189   | SH | DEFINED | 0 |
| NATIONAL SEMICONDUCTOR CORP    | COM | 637640103 | 16    | 1270    | SH | DEFINED | 0 |
| NATL WESTMINSTER BK PLC 7.760  | PRF | 638539882 | 53    | 4055    | SH | DEFINED | 0 |
| NATIONWIDE HEALTH PPTYS INC    | COM | 638620104 | 58    | 2240    | SH | DEFINED | 0 |
| NATIONWIDE HEALTH PPTYS INC    | COM | 638620104 | 4359  | 169329  | SH | DEFINED | 0 |
| NATURAL GAS SERVICES GROUP     | COM | 63886Q109 | 474   | 35644   | SH | DEFINED | 0 |
| NATURAL GAS SERVICES GROUP     | COM | 63886Q109 | 85    | 6400    | SH | DEFINED | 0 |
| NAVIGATORS GROUP INC           | COM | 638904102 | 6162  | 138666  | SH | DEFINED | 0 |
| NAVIGATORS GROUP INC           | COM | 638904102 | 64    | 1442    | SH | DEFINED | 0 |
| NATURAL RESOURCE PARTNERS L    | COM | 63900P103 | 59    | 2821    | SH | DEFINED | 0 |
| NATUS MEDICAL INC              | COM | 639050103 | 418   | 36202   | SH | DEFINED | 0 |
| NAUTILUS INC                   | COM | 63910B102 | 29    | 25355   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| NAUTILUS INC                   | COM | 63910B102 | 0      | 100      | SH | DEFINED | 0 |
| NAVISTAR INTERNATIONAL         | COM | 63934E108 | 634    | 14530    | SH | DEFINED | 0 |
| NAVISTAR INTERNATIONAL         | COM | 63934E108 | 30311  | 695215   | SH | DEFINED | 0 |
| NAVISTAR INTERNATIONAL         | COM | 63934E108 | 0      | 1        | SH | DEFINED | 0 |
| NEENAH PAPER INC               | COM | 640079109 | 772    | 87550    | SH | DEFINED | 0 |
| NEKTAR THERAPEUTICS            | COM | 640268108 | 364    | 56100    | SH | DEFINED | 0 |
| NEKTAR THERAPEUTICS            | COM | 640268108 | 41     | 6400     | SH | DEFINED | 0 |
| NEKTAR THERAPEUTICS            | COM | 640268108 | 8      | 1180     | SH | DEFINED | 0 |
| NELNET INC-CL A                | COM | 64031N108 | 693    | 51017    | SH | DEFINED | 0 |
| NELNET INC-CL A                | COM | 64031N108 | 148    | 10900    | SH | DEFINED | 0 |
| NELNET INC-CL A                | COM | 64031N108 | 9      | 650      | SH | DEFINED | 0 |
| NEOGEN CORP                    | COM | 640491106 | 740    | 25544    | SH | DEFINED | 0 |
| NEOSE TECH INC                 | COM | 640522108 | 3      | 34445    | SH | DEFINED | 0 |
| NEOPHARM INC                   | COM | 640919106 | 0      | 500      | SH | DEFINED | 0 |
| NEOPLANET INC                  | COM | 64099K962 | 0      | 425412   | SH | DEFINED | 0 |
| NESS TECHNOLOGIES INC          | COM | 64104X108 | 451    | 115300   | SH | DEFINED | 0 |
| NESS TECHNOLOGIES INC          | COM | 64104X108 | 102    | 26200    | SH | DEFINED | 0 |
| NET 1 UEPS TECHNOLOGIES INC    | COM | 64107N206 | 1119   | 82300    | SH | DEFINED | 0 |
| NETAPP INC                     | COM | 64110D104 | 228870 | 11605912 | SH | DEFINED | 0 |
| NETAPP INC                     | COM | 64110D104 | 280    | 14226    | SH | DEFINED | 0 |
| NETAPP INC                     | COM | 64110D104 | 100409 | 5094827  | SH | DEFINED | 0 |
| NETAPP INC                     | COM | 64110D104 | 151    | 7650     | SH | DEFINED | 0 |
| NETFLIX INC                    | COM | 64110L106 | 2071   | 50106    | SH | DEFINED | 0 |
| NETFLIX INC                    | COM | 64110L106 | 110    | 2668     | SH | DEFINED | 0 |
| NETEASE.COM INC-ADR            | COM | 64110W102 | 2038   | 57937    | SH | DEFINED | 0 |
| NETEASE.COM INC-ADR            | COM | 64110W102 | 33     | 937      | SH | DEFINED | 0 |
| NETEZZA CORP                   | COM | 64111N101 | 16506  | 1983847  | SH | DEFINED | 0 |
| NETGEAR INC                    | COM | 64111Q104 | 4500   | 312333   | SH | DEFINED | 0 |
| NETGEAR INC                    | COM | 64111Q104 | 17     | 1209     | SH | DEFINED | 0 |
| NETGEAR INC                    | COM | 64111Q104 | 21     | 1450     | SH | DEFINED | 0 |
| NETSCOUT SYSTEMS INC           | COM | 64115T104 | 350    | 37350    | SH | DEFINED | 0 |
| NETLOGIC MICROSYSTEMS INC      | COM | 64118B100 | 1006   | 27588    | SH | DEFINED | 0 |
| NETSUITE INC                   | COM | 64118Q107 | 181    | 15351    | SH | DEFINED | 0 |
| NETWORK EQUIPMENT TECH INC     | COM | 641208103 | 142    | 33297    | SH | DEFINED | 0 |
| NETWORK ENGINES INC            | COM | 64121A107 | 5      | 6500     | SH | DEFINED | 0 |
| NEUROGEN CORP                  | COM | 64124E106 | 0      | 50       | SH | DEFINED | 0 |
| NEUROCRINE BIOSCIENCE          | COM | 64125C109 | 0      | 13       | SH | DEFINED | 0 |
| NEUBERGER BERMAN INCOME OPP    | COM | 64126L108 | 2      | 350      | SH | DEFINED | 0 |
| NEUSTAR INC-CLASS A            | COM | 64126X201 | 831    | 37502    | SH | DEFINED | 0 |
| NEUSTAR INC-CLASS A            | COM | 64126X201 | 58     | 3650     | SH | DEFINED | 0 |
| NEUSTAR INC-CLASS A            | COM | 64126X201 | 571    | 25780    | SH | DEFINED | 0 |
| NEUBERGER BERMAN DIVID ADVA    | COM | 64127J102 | 10     | 1300     | SH | DEFINED | 0 |
| NEUTRAL TANDEM INC             | COM | 64128B108 | 1467   | 49666    | SH | DEFINED | 0 |
| NEUTRAL TANDEM INC             | COM | 64128B108 | 210    | 7126     | SH | DEFINED | 0 |
| NEUBERGER BERMAN HIGH YIELD    | COM | 64128C106 | 212    | 23046    | SH | DEFINED | 0 |
| NEVSUN RES LTD ISIN #CA64      | COM | 64156L101 | 3      | 2500     | SH | DEFINED | 0 |
| NEW AMER HIGH INCOMEFD INC COM | COM | 641876800 | 234    | 31900    | SH | DEFINED | 0 |
| NEUBERGER BERMAN REAL ESTAT    | COM | 64190A103 | 27     | 13966    | SH | DEFINED | 0 |
| NEW ENG BANCSHARES INC COM NE  | COM | 643863202 | 7      | 1184     | SH | DEFINED | 0 |
| NEW FRONTIER MEDIA INC         | COM | 644398109 | 1      | 500      | SH | DEFINED | 0 |
| NEW GERMANY FUND               | COM | 644465106 | 1      | 104      | SH | DEFINED | 0 |
| NEW GOLD INC                   | COM | 644535106 | 441    | 165300   | SH | DEFINED | 0 |
| NEW GOLD INC                   | COM | 644535106 | 0      | 100      | SH | DEFINED | 0 |
| NEW HAMPSHIRE THRIFT B         | COM | 644722100 | 41     | 4166     | SH | DEFINED | 0 |
| NEW IRELAND FD INC             | COM | 645673104 | 73     | 11316    | SH | DEFINED | 0 |
| NEW JERSEY RESOURCES CORP      | COM | 646025106 | 6599   | 178144   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| NEW JERSEY RESOURCES CORP      | COM | 646025106 | 204   | 5500    | SH | DEFINED | 0 |
| NEW JERSEY RESOURCES CORP      | COM | 646025106 | 239   | 6451    | SH | DEFINED | 0 |
| NEW ORIENTAL EDUCATIO-SP ADR   | COM | 647581107 | 1145  | 17000   | SH | DEFINED | 0 |
| NEW ORIENTAL EDUCATIO-SP ADR   | COM | 647581107 | 1915  | 28369   | SH | DEFINED | 0 |
| NEW ORIENTAL EDUCATIO-SP ADR   | COM | 647581107 | 214   | 3172    | SH | DEFINED | 0 |
| NEW ORIENTAL EDUCATIO-SP ADR   | COM | 647581107 | 24    | 350     | SH | DEFINED | 0 |
| NEW YORK COMMUNITY BANCORP     | COM | 649445103 | 69    | 6479    | SH | DEFINED | 0 |
| NEW YORK CMNTY CAP TR V BIFUR  | PRF | 64944P307 | 29    | 885     | SH | DEFINED | 0 |
| NEW YORK TIMES CO -CL A        | COM | 650111107 | 1793  | 325790  | SH | DEFINED | 0 |
| NEW YORK TIMES CO -CL A        | COM | 650111107 | 142   | 25697   | SH | DEFINED | 0 |
| NEW YORK TIMES CO -CL A        | COM | 650111107 | 26    | 4641    | SH | DEFINED | 0 |
| NEWALLIANCE BANCSHARES INC     | COM | 650203102 | 353   | 30711   | SH | DEFINED | 0 |
| NEWALLIANCE BANCSHARES INC     | COM | 650203102 | 26    | 2300    | SH | DEFINED | 0 |
| NEWBRIDGE BANCORP COM          | COM | 65080T102 | 0     | 166     | SH | DEFINED | 0 |
| NEWCASTLE INVT CORP            | COM | 65105M108 | 1     | 1047    | SH | DEFINED | 0 |
| NEWELL RUBBERMAID INC          | COM | 651229106 | 3177  | 305217  | SH | DEFINED | 0 |
| NEWELL RUBBERMAID INC          | COM | 651229106 | 140   | 13408   | SH | DEFINED | 0 |
| NEWELL RUBBERMAID INC          | COM | 651229106 | 61    | 5833    | SH | DEFINED | 0 |
| NEWFIELD EXPLORATION CO        | COM | 651290108 | 35620 | 1090271 | SH | DEFINED | 0 |
| NEWFIELD EXPLORATION CO        | COM | 651290108 | 148   | 4530    | SH | DEFINED | 0 |
| NEWFIELD EXPLORATION CO        | COM | 651290108 | 16    | 498     | SH | DEFINED | 0 |
| NEWMARKET CORP                 | COM | 651587107 | 3426  | 50872   | SH | DEFINED | 0 |
| NEWMARKET CORP                 | COM | 651587107 | 2     | 25      | SH | DEFINED | 0 |
| NEWMONT MINING CORP            | COM | 651639106 | 25378 | 620913  | SH | DEFINED | 0 |
| NEWMONT MINING CORP            | COM | 651639106 | 1527  | 37370   | SH | DEFINED | 0 |
| NEWMONT MINING CORP            | COM | 651639106 | 970   | 23739   | SH | DEFINED | 0 |
| NEWPORT CORP                   | COM | 651824104 | 2772  | 478577  | SH | DEFINED | 0 |
| NEWPORT CORP                   | COM | 651824104 | 109   | 18800   | SH | DEFINED | 0 |
| NEWPORT CORP                   | COM | 651824104 | 0     | 48      | SH | DEFINED | 0 |
| NEWS CORP-CL A                 | COM | 65248E104 | 36084 | 3960930 | SH | DEFINED | 0 |
| NEWS CORP-CL A                 | COM | 65248E104 | 1487  | 163187  | SH | DEFINED | 0 |
| NEWS CORP-CL A                 | COM | 65248E104 | 43    | 4712    | SH | DEFINED | 0 |
| NEWS CORP CL B                 | COM | 65248E203 | 26    | 2490    | SH | DEFINED | 0 |
| NEWSTAR FINANCIAL INC          | COM | 65251F105 | 106   | 55260   | SH | DEFINED | 0 |
| NEWSTAR FINANCIAL INC          | COM | 65251F105 | 34    | 17600   | SH | DEFINED | 0 |
| NEWTEK BUSINESS SERVICES INC   | COM | 652526104 | 2     | 5000    | SH | DEFINED | 0 |
| NEXEN INC                      | COM | 65334H102 | 3169  | 146388  | SH | DEFINED | 0 |
| NEXEN INC                      | COM | 65334H102 | 10124 | 467600  | SH | DEFINED | 0 |
| NEXEN INC                      | COM | 65334H102 | 1     | 54      | SH | DEFINED | 0 |
| NEXCEN BRANDS INC COM          | COM | 653351106 | 0     | 307     | SH | DEFINED | 0 |
| NFJ DIVID INT & PREMSTRATEGY F | COM | 65337H109 | 181   | 15325   | SH | DEFINED | 0 |
| NICE SYSTEMS LTD-SPONS ADR     | COM | 653656108 | 27526 | 1193171 | SH | DEFINED | 0 |
| NICE SYSTEMS LTD-SPONS ADR     | COM | 653656108 | 1     | 40      | SH | DEFINED | 0 |
| NICHOLAS APPLGATE INTL & PRE   | COM | 65370C108 | 31    | 2490    | SH | DEFINED | 0 |
| NICHOLAS APPLGATE CONV & INC   | COM | 65370F101 | 91    | 13214   | SH | DEFINED | 0 |
| NICHOLAS APPLGATE CONV & INC   | COM | 65370G109 | 124   | 19645   | SH | DEFINED | 0 |
| NICHOLAS APPLGATE EQUITY & C   | COM | 65370K100 | 413   | 29036   | SH | DEFINED | 0 |
| NICHOLAS APPLGATE GLOBAL EQU   | COM | 65370L108 | 5     | 400     | SH | DEFINED | 0 |
| NICOR INC                      | COM | 654086107 | 15896 | 459129  | SH | DEFINED | 0 |
| NICOR INC                      | COM | 654086107 | 238   | 6882    | SH | DEFINED | 0 |
| NICOR INC                      | COM | 654086107 | 99    | 2859    | SH | DEFINED | 0 |
| NIDEC CORP SPON ADR            | COM | 654090109 | 10    | 645     | SH | DEFINED | 0 |
| NIKE INC -CL B                 | COM | 654106103 | 20841 | 402501  | SH | DEFINED | 0 |
| NIKE INC -CL B                 | COM | 654106103 | 1241  | 23968   | SH | DEFINED | 0 |
| NIKE INC -CL B                 | COM | 654106103 | 1176  | 22715   | SH | DEFINED | 0 |
| NIKE INC -CL B                 | COM | 654106103 | 1993  | 38496   | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |        |    |         |   |
|-------------------------------|-----|-----------|-------|--------|----|---------|---|
| NIGHTHAWK RADIOLOGY HOLDINGS  | COM | 65411N105 | 57    | 15295  | SH | DEFINED | 0 |
| NIGHTHAWK RADIOLOGY HOLDINGS  | COM | 65411N105 | 0     | 100    | SH | DEFINED | 0 |
| 99 CENTS ONLY STORES          | COM | 65440K106 | 1620  | 119319 | SH | DEFINED | 0 |
| 99 CENTS ONLY STORES          | COM | 65440K106 | 32    | 2373   | SH | DEFINED | 0 |
| NIPPON TELEGRAPH & TELE-ADR   | COM | 654624105 | 6504  | 319611 | SH | DEFINED | 0 |
| NIPPON TELEGRAPH & TELE-ADR   | COM | 654624105 | 127   | 6223   | SH | DEFINED | 0 |
| NISOURCE INC                  | COM | 65473P105 | 3392  | 290936 | SH | DEFINED | 0 |
| NISOURCE INC                  | COM | 65473P105 | 302   | 25881  | SH | DEFINED | 0 |
| NISOURCE INC                  | COM | 65473P105 | 35    | 2972   | SH | DEFINED | 0 |
| NISSAN MTR LTD SPONSORED      | COM | 654744408 | 94    | 7759   | SH | DEFINED | 0 |
| NOAH ED HLDGS LTD ADR         | COM | 65487R303 | 2     | 400    | SH | DEFINED | 0 |
| NOVA BIOSOURCE FUELSINC COM   | COM | 65488W103 | 1     | 40000  | SH | DEFINED | 0 |
| NOKIA CORP-SPON ADR           | COM | 654902204 | 3784  | 259499 | SH | DEFINED | 0 |
| NOKIA CORP-SPON ADR           | COM | 654902204 | 571   | 39146  | SH | DEFINED | 0 |
| NOBLE ENERGY INC              | COM | 655044105 | 49166 | 833725 | SH | DEFINED | 0 |
| NOBLE ENERGY INC              | COM | 655044105 | 496   | 8416   | SH | DEFINED | 0 |
| NOBLE ENERGY INC              | COM | 655044105 | 35102 | 595257 | SH | DEFINED | 0 |
| NOBLE ENERGY INC              | COM | 655044105 | 48    | 817    | SH | DEFINED | 0 |
| NOMURA HLDGS INC SPON ADR     | COM | 65535H208 | 76    | 8972   | SH | DEFINED | 0 |
|                               |     |           |       |        |    |         |   |
| NORDSON CORP                  | COM | 655663102 | 639   | 16542  | SH | DEFINED | 0 |
| NORDSON CORP                  | COM | 655663102 | 12    | 297    | SH | DEFINED | 0 |
| NORDSTROM INC                 | COM | 655664100 | 8481  | 426392 | SH | DEFINED | 0 |
| NORDSTROM INC                 | COM | 655664100 | 270   | 13574  | SH | DEFINED | 0 |
| NORDSTROM INC                 | COM | 655664100 | 43    | 2172   | SH | DEFINED | 0 |
| NORFOLK SOUTHERN CORP         | COM | 655844108 | 9993  | 265242 | SH | DEFINED | 0 |
| NORFOLK SOUTHERN CORP         | COM | 655844108 | 5108  | 135586 | SH | DEFINED | 0 |
| NORFOLK SOUTHERN CORP         | COM | 655844108 | 1184  | 31430  | SH | DEFINED | 0 |
| NORFOLK SOUTHERN CORP         | COM | 655844108 | 28997 | 769046 | SH | DEFINED | 0 |
| NORFOLK SOUTHERN CORP         | COM | 655844108 | 646   | 17139  | SH | DEFINED | 0 |
| NORTEL NETWORKS CORP          | COM | 656568508 | 0     | 835    | SH | DEFINED | 0 |
| NORTEL NETWORKS CORP          | COM | 656568508 | 1     | 14822  | SH | DEFINED | 0 |
| NORTH AMERICAN GALVANIZING &  | COM | 65686Y109 | 90    | 14818  | SH | DEFINED | 0 |
| NORTH AMERICAN PALLADIUM      | COM | 656912102 | 1     | 400    | SH | DEFINED | 0 |
| NORTH EUROPEAN OIL RTY TR CTF | COM | 659310106 | 85    | 2581   | SH | DEFINED | 0 |
| NORTHEAST UTILITIES           | COM | 664397106 | 10742 | 481475 | SH | DEFINED | 0 |
| NORTHEAST UTILITIES           | COM | 664397106 | 286   | 12815  | SH | DEFINED | 0 |
| NORTHEAST UTILITIES           | COM | 664397106 | 26    | 875    | SH | DEFINED | 0 |
| NORTHEAST UTILITIES           | COM | 664397106 | 167   | 7498   | SH | DEFINED | 0 |
| NORTHERN OIL & GAS INC NEV    | COM | 665531109 | 1     | 200    | SH | DEFINED | 0 |
| NORTHERN TRUST CORP           | COM | 665859104 | 4160  | 77507  | SH | DEFINED | 0 |
| NORTHERN TRUST CORP           | COM | 665859104 | 1008  | 18778  | SH | DEFINED | 0 |
| NORTHERN TRUST CORP           | COM | 665859104 | 278   | 4875   | SH | DEFINED | 0 |
| NORTHERN TRUST CORP           | COM | 665859104 | 135   | 2517   | SH | DEFINED | 0 |
| NORTHFIELD LABORATORI         | COM | 666135108 | 0     | 500    | SH | DEFINED | 0 |
| NORTHGATE MINERALS CORP FORME | COM | 666416102 | 4     | 2000   | SH | DEFINED | 0 |
| NORTHROP GRUMMAN CORP         | COM | 666807102 | 17764 | 388868 | SH | DEFINED | 0 |
| NORTHROP GRUMMAN CORP         | COM | 666807102 | 1069  | 23401  | SH | DEFINED | 0 |
| NORTHROP GRUMMAN CORP         | COM | 666807102 | 371   | 8121   | SH | DEFINED | 0 |
| NORTHSTAR REALTY FINANCE COR  | COM | 66704R100 | 327   | 115759 | SH | DEFINED | 0 |
| NORTHSTAR REALTY FINANCE COR  | COM | 66704R100 | 64    | 22592  | SH | DEFINED | 0 |
| NORTHSTAR REALTY FINANCE COR  | COM | 66704R100 | 26    | 9030   | SH | DEFINED | 0 |
| NORTHWEST BANCORP INC         | COM | 667328108 | 2     | 101    | SH | DEFINED | 0 |
| NORTHWEST NATURAL GAS CO      | COM | 667655104 | 5582  | 125929 | SH | DEFINED | 0 |
| NORTHWEST NATURAL GAS CO      | COM | 667655104 | 190   | 4294   | SH | DEFINED | 0 |
| NORTHWEST NATURAL GAS CO      | COM | 667655104 | 117   | 2650   | SH | DEFINED | 0 |
| NORTHWEST PIPE CO             | COM | 667746101 | 16    | 460    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| NORTHWESTERN CORP              | COM | 668074305 | 2365  | 103870  | SH | DEFINED | 0 |
| NORTHWESTERN CORP              | COM | 668074305 | 155   | 6800    | SH | DEFINED | 0 |
| NORTHWESTERN CORP              | COM | 668074305 | 96    | 3875    | SH | DEFINED | 0 |
| NOVA CHEMICALS CORP ISIN #CA66 | COM | 66977W109 | 0     | 52      | SH | DEFINED | 0 |
| NOVAGOLD RESOURCES ISIN #CA66  | COM | 66987E206 | 335   | 78327   | SH | DEFINED | 0 |
| NOVATEL WIRELESS INC           | COM | 66987M604 | 2226  | 246720  | SH | DEFINED | 0 |
| NOVATEL WIRELESS INC           | COM | 66987M604 | 36    | 4000    | SH | DEFINED | 0 |
| NOVARTIS AG ADR ISIN #US66     | COM | 66987V109 | 937   | 22972   | SH | DEFINED | 0 |
| NOVATEK OAO-SPONS GDR 144A     | COM | 669888208 | 38    | 800     | SH | DEFINED | 0 |
| NOVATEK OAO-SPONS GDR 144A     | COM | 669888208 | 10466 | 219633  | SH | DEFINED | 0 |
| NOVELL INC                     | COM | 670006105 | 5336  | 1177929 | SH | DEFINED | 0 |
| NOVELL INC                     | COM | 670006105 | 203   | 44844   | SH | DEFINED | 0 |
| NOVELL INC                     | COM | 670006105 | 2662  | 589016  | SH | DEFINED | 0 |
| NOVELL INC                     | COM | 670006105 | 44    | 9618    | SH | DEFINED | 0 |
| NOVELLUS SYSTEMS INC           | COM | 670008101 | 1274  | 76284   | SH | DEFINED | 0 |
| NOVELLUS SYSTEMS INC           | COM | 670008101 | 130   | 7812    | SH | DEFINED | 0 |
| NOVELLUS SYSTEMS INC           | COM | 670008101 | 76    | 4547    | SH | DEFINED | 0 |
| NOVEN PHARMACEUTICALS INC      | COM | 670009109 | 1402  | 98022   | SH | DEFINED | 0 |
| NOVO NORDISK A/S ADR FMLY NOV  | COM | 670100205 | 342   | 6287    | SH | DEFINED | 0 |
| NOVOGEN LTD SPONS ADR          | COM | 67010F103 | 5     | 2200    | SH | DEFINED | 0 |
| NU SKIN ENTERPRISES INC - A    | COM | 67018T105 | 1048  | 68533   | SH | DEFINED | 0 |
| NSTAR                          | COM | 67019E107 | 2453  | 76419   | SH | DEFINED | 0 |
| NSTAR                          | COM | 67019E107 | 135   | 4196    | SH | DEFINED | 0 |
| NTELOS HOLDINGS CORP           | COM | 67020Q107 | 5269  | 286083  | SH | DEFINED | 0 |
| NTELOS HOLDINGS CORP           | COM | 67020Q107 | 15    | 800     | SH | DEFINED | 0 |
| NUANCE COMMUNICATIONS INC      | COM | 67020Y100 | 1397  | 115575  | SH | DEFINED | 0 |
| NUANCE COMMUNICATIONS INC      | COM | 67020Y100 | 152   | 12627   | SH | DEFINED | 0 |
| NUANCE COMMUNICATIONS INC      | COM | 67020Y100 | 36    | 2983    | SH | DEFINED | 0 |
| NUANCE COMMUNICATIONS INC COM  | COM | 67020Y100 | 237   | 19594   | SH | DEFINED | 0 |
| NUCOR CORP                     | COM | 670346105 | 88699 | 1996383 | SH | DEFINED | 0 |
| NUCOR CORP                     | COM | 670346105 | 1040  | 23417   | SH | DEFINED | 0 |
| NUCOR CORP                     | COM | 670346105 | 1719  | 38689   | SH | DEFINED | 0 |
| NUSTAR ENERGY LP UNIT          | COM | 67058H102 | 78    | 1450    | SH | DEFINED | 0 |
| NUSTAR GP HLDGS LLC UNIT R     | COM | 67059L102 | 43    | 1863    | SH | DEFINED | 0 |
| NUVEEN CONN PREMIUM INC MUN FD | COM | 67060D107 | 19    | 1500    | SH | DEFINED | 0 |
| NUVEEN GA PREMIUM INC MUNICI   | COM | 67060F102 | 5     | 424     | SH | DEFINED | 0 |
| NUVEEN NO CAROLINA PREMIUM IN  | COM | 67060P100 | 17    | 1333    | SH | DEFINED | 0 |
| NUVEEN PA PREMIUM INC MUN FD   | COM | 67061F101 | 19    | 1742    | SH | DEFINED | 0 |
| NUVEEN SELECT MAT MUN FD SH    | COM | 67061T101 | 51    | 5000    | SH | DEFINED | 0 |
| NUVEEN INSD CALIF PREMIUM IN   | COM | 67061U108 | 19    | 1699    | SH | DEFINED | 0 |
| NUVEEN CALIF MUN VALUE FD I    | COM | 67062C107 | 22    | 2594    | SH | DEFINED | 0 |
| NUVEEN INVT QUALITY MUNICIPAL  | COM | 67062E103 | 31    | 2555    | SH | DEFINED | 0 |
| NUVEEN SELECT TAX FREE INCOM   | COM | 67062F100 | 71    | 5000    | SH | DEFINED | 0 |
| NUVEEN MUN ADVANTAGEFD INC     | COM | 67062H106 | 203   | 15824   | SH | DEFINED | 0 |
| NUVEEN N Y MUN VALUEFD INC     | COM | 67062M105 | 53    | 5793    | SH | DEFINED | 0 |
| NUVEEN INSD QUALITY MUNICIPAL  | COM | 67062N103 | 73    | 5934    | SH | DEFINED | 0 |
| NUVEEN PERFORMANCE PLUS MUN F  | COM | 67062P108 | 6     | 491     | SH | DEFINED | 0 |
| NUVEEN N Y PERFORMANC          | COM | 67062R104 | 23    | 1800    | SH | DEFINED | 0 |
| NUVEEN PREM INCOME MUN FD INC  | COM | 67062T100 | 160   | 13011   | SH | DEFINED | 0 |
| NUVEEN CALIF MUN MKT OPPORT    | COM | 67062U107 | 759   | 67266   | SH | DEFINED | 0 |
| NUVEEN MUN MKT OPPORTUNIT      | COM | 67062W103 | 4     | 334     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| NUVEEN N Y INVT QUALITY MU     | COM | 67062X101 | 62    | 4869    | SH | DEFINED | 0 |
| NUVEEN SELECT TAX FREE INCOM   | COM | 67063C106 | 4     | 300     | SH | DEFINED | 0 |
| NUVEEN CALIF SELECT TAX FREE I | COM | 67063R103 | 84    | 7000    | SH | DEFINED | 0 |
| NUVEEN PREM INCOME MUN FD 2 I  | COM | 67063W102 | 168   | 13466   | SH | DEFINED | 0 |
| NUVEEN SELECT TAX FREE INCOM   | COM | 67063X100 | 4     | 307     | SH | DEFINED | 0 |
| NUVEEN INUSRED NEW YORK T      | COM | 670656107 | 16    | 1300    | SH | DEFINED | 0 |
| NUVEEN INSD TAX FREEADVANTAGE  | COM | 670657105 | 13    | 1000    | SH | DEFINED | 0 |
| NVIDIA CORP                    | COM | 67066G104 | 6219  | 550848  | SH | DEFINED | 0 |
| NVIDIA CORP                    | COM | 67066G104 | 565   | 50004   | SH | DEFINED | 0 |
| NVIDIA CORP                    | COM | 67066G104 | 200   | 17675   | SH | DEFINED | 0 |
| NUVEEN DIVID ADVANTAGE         | COM | 67066V101 | 69    | 5565    | SH | DEFINED | 0 |
| NUVEEN CALIF MUN ADVANTAGE     | COM | 67066Y105 | 316   | 29275   | SH | DEFINED | 0 |
| NUVEEN SR INCOME FD COM        | COM | 67067Y104 | 43    | 9290    | SH | DEFINED | 0 |
| NUVEEN MUN HIGH INCOME OPP     | COM | 670682103 | 69    | 6563    | SH | DEFINED | 0 |
| NUTRISYSTEM INC                | COM | 67069D108 | 2855  | 196816  | SH | DEFINED | 0 |
| NUTRISYSTEM INC                | COM | 67069D108 | 1     | 100     | SH | DEFINED | 0 |
| NUVEEN CONN DIVID ADVANTAGE    | COM | 67069T103 | 64    | 4400    | SH | DEFINED | 0 |
| NUTRITION 21 INC               | COM | 67069V108 | 1     | 5000    | SH | DEFINED | 0 |
| NUVEEN CALIF DIVID ADVANTAGE   | COM | 67069X104 | 24    | 2090    | SH | DEFINED | 0 |
| NUVEEN EQUITY PREM OPPORTUNIT  | COM | 6706EM102 | 133   | 12060   | SH | DEFINED | 0 |
| NUVEEN DIVERSIFIED DIVID & IN  | COM | 6706EP105 | 4     | 600     | SH | DEFINED | 0 |
| NUVEEN EQUITY PREMIUM IN       | COM | 6706ER101 | 279   | 26021   | SH | DEFINED | 0 |
| NUVEEN EQTY PREM ADVANTAGE     | COM | 6706ET107 | 126   | 11435   | SH | DEFINED | 0 |
| NUVEEN EQUITY PREM & GROWTH FD | COM | 6706EW100 | 14    | 1205    | SH | DEFINED | 0 |
| NUVEEN PREM INCOME MUN FD 4 I  | COM | 6706K4105 | 6     | 513     | SH | DEFINED | 0 |
| NUVEEN CALIF PREM INC MUN FD   | COM | 6706L0102 | 226   | 21383   | SH | DEFINED | 0 |
| NUVASIVE INC                   | COM | 670704105 | 5577  | 125033  | SH | DEFINED | 0 |
| NUVASIVE INC                   | COM | 670704105 | 218   | 4900    | SH | DEFINED | 0 |
| NUVASIVE INC                   | COM | 670704105 | 30    | 675     | SH | DEFINED | 0 |
| NUVEEN OHIO DIVID ADVANTAGE    | COM | 67070C107 | 320   | 25000   | SH | DEFINED | 0 |
| NUVEEN DIVID ADVANTAGE         | COM | 67070F100 | 25    | 1930    | SH | DEFINED | 0 |
| NUVEEN OHIO DIV ADVANTAGE      | COM | 67070R104 | 296   | 25000   | SH | DEFINED | 0 |
| NUVEEN DIVID ADVANTAGE         | COM | 67070X101 | 33    | 2666    | SH | DEFINED | 0 |
| NUVEEN CALIF DIVID ADVANTAGE   | COM | 67070Y109 | 32    | 3058    | SH | DEFINED | 0 |
| NUVEEN REAL ESTATE INCOME FD   | COM | 67071B108 | 17    | 2666    | SH | DEFINED | 0 |
| NUVEEN NORTH CAROLINA D        | COM | 67071D104 | 17    | 1300    | SH | DEFINED | 0 |
| NUVEEN INSD CALIF DIVID ADVA   | COM | 67071M104 | 15    | 1277    | SH | DEFINED | 0 |
| NUVEEN QUALITY PFD INCOME FD   | COM | 67071S101 | 21    | 3801    | SH | DEFINED | 0 |
| NUVEEN CONN DIVID ADVANTAGE    | COM | 67071Y108 | 77    | 5900    | SH | DEFINED | 0 |
| NUVEEN QUALITY PFD INCOME FD   | COM | 67072C105 | 85    | 13680   | SH | DEFINED | 0 |
| NUVEEN NORTH CAROLINA D        | COM | 67072D103 | 22    | 1666    | SH | DEFINED | 0 |
| NUVEEN QUALITY PFD INCOME FD   | COM | 67072W101 | 40    | 6735    | SH | DEFINED | 0 |
| NUVEEN MULTI STRATEGY I        | COM | 67073B106 | 88    | 15629   | SH | DEFINED | 0 |
| NUVEEN MULTI STRATEGY I        | COM | 67073D102 | 26    | 4573    | SH | DEFINED | 0 |
| NUVEEN TAX ADVANTAGED          | COM | 67073G105 | 38    | 4160    | SH | DEFINED | 0 |
| NV ENERGY INC                  | COM | 67073Y106 | 12712 | 1178200 | SH | DEFINED | 0 |
| NV ENERGY INC                  | COM | 67073Y106 | 159   | 14755   | SH | DEFINED | 0 |
| O'CHARLEYS INC                 | COM | 670823103 | 224   | 24175   | SH | DEFINED | 0 |
| OGE ENERGY CORP HOLDING CO     | COM | 670837103 | 80    | 2808    | SH | DEFINED | 0 |
| OM GROUP INC                   | COM | 670872100 | 6593  | 227181  | SH | DEFINED | 0 |
| OM GROUP INC                   | COM | 670872100 | 128   | 4398    | SH | DEFINED | 0 |
| OM GROUP INC                   | COM | 670872100 | 4     | 150     | SH | DEFINED | 0 |
| NUVEEN TAX ADVANTAGED          | COM | 67090H102 | 50    | 6160    | SH | DEFINED | 0 |
| NUVEEN MULTI CURRENCY S        | COM | 67090N109 | 654   | 43502   | SH | DEFINED | 0 |
| NUVEEN MUN VALUE FD            | COM | 670928100 | 124   | 13488   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| NUVEEN N J INVT QLTYMUN FD INC | COM | 670971100 | 10     | 833     | SH | DEFINED | 0 |
| NUVEEN PA INVT QLTY MUN FD     | COM | 670972108 | 328    | 28098   | SH | DEFINED | 0 |
| NUVEEN SELECT QUALITY MU       | COM | 670973106 | 95     | 7439    | SH | DEFINED | 0 |
| NUVEEN N Y SELECT QUALITY MU   | COM | 670976109 | 95     | 7784    | SH | DEFINED | 0 |
| NUVEEN QUALITY INCOME MUN      | COM | 670977107 | 257    | 20203   | SH | DEFINED | 0 |
| NUVEEN MICH QUALITY INCOME MUN | COM | 670979103 | 23     | 2000    | SH | DEFINED | 0 |
| NUVEEN INSD MUN OPPTY FD I     | COM | 670984103 | 146    | 12080   | SH | DEFINED | 0 |
| NUVEEN PREMIER INSD MUN INCOME | COM | 670987106 | 32     | 2511    | SH | DEFINED | 0 |
| NUVEEN PREMIER MUN INCOME FD   | COM | 670988104 | 294    | 25000   | SH | DEFINED | 0 |
| NUVEEN INSD N Y PREMINCOME MUN | COM | 67101R107 | 58     | 4775    | SH | DEFINED | 0 |
| OSI PHARMACEUTICALS INC        | COM | 671040103 | 84     | 2980    | SH | DEFINED | 0 |
| OSI PHARMACEUTICALS INC        | COM | 671040103 | 94     | 3342    | SH | DEFINED | 0 |
| OSI SYSTEMS INC                | COM | 671044105 | 1165   | 55831   | SH | DEFINED | 0 |
| OSI SYSTEMS INC                | COM | 671044105 | 100    | 4800    | SH | DEFINED | 0 |
| OSI SYSTEMS INC                | COM | 671044105 | 4      | 200     | SH | DEFINED | 0 |
| OCCAM NETWORKS INC DEL COM NE  | COM | 67457P309 | 1      | 195     | SH | DEFINED | 0 |
| OCCIDENTAL PETROLEUM CORP      | COM | 674599105 | 203475 | 3091832 | SH | DEFINED | 0 |
| OCCIDENTAL PETROLEUM CORP      | COM | 674599105 | 3419   | 51955   | SH | DEFINED | 0 |
| OCCIDENTAL PETROLEUM CORP      | COM | 674599105 | 59990  | 926440  | SH | DEFINED | 0 |
| OCCIDENTAL PETROLEUM CORP      | COM | 674599105 | 1488   | 22614   | SH | DEFINED | 0 |
| OCEANEERING INTL INC           | COM | 675232102 | 2962   | 65529   | SH | DEFINED | 0 |
| OCEANEERING INTL INC           | COM | 675232102 | 58     | 1150    | SH | DEFINED | 0 |
| OCEANEERING INTL INC           | COM | 675232102 | 19     | 415     | SH | DEFINED | 0 |
| OCEANFIRST FINANCIAL CORP      | COM | 675234108 | 216    | 18009   | SH | DEFINED | 0 |
| OCEANFIRST FINANCIAL CORP      | COM | 675234108 | 53     | 4400    | SH | DEFINED | 0 |
| OCWEN FINANCIAL CORP           | COM | 675746309 | 3985   | 307254  | SH | DEFINED | 0 |
| OCWEN FINANCIAL CORP           | COM | 675746309 | 27     | 2059    | SH | DEFINED | 0 |
| OCULUS INNOVATIVE SCIENCES I   | COM | 67575P108 | 92     | 26928   | SH | DEFINED | 0 |
| ODYSSEY MARINE EXPLORATIO      | COM | 676118102 | 2      | 1200    | SH | DEFINED | 0 |
| ODYSSEY HEALTHCARE INC         | COM | 67611V101 | 1659   | 161492  | SH | DEFINED | 0 |
| ODYSSEY RE HOLDINGS CORP       | COM | 67612W108 | 4691   | 117320  | SH | DEFINED | 0 |
| OFFICE DEPOT INC               | COM | 676220106 | 2506   | 549815  | SH | DEFINED | 0 |
| OFFICE DEPOT INC               | COM | 676220106 | 267    | 58631   | SH | DEFINED | 0 |
| OFFICE DEPOT INC               | COM | 676220106 | 74     | 16187   | SH | DEFINED | 0 |
| OFFICEMAX INC                  | COM | 67622P101 | 2768   | 440701  | SH | DEFINED | 0 |
| OFFICEMAX INC                  | COM | 67622P101 | 4      | 684     | SH | DEFINED | 0 |
| OHIO LEGACY CORP               | COM | 677399107 | 3      | 1113    | SH | DEFINED | 0 |
| LUKOIL-SPON ADR                | COM | 677862104 | 15532  | 349144  | SH | DEFINED | 0 |
| LUKOIL-SPON ADR                | COM | 677862104 | 11227  | 146400  | SH | DEFINED | 0 |
| LUKOIL-SPON ADR                | COM | 677862997 | 3648   | 82000   | SH | DEFINED | 0 |
| OIL SVC HOLDRS TR OIL SVC HO   | COM | 678002106 | 176    | 1800    | SH | DEFINED | 0 |
| OIL STATES INTERNATIONAL INC   | COM | 678026105 | 2240   | 92492   | SH | DEFINED | 0 |
| OIL STATES INTERNATIONAL INC   | COM | 678026105 | 89     | 2275    | SH | DEFINED | 0 |
| OIL STATES INTERNATIONAL INC   | COM | 678026105 | 1      | 57      | SH | DEFINED | 0 |
| OILSANDS QUEST INC             | COM | 678046103 | 314    | 327600  | SH | DEFINED | 0 |
| OILSANDS QUEST INC             | COM | 678046103 | 170    | 176871  | SH | DEFINED | 0 |
| OILSANDS QUEST INC WARRANT     | WT  | 678046111 | 0      | 11750   | SH | DEFINED | 0 |
| OILSANDS QUEST INC WARRANT     | WT  | 678046129 | 36     | 86600   | SH | DEFINED | 0 |
| ROSNEFT OIL CO OAO-GDR 144A    | COM | 67812M108 | 11586  | 2069005 | SH | DEFINED | 0 |
| OLD DOMINION FREIGHT LINE      | COM | 679580100 | 1145   | 34113   | SH | DEFINED | 0 |
| OLD NATL BANCORP/IN            | COM | 680033107 | 738    | 75190   | SH | DEFINED | 0 |
| OLD NATL BANCORP/IN            | COM | 680033107 | 3      | 297     | SH | DEFINED | 0 |
| OLD MUT CLAYMORE LONG SHORT    | COM | 68003N103 | 8      | 1067    | SH | DEFINED | 0 |
| OLD REPUBLIC INTL CORP         | COM | 680223104 | 494    | 50121   | SH | DEFINED | 0 |
| OLD REPUBLIC INTL CORP         | COM | 680223104 | 49     | 5024    | SH | DEFINED | 0 |
| OLD SECOND BANCORP INC         | COM | 680277100 | 89     | 15200   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |          |    |         |   |
|-------------------------------|-----|-----------|--------|----------|----|---------|---|
| OLD SECOND BANCORP INC        | COM | 680277100 | 28     | 4700     | SH | DEFINED | 0 |
| OLIN CORP                     | COM | 680665205 | 3908   | 328683   | SH | DEFINED | 0 |
| OLIN CORP                     | COM | 680665205 | 90     | 7600     | SH | DEFINED | 0 |
| OLYMPIC STEEL INC             | COM | 68162K106 | 1687   | 68894    | SH | DEFINED | 0 |
| OLYMPIC STEEL INC             | COM | 68162K106 | 3      | 121      | SH | DEFINED | 0 |
| OMNICARE INC                  | COM | 681904108 | 6199   | 240677   | SH | DEFINED | 0 |
| OMNICARE INC                  | COM | 681904108 | 710    | 27557    | SH | DEFINED | 0 |
| OMNICOM GROUP                 | COM | 681919106 | 663    | 21013    | SH | DEFINED | 0 |
| OMNICOM GROUP                 | COM | 681919106 | 478    | 15147    | SH | DEFINED | 0 |
| OMNICOM GROUP                 | COM | 681919106 | 250    | 7920     | SH | DEFINED | 0 |
| OMEGA HEALTHCARE INVESTORS    | COM | 681936100 | 1457   | 93860    | SH | DEFINED | 0 |
| OMEGA HEALTHCARE INVESTORS    | COM | 681936100 | 93     | 6000     | SH | DEFINED | 0 |
| OMEGA HEALTHCARE INVESTORS    | COM | 681936100 | 23     | 1470     | SH | DEFINED | 0 |
| OMNIVISION TECHNOLOGIES INC   | COM | 682128103 | 1813   | 174483   | SH | DEFINED | 0 |
| OMNIVISION TECHNOLOGIES INC   | COM | 682128103 | 42     | 3999     | SH | DEFINED | 0 |
| OMNIVISION TECHNOLOGIES INC   | COM | 682128103 | 118    | 11330    | SH | DEFINED | 0 |
| OMNOVA SOLUTIONS INC          | COM | 682129101 | 6      | 1800     | SH | DEFINED | 0 |
| OMNITURE INC                  | COM | 68212S109 | 3156   | 251301   | SH | DEFINED | 0 |
| OMNITURE INC                  | COM | 68212S109 | 36     | 2843     | SH | DEFINED | 0 |
| OMNITURE INC                  | COM | 68212S109 | 14     | 1100     | SH | DEFINED | 0 |
| OMNICELL INC                  | COM | 68213N109 | 317    | 29549    | SH | DEFINED | 0 |
| ON ASSIGNMENT INC             | COM | 682159108 | 466    | 119143   | SH | DEFINED | 0 |
| ON ASSIGNMENT INC             | COM | 682159108 | 138    | 35227    | SH | DEFINED | 0 |
| ON SEMICONDUCTOR CORPORATION  | COM | 682189105 | 13124  | 1913168  | SH | DEFINED | 0 |
| ON SEMICONDUCTOR CORPORATION  | COM | 682189105 | 27709  | 4043126  | SH | DEFINED | 0 |
| ON SEMICONDUCTOR CORPORATION  | COM | 682189105 | 22     | 3143     | SH | DEFINED | 0 |
| ON SEMICONDUCTOR CORPORATION  | COM | 682189105 | 1808   | 263600   | SH | DEFINED | 0 |
| ON SEMICONDUCTOR CORP COM     | COM | 682189105 | 1613   | 235062   | SH | DEFINED | 0 |
| ON SEMICONDUCTOR CORP 2.625   | COM | 682189AG0 | 1      | 1000     | SH | DEFINED | 0 |
| ONCOLYTICS BIOTECH INC ISIN # | COM | 682310107 | 20     | 12500    | SH | DEFINED | 0 |
| ONCOTHYREON INC COM           | COM | 682324108 | 22     | 5899     | SH | DEFINED | 0 |
| ONEOK INC                     | COM | 682680103 | 134    | 4550     | SH | DEFINED | 0 |
| ONEOK PARTNERS L P UNIT LTD P | COM | 68268N103 | 186    | 4068     | SH | DEFINED | 0 |
| ONLINE RESOURCES CORP         | COM | 68273G101 | 6      | 951      | SH | DEFINED | 0 |
| ONSTREAM MEDIA CORP COM       | COM | 682875109 | 0      | 20       | SH | DEFINED | 0 |
| ON2 TECHNOLOGIES INC          | COM | 68338A107 | 1      | 1900     | SH | DEFINED | 0 |
| ONYX PHARMACEUTICALS INC      | COM | 683399109 | 3629   | 128400   | SH | DEFINED | 0 |
| ONYX PHARMACEUTICALS INC      | COM | 683399109 | 60     | 2136     | SH | DEFINED | 0 |
| VIMPELCOM-SP ADR              | COM | 68370R109 | 313    | 26540    | SH | DEFINED | 0 |
| VIMPELCOM-SP ADR              | COM | 68370R109 | 23852  | 2026549  | SH | DEFINED | 0 |
| VIMPELCOM-SP ADR              | COM | 68370R109 | 1704   | 145379   | SH | DEFINED | 0 |
| VIMPELCOM-SP ADR              | COM | 68370R109 | 32     | 2700     | SH | DEFINED | 0 |
| OPEN TEXT CORP                | COM | 683715106 | 201582 | 5534927  | SH | DEFINED | 0 |
| OPENWAVE SYS INC COM NEW      | COM | 683718308 | 0      | 6        | SH | DEFINED | 0 |
| OPNET TECHNOLOGIES INC        | COM | 683757108 | 49     | 5400     | SH | DEFINED | 0 |
| OPLINK COMMUNICATIONS INC     | COM | 68375Q403 | 97     | 8500     | SH | DEFINED | 0 |
| OPNEXT INC                    | COM | 68375V105 | 39     | 18094    | SH | DEFINED | 0 |
| OPPENHEIMER HOLDINGS-CL A     | COM | 683797104 | 2299   | 108612   | SH | DEFINED | 0 |
| OPTIMAL GROUP INC CL A NEW F  | COM | 68388R208 | 0      | 400      | SH | DEFINED | 0 |
| ORACLE CORP.                  | COM | 68389X105 | 319095 | 14897073 | SH | DEFINED | 0 |
| ORACLE CORP.                  | COM | 68389X105 | 6326   | 295323   | SH | DEFINED | 0 |
| ORACLE CORP.                  | COM | 68389X105 | 5920   | 276378   | SH | DEFINED | 0 |
| ORACLE CORP.                  | COM | 68389X105 | 209890 | 9860316  | SH | DEFINED | 0 |
| ORACLE CORP.                  | COM | 68389X105 | 3987   | 186133   | SH | DEFINED | 0 |
| OPTIONSXPRESS HOLDINGS INC    | COM | 684010101 | 2201   | 141778   | SH | DEFINED | 0 |
| OPTIONSXPRESS HOLDINGS INC    | COM | 684010101 | 77     | 4970     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |       |         |    |         |   |
|------------------------------|-----|-----------|-------|---------|----|---------|---|
| ORAGENICS INC                | COM | 684023104 | 1     | 3263    | SH | DEFINED | 0 |
| ORASCOM CONSTRUCTIO-144A GDR | COM | 68554N205 | 5923  | 173473  | SH | DEFINED | 0 |
| ORASURE TECHNOLOGIESINC COM  | COM | 68554V108 | 8     | 3200    | SH | DEFINED | 0 |
| ORBCOMM INC                  | COM | 68555P100 | 2205  | 1253233 | SH | DEFINED | 0 |
| ORBCOMM INC                  | COM | 68555P100 | 67    | 37887   | SH | DEFINED | 0 |
| ORBITAL SCIENCES CORP        | COM | 685564106 | 983   | 64752   | SH | DEFINED | 0 |
| ORBITAL SCIENCES CORP        | COM | 685564106 | 22    | 1436    | SH | DEFINED | 0 |
| ORBITAL SCIENCES CORP 2.437  | COM | 685564AN6 | 1     | 1000    | SH | DEFINED | 0 |
| ORBITZ WORLDWIDE INC         | COM | 68557K109 | 2800  | 1473504 | SH | DEFINED | 0 |
| ORBITZ WORLDWIDE INC         | COM | 68557K109 | 39    | 20471   | SH | DEFINED | 0 |
| ORCHIDS PAPER PROBUCTS CO    | COM | 68572N104 | 36    | 1729    | SH | DEFINED | 0 |
| ORCHID CELLMARK INC          | COM | 68573C107 | 0     | 32      | SH | DEFINED | 0 |
| O'REILLY AUTOMOTIVE INC      | COM | 686091109 | 20373 | 535025  | SH | DEFINED | 0 |
| O'REILLY AUTOMOTIVE INC      | COM | 686091109 | 524   | 13757   | SH | DEFINED | 0 |
| O'REILLY AUTOMOTIVE INC      | COM | 686091109 | 46    | 1800    | SH | DEFINED | 0 |
| O'REILLY AUTOMOTIVE INC      | COM | 686091109 | 158   | 4137    | SH | DEFINED | 0 |
| OREXIGEN THERAPEUTI          | COM | 686164104 | 1     | 100     | SH | DEFINED | 0 |
| ORIENTAL FINANCIAL GROUP     | COM | 68618W100 | 338   | 34867   | SH | DEFINED | 0 |
| ORIENTAL FINANCIAL GROUP     | COM | 68618W100 | 47    | 4800    | SH | DEFINED | 0 |
| ORION MARINE GROUP INC       | COM | 68628V308 | 1067  | 56147   | SH | DEFINED | 0 |
| ORIX CORP ADR REPSNTG 1/     | COM | 686330101 | 0     | 15      | SH | DEFINED | 0 |
| ORMAT TECHNOLOGIES INC       | COM | 686688102 | 2245  | 55690   | SH | DEFINED | 0 |
| ORMAT TECHNOLOGIES INC       | COM | 686688102 | 32    | 798     | SH | DEFINED | 0 |
| ORMAT TECHNOLOGIES INC       | COM | 686688102 | 8     | 201     | SH | DEFINED | 0 |
| ORTHOVITA INC                | COM | 68750U102 | 70    | 13500   | SH | DEFINED | 0 |
| OSHKOSH CORP                 | COM | 688239201 | 15748 | 1083036 | SH | DEFINED | 0 |
| OSHKOSH CORP                 | COM | 688239201 | 84    | 5784    | SH | DEFINED | 0 |
| OSHKOSH CORP                 | COM | 688239201 | 58    | 3961    | SH | DEFINED | 0 |
| OSTEOTECH INC                | COM | 688582105 | 89    | 20330   | SH | DEFINED | 0 |
| OTELCO INC INCOME DEP        | COM | 688823202 | 39    | 3512    | SH | DEFINED | 0 |
| OTIX GLOBAL INC              | COM | 68906N101 | 1042  | 1336300 | SH | DEFINED | 0 |
| OTTER TAIL CORP FORMERLY O   | COM | 689648103 | 49    | 2247    | SH | DEFINED | 0 |
| OUTDOOR CHANNEL HOLDINGS INC | COM | 690027206 | 284   | 48303   | SH | DEFINED | 0 |
| OUTDOOR CHANNEL HOLDINGS INC | COM | 690027206 | 29    | 4900    | SH | DEFINED | 0 |
| OVERHILL FARMS INC           | COM | 690212105 | 52    | 9873    | SH | DEFINED | 0 |
| OVERSEAS SHIPHOLDING GROUP   | COM | 690368105 | 1381  | 40563   | SH | DEFINED | 0 |
| OVERSEAS SHIPHOLDING GROUP   | COM | 690368105 | 10    | 300     | SH | DEFINED | 0 |
| OVERSTOCK.COM INC            | COM | 690370101 | 38    | 3200    | SH | DEFINED | 0 |
| OVERSTOCK.COM INC            | COM | 690370101 | 2     | 200     | SH | DEFINED | 0 |
| OWENS & MINOR INC            | COM | 690732102 | 6228  | 142140  | SH | DEFINED | 0 |
| OWENS & MINOR INC            | COM | 690732102 | 1500  | 34231   | SH | DEFINED | 0 |
| OWENS CORNING                | COM | 690742101 | 195   | 15292   | SH | DEFINED | 0 |
| OWENS CORNING NEW WT EXP SER | WT  | 690742127 | 0     | 339     | SH | DEFINED | 0 |
| OWENS-ILLINOIS INC           | COM | 690768403 | 9846  | 351533  | SH | DEFINED | 0 |
| OWENS-ILLINOIS INC           | COM | 690768403 | 269   | 9610    | SH | DEFINED | 0 |
| OWENS-ILLINOIS INC           | COM | 690768403 | 18482 | 659883  | SH | DEFINED | 0 |
| OWENS-ILLINOIS INC           | COM | 690768403 | 16    | 577     | SH | DEFINED | 0 |
| OWENS-ILLINOIS INC           | COM | 690768403 | 1541  | 55000   | SH | DEFINED | 0 |
| OWENS ILL INC COM NEW        | COM | 690768403 | 1700  | 60691   | SH | DEFINED | 0 |
| OXFORD INDUSTRIES INC        | COM | 691497309 | 286   | 24515   | SH | DEFINED | 0 |
| OXFORD INDUSTRIES INC        | COM | 691497309 | 1     | 100     | SH | DEFINED | 0 |
| OXIGENE INC                  | COM | 691828107 | 9     | 4250    | SH | DEFINED | 0 |
| PC-TEL INC                   | COM | 69325Q105 | 121   | 22617   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| PDL BIOPHARMA INC              | COM | 69329Y104 | 1041  | 131798  | SH | DEFINED | 0 |
| PDL BIOPHARMA INC              | COM | 69329Y104 | 50    | 6277    | SH | DEFINED | 0 |
| P G & E CORP                   | COM | 69331C108 | 51518 | 1340217 | SH | DEFINED | 0 |
| P G & E CORP                   | COM | 69331C108 | 1141  | 29682   | SH | DEFINED | 0 |
| P G & E CORP                   | COM | 69331C108 | 341   | 8878    | SH | DEFINED | 0 |
| PHH CORP                       | COM | 693320202 | 954   | 52547   | SH | DEFINED | 0 |
| PHH CORP                       | COM | 693320202 | 53    | 2900    | SH | DEFINED | 0 |
| PHH CORP                       | COM | 693320202 | 1     | 72      | SH | DEFINED | 0 |
| PF CHANG'S CHINA BISTRO INC    | COM | 69333Y108 | 2114  | 65928   | SH | DEFINED | 0 |
| PF CHANG'S CHINA BISTRO INC    | COM | 69333Y108 | 8     | 241     | SH | DEFINED | 0 |
| PICO HOLDINGS INC              | COM | 693366205 | 9679  | 337313  | SH | DEFINED | 0 |
| PICO HOLDINGS INC              | COM | 693366205 | 1     | 30      | SH | DEFINED | 0 |
| PMA CAPITAL CORPORATION-CL A   | COM | 693419202 | 305   | 66821   | SH | DEFINED | 0 |
| PMC - SIERRA INC               | COM | 69344F106 | 28920 | 3633130 | SH | DEFINED | 0 |
| PMC - SIERRA INC               | COM | 69344F106 | 446   | 56075   | SH | DEFINED | 0 |
| PMC - SIERRA INC               | COM | 69344F106 | 26    | 3229    | SH | DEFINED | 0 |
| PMI GROUP INC                  | COM | 69344M101 | 1     | 680     | SH | DEFINED | 0 |
| PNC FINANCIAL SERVICES GROUP   | COM | 693475105 | 40767 | 1050414 | SH | DEFINED | 0 |
| PNC FINANCIAL SERVICES GROUP   | COM | 693475105 | 1224  | 31540   | SH | DEFINED | 0 |
| PNC FINANCIAL SERVICES GROUP   | COM | 693475105 | 1036  | 26708   | SH | DEFINED | 0 |
| PNC FINANCIAL SERVICES GROUP   | COM | 693475105 | 875   | 22554   | SH | DEFINED | 0 |
| PNC FINL SVCS GROUP PFD CONV S | PRF | 693475501 | 63    | 983     | SH | DEFINED | 0 |
| POSCO ADR                      | COM | 693483109 | 82    | 1000    | SH | DEFINED | 0 |
| POSCO ADR                      | COM | 693483109 | 133   | 1607    | SH | DEFINED | 0 |
| PNM RESOURCES INC              | COM | 69349H107 | 9071  | 846951  | SH | DEFINED | 0 |
| PPG INDUSTRIES INC             | COM | 693506107 | 49969 | 1138262 | SH | DEFINED | 0 |
| PPG INDUSTRIES INC             | COM | 693506107 | 652   | 14858   | SH | DEFINED | 0 |
| PPG INDUSTRIES INC             | COM | 693506107 | 172   | 3912    | SH | DEFINED | 0 |
| PPL CORPORATION                | COM | 69351T106 | 3103  | 94192   | SH | DEFINED | 0 |
| PPL CORPORATION                | COM | 69351T106 | 714   | 21657   | SH | DEFINED | 0 |
| PPL CORPORATION                | COM | 69351T106 | 648   | 19675   | SH | DEFINED | 0 |
| PRG-SCHULTZ INTERNATIONAL      | COM | 69357C503 | 64    | 23574   | SH | DEFINED | 0 |
| PS BUSINESS PARKS INC/CA       | COM | 69360J107 | 1502  | 31000   | SH | DEFINED | 0 |
| PS BUSINESS PARKS INC/CA       | COM | 69360J107 | 140   | 2900    | SH | DEFINED | 0 |
| PSS WORLD MEDICAL INC          | COM | 69366A100 | 4243  | 229208  | SH | DEFINED | 0 |
| PSS WORLD MEDICAL INC          | COM | 69366A100 | 7     | 371     | SH | DEFINED | 0 |
| PTV INC                        | PRF | 69367F306 | 0     | 17      | SH | DEFINED | 0 |
| PACCAR INC                     | COM | 693718108 | 8290  | 255044  | SH | DEFINED | 0 |
| PACCAR INC                     | COM | 693718108 | 803   | 24703   | SH | DEFINED | 0 |
| PACCAR INC                     | COM | 693718108 | 87    | 2692    | SH | DEFINED | 0 |
| PACER INTERNATIONAL INC        | COM | 69373H106 | 328   | 146837  | SH | DEFINED | 0 |
| PACIFIC CAPITAL BANCORP        | COM | 69404P101 | 13    | 5857    | SH | DEFINED | 0 |
| PACIFIC CAPITAL BANCORP        | COM | 69404P101 | 1     | 294     | SH | DEFINED | 0 |
| PACIFIC CONTINENTAL CORP       | COM | 69412V108 | 26    | 2136    | SH | DEFINED | 0 |
|                                |     |           |       |         |    |         |   |
| PACIFIC ETHANOL INC            | COM | 69423U107 | 20    | 52350   | SH | DEFINED | 0 |
| PACIFIC GAS & ELEC CO          | PRF | 694308206 | 314   | 12400   | SH | DEFINED | 0 |
| PACIFIC MERCANTILE BANCORP     | COM | 694552100 | 54    | 12582   | SH | DEFINED | 0 |
| PACIFIC SUNWEAR OF CALIF       | COM | 694873100 | 295   | 87432   | SH | DEFINED | 0 |
| PACKAGING CORP OF AMERICA      | COM | 695156109 | 11245 | 694126  | SH | DEFINED | 0 |
| PACKAGING CORP OF AMERICA      | COM | 695156109 | 1607  | 98973   | SH | DEFINED | 0 |
| PACKAGING CORP OF AMERICA      | COM | 695156109 | 10    | 605     | SH | DEFINED | 0 |
| PACTIV CORPORATION             | COM | 695257105 | 294   | 13531   | SH | DEFINED | 0 |
| PACTIV CORPORATION             | COM | 695257105 | 311   | 14334   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| PACTIV CORPORATION            | COM | 695257105 | 76     | 3501    | SH | DEFINED | 0 |
| PACWEST BANCORP               | COM | 695263103 | 2339   | 177778  | SH | DEFINED | 0 |
| PAETEC HLDG CORP              | COM | 695459107 | 50     | 18600   | SH | DEFINED | 0 |
| PAIN THERAPEUTICS INC         | COM | 69562K100 | 91     | 16900   | SH | DEFINED | 0 |
| PALATIN TECHNOLOGIESINC       | COM | 696077304 | 0      | 200     | SH | DEFINED | 0 |
| PALL CORP                     | COM | 696429307 | 99     | 3731    | SH | DEFINED | 0 |
| PALL CORP                     | COM | 696429307 | 134    | 5027    | SH | DEFINED | 0 |
| PALL CORP                     | COM | 696429307 | 116    | 4349    | SH | DEFINED | 0 |
| PALM INC                      | COM | 696643105 | 482    | 29080   | SH | DEFINED | 0 |
| PALM INC                      | COM | 696643105 | 390    | 23504   | SH | DEFINED | 0 |
| PALOMAR MEDICAL TECHNOLOGIES  | COM | 697529303 | 268    | 18274   | SH | DEFINED | 0 |
| PALOMAR MEDICAL TECHNOLOGIES  | COM | 697529303 | 13     | 913     | SH | DEFINED | 0 |
| PAN AMERICAN SILVER CORP      | COM | 697900108 | 1135   | 61899   | SH | DEFINED | 0 |
| PAN AMERICAN SILVER CORP      | COM | 697900108 | 88     | 4789    | SH | DEFINED | 0 |
| PANALPINA WELTTRANSPORT -REG  | COM | 697931996 | 527    | 7232    | SH | DEFINED | 0 |
| PANACOS PHARMACEUT            | COM | 69811Q106 | 0      | 700     | SH | DEFINED | 0 |
| PANASONIC CORP ADR            | COM | 69832A205 | 37     | 2777    | SH | DEFINED | 0 |
| PANERA BREAD COMPANY-CL A     | COM | 69840W108 | 884    | 17747   | SH | DEFINED | 0 |
| PANERA BREAD COMPANY-CL A     | COM | 69840W108 | 47     | 933     | SH | DEFINED | 0 |
| PANERA BREAD COMPANY-CL A     | COM | 69840W108 | 12     | 250     | SH | DEFINED | 0 |
| PANTRY INC                    | COM | 698657103 | 2024   | 121962  | SH | DEFINED | 0 |
| PANTRY INC                    | COM | 698657103 | 121    | 7300    | SH | DEFINED | 0 |
| PAPA JOHN'S INTL INC          | COM | 698813102 | 4873   | 196565  | SH | DEFINED | 0 |
| PAPA JOHN'S INTL INC          | COM | 698813102 | 3      | 136     | SH | DEFINED | 0 |
| PAR PHARMACEUTICAL COS INC    | COM | 69888P106 | 2311   | 152607  | SH | DEFINED | 0 |
| PAR PHARMACEUTICAL COS INC    | COM | 69888P106 | 83     | 5500    | SH | DEFINED | 0 |
| PAR PHARMACEUTICAL COS INC    | COM | 69888P106 | 2      | 100     | SH | DEFINED | 0 |
| PARAGON SHIPPING INC          | COM | 69913R309 | 25     | 6857    | SH | DEFINED | 0 |
| PARALLEL PETE CORP DEL        | COM | 699157103 | 1      | 300     | SH | DEFINED | 0 |
| PARAMETRIC TECHNOLOGY CORP    | COM | 699173209 | 87099  | 7450935 | SH | DEFINED | 0 |
| PARAMOUNT GOLD AND SILVER COR | COM | 69924P102 | 12     | 8000    | SH | DEFINED | 0 |
| PAREXEL INTERNATIONAL CORP    | COM | 699462107 | 1802   | 125264  | SH | DEFINED | 0 |
| PAREXEL INTERNATIONAL CORP    | COM | 699462107 | 2158   | 150089  | SH | DEFINED | 0 |
| PAREXEL INTERNATIONAL CORP    | COM | 699462107 | 255    | 17750   | SH | DEFINED | 0 |
| PARK ELECTROCHEMICAL CORP     | COM | 700416209 | 4373   | 203135  | SH | DEFINED | 0 |
|                               |     |           |        |         |    |         |   |
| PARK NATIONAL CORP            | COM | 700658107 | 1961   | 34735   | SH | DEFINED | 0 |
| PARK NATIONAL CORP            | COM | 700658107 | 28     | 500     | SH | DEFINED | 0 |
| PARK OHIO HLDGS CORP          | COM | 700666100 | 5      | 1500    | SH | DEFINED | 0 |
| PARKER DRILLING CO            | COM | 701081101 | 2993   | 689774  | SH | DEFINED | 0 |
| PARKER DRILLING CO            | COM | 701081101 | 33     | 7600    | SH | DEFINED | 0 |
| PARKER DRILLING CO            | COM | 701081101 | 35     | 8000    | SH | DEFINED | 0 |
| PARKER HANNIFIN CORP          | COM | 701094104 | 106608 | 2481560 | SH | DEFINED | 0 |
| PARKER HANNIFIN CORP          | COM | 701094104 | 462    | 10758   | SH | DEFINED | 0 |
| PARKER HANNIFIN CORP          | COM | 701094104 | 229    | 5320    | SH | DEFINED | 0 |
| PARKERVISION INC              | COM | 701354102 | 190    | 62000   | SH | DEFINED | 0 |
| PARKERVISION INC              | COM | 701354102 | 26     | 8344    | SH | DEFINED | 0 |
| PARKERVISION INC              | COM | 701354102 | 3      | 1000    | SH | DEFINED | 0 |
| PARKVALE FINL CORP            | COM | 701492100 | 9      | 1056    | SH | DEFINED | 0 |
| PARKWAY PROPERTIES INC        | COM | 70159Q104 | 1872   | 144030  | SH | DEFINED | 0 |
| PARKWAY PROPERTIES INC        | COM | 70159Q104 | 236    | 18399   | SH | DEFINED | 0 |
| PARTNER COMM CO LTD ADR       | COM | 70211M109 | 7      | 400     | SH | DEFINED | 0 |
| PARTICLE DRILLING TECHNOLOGI  | COM | 70212G101 | 0      | 9800    | SH | DEFINED | 0 |
| PATRIOT CAP FDG INC           | COM | 70335Y104 | 2      | 1400    | SH | DEFINED | 0 |
| PATRIOT COAL CORP             | COM | 70336T104 | 107    | 17000   | SH | DEFINED | 0 |
| PATRIOT COAL CORP             | COM | 70336T104 | 5      | 776     | SH | DEFINED | 0 |
| PATTERSON COS INC             | COM | 703395103 | 6566   | 302570  | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| PATTERSON COS INC              | COM | 703395103 | 157   | 7228    | SH | DEFINED | 0 |
| PATTERSON COS INC              | COM | 703395103 | 100   | 4591    | SH | DEFINED | 0 |
| PATTERSON-UTI ENERGY INC       | COM | 703481101 | 2537  | 197350  | SH | DEFINED | 0 |
| PATTERSON-UTI ENERGY INC       | COM | 703481101 | 53    | 4099    | SH | DEFINED | 0 |
| PATTERSON-UTI ENERGY INC       | COM | 703481101 | 968   | 75411   | SH | DEFINED | 0 |
| PATTERSON-UTI ENERGY INC       | COM | 703481101 | 16    | 1259    | SH | DEFINED | 0 |
| PAULSON CAP CORP               | COM | 703797100 | 0     | 400     | SH | DEFINED | 0 |
| PAYCHEX INC                    | COM | 704326107 | 14726 | 584381  | SH | DEFINED | 0 |
| PAYCHEX INC                    | COM | 704326107 | 707   | 28068   | SH | DEFINED | 0 |
| PAYCHEX INC                    | COM | 704326107 | 5911  | 223200  | SH | DEFINED | 0 |
| PAYCHEX INC                    | COM | 704326107 | 417   | 16536   | SH | DEFINED | 0 |
| PEABODY ENERGY CORP            | COM | 704549104 | 9766  | 323849  | SH | DEFINED | 0 |
| PEABODY ENERGY CORP            | COM | 704549104 | 345   | 11455   | SH | DEFINED | 0 |
| PEABODY ENERGY CORP            | COM | 704549104 | 813   | 26950   | SH | DEFINED | 0 |
| PEABODY ENERGY CORP JR SB CV 4 | COM | 704549AG9 | 2     | 3000    | SH | DEFINED | 0 |
| PEARSON PLC SPONS ADR          | COM | 705015105 | 1     | 115     | SH | DEFINED | 0 |
| PEETS COFFEE & TEA INC         | COM | 705560100 | 341   | 13530   | SH | DEFINED | 0 |
| PEETS COFFEE & TEA INC         | COM | 705560100 | 5     | 200     | SH | DEFINED | 0 |
| PEGASYSTEMS INC                | COM | 705573103 | 1100  | 41724   | SH | DEFINED | 0 |
| PENGROWTH ENERGY TR UNIT NEW I | COM | 706902509 | 265   | 33589   | SH | DEFINED | 0 |
| PENFORD CORP                   | COM | 707051108 | 74    | 12763   | SH | DEFINED | 0 |
| PENN NATIONAL GAMING INC       | COM | 707569109 | 12050 | 413966  | SH | DEFINED | 0 |
| PENN NATIONAL GAMING INC       | COM | 707569109 | 43    | 1600    | SH | DEFINED | 0 |
|                                |     |           |       |         |    |         |   |
| PENN NATIONAL GAMING INC       | COM | 707569109 | 84    | 2885    | SH | DEFINED | 0 |
| PENN NATIONAL GAMING INC       | COM | 707569109 | 2620  | 90000   | SH | DEFINED | 0 |
| PENN NATL GAMING INC           | COM | 707569109 | 1010  | 34711   | SH | DEFINED | 0 |
| PENN VIRGINIA CORP             | COM | 707882106 | 1740  | 106284  | SH | DEFINED | 0 |
| PENN VIRGINIA CORP             | COM | 707882106 | 14    | 848     | SH | DEFINED | 0 |
| PENN VA RESOURCE PARTNERS L    | COM | 707884102 | 153   | 11297   | SH | DEFINED | 0 |
| PENN WEST ENERGY TR TR UNIT IS | COM | 707885109 | 1093  | 85888   | SH | DEFINED | 0 |
| PENN VA GP HLDGS L P COM UN    | COM | 70788P105 | 4     | 300     | SH | DEFINED | 0 |
| PENNANTPARK INVESTMENT CORP    | COM | 708062104 | 181   | 25425   | SH | DEFINED | 0 |
| PENNANTPARK INVESTMENT CORP    | COM | 708062104 | 9     | 1240    | SH | DEFINED | 0 |
| J.C. PENNEY CO INC             | COM | 708160106 | 15676 | 546030  | SH | DEFINED | 0 |
| J.C. PENNEY CO INC             | COM | 708160106 | 357   | 12412   | SH | DEFINED | 0 |
| J.C. PENNEY CO INC             | COM | 708160106 | 317   | 10700   | SH | DEFINED | 0 |
| J.C. PENNEY CO INC             | COM | 708160106 | 208   | 7254    | SH | DEFINED | 0 |
| PENNEY J C INC                 | COM | 708160106 | 5202  | 181186  | SH | DEFINED | 0 |
| PENNICHUCK CORP                | COM | 708254206 | 29    | 1261    | SH | DEFINED | 0 |
| PENN REAL ESTATE INVEST TST    | COM | 709102107 | 224   | 44710   | SH | DEFINED | 0 |
| PENN REAL ESTATE INVEST TST    | COM | 709102107 | 4     | 900     | SH | DEFINED | 0 |
| PENSKE AUTOMOTIVE GROUP INC    | COM | 70959W103 | 24    | 1423    | SH | DEFINED | 0 |
| PENSON WORLDWIDE INC           | COM | 709600100 | 640   | 71470   | SH | DEFINED | 0 |
| PENSON WORLDWIDE INC           | COM | 709600100 | 56    | 6300    | SH | DEFINED | 0 |
| PENTAIR INC                    | COM | 709631105 | 229   | 8924    | SH | DEFINED | 0 |
| PEOPLES BANCORP INC            | COM | 709789101 | 319   | 18653   | SH | DEFINED | 0 |
| PEOPLES BANCORP INC            | COM | 709789101 | 49    | 2900    | SH | DEFINED | 0 |
| PEOPLES BANCORP INC            | COM | 709789101 | 17    | 1000    | SH | DEFINED | 0 |
| PEOPLE'S UNITED FINANCIAL      | COM | 712704105 | 19501 | 1296691 | SH | DEFINED | 0 |
| PEOPLE'S UNITED FINANCIAL      | COM | 712704105 | 461   | 30621   | SH | DEFINED | 0 |
| PEOPLE'S UNITED FINANCIAL      | COM | 712704105 | 34    | 1900    | SH | DEFINED | 0 |
| PEOPLE'S UNITED FINANCIAL      | COM | 712704105 | 271   | 17981   | SH | DEFINED | 0 |
| PEP BOYS-MANNY MOE & JACK      | COM | 713278109 | 559   | 55088   | SH | DEFINED | 0 |
| PEP BOYS-MANNY MOE & JACK      | COM | 713278109 | 3     | 250     | SH | DEFINED | 0 |
| PEPCO HOLDINGS INC             | COM | 713291102 | 32372 | 2408681 | SH | DEFINED | 0 |
| PEPCO HOLDINGS INC             | COM | 713291102 | 249   | 18505   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |          |    |         |   |
|-------------------------------|-----|-----------|--------|----------|----|---------|---|
| PEPCO HOLDINGS INC            | COM | 713291102 | 82     | 6072     | SH | DEFINED | 0 |
| PEPSI BOTTLING GROUP INC      | COM | 713409100 | 2597   | 76705    | SH | DEFINED | 0 |
| PEPSI BOTTLING GROUP INC      | COM | 713409100 | 446    | 13188    | SH | DEFINED | 0 |
| PEPSI BOTTLING GROUP INC      | COM | 713409100 | 38     | 1111     | SH | DEFINED | 0 |
| PEPSIAMERICAS INC             | COM | 71343P200 | 0      | 10       | SH | DEFINED | 0 |
| PEPSICO INC                   | COM | 713448108 | 96405  | 1754100  | SH | DEFINED | 0 |
| PEPSICO INC                   | COM | 713448108 | 2814   | 51208    | SH | DEFINED | 0 |
| PEPSICO INC                   | COM | 713448108 | 5616   | 102180   | SH | DEFINED | 0 |
| PEPSICO INC                   | COM | 713448108 | 94847  | 1713765  | SH | DEFINED | 0 |
| PEPSICO INC                   | COM | 713448108 | 4989   | 90779    | SH | DEFINED | 0 |
| PERDIGAO S A FORMERLY P       | COM | 71361V303 | 23     | 600      | SH | DEFINED | 0 |
| PEREGRINE PHARMACEUTICALS INC | COM | 713661106 | 6      | 7575     | SH | DEFINED | 0 |
| PERFECT WORLD CO LTDSPONSORED | COM | 71372U104 | 51     | 1790     | SH | DEFINED | 0 |
| PERFICIENT INC                | COM | 71375U101 | 257    | 36770    | SH | DEFINED | 0 |
| PERFICIENT INC                | COM | 71375U101 | 14     | 1950     | SH | DEFINED | 0 |
| PERICOM SEMICONDUCTOR CORP    | COM | 713831105 | 267    | 31737    | SH | DEFINED | 0 |
| PERKINELMER INC               | COM | 714046109 | 4958   | 284963   | SH | DEFINED | 0 |
| PERKINELMER INC               | COM | 714046109 | 176    | 10106    | SH | DEFINED | 0 |
| PERKINELMER INC               | COM | 714046109 | 57     | 3258     | SH | DEFINED | 0 |
| PERMIAN BASIN RTY TR          | COM | 714236106 | 253    | 23300    | SH | DEFINED | 0 |
| PEROT SYSTEMS CORP-CL A       | COM | 714265105 | 801    | 55876    | SH | DEFINED | 0 |
| PERRIGO CO                    | COM | 714290103 | 291    | 10490    | SH | DEFINED | 0 |
| PT TELEKOMUNIKASI INDONESIA   | COM | 715684106 | 6      | 200      | SH | DEFINED | 0 |
| PETMED EXPRESS INC            | COM | 716382106 | 4062   | 270290   | SH | DEFINED | 0 |
| PETMED EXPRESS INC            | COM | 716382106 | 127    | 8476     | SH | DEFINED | 0 |
| PETRO CANADA COM ISIN #CA71   | COM | 71644E102 | 49     | 1283     | SH | DEFINED | 0 |
| PETROCHINA CO LTD ADR         | COM | 71646E100 | 1955   | 17700    | SH | DEFINED | 0 |
| PETROCHINA CO LTD ADR         | COM | 71646E100 | 218    | 1973     | SH | DEFINED | 0 |
| PETROBRAS ENERGIA PARTICIPAC  | COM | 71646M102 | 22     | 3759     | SH | DEFINED | 0 |
| PETROHAWK ENERGY CORP         | COM | 716495106 | 9063   | 406408   | SH | DEFINED | 0 |
| PETROHAWK ENERGY CORP         | COM | 716495106 | 42     | 1864     | SH | DEFINED | 0 |
| PETROHAWK ENERGY CORP         | COM | 716495106 | 113    | 5050     | SH | DEFINED | 0 |
| PETROLEUM & RES CORP          | COM | 716549100 | 100    | 4880     | SH | DEFINED | 0 |
| PETROLEO BRASILEIRO-SPON ADR  | PRF | 71654V101 | 67983  | 2041338  | SH | DEFINED | 0 |
| PETROLEO BRASILEIRO-SPON ADR  | PRF | 71654V101 | 22     | 654      | SH | DEFINED | 0 |
| PETROLEO BRASILEIRO S.A.-ADR  | COM | 71654V408 | 82836  | 2021410  | SH | DEFINED | 0 |
| PETROLEO BRASILEIRO S.A.-ADR  | COM | 71654V408 | 67824  | 1655072  | SH | DEFINED | 0 |
| PETROLEO BRASILEIRO S.A.-ADR  | COM | 71654V408 | 347    | 8458     | SH | DEFINED | 0 |
| PETROLEO BRASILEIRO S.A.-ADR  | COM | 71654V408 | 60208  | 1472418  | SH | DEFINED | 0 |
| PETROLEO BRASILEIRO S.A.-ADR  | COM | 71654V408 | 2560   | 62473    | SH | DEFINED | 0 |
| PETROLEUM DEVELOPMENT CORP    | COM | 716578109 | 295    | 18762    | SH | DEFINED | 0 |
| PETROLEUM DEVELOPMENT CORP    | COM | 716578109 | 9      | 600      | SH | DEFINED | 0 |
| PETROQUEST ENERGY INC         | COM | 716748108 | 106    | 28783    | SH | DEFINED | 0 |
| PETSMART INC                  | COM | 716768106 | 1452   | 67633    | SH | DEFINED | 0 |
| PETSMART INC                  | COM | 716768106 | 127    | 5906     | SH | DEFINED | 0 |
| PFIZER INC                    | COM | 717081103 | 448824 | 29921585 | SH | DEFINED | 0 |
| PFIZER INC                    | COM | 717081103 | 7069   | 471232   | SH | DEFINED | 0 |
| PFIZER INC                    | COM | 717081103 | 165530 | 11042303 | SH | DEFINED | 0 |
| PFIZER INC                    | COM | 717081103 | 4827   | 321830   | SH | DEFINED | 0 |
| PHARMACEUTICAL PRODUCT DEVEL  | COM | 717124101 | 8980   | 386700   | SH | DEFINED | 0 |
| PHARMACEUTICAL PRODUCT DEVEL  | COM | 717124101 | 8270   | 251700   | SH | DEFINED | 0 |
| PHARMACEUTICAL PRODUCT DEVEL  | COM | 717124101 | 13     | 549      | SH | DEFINED | 0 |
| PHARMACEUTICAL HOLDRs TRUST   | COM | 71712A206 | 36     | 600      | SH | DEFINED | 0 |
| PHARMSTANDARD-CLS             | COM | 717137996 | 5908   | 176528   | SH | DEFINED | 0 |
| PHARMOS CORP COM PAR \$.      | COM | 717139307 | 0      | 27       | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| PHARMERICA CORP                | COM | 71714F104 | 1122   | 57134   | SH | DEFINED | 0 |
| PHARMERICA CORP                | COM | 71714F104 | 2      | 119     | SH | DEFINED | 0 |
| PHASE FORWARD INC              | COM | 71721R406 | 1425   | 94237   | SH | DEFINED | 0 |
| PHILIP MORRIS INTERNATIONAL    | COM | 718172109 | 151082 | 3463569 | SH | DEFINED | 0 |
| PHILIP MORRIS INTERNATIONAL    | COM | 718172109 | 2633   | 60363   | SH | DEFINED | 0 |
| PHILIP MORRIS INTERNATIONAL    | COM | 718172109 | 5890   | 135013  | SH | DEFINED | 0 |
| PHILIP MORRIS INTERNATIONAL    | COM | 718172109 | 57632  | 1316407 | SH | DEFINED | 0 |
| PHILIP MORRIS INTERNATIONAL    | COM | 718172109 | 4876   | 111775  | SH | DEFINED | 0 |
| PHILIP MORRIS INTL INC COM     | COM | 718172109 | 5651   | 129556  | SH | DEFINED | 0 |
| PHILIPPINE LNG DIS SPON ADR-RE | COM | 718252604 | 3703   | 74646   | SH | DEFINED | 0 |
| PHILIPPINE LNG DIS SPON ADR-RE | COM | 718252604 | 1545   | 31134   | SH | DEFINED | 0 |
| PHILIPPINE LONG DIST -SP ADR   | COM | 718252604 | 711    | 14300   | SH | DEFINED | 0 |
| PHILIPPINE LONG DIST -SP ADR   | COM | 718252604 | 8174   | 145100  | SH | DEFINED | 0 |
| PHILLIPS-VAN HEUSEN            | COM | 718592108 | 473    | 16500   | SH | DEFINED | 0 |
| PHILLIPS-VAN HEUSEN            | COM | 718592108 | 7      | 237     | SH | DEFINED | 0 |
| THE PHOENIX COS INC            | COM | 71902E109 | 1      | 670     | SH | DEFINED | 0 |
| PHOENIX TECHNOLOGIES LTD       | COM | 719153108 | 53     | 19606   | SH | DEFINED | 0 |
| PHOTRONICS INC FRMLY PHOT      | COM | 719405102 | 1      | 200     | SH | DEFINED | 0 |
| PIEDMONT NATURAL GAS CO        | COM | 720186105 | 3827   | 158702  | SH | DEFINED | 0 |
| PIEDMONT NATURAL GAS CO        | COM | 720186105 | 96     | 4000    | SH | DEFINED | 0 |
| PIEDMONT NATURAL GAS CO        | COM | 720186105 | 55     | 2265    | SH | DEFINED | 0 |
| PIKE ELECTRIC CORP             | COM | 721283109 | 5134   | 426120  | SH | DEFINED | 0 |
| PIKE ELECTRIC CORP             | COM | 721283109 | 4      | 300     | SH | DEFINED | 0 |
| PIMCO CALIF MUN INCOME FD II   | COM | 72200M108 | 5      | 584     | SH | DEFINED | 0 |
| PIMCO CALIF MUN INCOME FD      | COM | 72200N106 | 14     | 1324    | SH | DEFINED | 0 |
| PIMCO MUN INCOME FD COM        | COM | 72200R107 | 20     | 1768    | SH | DEFINED | 0 |
| PIMCO NEW YORK MUN INCOME FD   | COM | 72200T103 | 0      | 6       | SH | DEFINED | 0 |
| PIMCO CORPORATE INCOME FD      | COM | 72200U100 | 124    | 11482   | SH | DEFINED | 0 |
| PIMCO MUN INCOME FD II COM     | COM | 72200W106 | 59     | 6351    | SH | DEFINED | 0 |
| PIMCO STRATEGIC GLOBAL GOV     | COM | 72200X104 | 57     | 6456    | SH | DEFINED | 0 |
| PIMCO NEW YORK MUN FD II COM   | COM | 72200Y102 | 101    | 9947    | SH | DEFINED | 0 |
| PIMCO GLOBAL STOCKSPUS         | COM | 722011103 | 37     | 2769    | SH | DEFINED | 0 |
| PIMCO HIGH INCOME FDCOM SHS    | COM | 722014107 | 207    | 23166   | SH | DEFINED | 0 |
| PIMCO MUN INCOME FD III        | COM | 72201A103 | 300    | 31030   | SH | DEFINED | 0 |
| PIMCO CORPORATE OPPORTUNIT     | COM | 72201B101 | 680    | 60426   | SH | DEFINED | 0 |
| PIMCO CALIF MUN INCOME FD      | COM | 72201C109 | 0      | 6       | SH | DEFINED | 0 |
| PIMCO NEW YORK MUN INCOME FD   | COM | 72201E105 | 11     | 1326    | SH | DEFINED | 0 |
| PIMCO FLOATING RATE INCOME FD  | COM | 72201H108 | 418    | 49192   | SH | DEFINED | 0 |
| PIMCO FLOATING RATE STRATEGY F | COM | 72201J104 | 64     | 8504    | SH | DEFINED | 0 |
| PIMCO INCOME OPPORTUNIT        | COM | 72202B100 | 37     | 2000    | SH | DEFINED | 0 |
| PINNACLE ENTERTAINMENT INC     | COM | 723456109 | 3392   | 365096  | SH | DEFINED | 0 |
| PINNACLE ENTERTAINMENT INC     | COM | 723456109 | 61     | 6491    | SH | DEFINED | 0 |
| PINNACLE ENTERTAINMENT INC     | COM | 723456109 | 20     | 2185    | SH | DEFINED | 0 |
| PINNACLE FINANCIAL PARTNERS    | COM | 72346Q104 | 362    | 27169   | SH | DEFINED | 0 |
| PINNACLE FINANCIAL PARTNERS    | COM | 72346Q104 | 10     | 740     | SH | DEFINED | 0 |
| PINNACLE WEST CAPITAL          | COM | 723484101 | 37235  | 1234966 | SH | DEFINED | 0 |
| PINNACLE WEST CAPITAL          | COM | 723484101 | 303    | 10034   | SH | DEFINED | 0 |
| PINNACLE WEST CAPITAL          | COM | 723484101 | 68     | 2239    | SH | DEFINED | 0 |
| PIONEER DIVERSIFIED HIGH INCOM | COM | 723653101 | 92     | 6000    | SH | DEFINED | 0 |
| PIONEER DRILLING COMPANY       | COM | 723655106 | 868    | 180880  | SH | DEFINED | 0 |
| PIONEER DRILLING COMPANY       | COM | 723655106 | 10     | 2050    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| PIONEER HIGH INCOME TR         | COM | 72369H106 | 207   | 18511   | SH | DEFINED | 0 |
| PIONEER MUN HIGH INCOME ADV    | COM | 723762100 | 63    | 6335    | SH | DEFINED | 0 |
| PIONEER NATURAL RESOURCES CO   | COM | 723787107 | 38907 | 1525737 | SH | DEFINED | 0 |
| PIONEER NATURAL RESOURCES CO   | COM | 723787107 | 390   | 15284   | SH | DEFINED | 0 |
| PIONEER NATURAL RESOURCES CO   | COM | 723787107 | 1228  | 48261   | SH | DEFINED | 0 |
| PIONEER NATURAL RESOURCES CO   | COM | 723787107 | 322   | 12616   | SH | DEFINED | 0 |
| PIPER JAFFRAY COS              | COM | 724078100 | 1012  | 23175   | SH | DEFINED | 0 |
| PIPER JAFFRAY COS              | COM | 724078100 | 15    | 353     | SH | DEFINED | 0 |
| PITNEY BOWES INC               | COM | 724479100 | 7323  | 333915  | SH | DEFINED | 0 |
| PITNEY BOWES INC               | COM | 724479100 | 372   | 16979   | SH | DEFINED | 0 |
| PITNEY BOWES INC               | COM | 724479100 | 155   | 7055    | SH | DEFINED | 0 |
| PLAINS ALL AMERICAN PIPELINE L | COM | 726503105 | 136   | 3205    | SH | DEFINED | 0 |
| PLAINS EXPLORATION & PRODUCT   | COM | 726505100 | 254   | 9297    | SH | DEFINED | 0 |
| PLAINS EXPLORATION & PRODUCT   | COM | 726505100 | 1     | 48      | SH | DEFINED | 0 |
| PLAINS EXPLORATION & PRODUCT   | COM | 726505100 | 42    | 1532    | SH | DEFINED | 0 |
| PLASTECH ENGINEERED B UNITS    | COM | 726634553 | 0     | 6657    | SH | DEFINED | 0 |
| PLASTECH ENGINEERED C UNITS    | COM | 726634660 | 0     | 8198    | SH | DEFINED | 0 |
| PLANTRONICS INC                | COM | 727493108 | 1627  | 86074   | SH | DEFINED | 0 |
| PLANTRONICS INC                | COM | 727493108 | 70    | 3700    | SH | DEFINED | 0 |
| PLATO LEARNING INC             | COM | 72764Y100 | 51    | 12627   | SH | DEFINED | 0 |
| PLEXUS CORP                    | COM | 729132100 | 14532 | 710286  | SH | DEFINED | 0 |
| PLUG PWR INC                   | COM | 72919P103 | 3     | 3720    | SH | DEFINED | 0 |
| PLUM CREEK TIMBER CO           | COM | 729251108 | 1497  | 50288   | SH | DEFINED | 0 |
| PLUM CREEK TIMBER CO           | COM | 729251108 | 3126  | 104974  | SH | DEFINED | 0 |
| PLUM CREEK TIMBER CO           | COM | 729251108 | 323   | 10841   | SH | DEFINED | 0 |
| PLUM CREEK TIMBER CO           | COM | 729251108 | 16962 | 569555  | SH | DEFINED | 0 |
| PLUM CREEK TIMBER CO           | COM | 729251108 | 611   | 20523   | SH | DEFINED | 0 |
| PLUMAS BANCORP COM             | COM | 729273102 | 11    | 2250    | SH | DEFINED | 0 |
| POLARIS INDUSTRIES INC         | COM | 731068102 | 1987  | 61889   | SH | DEFINED | 0 |
| POLARIS INDUSTRIES INC         | COM | 731068102 | 6     | 200     | SH | DEFINED | 0 |
| POLO RALPH LAUREN CORP         | COM | 731572103 | 142   | 2637    | SH | DEFINED | 0 |
| POLO RALPH LAUREN CORP         | COM | 731572103 | 106   | 1988    | SH | DEFINED | 0 |
| POLO RALPH LAUREN CORP         | COM | 731572103 | 11    | 200     | SH | DEFINED | 0 |
| POLYCOM INC                    | COM | 73172K104 | 16179 | 798100  | SH | DEFINED | 0 |
| POLYCOM INC                    | COM | 73172K104 | 21    | 1026    | SH | DEFINED | 0 |
| POLYONE CORPORATION            | COM | 73179P106 | 5684  | 2097762 | SH | DEFINED | 0 |
| POLYONE CORPORATION            | COM | 73179P106 | 99    | 36700   | SH | DEFINED | 0 |

|                                |     |           |       |        |    |         |   |
|--------------------------------|-----|-----------|-------|--------|----|---------|---|
| POLYMET MINING CORP ISIN #CA73 | COM | 731916102 | 3     | 2500   | SH | DEFINED | 0 |
| PONIARD PHARMACEUT             | COM | 732449301 | 0     | 9      | SH | DEFINED | 0 |
| POOL CORP                      | COM | 73278L105 | 775   | 46790  | SH | DEFINED | 0 |
| POPULAR INC                    | COM | 733174106 | 240   | 108737 | SH | DEFINED | 0 |
| POPULAR INC                    | COM | 733174106 | 3     | 1175   | SH | DEFINED | 0 |
| PORTEC RAIL PRODUCTSINC        | COM | 736212101 | 3     | 330    | SH | DEFINED | 0 |
| PORTFOLIO RECOVERY ASSOCIATE   | COM | 73640Q105 | 942   | 24320  | SH | DEFINED | 0 |
| PORTFOLIO RECOVERY ASSOCIATE   | COM | 73640Q105 | 27    | 700    | SH | DEFINED | 0 |
| PORTFOLIO RECOVERY ASSOCIATE   | COM | 73640Q105 | 15    | 390    | SH | DEFINED | 0 |
| PORTLAND GENERAL ELECTRIC CO   | COM | 736508847 | 6288  | 322744 | SH | DEFINED | 0 |
| PORTLAND GENERAL ELECTRIC CO   | COM | 736508847 | 51    | 3100   | SH | DEFINED | 0 |
| PORTLAND GENERAL ELECTRIC CO   | COM | 736508847 | 0     | 4      | SH | DEFINED | 0 |
| PORTUGAL TELECOM SA ADR        | COM | 737273102 | 7     | 753    | SH | DEFINED | 0 |
| POST PROPERTIES INC            | COM | 737464107 | 674   | 50125  | SH | DEFINED | 0 |
| POTASH CORP OF SASKATCHEWAN    | COM | 73755L107 | 41143 | 442142 | SH | DEFINED | 0 |
| POTASH CORP OF SASKATCHEWAN    | COM | 73755L107 | 27    | 287    | SH | DEFINED | 0 |
| POTASH CORP OF SASKATCHEWAN    | COM | 73755L107 | 189   | 1617   | SH | DEFINED | 0 |
| POTASH CORP OF SASKATCHEWAN    | COM | 73755L107 | 886   | 9518   | SH | DEFINED | 0 |
| POTLATCH CORP NEW COM          | COM | 737630103 | 25    | 1031   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |      |        |    |         |   |
|--------------------------------|-----|-----------|------|--------|----|---------|---|
| POWELL INDUSTRIES INC          | COM | 739128106 | 104  | 2798   | SH | DEFINED | 0 |
| POWELL INDUSTRIES INC          | COM | 739128106 | 7    | 200    | SH | DEFINED | 0 |
| POWER INTEGRATIONS INC         | COM | 739276103 | 1757 | 73847  | SH | DEFINED | 0 |
| POWER INTEGRATIONS INC         | COM | 739276103 | 6    | 271    | SH | DEFINED | 0 |
| POWER-ONE INC                  | COM | 739308104 | 16   | 10994  | SH | DEFINED | 0 |
| POWERSHARES INDIA EXCHANGE T   | COM | 73935L100 | 33   | 1825   | SH | DEFINED | 0 |
| POWERSHARES DB COMMODITY       | COM | 73935S105 | 5013 | 221632 | SH | DEFINED | 0 |
| POWERSHARES DYNAMIC MRKT PORTF | COM | 73935X104 | 197  | 5965   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGE T         | COM | 73935X153 | 123  | 8500   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X161 | 46   | 2482   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X179 | 28   | 1795   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X195 | 8    | 1043   | SH | DEFINED | 0 |
| POWERSHARES DYNAMIC OTC POTFOL | COM | 73935X203 | 38   | 1111   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X229 | 919  | 63263  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X278 | 57   | 2756   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X286 | 17   | 1004   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X302 | 429  | 70801  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X336 | 30   | 2628   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X351 | 10   | 513    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X369 | 197  | 10241  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X377 | 4    | 250    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X385 | 4    | 163    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X393 | 22   | 1000   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X401 | 142  | 6694   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X427 | 8    | 362    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X435 | 191  | 12378  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X443 | 65   | 3833   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X500 | 1049 | 104087 | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X567 | 590  | 15776  | SH | DEFINED | 0 |
| POWERSHARES WATER PORT         | COM | 73935X575 | 2083 | 141482 | SH | DEFINED | 0 |
| POWERSHARES FTSE RAFI          | COM | 73935X583 | 971  | 26083  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X591 | 23   | 1668   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X609 | 88   | 7635   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X625 | 714  | 52413  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X633 | 126  | 14594  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X641 | 2    | 183    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X658 | 122  | 8877   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X666 | 9    | 850    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X682 | 244  | 25142  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X690 | 49   | 3505   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X708 | 2252 | 157797 | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X716 | 155  | 13543  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X732 | 264  | 24940  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X740 | 240  | 28322  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGE TRADED FD | COM | 73935X757 | 33   | 3001   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGED-         | COM | 73935X765 | 4    | 305    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X773 | 138  | 8279   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X781 | 3    | 300    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X799 | 8    | 527    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X807 | 1114 | 78671  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X849 | 12   | 931    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X856 | 106  | 7566   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X864 | 130  | 12450  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X872 | 154  | 13940  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD  | COM | 73935X880 | 288  | 25316  | SH | DEFINED | 0 |
| POWERSHARES DB G10 CURRENCY H  | COM | 73935Y102 | 412  | 19154  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                    |     |           |       |        |    |         |   |
|------------------------------------|-----|-----------|-------|--------|----|---------|---|
| POWERWAVE TECH INC                 | COM | 739363109 | 9     | 5900   | SH | DEFINED | 0 |
| POWERSHARES DB MULTISECTOR COM COM | COM | 73936B101 | 5     | 200    | SH | DEFINED | 0 |
| POWERSHARES DB MULTISECTOR COM COM | COM | 73936B200 | 80    | 2533   | SH | DEFINED | 0 |
| POWERSHARES DB MULTISECTOR COM COM | COM | 73936B309 | 73    | 2980   | SH | DEFINED | 0 |
| POWERSHARES DB MULTISECTOR COM COM | COM | 73936B408 | 891   | 35006  | SH | DEFINED | 0 |
| POWERSHARES DB MULTISECTOR COM COM | COM | 73936B507 | 130   | 5140   | SH | DEFINED | 0 |
| POWERSHARES DB MULTISECTOR COM COM | COM | 73936B606 | 88    | 2611   | SH | DEFINED | 0 |
| POWERSHARES DB MULTISECTOR COM COM | COM | 73936B705 | 111   | 7393   | SH | DEFINED | 0 |
| POWERSHARES DB U S DLR INDEX COM   | COM | 73936D107 | 67    | 2795   | SH | DEFINED | 0 |
| POWERSHARES DB U S DLR INDEX COM   | COM | 73936D206 | 296   | 11060  | SH | DEFINED | 0 |
| POWERSHARSE GLOBAL EXCHANGE T COM  | COM | 73936G308 | 77    | 4168   | SH | DEFINED | 0 |
| POWERSECURE INTL INCCOM            | COM | 73936N105 | 4     | 1000   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD COM  | COM | 73936Q108 | 35    | 2400   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD COM  | COM | 73936Q207 | 12    | 1051   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD COM  | COM | 73936Q504 | 44    | 4184   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD COM  | COM | 73936Q868 | 6     | 342    | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD COM  | COM | 73936Q876 | 74    | 2444   | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T433 | 190   | 7597   | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T458 | 46    | 2094   | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T466 | 0     | 61     | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T474 | 201   | 8996   | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T524 | 27    | 980    | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T557 | 54    | 3300   | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T565 | 54    | 4366   | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T573 | 407   | 17241  | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T615 | 167   | 11318  | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T623 | 209   | 14033  | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T763 | 242   | 13929  | SH | DEFINED | 0 |
| POWERSHARES GOLBAL EXCHANGE T COM  | COM | 73936T771 | 105   | 5984   | SH | DEFINED | 0 |
| POWERSHARES GLOBAL EXCHANGE T COM  | COM | 73936T789 | 692   | 22411  | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD COM  | COM | 73937B100 | 26    | 1519   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD COM  | COM | 73937B209 | 215   | 6696   | SH | DEFINED | 0 |
| POWERSHARES EXCHANGETRADED FD COM  | COM | 73937B308 | 20    | 1317   | SH | DEFINED | 0 |
| POZEN INC                          | COM | 73941U102 | 67    | 8700   | SH | DEFINED | 0 |
| PRAXAIR INC                        | COM | 74005P104 | 11742 | 165212 | SH | DEFINED | 0 |
| PRAXAIR INC                        | COM | 74005P104 | 1378  | 19382  | SH | DEFINED | 0 |
| PRAXAIR INC                        | COM | 74005P104 | 16406 | 230460 | SH | DEFINED | 0 |
| PRAXAIR INC                        | COM | 74005P104 | 707   | 9951   | SH | DEFINED | 0 |
| PRAXAIR INC                        | COM | 74005P104 | 3832  | 53925  | SH | DEFINED | 0 |
| PREPAID LEGAL SERVICES INC         | COM | 740065107 | 595   | 13641  | SH | DEFINED | 0 |
| PRECISION CASTPARTS CORP           | COM | 740189105 | 11063 | 151490 | SH | DEFINED | 0 |
| PRECISION CASTPARTS CORP           | COM | 740189105 | 550   | 7534   | SH | DEFINED | 0 |
| PRECISION CASTPARTS CORP           | COM | 740189105 | 229   | 2810   | SH | DEFINED | 0 |
| PRECISION CASTPARTS CORP           | COM | 740189105 | 647   | 8866   | SH | DEFINED | 0 |
| PRECISION DRILLING TR UNIT IS      | COM | 740215108 | 370   | 75761  | SH | DEFINED | 0 |
| PREFERRED BLOCKER INC              | PRF | 74038A207 | 75    | 175    | SH | DEFINED | 0 |
| PREMIERE GLOBAL SERVICES INC       | COM | 740585104 | 1622  | 149800 | SH | DEFINED | 0 |
| PREMIERE GLOBAL SERVICES INC       | COM | 740585104 | 52    | 4814   | SH | DEFINED | 0 |
| PREMIERE GLOBAL SERVICES INC       | COM | 740585104 | 9     | 825    | SH | DEFINED | 0 |
| PRESIDENTIAL LIFE                  | COM | 740884101 | 185   | 24487  | SH | DEFINED | 0 |
| PRESSTEK INC                       | COM | 741113104 | 67    | 48520  | SH | DEFINED | 0 |
| T ROWE PRICE GROUP INC             | COM | 74144T108 | 4979  | 119480 | SH | DEFINED | 0 |
| T ROWE PRICE GROUP INC             | COM | 74144T108 | 526   | 12625  | SH | DEFINED | 0 |
| T ROWE PRICE GROUP INC             | COM | 74144T108 | 252   | 6040   | SH | DEFINED | 0 |
| PRICELINE.COM INC                  | COM | 741503403 | 1443  | 12940  | SH | DEFINED | 0 |
| PRICELINE.COM INC                  | COM | 741503403 | 41    | 365    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                            |     |           |        |         |    |         |   |
|----------------------------|-----|-----------|--------|---------|----|---------|---|
| PRICESMART INC             | COM | 741511109 | 8      | 488     | SH | DEFINED | 0 |
| PRIDE INTERNATIONAL INC    | COM | 74153Q102 | 1029   | 41046   | SH | DEFINED | 0 |
| PRIDE INTERNATIONAL INC    | COM | 74153Q102 | 453    | 18075   | SH | DEFINED | 0 |
| PRIMEDIA INC               | COM | 74157K846 | 53     | 26300   | SH | DEFINED | 0 |
| PRIMEDIA INC               | COM | 74157K846 | 17     | 8300    | SH | DEFINED | 0 |
| PRINCETON NATL BANCORP     | COM | 742282106 | 15     | 1000    | SH | DEFINED | 0 |
| PRINCIPAL FINANCIAL GROUP  | COM | 74251V102 | 28087  | 1490792 | SH | DEFINED | 0 |
| PRINCIPAL FINANCIAL GROUP  | COM | 74251V102 | 327    | 17367   | SH | DEFINED | 0 |
| PRINCIPAL FINANCIAL GROUP  | COM | 74251V102 | 44     | 2323    | SH | DEFINED | 0 |
| PROASSURANCE CORP          | COM | 74267C106 | 2846   | 61589   | SH | DEFINED | 0 |
| PROASSURANCE CORP          | COM | 74267C106 | 3      | 75      | SH | DEFINED | 0 |
| PROCERA NETWORKS INC       | COM | 74269U104 | 13     | 20000   | SH | DEFINED | 0 |
| PROCTER & GAMBLE CO/THE    | COM | 742718109 | 278733 | 5454658 | SH | DEFINED | 0 |
| PROCTER & GAMBLE CO/THE    | COM | 742718109 | 10194  | 199487  | SH | DEFINED | 0 |
| PROCTER & GAMBLE CO/THE    | COM | 742718109 | 35394  | 663578  | SH | DEFINED | 0 |
| PROCTER & GAMBLE CO/THE    | COM | 742718109 | 11788  | 230689  | SH | DEFINED | 0 |
| PRIVATEBANCORP INC         | COM | 742962103 | 854    | 38377   | SH | DEFINED | 0 |
| PRIVATEBANCORP INC         | COM | 742962103 | 14     | 651     | SH | DEFINED | 0 |
| PROGENICS PHARMACEUTICALS  | COM | 743187106 | 66     | 12800   | SH | DEFINED | 0 |
| PROGRESS ENERGY INC        | COM | 743263105 | 31075  | 821401  | SH | DEFINED | 0 |
| PROGRESS ENERGY INC        | COM | 743263105 | 523    | 13824   | SH | DEFINED | 0 |
| PROGRESS ENERGY INC        | COM | 743263105 | 287    | 7583    | SH | DEFINED | 0 |
| PROGRESS SOFTWARE CORP     | COM | 743312100 | 2449   | 115737  | SH | DEFINED | 0 |
| PROGRESS SOFTWARE CORP     | COM | 743312100 | 32     | 1500    | SH | DEFINED | 0 |
| PROGRESSIVE CORP           | COM | 743315103 | 65303  | 4321873 | SH | DEFINED | 0 |
| PROGRESSIVE CORP           | COM | 743315103 | 508    | 33611   | SH | DEFINED | 0 |
| PROGRESSIVE CORP           | COM | 743315103 | 1951   | 129137  | SH | DEFINED | 0 |
| PROLOGIS                   | COM | 743410102 | 11234  | 1393827 | SH | DEFINED | 0 |
| PROLOGIS                   | COM | 743410102 | 446    | 55359   | SH | DEFINED | 0 |
| PROLOGIS                   | COM | 743410102 | 170    | 21144   | SH | DEFINED | 0 |
| PROLOGIS                   | COM | 743410102 | 23     | 2885    | SH | DEFINED | 0 |
| PROSHARES ULTRA S&P 500 PR | COM | 74347R107 | 934    | 35718   | SH | DEFINED | 0 |
| PROSHARES ULTRA QQQ PROSHA | COM | 74347R206 | 234    | 6150    | SH | DEFINED | 0 |
| PROSHARES SHORT FINANCIALS | COM | 74347R230 | 26     | 450     | SH | DEFINED | 0 |
| PROSHARES ULTRASHORT       | COM | 74347R297 | 2873   | 56420   | SH | DEFINED | 0 |
| PROSHARES ULTRA DOW 30 PRO | COM | 74347R305 | 666    | 23206   | SH | DEFINED | 0 |
| PROSHARES ULTRASHORT       | COM | 74347R313 | 433    | 7655    | SH | DEFINED | 0 |
| PROSHARES ULTRA SHOR       | COM | 74347R354 | 103    | 4905    | SH | DEFINED | 0 |
| PROSHARES ULTRA MIDCAP 400 | COM | 74347R404 | 32     | 1185    | SH | DEFINED | 0 |
| PROSHARES ULTRASHORT       | COM | 74347R412 | 3      | 60      | SH | DEFINED | 0 |
| PROSHARES ULTRA RUSS       | COM | 74347R479 | 7      | 470     | SH | DEFINED | 0 |
| PROSHARES ULTRA RUSSELL 20 | COM | 74347R487 | 49     | 2250    | SH | DEFINED | 0 |
| PROSHARES SHORT S&P 500 PR | COM | 74347R503 | 747    | 11364   | SH | DEFINED | 0 |
| PROSHARES ULTRA SHORT REAL | COM | 74347R552 | 845    | 42940   | SH | DEFINED | 0 |
| PROSHARES SHORT QQQ PROSHA | COM | 74347R602 | 31     | 550     | SH | DEFINED | 0 |
| PROSHARES ULTRA SHORT FINL | COM | 74347R628 | 476    | 11400   | SH | DEFINED | 0 |
| PROSHARES ULTRA SEMICONDUC | COM | 74347R669 | 6      | 311     | SH | DEFINED | 0 |
| PROSHARES ULTRA REAL ESTAT | COM | 74347R677 | 48     | 13446   | SH | DEFINED | 0 |
| PROSHARES ULTRA UTILITIES  | COM | 74347R685 | 46     | 1500    | SH | DEFINED | 0 |
| PROSHARES ULTRA TECH PROSH | COM | 74347R693 | 1437   | 44778   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| PROSHARES SHORT DOW 30 PRO    | COM | 74347R701 | 671   | 10087   | SH | DEFINED | 0 |
| PROSHARES ULTRA GAS PROSHA    | COM | 74347R719 | 477   | 17975   | SH | DEFINED | 0 |
| PROSHARES ULTRA INDLS PROS    | COM | 74347R727 | 21    | 1100    | SH | DEFINED | 0 |
| PROSHARES ULTRA FINLS PROS    | COM | 74347R743 | 531   | 137451  | SH | DEFINED | 0 |
| PROSHARES ULTRA CONS          | COM | 74347R750 | 12    | 545     | SH | DEFINED | 0 |
| PROSHARES ULTRA BASIC MATE    | COM | 74347R776 | 93    | 5311    | SH | DEFINED | 0 |
| PROSHARES SHORT RUSS          | COM | 74347R826 | 5     | 85      | SH | DEFINED | 0 |
| PROSHARES ULTRASHORT          | COM | 74347R834 | 48    | 1120    | SH | DEFINED | 0 |
| PROSHARES ULTRA RUSS          | COM | 74347R842 | 62    | 3220    | SH | DEFINED | 0 |
| PROSHARES ULTRA SHORT 30 F    | COM | 74347R867 | 218   | 4553    | SH | DEFINED | 0 |
| PROSHARES ULTRA SHORT 100     | COM | 74347R875 | 45    | 1405    | SH | DEFINED | 0 |
| PROSHARES ULTRA SHORT 500     | COM | 74347R883 | 2200  | 39769   | SH | DEFINED | 0 |
| PROSHARES TR II PROSHARES     | COM | 74347W106 | 150   | 6536    | SH | DEFINED | 0 |
| PROSHARES TR II PROSHARES     | COM | 74347W502 | 245   | 18588   | SH | DEFINED | 0 |
| PROSHARES TR II PROSHARES     | COM | 74347W601 | 82    | 2450    | SH | DEFINED | 0 |
| PROSHARES TR II PROSHARES     | COM | 74347W700 | 21    | 1400    | SH | DEFINED | 0 |
| PROSHARES TR II PROSHARES     | COM | 74347W809 | 6     | 375     | SH | DEFINED | 0 |
| PROSPECT CAPITAL CORP         | COM | 74348T102 | 587   | 63867   | SH | DEFINED | 0 |
| PROSPECT CAPITAL CORP         | COM | 74348T102 | 4     | 385     | SH | DEFINED | 0 |
| PROSPERITY BANCSHARES INC     | COM | 743606105 | 5427  | 181902  | SH | DEFINED | 0 |
| PROSPERITY BANCSHARES INC     | COM | 743606105 | 182   | 6100    | SH | DEFINED | 0 |
| PROSPERITY BANCSHARES INC     | COM | 743606105 | 1     | 28      | SH | DEFINED | 0 |
| PROTECTIVE LIFE CORP          | COM | 743674103 | 399   | 34880   | SH | DEFINED | 0 |
| PROVIDENT ENERGY TR ISIN# CA7 | COM | 74386K104 | 1421  | 288781  | SH | DEFINED | 0 |
| PROVIDENT FINANCIAL SERVICES  | COM | 74386T105 | 61    | 6652    | SH | DEFINED | 0 |
| PROVIDENT NEW YORK BANCORP    | COM | 744028101 | 249   | 30660   | SH | DEFINED | 0 |
| PROVIDENT NEW YORK BANCORP    | COM | 744028101 | 80    | 9800    | SH | DEFINED | 0 |
| PRUDENTIAL FINANCIAL INC      | COM | 744320102 | 69244 | 1860396 | SH | DEFINED | 0 |
| PRUDENTIAL FINANCIAL INC      | COM | 744320102 | 977   | 26239   | SH | DEFINED | 0 |
| PRUDENTIAL FINANCIAL INC      | COM | 744320102 | 259   | 6953    | SH | DEFINED | 0 |
| PRUDENTIAL FINL INC           | COM | 744320102 | 6177  | 165952  | SH | DEFINED | 0 |
| PRUDENTIAL PLC ADR ISIN #US74 | COM | 74435K204 | 75    | 5477    | SH | DEFINED | 0 |
| PSYCHEMEDICS CORP COM NEW     | COM | 744375205 | 23    | 3400    | SH | DEFINED | 0 |
| PSYCHIATRIC SOLUTIONS INC     | COM | 74439H108 | 1370  | 60220   | SH | DEFINED | 0 |
| PSYCHIATRIC SOLUTIONS INC     | COM | 74439H108 | 12    | 527     | SH | DEFINED | 0 |
| PUBLIC SERVICE ENTERPRISE GP  | COM | 744573106 | 15091 | 462474  | SH | DEFINED | 0 |
| PUBLIC SERVICE ENTERPRISE GP  | COM | 744573106 | 927   | 28407   | SH | DEFINED | 0 |
| PUBLIC SERVICE ENTERPRISE GP  | COM | 744573106 | 831   | 25481   | SH | DEFINED | 0 |
| PUBLIC STORAGE                | COM | 74460D109 | 11139 | 170114  | SH | DEFINED | 0 |
| PUBLIC STORAGE                | COM | 74460D109 | 368   | 5621    | SH | DEFINED | 0 |
| PUBLIC STORAGE                | COM | 74460D109 | 418   | 6386    | SH | DEFINED | 0 |
| PUBLIC STORAGE MARYLAND D     | COM | 74460D729 | 1     | 36      | SH | DEFINED | 0 |
| PULTE HOMES INC               | COM | 745867101 | 27186 | 3078793 | SH | DEFINED | 0 |
| PULTE HOMES INC               | COM | 745867101 | 271   | 30720   | SH | DEFINED | 0 |
| PULTE HOMES INC               | COM | 745867101 | 7     | 787     | SH | DEFINED | 0 |
| PURE BIOSCIENCE               | COM | 746218106 | 22    | 12000   | SH | DEFINED | 0 |
| PURPLE COMMUNICAT             | COM | 746389105 | 0     | 1       | SH | DEFINED | 0 |
| PUTNAM HIGH INCOME BOND FD    | COM | 746779107 | 212   | 34212   | SH | DEFINED | 0 |
| PUTNAM MANAGED MUN INCOME TR  | COM | 746823103 | 281   | 48224   | SH | DEFINED | 0 |
| PUTNAM PREMIER INC TR SH BEN  | COM | 746853100 | 47    | 9399    | SH | DEFINED | 0 |
| PUTNAM MASTER INTER INCOME TR | COM | 746909100 | 24    | 4785    | SH | DEFINED | 0 |
| PUTNAM MUNI OPPOR TR          | COM | 746922103 | 59    | 5992    | SH | DEFINED | 0 |
| QLOGIC CORP                   | COM | 747277101 | 1423  | 112198  | SH | DEFINED | 0 |
| QLOGIC CORP                   | COM | 747277101 | 251   | 19760   | SH | DEFINED | 0 |
| QLOGIC CORP                   | COM | 747277101 | 12    | 948     | SH | DEFINED | 0 |
| QCR HLDGS INC                 | COM | 74727A104 | 50    | 5000    | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| QUAKER CHEMICAL CORP           | COM | 747316107 | 96     | 7214     | SH | DEFINED | 0 |
| QUALCOMM INC                   | COM | 747525103 | 304604 | 6739064  | SH | DEFINED | 0 |
| QUALCOMM INC                   | COM | 747525103 | 2260   | 50000    | SH | DEFINED | 0 |
| QUALCOMM INC                   | COM | 747525103 | 4796   | 106110   | SH | DEFINED | 0 |
| QUALCOMM INC                   | COM | 747525103 | 43158  | 979940   | SH | DEFINED | 0 |
| QUALCOMM INC                   | COM | 747525103 | 4942   | 109346   | SH | DEFINED | 0 |
| QUALITY SYSTEMS INC            | COM | 747582104 | 3788   | 66493    | SH | DEFINED | 0 |
| QUALITY SYSTEMS INC            | COM | 747582104 | 113    | 1984     | SH | DEFINED | 0 |
| QUANEX BUILDING PRODUCTS       | COM | 747619104 | 479    | 42724    | SH | DEFINED | 0 |
| QUANTA SERVICES INC            | COM | 74762E102 | 20042  | 866481   | SH | DEFINED | 0 |
| QUANTA SERVICES INC            | COM | 74762E102 | 156    | 6748     | SH | DEFINED | 0 |
| QUANTA SERVICES INC            | COM | 74762E102 | 116    | 5035     | SH | DEFINED | 0 |
| QUANTUM FUEL SYS TECHNOLOGI    | COM | 74765E109 | 4      | 5480     | SH | DEFINED | 0 |
| QUANTUM CORP DLT & STOR        | COM | 747906204 | 2      | 1850     | SH | DEFINED | 0 |
| QUATERRA RESOURCES INC ISIN #  | COM | 747952109 | 1      | 2000     | SH | DEFINED | 0 |
| QUEPASA CORP COM NEW           | COM | 74833W206 | 18     | 25065    | SH | DEFINED | 0 |
| QUEST DIAGNOSTICS              | COM | 74834L100 | 37714  | 668316   | SH | DEFINED | 0 |
| QUEST DIAGNOSTICS              | COM | 74834L100 | 670    | 11879    | SH | DEFINED | 0 |
| QUEST DIAGNOSTICS              | COM | 74834L100 | 390    | 6907     | SH | DEFINED | 0 |
| QUEST SOFTWARE INC             | COM | 74834T103 | 10975  | 787323   | SH | DEFINED | 0 |
| QUEST SOFTWARE INC             | COM | 74834T103 | 54     | 3900     | SH | DEFINED | 0 |
| QUEST SOFTWARE INC             | COM | 74834T103 | 5      | 350      | SH | DEFINED | 0 |
| QUEST SOFTWARE INC             | COM | 74834T103 | 2788   | 200000   | SH | DEFINED | 0 |
| QUEST SOFTWARE INC COM         | COM | 74834T103 | 1547   | 110967   | SH | DEFINED | 0 |
| QUESTAR CORP                   | COM | 748356102 | 31408  | 1011182  | SH | DEFINED | 0 |
| QUESTAR CORP                   | COM | 748356102 | 513    | 16528    | SH | DEFINED | 0 |
| QUESTAR CORP                   | COM | 748356102 | 258    | 8317     | SH | DEFINED | 0 |
| QUEST CAP CORP NEW COM ISIN #  | COM | 74835U109 | 8      | 8738     | SH | DEFINED | 0 |
| QUESTCOR PHARMACEUTICALS       | COM | 74835Y101 | 11     | 2279     | SH | DEFINED | 0 |
| QUEST ENERGY PARTNERS L        | COM | 74836B209 | 3      | 2000     | SH | DEFINED | 0 |
| QUICKLOGIC CORP                | COM | 74837P108 | 1      | 750      | SH | DEFINED | 0 |
| QUICKSILVER RESOURCES INC      | COM | 74837R104 | 2436   | 262259   | SH | DEFINED | 0 |
| QUICKSILVER RESOURCES INC      | COM | 74837R104 | 19     | 2018     | SH | DEFINED | 0 |
| QUIKSILVER INC                 | COM | 74838C106 | 332    | 179687   | SH | DEFINED | 0 |
| QUIKSILVER INC                 | COM | 74838C106 | 37     | 20178    | SH | DEFINED | 0 |
| QUIKSILVER INC                 | COM | 74838C106 | 3      | 1500     | SH | DEFINED | 0 |
| QUIDEL CORP                    | COM | 74838J101 | 422    | 29000    | SH | DEFINED | 0 |
| QUICKSILVER GAS SVCSLP COM UNI | COM | 74839G106 | 1      | 100      | SH | DEFINED | 0 |
| QWEST COMMUNICATIONS INTL      | COM | 749121109 | 133384 | 32140016 | SH | DEFINED | 0 |
| QWEST COMMUNICATIONS INTL      | COM | 749121109 | 519    | 125146   | SH | DEFINED | 0 |
| QWEST COMMUNICATIONS INTL      | COM | 749121109 | 1217   | 293143   | SH | DEFINED | 0 |
| RAM CAPITAL LLC                | LP  | 749200994 | 0      | 3654271  | SH | DEFINED | 0 |
| RAIT FINANCIAL TRUST           | COM | 749227104 | 6      | 4719     | SH | DEFINED | 0 |
| RCN CORP COM NEW               | COM | 749361200 | 63     | 10518    | SH | DEFINED | 0 |
| RC2 CORP                       | COM | 749388104 | 1628   | 123060   | SH | DEFINED | 0 |
| RC2 CORP                       | COM | 749388104 | 1      | 100      | SH | DEFINED | 0 |
| R H DONNELLEY CORP NEW         | COM | 74955W307 | 0      | 255      | SH | DEFINED | 0 |
| RLI CORP                       | COM | 749607107 | 4355   | 97202    | SH | DEFINED | 0 |
| RPM INTL INC FORMERLY R        | COM | 749685103 | 39     | 2800     | SH | DEFINED | 0 |
| RELIANT ENERGY INC             | COM | 74971X107 | 4760   | 950169   | SH | DEFINED | 0 |
| RSC HOLDINGS INC               | COM | 74972L102 | 488    | 72600    | SH | DEFINED | 0 |
| RSC HOLDINGS INC               | COM | 74972L102 | 2      | 342      | SH | DEFINED | 0 |
| RTI INTERNATIONAL METALS INC   | COM | 74973W107 | 2126   | 120350   | SH | DEFINED | 0 |
| RTI INTERNATIONAL METALS INC   | COM | 74973W107 | 55     | 3100     | SH | DEFINED | 0 |
| RTI INTERNATIONAL METALS INC   | COM | 74973W107 | 46     | 2602     | SH | DEFINED | 0 |
| RF MICRO DEVICES INC           | COM | 749941100 | 169    | 44860    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |       |         |    |         |   |
|------------------------------|-----|-----------|-------|---------|----|---------|---|
| RF MICRO DEVICES INC         | COM | 749941100 | 56    | 14900   | SH | DEFINED | 0 |
| RF MICRO DEVICES INC         | COM | 749941100 | 473   | 143100  | SH | DEFINED | 0 |
| RF MICRO DEVICES INC         | COM | 749941100 | 98    | 26175   | SH | DEFINED | 0 |
| RADIAN GROUP INC             | COM | 750236101 | 4822  | 1772843 | SH | DEFINED | 0 |
| RADIAN GROUP INC             | COM | 750236101 | 94    | 34392   | SH | DEFINED | 0 |
| RADIANT SYSTEMS INC          | COM | 75025N102 | 260   | 31275   | SH | DEFINED | 0 |
| RADIOSHACK CORP              | COM | 750438103 | 6297  | 451098  | SH | DEFINED | 0 |
| RADIOSHACK CORP              | COM | 750438103 | 335   | 24004   | SH | DEFINED | 0 |
| RADIOSHACK CORP              | COM | 750438103 | 29    | 2107    | SH | DEFINED | 0 |
| RADISYS CORP                 | COM | 750459109 | 820   | 91032   | SH | DEFINED | 0 |
| RADISYS CORP                 | COM | 750459109 | 53    | 5900    | SH | DEFINED | 0 |
| RAE SYSTEMS INC              | COM | 75061P102 | 20    | 14589   | SH | DEFINED | 0 |
| RAE SYSTEMS INC              | COM | 75061P102 | 0     | 202     | SH | DEFINED | 0 |
| RAMBUS INC                   | COM | 750917106 | 477   | 30773   | SH | DEFINED | 0 |
| RAMBUS INC                   | COM | 750917106 | 124   | 8037    | SH | DEFINED | 0 |
|                              |     |           |       |         |    |         |   |
| RALCORP HOLDINGS INC         | COM | 751028101 | 5896  | 96774   | SH | DEFINED | 0 |
| RALCORP HOLDINGS INC         | COM | 751028101 | 38    | 625     | SH | DEFINED | 0 |
| RAMCO GERSHENSON PPTY TR SH  | COM | 751452202 | 7     | 710     | SH | DEFINED | 0 |
| RANDGOLD RESOURCES LTD-ADR   | COM | 752344309 | 4108  | 64016   | SH | DEFINED | 0 |
| RANDGOLD RESOURCES LTD-ADR   | COM | 752344309 | 3     | 40      | SH | DEFINED | 0 |
| RANGE RESOURCES CORP         | COM | 75281A109 | 8034  | 194029  | SH | DEFINED | 0 |
| RANGE RESOURCES CORP         | COM | 75281A109 | 482   | 11642   | SH | DEFINED | 0 |
| RANGE RESOURCES CORP         | COM | 75281A109 | 35    | 856     | SH | DEFINED | 0 |
| RASER TECHNOLOGIES INC       | COM | 754055101 | 34    | 12131   | SH | DEFINED | 0 |
| RAVEN INDS INC               | COM | 754212108 | 5     | 200     | SH | DEFINED | 0 |
| RAYMOND JAMES FINANCIAL INC  | COM | 754730109 | 170   | 9892    | SH | DEFINED | 0 |
| RAYMOND JAMES FINANCIAL INC  | COM | 754730109 | 41    | 2369    | SH | DEFINED | 0 |
| RAYONIER INC                 | COM | 754907103 | 24242 | 666889  | SH | DEFINED | 0 |
| RAYONIER INC                 | COM | 754907103 | 348   | 9587    | SH | DEFINED | 0 |
| RAYTHEON COMPANY             | COM | 755111507 | 751   | 16911   | SH | DEFINED | 0 |
| RAYTHEON COMPANY             | COM | 755111507 | 1313  | 29545   | SH | DEFINED | 0 |
| RAYTHEON COMPANY             | COM | 755111507 | 789   | 17763   | SH | DEFINED | 0 |
| RBC BEARINGS INC             | COM | 75524B104 | 791   | 38700   | SH | DEFINED | 0 |
| RBC BEARINGS INC             | COM | 75524B104 | 52    | 2537    | SH | DEFINED | 0 |
| RBC BEARINGS INC             | COM | 75524B104 | 149   | 4050    | SH | DEFINED | 0 |
| READING INTL INC CL A        | COM | 755408101 | 0     | 31      | SH | DEFINED | 0 |
| REAL GOODS SOLAR INC-CLASS A | COM | 75601N104 | 1944  | 750286  | SH | DEFINED | 0 |
| REAL GOODS SOLAR INC-CLASS A | COM | 75601N104 | 43    | 16614   | SH | DEFINED | 0 |
| REALNETWORKS INC             | COM | 75605L104 | 406   | 135921  | SH | DEFINED | 0 |
| REALNETWORKS INC             | COM | 75605L104 | 1     | 300     | SH | DEFINED | 0 |
| REALTY INCOME CORP           | COM | 756109104 | 506   | 23063   | SH | DEFINED | 0 |
| REALTY INCOME CORP           | COM | 756109104 | 60    | 2736    | SH | DEFINED | 0 |
| REALTY INCOME CORP           | COM | 756109104 | 594   | 27078   | SH | DEFINED | 0 |
| REAVES UTIL INCOME FD COM SH | COM | 756158101 | 38    | 2844    | SH | DEFINED | 0 |
| RED HAT INC                  | COM | 756577102 | 741   | 36798   | SH | DEFINED | 0 |
| RED HAT INC                  | COM | 756577102 | 88    | 4369    | SH | DEFINED | 0 |
| RED ROBIN GOURMET BURGERS    | COM | 75689M101 | 329   | 17545   | SH | DEFINED | 0 |
| RED ROBIN GOURMET BURGERS    | COM | 75689M101 | 24    | 1292    | SH | DEFINED | 0 |
| REDDY ICE HLDGS INC COM      | COM | 75734R105 | 1     | 660     | SH | DEFINED | 0 |
| REDWOOD TRUST INC            | COM | 758075402 | 515   | 34917   | SH | DEFINED | 0 |
| REDWOOD TRUST INC            | COM | 758075402 | 79    | 5314    | SH | DEFINED | 0 |
| REDWOOD TRUST INC            | COM | 758075402 | 63    | 4350    | SH | DEFINED | 0 |
| REDWOOD TRUST INC            | COM | 758075402 | 4     | 300     | SH | DEFINED | 0 |
| REED ELSEVIER N V SPONSORED  | COM | 758204200 | 2     | 90      | SH | DEFINED | 0 |
| REGAL BELOIT CORP            | COM | 758750103 | 12100 | 304627  | SH | DEFINED | 0 |
| REGAL ENTERTAINMENT GROUP-A  | COM | 758766109 | 78801 | 5929422 | SH | DEFINED | 0 |

## Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| REGAL ENTERTAINMENT GROUP-A   | COM | 758766109 | 75    | 5674    | SH | DEFINED | 0 |
| REGAL ENTERTAINMENT GROUP-A   | COM | 758766109 | 4     | 264     | SH | DEFINED | 0 |
| REGENCY CENTERS CORP          | COM | 758849103 | 4700  | 134641  | SH | DEFINED | 0 |
| REGENCY CENTERS CORP          | COM | 758849103 | 2     | 57      | SH | DEFINED | 0 |
| REGENCY ENERGY PARTNERS LP    | LP  | 75885Y107 | 1195  | 82060   | SH | DEFINED | 0 |
| REGENCY ENERGY PARTNERS LP    | LP  | 75885Y107 | 12    | 800     | SH | DEFINED | 0 |
| REGENT COMM INC DEL           | COM | 758865109 | 0     | 1635    | SH | DEFINED | 0 |
| REGENERON PHARMACEUTICALS     | COM | 75886F107 | 1602  | 89428   | SH | DEFINED | 0 |
| REGENERON PHARMACEUTICALS     | COM | 75886F107 | 92    | 5154    | SH | DEFINED | 0 |
| REGIS CORP                    | COM | 758932107 | 2922  | 167839  | SH | DEFINED | 0 |
| REHABCARE GROUP INC           | COM | 759148109 | 2015  | 84180   | SH | DEFINED | 0 |
| REGIONS FIN TR 8.875% 6/15/78 | PRF | 7591EM107 | 213   | 10721   | SH | DEFINED | 0 |
| REGIONS FINANCIAL CORP        | COM | 7591EP100 | 1847  | 457162  | SH | DEFINED | 0 |
| REGIONS FINANCIAL CORP        | COM | 7591EP100 | 447   | 110713  | SH | DEFINED | 0 |
| REGIONS FINANCIAL CORP        | COM | 7591EP100 | 160   | 39571   | SH | DEFINED | 0 |
| REINSURANCE GROUP OF AMERICA  | COM | 759351604 | 84    | 2410    | SH | DEFINED | 0 |
| REINSURANCE GROUP OF AMERICA  | COM | 759351604 | 12    | 350     | SH | DEFINED | 0 |
| RELIANCE STEEL & ALUMINUM     | COM | 759509102 | 1976  | 51464   | SH | DEFINED | 0 |
| RELIANCE STEEL & ALUMINUM     | COM | 759509102 | 45    | 800     | SH | DEFINED | 0 |
| RELIANCE STEEL & ALUMINUM     | COM | 759509102 | 7     | 192     | SH | DEFINED | 0 |
| RELIV INTL INC                | COM | 75952R100 | 1     | 300     | SH | DEFINED | 0 |
| RENASANT CORP                 | COM | 75970E107 | 215   | 14270   | SH | DEFINED | 0 |
| RENASANT CORP                 | COM | 75970E107 | 74    | 4900    | SH | DEFINED | 0 |
| RENASANT CORP                 | COM | 75970E107 | 57    | 3780    | SH | DEFINED | 0 |
| RENESOLA LTD-ADR              | COM | 75971T103 | 14    | 2525    | SH | DEFINED | 0 |
| RENESOLA LTD-ADR              | COM | 75971T103 | 15    | 2750    | SH | DEFINED | 0 |
| REPLIGEN CORP                 | COM | 759916109 | 11    | 2000    | SH | DEFINED | 0 |
| RENT-A-CENTER INC             | COM | 76009N100 | 7201  | 403868  | SH | DEFINED | 0 |
| RENT-A-CENTER INC             | COM | 76009N100 | 132   | 7400    | SH | DEFINED | 0 |
| RENTECH INC                   | COM | 760112102 | 134   | 235900  | SH | DEFINED | 0 |
| RENTECH INC                   | COM | 760112102 | 5     | 9489    | SH | DEFINED | 0 |
| RENTECH INC WARRANT           | WT  | 760112110 | 0     | 7000    | SH | DEFINED | 0 |
| REPSOL YPF SA SPONS ADR       | COM | 76026T205 | 23    | 1024    | SH | DEFINED | 0 |
| REPUBLIC AIRWAYS HOLDINGS IN  | COM | 760276105 | 182   | 27660   | SH | DEFINED | 0 |
| REPUBLIC AIRWAYS HOLDINGS IN  | COM | 760276105 | 48    | 7315    | SH | DEFINED | 0 |
| REPUBLIC AIRWAYS HOLDINGS IN  | COM | 760276105 | 7     | 1000    | SH | DEFINED | 0 |
| REPUBLIC BANCORP INC-CL A     | COM | 760281204 | 400   | 17700   | SH | DEFINED | 0 |
| REPUBLIC BANCORP INC-CL A     | COM | 760281204 | 96    | 4252    | SH | DEFINED | 0 |
| REPUBLIC BANCORP INC-CL A     | COM | 760281204 | 27    | 1196    | SH | DEFINED | 0 |
| REPUBLIC SERVICES INC         | COM | 760759100 | 8207  | 336288  | SH | DEFINED | 0 |
| REPUBLIC SERVICES INC         | COM | 760759100 | 3570  | 146266  | SH | DEFINED | 0 |
| REPUBLIC SERVICES INC         | COM | 760759100 | 671   | 27471   | SH | DEFINED | 0 |
| REPUBLIC SERVICES INC         | COM | 760759100 | 80344 | 3291453 | SH | DEFINED | 0 |
| REPUBLIC SERVICES INC         | COM | 760759100 | 67    | 2757    | SH | DEFINED | 0 |
| RES-CARE INC                  | COM | 760943100 | 3803  | 265985  | SH | DEFINED | 0 |
| RESEARCH IN MOTION            | COM | 760975102 | 440   | 6186    | SH | DEFINED | 0 |
| RESEARCH IN MOTION            | COM | 760975102 | 1831  | 25776   | SH | DEFINED | 0 |
| RESEARCH IN MOTION            | COM | 760975102 | 4773  | 67157   | SH | DEFINED | 0 |
| RESEARCH IN MOTION            | COM | 760975102 | 556   | 7819    | SH | DEFINED | 0 |
| RESMED INC                    | COM | 761152107 | 680   | 16688   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| RESMED INC                     | COM | 761152107 | 6512  | 165100  | SH | DEFINED | 0 |
| RESMED INC                     | COM | 761152107 | 59    | 1457    | SH | DEFINED | 0 |
| RESOURCE AMER INC CL A         | COM | 761195205 | 0     | 2       | SH | DEFINED | 0 |
| RESOURCES CONNECTION INC       | COM | 76122Q105 | 2002  | 116600  | SH | DEFINED | 0 |
| RESOURCES CONNECTION INC       | COM | 76122Q105 | 82    | 4795    | SH | DEFINED | 0 |
| RETAIL VENTURES INC            | COM | 76128Y102 | 436   | 200247  | SH | DEFINED | 0 |
| RETAIL VENTURES INC            | COM | 76128Y102 | 41    | 18800   | SH | DEFINED | 0 |
| RETAIL VENTURES INC            | COM | 76128Y102 | 2     | 1000    | SH | DEFINED | 0 |
| REVENUESHARES ETF TRMID CAP FD | COM | 761396209 | 18    | 942     | SH | DEFINED | 0 |
| REVLON INC CL A NEW            | COM | 761525609 | 0     | 10      | SH | DEFINED | 0 |
| REWARDS NETWORK INC            | COM | 761557107 | 206   | 54605   | SH | DEFINED | 0 |
| REX ENERGY CORP                | COM | 761565100 | 20    | 3500    | SH | DEFINED | 0 |
| REX STORES CORP                | COM | 761624105 | 48    | 4779    | SH | DEFINED | 0 |
| REYNOLDS AMERICAN INC          | COM | 761713106 | 493   | 12763   | SH | DEFINED | 0 |
| REYNOLDS AMERICAN INC          | COM | 761713106 | 520   | 13459   | SH | DEFINED | 0 |
| REYNOLDS AMERICAN INC          | COM | 761713106 | 729   | 18889   | SH | DEFINED | 0 |
| REYNOLDS AMERICAN INC          | COM | 761713106 | 205   | 5300    | SH | DEFINED | 0 |
| RIGEL PHARMACEUTICALS INC      | COM | 766559603 | 620   | 51154   | SH | DEFINED | 0 |
| RIGHTNOW TECHNOLOGIES INC      | COM | 76657R106 | 309   | 26160   | SH | DEFINED | 0 |
| RIGHTNOW TECHNOLOGIES INC      | COM | 76657R106 | 16    | 1370    | SH | DEFINED | 0 |
| RIO TINTO PLC-SPON ADR         | COM | 767204100 | 20305 | 123910  | SH | DEFINED | 0 |
| RIO TINTO PLC-SPON ADR         | COM | 767204100 | 22    | 136     | SH | DEFINED | 0 |
| RIO TINTO PLC-SPON ADR         | COM | 767204100 | 98    | 596     | SH | DEFINED | 0 |
| RITCHIE BROS AUCTIONEERS       | COM | 767744105 | 29025 | 1237780 | SH | DEFINED | 0 |
| RITCHIE BROS AUCTIONEERS       | COM | 767744105 | 107   | 4525    | SH | DEFINED | 0 |
| RITCHIE BROS AUCTIONEERS       | COM | 767744105 | 5     | 220     | SH | DEFINED | 0 |
| RITE AID CORP                  | COM | 767754104 | 53    | 34850   | SH | DEFINED | 0 |
| RIVERBED TECHNOLOGY INC        | COM | 768573107 | 67298 | 2901956 | SH | DEFINED | 0 |
| RIVERBED TECHNOLOGY INC        | COM | 768573107 | 58    | 2497    | SH | DEFINED | 0 |
| RIVERBED TECHNOLOGY INC        | COM | 768573107 | 3     | 145     | SH | DEFINED | 0 |
| ROBBINS & MYERS INC            | COM | 770196103 | 1036  | 53821   | SH | DEFINED | 0 |
| ROBERT HALF INTL INC           | COM | 770323103 | 2802  | 118627  | SH | DEFINED | 0 |
| ROBERT HALF INTL INC           | COM | 770323103 | 386   | 16347   | SH | DEFINED | 0 |
| ROBERT HALF INTL INC           | COM | 770323103 | 69    | 2926    | SH | DEFINED | 0 |
| ROCK-TENN COMPANY -CL A        | COM | 772739207 | 4415  | 115665  | SH | DEFINED | 0 |
| ROCK-TENN COMPANY -CL A        | COM | 772739207 | 50    | 1300    | SH | DEFINED | 0 |
| ROCKWELL AUTOMATION INC        | COM | 773903109 | 15074 | 469332  | SH | DEFINED | 0 |
| ROCKWELL AUTOMATION INC        | COM | 773903109 | 195   | 6059    | SH | DEFINED | 0 |
| ROCKWELL AUTOMATION INC        | COM | 773903109 | 150   | 4679    | SH | DEFINED | 0 |
| ROCKWELL COLLINS INC.          | COM | 774341101 | 2911  | 69768   | SH | DEFINED | 0 |
| ROCKWELL COLLINS INC.          | COM | 774341101 | 499   | 11956   | SH | DEFINED | 0 |
| ROCKWELL COLLINS INC.          | COM | 774341101 | 6610  | 158500  | SH | DEFINED | 0 |
| ROCKWELL COLLINS INC.          | COM | 774341101 | 599   | 14363   | SH | DEFINED | 0 |
| ROCKWELL MEDICAL TECH INC      | COM | 774374102 | 15    | 2000    | SH | DEFINED | 0 |
| ROCKWOOD HOLDINGS INC          | COM | 774415103 | 282   | 19300   | SH | DEFINED | 0 |
| ROCKWOOD HOLDINGS INC          | COM | 774415103 | 97    | 6600    | SH | DEFINED | 0 |
| ROFIN-SINAR TECHNOLOGIES INC   | COM | 775043102 | 940   | 46970   | SH | DEFINED | 0 |
| ROFIN-SINAR TECHNOLOGIES INC   | COM | 775043102 | 11    | 533     | SH | DEFINED | 0 |
| ROGERS COMMUNTS INCCL B ISIN   | COM | 775109200 | 5     | 175     | SH | DEFINED | 0 |
| ROGERS CORP                    | COM | 775133101 | 225   | 11145   | SH | DEFINED | 0 |
| ROGERS CORP                    | COM | 775133101 | 2     | 100     | SH | DEFINED | 0 |
| ROLLINS INC                    | COM | 775711104 | 1002  | 57873   | SH | DEFINED | 0 |
| ROLLINS INC                    | COM | 775711104 | 35    | 2009    | SH | DEFINED | 0 |
| ROMA FINANCIAL CORP            | COM | 77581P109 | 118   | 9264    | SH | DEFINED | 0 |
| ROPER INDS INC                 | COM | 776696106 | 242   | 5346    | SH | DEFINED | 0 |
| ROSETTA RESOURCES INC          | COM | 777779307 | 2802  | 320098  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                 |     |           |       |        |    |         |   |
|---------------------------------|-----|-----------|-------|--------|----|---------|---|
| ROSETTA RESOURCES INC           | COM | 777779307 | 4     | 500    | SH | DEFINED | 0 |
| ROSETTA STONE INC               | COM | 777780107 | 99    | 3610   | SH | DEFINED | 0 |
| ROSS STORES INC                 | COM | 778296103 | 4158  | 107763 | SH | DEFINED | 0 |
| ROSS STORES INC                 | COM | 778296103 | 2830  | 73319  | SH | DEFINED | 0 |
| ROWAN COMPANIES INC             | COM | 779382100 | 3563  | 184474 | SH | DEFINED | 0 |
| ROWAN COMPANIES INC             | COM | 779382100 | 187   | 9669   | SH | DEFINED | 0 |
| ROWAN COMPANIES INC             | COM | 779382100 | 612   | 31691  | SH | DEFINED | 0 |
| ROYAL BK OF CANADA ISIN #CA78   | COM | 780087102 | 189   | 4635   | SH | DEFINED | 0 |
| ROYAL BK SCOTLAND GROUP PLC     | COM | 780097689 | 0     | 25     | SH | DEFINED | 0 |
| ROYAL BK SCOTLAND GROUP PLC     | PRF | 780097739 | 60    | 5400   | SH | DEFINED | 0 |
| ROYAL BK SCOTLAND GROUP PLC     | PRF | 780097747 | 12    | 1166   | SH | DEFINED | 0 |
| ROYAL BK SCOTLAND GROUP PLC     | PRF | 780097754 | 16    | 1400   | SH | DEFINED | 0 |
| ROYAL BK SCOTLAND GROUP PLC     | PRF | 780097762 | 12    | 1100   | SH | DEFINED | 0 |
| ROYAL BK SCOTLAND GROUP PLC     | PRF | 780097770 | 11    | 1000   | SH | DEFINED | 0 |
| ROYAL BK SCOTLAND GROUP PLC     | PRF | 780097796 | 60    | 5350   | SH | DEFINED | 0 |
| ROYAL BK OF SCOTLAND 7.65% NON- | PRF | 780097804 | 27    | 1890   | SH | DEFINED | 0 |
| ROYAL BK SCOTLAND 7.25% SER     | PRF | 780097879 | 83    | 6511   | SH | DEFINED | 0 |
| ROYAL DUTCH SHELL PLC-ADR       | COM | 780259107 | 6450  | 126810 | SH | DEFINED | 0 |
| ROYAL DUTCH SHELL PLC-ADR       | COM | 780259107 | 425   | 8347   | SH | DEFINED | 0 |
| ROYAL DUTCH SHELL PLC-ADR       | COM | 780259206 | 16726 | 333250 | SH | DEFINED | 0 |
| ROYAL DUTCH SHELL PLC-ADR       | COM | 780259206 | 871   | 17359  | SH | DEFINED | 0 |
| ROYAL GOLD INC                  | COM | 780287108 | 1785  | 42814  | SH | DEFINED | 0 |
| ROYAL GOLD INC                  | COM | 780287108 | 297   | 7116   | SH | DEFINED | 0 |
| ROYALE ENERGY INC               | COM | 78074G200 | 0     | 80     | SH | DEFINED | 0 |
| ROYCE FOCUS TRUST INC           | COM | 78080N108 | 44    | 8424   | SH | DEFINED | 0 |
| ROYCE VALUE TR INC              | COM | 780910105 | 147   | 17454  | SH | DEFINED | 0 |
| ROYCE MICRO-CAP TRUST INC       | COM | 780915104 | 46    | 7682   | SH | DEFINED | 0 |
| RUBY TUESDAY INC                | COM | 781182100 | 796   | 119665 | SH | DEFINED | 0 |
| RUDDICK CORP                    | COM | 781258108 | 9304  | 397111 | SH | DEFINED | 0 |
| RUDDICK CORP                    | COM | 781258108 | 23    | 985    | SH | DEFINED | 0 |
| RUDOLPH TECHNOLOGIES INC        | COM | 781270103 | 166   | 29963  | SH | DEFINED | 0 |
| RUSH ENTERPRISES INC-CL A       | COM | 781846209 | 1028  | 88226  | SH | DEFINED | 0 |
| RUSH ENTERPRISES INC-CL A       | COM | 781846209 | 37    | 3200   | SH | DEFINED | 0 |
| RUSS BERRIE & CO INC            | COM | 782233100 | 75    | 19086  | SH | DEFINED | 0 |
| RUTH'S HOSPITALITY GROUP INC    | COM | 783332109 | 56    | 15351  | SH | DEFINED | 0 |
| RUTH'S HOSPITALITY GROUP INC    | COM | 783332109 | 1     | 200    | SH | DEFINED | 0 |
| RYANAIR HOLDINGS PLC ADR        | COM | 783513104 | 3     | 105    | SH | DEFINED | 0 |
| RYDER SYSTEM INC                | COM | 783549108 | 6805  | 243644 | SH | DEFINED | 0 |
| RYDER SYSTEM INC                | COM | 783549108 | 163   | 5843   | SH | DEFINED | 0 |
| RYDER SYSTEM INC                | COM | 783549108 | 10    | 372    | SH | DEFINED | 0 |
| RYDEX ETF TR S&P 500 EQ         | COM | 78355W106 | 336   | 10895  | SH | DEFINED | 0 |
| RYDEX ETF TR RUSSELL TO         | COM | 78355W205 | 33    | 466    | SH | DEFINED | 0 |
| RYDEX ETF TR RYDEX S&P M        | COM | 78355W601 | 17    | 388    | SH | DEFINED | 0 |
| RYDEX ETF TR 2X S&P SELECT SEC  | COM | 78355W627 | 13    | 270    | SH | DEFINED | 0 |
| RYDEX ETF TR 2X S&P SELECT SEC  | COM | 78355W668 | 1     | 100    | SH | DEFINED | 0 |
| RYDEX ETF TR 2X S&P SELECT SEC  | COM | 78355W684 | 29    | 1993   | SH | DEFINED | 0 |
| RYDEX ETF TR INVERSES&P 500 2X  | COM | 78355W767 | 15    | 173    | SH | DEFINED | 0 |
| RYDEX ETF TR S&P 5002X STRATE   | COM | 78355W783 | 30    | 1345   | SH | DEFINED | 0 |
| RYDEX ETF TR S&P EQUAL WEIG     | COM | 78355W817 | 21    | 606    | SH | DEFINED | 0 |
| RYDEX ETF TR S&P EQUAL WEIG     | COM | 78355W841 | 1     | 23     | SH | DEFINED | 0 |
| RYDEX ETF TR S&P EQUAL WEIG     | COM | 78355W858 | 6     | 335    | SH | DEFINED | 0 |
| RYLAND GROUP INC                | COM | 783764103 | 747   | 44586  | SH | DEFINED | 0 |
| RYLAND GROUP INC                | COM | 783764103 | 3     | 161    | SH | DEFINED | 0 |
| S & P 500 COVERED CALL FD IN    | COM | 78381P109 | 16    | 1558   | SH | DEFINED | 0 |
| S & T BANCORP INC               | COM | 783859101 | 400   | 32837  | SH | DEFINED | 0 |
| SBA COMMUNICATIONS CORP-CL A    | COM | 78388J106 | 5992  | 244180 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| SBA COMMUNICATIONS CORP 0.375  | COM | 78388JAJ5 | 3     | 3000    | SH | DEFINED | 0 |
| SAIC INC                       | COM | 78390X101 | 3311  | 178396  | SH | DEFINED | 0 |
| SAIC INC                       | COM | 78390X101 | 486   | 26175   | SH | DEFINED | 0 |
| SCBT FINANCIAL CORP            | COM | 78401V102 | 509   | 21480   | SH | DEFINED | 0 |
| SCOLR PHARMA INC FORMERLY S    | COM | 78402X107 | 1     | 1770    | SH | DEFINED | 0 |
| SEI INVESTMENTS COMPANY        | COM | 784117103 | 792   | 43906   | SH | DEFINED | 0 |
| SEI INVESTMENTS COMPANY        | COM | 784117103 | 593   | 32865   | SH | DEFINED | 0 |
| SJW CORP                       | COM | 784305104 | 3088  | 136026  | SH | DEFINED | 0 |
| SJW CORP                       | COM | 784305104 | 139   | 6135    | SH | DEFINED | 0 |
| SK TELECOM CO LTD-ADR          | COM | 78440P108 | 6078  | 401169  | SH | DEFINED | 0 |
| SK TELECOM CO LTD-ADR          | COM | 78440P108 | 6     | 408     | SH | DEFINED | 0 |
| SK TELECOM CO LTD-ADR          | COM | 78440P108 | 2     | 115     | SH | DEFINED | 0 |
| SL GREEN REALTY CORP           | COM | 78440X101 | 1131  | 49306   | SH | DEFINED | 0 |
| SL GREEN REALTY CORP           | COM | 78440X101 | 228   | 9955    | SH | DEFINED | 0 |
| SLM CORP                       | COM | 78442P106 | 32974 | 3210524 | SH | DEFINED | 0 |
| SLM CORP                       | COM | 78442P106 | 538   | 52366   | SH | DEFINED | 0 |
| SLM CORP                       | COM | 78442P106 | 41    | 3985    | SH | DEFINED | 0 |
|                                |     |           |       |         |    |         |   |
| SORL AUTO PTS INC              | COM | 78461U101 | 2     | 400     | SH | DEFINED | 0 |
| SPDR TRUST SERIES 1 ETF        | COM | 78462F103 | 20436 | 222314  | SH | DEFINED | 0 |
| SPDR TRUST SERIES 1 ETF        | COM | 78462F103 | 68204 | 741103  | SH | DEFINED | 0 |
| SPDR TRUST SERIES 1 ETF        | COM | 78462F103 | 12202 | 132701  | SH | DEFINED | 0 |
| SPSS INC                       | COM | 78462K102 | 946   | 28363   | SH | DEFINED | 0 |
| SPX CORP                       | COM | 784635104 | 1623  | 33126   | SH | DEFINED | 0 |
| SPX CORP                       | COM | 784635104 | 13468 | 275352  | SH | DEFINED | 0 |
| SPX CORP                       | COM | 784635104 | 8     | 162     | SH | DEFINED | 0 |
| S1 CORPORATION                 | COM | 78463B101 | 547   | 79273   | SH | DEFINED | 0 |
| S1 CORPORATION                 | COM | 78463B101 | 46    | 6654    | SH | DEFINED | 0 |
| S1 CORPORATION                 | COM | 78463B101 | 1     | 166     | SH | DEFINED | 0 |
| SPDR GOLD TR GOLD SHS          | COM | 78463V107 | 14405 | 157986  | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS S&P EMERGI  | COM | 78463X509 | 8     | 150     | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS SPDR S&P I  | COM | 78463X699 | 77    | 4700    | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS SPDR S&P I  | COM | 78463X723 | 22    | 1000    | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS DJ WILSHIR  | COM | 78463X749 | 31    | 1265    | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS S&P INTL D  | COM | 78463X772 | 12    | 289     | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS S&P BRIC 4  | COM | 78463X798 | 1     | 31      | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS S&P EMERGI  | COM | 78463X806 | 16    | 300     | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS RUSSELL NO  | COM | 78463X822 | 14    | 383     | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS MSCI ACWI   | COM | 78463X848 | 8     | 325     | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS FTSE / MAC  | COM | 78463X855 | 29    | 785     | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS DJ WILSHIR  | COM | 78463X863 | 17    | 597     | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS S&P INTL S  | COM | 78463X871 | 126   | 5932    | SH | DEFINED | 0 |
| SPDR INDEX SHS FDS S&P WORLD   | COM | 78463X889 | 15    | 765     | SH | DEFINED | 0 |
| SPDR SER TR DJ WILSHIRE S      | COM | 78464A201 | 537   | 7989    | SH | DEFINED | 0 |
| SPDR SER TR DJ WILSHIRE S      | COM | 78464A300 | 33    | 750     | SH | DEFINED | 0 |
| SPDR SER TR LEHMAN INTERMEDIA  | COM | 78464A375 | 9     | 299     | SH | DEFINED | 0 |
| SPDR SER TR LEHMAN HIGH YIELD  | COM | 78464A417 | 9027  | 256511  | SH | DEFINED | 0 |
| SPDR SER TR LEHMAN SHORT TERM  | COM | 78464A425 | 636   | 26829   | SH | DEFINED | 0 |
| SPDR SER TR LEHMAN CALIF MUN   | COM | 78464A441 | 40    | 1886    | SH | DEFINED | 0 |
| SPDR SER TR BARCLAYS CAP MUN B | COM | 78464A458 | 599   | 27187   | SH | DEFINED | 0 |
| SPDR SER TR DB INTL GOVT INFLA | COM | 78464A490 | 169   | 3235    | SH | DEFINED | 0 |
| SPDR SER TR DJ WILSHIRE L      | COM | 78464A508 | 19    | 390     | SH | DEFINED | 0 |
| SPDR SER TR LEHMAN INTL TREAS  | COM | 78464A516 | 243   | 4437    | SH | DEFINED | 0 |
| SPDR DJ WILSHIRE REIT ETF      | COM | 78464A607 | 8536  | 251500  | SH | DEFINED | 0 |
| SPDR DJ WILSHIRE REIT ETF      | COM | 78464A607 | 85    | 2513    | SH | DEFINED | 0 |
| SPDR SER TR BARCLAYS C         | COM | 78464A656 | 440   | 8845    | SH | DEFINED | 0 |
| SPDR SER TR LEHMAN INT         | COM | 78464A672 | 12    | 210     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| SPDR SER TR LEHMAN 1-3         | COM | 78464A680 | 1039  | 22657   | SH | DEFINED | 0 |
| SPDR KBW REGIONAL BANKING ETF  | COM | 78464A698 | 468   | 25517   | SH | DEFINED | 0 |
| SPDR KBW REGIONAL BANKING ETF  | COM | 78464A698 | 53    | 2914    | SH | DEFINED | 0 |
| SPDR SER TR DJ GLOBAL TIT      | COM | 78464A706 | 24    | 498     | SH | DEFINED | 0 |
| SPDR S&P RETAIL ETF            | COM | 78464A714 | 5     | 186     | SH | DEFINED | 0 |
| SPDR S&P OIL & GAS EXP ETF     | COM | 78464A730 | 200   | 6291    | SH | DEFINED | 0 |
| SPDR S&P OIL & GAS EXP ETF     | COM | 78464A730 | 487   | 15354   | SH | DEFINED | 0 |
| SPDR SER TR S&P OIL &          | COM | 78464A748 | 27    | 1223    | SH | DEFINED | 0 |
| SPDR SER TR S&P METALS         | COM | 78464A755 | 34    | 930     | SH | DEFINED | 0 |
| SPDR SER TR S&P DIVID          | COM | 78464A763 | 180   | 4733    | SH | DEFINED | 0 |
| SPDR SER TR KBW BK ETF         | COM | 78464A797 | 805   | 44600   | SH | DEFINED | 0 |
| SPDR SER TR DJ WILSHIR         | COM | 78464A805 | 21    | 317     | SH | DEFINED | 0 |
| SPDR SER TR DJ WILSHIR         | COM | 78464A813 | 29    | 700     | SH | DEFINED | 0 |
| SPDR SER TR S&P SEMICO         | COM | 78464A862 | 198   | 5850    | SH | DEFINED | 0 |
| SPDR SER TR S&P BIOTEC         | COM | 78464A870 | 204   | 4037    | SH | DEFINED | 0 |
| SPDR SER TR S&P HOMEBU         | COM | 78464A888 | 30    | 2541    | SH | DEFINED | 0 |
| SRA INTERNATIONAL INC-CL A     | COM | 78464R105 | 302   | 17200   | SH | DEFINED | 0 |
| SRA INTERNATIONAL INC-CL A     | COM | 78464R105 | 83    | 4725    | SH | DEFINED | 0 |
| SRA INTERNATIONAL INC-CL A     | COM | 78464R105 | 0     | 25      | SH | DEFINED | 0 |
| STEC INC                       | COM | 784774101 | 454   | 19572   | SH | DEFINED | 0 |
| STEC INC                       | COM | 784774101 | 67    | 2885    | SH | DEFINED | 0 |
| SVB FINANCIAL GROUP            | COM | 78486Q101 | 493   | 18095   | SH | DEFINED | 0 |
| SVB FINANCIAL GROUP            | COM | 78486Q101 | 27    | 1009    | SH | DEFINED | 0 |
| SVB FINANCIAL GROUP            | COM | 78486Q101 | 3     | 100     | SH | DEFINED | 0 |
| SPAR GROUP INC                 | COM | 784933103 | 0     | 200     | SH | DEFINED | 0 |
| SWS GROUP INC                  | COM | 78503N107 | 4491  | 321455  | SH | DEFINED | 0 |
| SWS GROUP INC                  | COM | 78503N107 | 53    | 3800    | SH | DEFINED | 0 |
| SXC HEALTH SOLUTIONS CORP      | COM | 78505P100 | 100   | 3930    | SH | DEFINED | 0 |
| SXC HEALTH SOLUTIONS CORP      | COM | 78505P100 | 33    | 1300    | SH | DEFINED | 0 |
| S.Y. BANCORP INC               | COM | 785060104 | 601   | 24874   | SH | DEFINED | 0 |
| SABINE ROYALTY TR UNIT BEN I   | COM | 785688102 | 32    | 728     | SH | DEFINED | 0 |
| SADIA SA SPONSORED ADR         | COM | 786326108 | 1     | 166     | SH | DEFINED | 0 |
| SAFEGUARD SCIENTIFICINC        | COM | 786449108 | 0     | 100     | SH | DEFINED | 0 |
| SAFETY INSURANCE GROUP INC     | COM | 78648T100 | 4537  | 148460  | SH | DEFINED | 0 |
| SAFEWAY INC                    | COM | 786514208 | 6663  | 327074  | SH | DEFINED | 0 |
| SAFEWAY INC                    | COM | 786514208 | 784   | 38474   | SH | DEFINED | 0 |
| SAFEWAY INC                    | COM | 786514208 | 82    | 4010    | SH | DEFINED | 0 |
| SAIA INC                       | COM | 78709Y105 | 1018  | 56553   | SH | DEFINED | 0 |
| SAIA INC                       | COM | 78709Y105 | 2     | 100     | SH | DEFINED | 0 |
| ST JOE CO/THE                  | COM | 790148100 | 16519 | 623575  | SH | DEFINED | 0 |
| ST JOE CO/THE                  | COM | 790148100 | 20    | 746     | SH | DEFINED | 0 |
| ST JOE CO/THE                  | COM | 790148100 | 84    | 3167    | SH | DEFINED | 0 |
| ST JUDE MEDICAL INC            | COM | 790849103 | 82998 | 2019378 | SH | DEFINED | 0 |
| ST JUDE MEDICAL INC            | COM | 790849103 | 1261  | 30680   | SH | DEFINED | 0 |
| ST JUDE MEDICAL INC            | COM | 790849103 | 533   | 13694   | SH | DEFINED | 0 |
| ST JUDE MEDICAL INC            | COM | 790849103 | 2100  | 51084   | SH | DEFINED | 0 |
| ST MARY LAND & EXPLORATION     | COM | 792228108 | 3746  | 179462  | SH | DEFINED | 0 |
| ST MARY LAND & EXPLORATION     | COM | 792228108 | 3     | 140     | SH | DEFINED | 0 |
| ST MARY LD & EXPL COCONV NOTES | COM | 792228AD0 | 2     | 2000    | SH | DEFINED | 0 |
| SAKS INC                       | COM | 79377W108 | 130   | 29370   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |          |    |         |   |
|-------------------------------|-----|-----------|--------|----------|----|---------|---|
| SAKS INC                      | COM | 79377W108 | 22     | 5029     | SH | DEFINED | 0 |
| SALESFORCE.COM INC            | COM | 79466L302 | 1232   | 32276    | SH | DEFINED | 0 |
| SALESFORCE.COM INC            | COM | 79466L302 | 193    | 5065     | SH | DEFINED | 0 |
| SALESFORCE.COM INC            | COM | 79466L302 | 33     | 854      | SH | DEFINED | 0 |
| SALIX PHARMACEUTICALS LTD     | COM | 795435106 | 582    | 58980    | SH | DEFINED | 0 |
| SALIX PHARMACEUTICALS LTD     | COM | 795435106 | 2      | 173      | SH | DEFINED | 0 |
| SALLY BEAUTY HOLDINGS INC     | COM | 79546E104 | 84     | 13210    | SH | DEFINED | 0 |
| SALLY BEAUTY HOLDINGS INC     | COM | 79546E104 | 27     | 4200     | SH | DEFINED | 0 |
| SALLY BEAUTY HOLDINGS INC     | COM | 79546E104 | 7      | 1072     | SH | DEFINED | 0 |
| SAN JUAN BASIN RTY TR UNIT BE | COM | 798241105 | 968    | 67380    | SH | DEFINED | 0 |
| SANDERSON FARMS INC           | COM | 800013104 | 4122   | 91579    | SH | DEFINED | 0 |
| SANDISK CORP                  | COM | 80004C101 | 1152   | 78403    | SH | DEFINED | 0 |
| SANDISK CORP                  | COM | 80004C101 | 412    | 28069    | SH | DEFINED | 0 |
| SANDISK CORP                  | COM | 80004C101 | 22813  | 1555109  | SH | DEFINED | 0 |
| SANDISK CORP                  | COM | 80004C101 | 237    | 16112    | SH | DEFINED | 0 |
| SANDRIDGE ENERGY INC          | COM | 80007P307 | 140    | 16400    | SH | DEFINED | 0 |
| SANDRIDGE ENERGY INC          | COM | 80007P307 | 96     | 11230    | SH | DEFINED | 0 |
| SANDY SPRING BANCORP INC      | COM | 800363103 | 343    | 23333    | SH | DEFINED | 0 |
| SANDY SPRING BANCORP INC      | COM | 800363103 | 78     | 5300     | SH | DEFINED | 0 |
| SANGAMO BIOSCIENCES INC       | COM | 800677106 | 3      | 650      | SH | DEFINED | 0 |
| SANMINA-SCI CORP              | COM | 800907107 | 3279   | 7451710  | SH | DEFINED | 0 |
| SANMINA-SCI CORP              | COM | 800907107 | 2      | 3900     | SH | DEFINED | 0 |
| SANOFI-AVENTIS SPONSORED      | COM | 80105N105 | 473    | 16024    | SH | DEFINED | 0 |
| SANTANDER BANCORP             | COM | 802809103 | 120    | 17280    | SH | DEFINED | 0 |
| SANTANDER BANCORP             | COM | 802809103 | 38     | 5500     | SH | DEFINED | 0 |
| SANTARUS INC                  | COM | 802817304 | 32     | 11500    | SH | DEFINED | 0 |
| SANTARUS INC                  | COM | 802817304 | 4      | 1350     | SH | DEFINED | 0 |
| SAP AG SPONSORED ADR          | COM | 803054204 | 156    | 3886     | SH | DEFINED | 0 |
| SARA LEE CORP                 | COM | 803111103 | 18809  | 1927200  | SH | DEFINED | 0 |
| SARA LEE CORP                 | COM | 803111103 | 646    | 66223    | SH | DEFINED | 0 |
| SARA LEE CORP                 | COM | 803111103 | 273    | 27933    | SH | DEFINED | 0 |
| SASOL LTD SPON ADR            | COM | 803866300 | 37     | 1069     | SH | DEFINED | 0 |
| SATCON TECHNOLOGY CORP        | COM | 803893106 | 0      | 100      | SH | DEFINED | 0 |
| SATYAM COMPUTER SVCSLTD AMERN | COM | 804098101 | 10     | 3240     | SH | DEFINED | 0 |
| SAVIENT PHARMACEUTICALS INC   | COM | 80517Q100 | 792    | 57206    | SH | DEFINED | 0 |
| SAVIENT PHARMACEUTICALS INC   | COM | 80517Q100 | 36     | 2600     | SH | DEFINED | 0 |
| SAVVIS INC                    | COM | 805423308 | 14822  | 1293363  | SH | DEFINED | 0 |
| SBERBANK-CLS                  | COM | 805650991 | 15858  | 12535855 | SH | DEFINED | 0 |
| SCANA CORP                    | COM | 80589M102 | 10179  | 313504   | SH | DEFINED | 0 |
| SCANA CORP                    | COM | 80589M102 | 211    | 6509     | SH | DEFINED | 0 |
| SCANA CORP                    | COM | 80589M102 | 168    | 5174     | SH | DEFINED | 0 |
| SCANSOURCE INC                | COM | 806037107 | 2827   | 115260   | SH | DEFINED | 0 |
| SCANSOURCE INC                | COM | 806037107 | 4      | 143      | SH | DEFINED | 0 |
|                               |     |           |        |          |    |         |   |
| SCHAWK INC                    | COM | 806373106 | 946    | 126000   | SH | DEFINED | 0 |
| HENRY SCHEIN INC              | COM | 806407102 | 86     | 1800     | SH | DEFINED | 0 |
| HENRY SCHEIN INC              | COM | 806407102 | 16090  | 335919   | SH | DEFINED | 0 |
| HENRY SCHEIN INC              | COM | 806407102 | 1660   | 34624    | SH | DEFINED | 0 |
| SCHERING-PLOUGH CORP          | COM | 806605101 | 212269 | 8450141  | SH | DEFINED | 0 |
| SCHERING-PLOUGH CORP          | COM | 806605101 | 2512   | 100000   | SH | DEFINED | 0 |
| SCHERING-PLOUGH CORP          | COM | 806605101 | 2764   | 110003   | SH | DEFINED | 0 |
| SCHERING-PLOUGH CORP          | COM | 806605101 | 7102   | 282732   | SH | DEFINED | 0 |
| SCHERING-PLOUGH CORP          | COM | 806605101 | 1173   | 46703    | SH | DEFINED | 0 |
| SCHLUMBERGER LTD              | COM | 806857108 | 81940  | 1514305  | SH | DEFINED | 0 |
| SCHLUMBERGER LTD              | COM | 806857108 | 4339   | 80205    | SH | DEFINED | 0 |
| SCHLUMBERGER LTD              | COM | 806857108 | 12214  | 204700   | SH | DEFINED | 0 |
| SCHLUMBERGER LTD              | COM | 806857108 | 1762   | 32566    | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| SCHLUMBERGER LTD DEB-B CV 2    | COM | 806857AD0 | 3     | 2000    | SH | DEFINED | 0 |
| SCHNITZER STEEL INDS INC-A     | COM | 806882106 | 2813  | 53223   | SH | DEFINED | 0 |
| SCHNITZER STEEL INDS INC-A     | COM | 806882106 | 36    | 600     | SH | DEFINED | 0 |
| SCHNITZER STEEL INDS INC-A     | COM | 806882106 | 16    | 300     | SH | DEFINED | 0 |
| SCHOLASTIC CORP                | COM | 807066105 | 1066  | 53868   | SH | DEFINED | 0 |
| SCHOOL SPECIALTY INC           | COM | 807863105 | 9676  | 478716  | SH | DEFINED | 0 |
| SCHOOL SPECIALTY INC           | COM | 807863105 | 2264  | 112000  | SH | DEFINED | 0 |
| SCHOOL SPECIALTY INC COM       | COM | 807863105 | 743   | 36759   | SH | DEFINED | 0 |
| SCHULMAN (A.) INC              | COM | 808194104 | 744   | 49274   | SH | DEFINED | 0 |
| SCHULMAN (A.) INC              | COM | 808194104 | 82    | 5400    | SH | DEFINED | 0 |
| SCHULMAN (A.) INC              | COM | 808194104 | 15    | 1000    | SH | DEFINED | 0 |
| SCHWAB (CHARLES) CORP          | COM | 808513105 | 3181  | 181352  | SH | DEFINED | 0 |
| SCHWAB (CHARLES) CORP          | COM | 808513105 | 1289  | 73487   | SH | DEFINED | 0 |
| SCHWAB (CHARLES) CORP          | COM | 808513105 | 84    | 4807    | SH | DEFINED | 0 |
| SCHWAB (CHARLES) CORP          | COM | 808513105 | 523   | 29833   | SH | DEFINED | 0 |
| SCHWEITZER-MAUDUIT INTL INC    | COM | 808541106 | 644   | 23671   | SH | DEFINED | 0 |
| SCIENTIFIC GAMES CORP-A        | COM | 80874P109 | 224   | 14210   | SH | DEFINED | 0 |
| SCIENTIFIC GAMES CORP-A        | COM | 80874P109 | 3     | 200     | SH | DEFINED | 0 |
| SCOTTS MIRACLE-GRO CO-CL A     | COM | 810186106 | 2137  | 60952   | SH | DEFINED | 0 |
| SCOTTS MIRACLE-GRO CO-CL A     | COM | 810186106 | 964   | 28100   | SH | DEFINED | 0 |
| SCOTTS MIRACLE-GRO CO-CL A     | COM | 810186106 | 9     | 250     | SH | DEFINED | 0 |
| EW SCRIPPS CO-CL A             | COM | 811054402 | 151   | 72225   | SH | DEFINED | 0 |
| EW SCRIPPS CO-CL A             | COM | 811054402 | 0     | 26      | SH | DEFINED | 0 |
| SCRIPPS NETWORKS INTER-CL A    | COM | 811065101 | 97    | 3505    | SH | DEFINED | 0 |
| SCRIPPS NETWORKS INTER-CL A    | COM | 811065101 | 107   | 3841    | SH | DEFINED | 0 |
| SCRIPPS NETWORKS INTER-CL A    | COM | 811065101 | 2     | 76      | SH | DEFINED | 0 |
| SEABOARD CORP                  | COM | 811543107 | 2082  | 1856    | SH | DEFINED | 0 |
| SEABOARD CORP                  | COM | 811543107 | 1     | 1       | SH | DEFINED | 0 |
| SEABRIGHT INSURANCE HOLDINGS   | COM | 811656107 | 109   | 10730   | SH | DEFINED | 0 |
| SEABRIGHT INSURANCE HOLDINGS   | COM | 811656107 | 34    | 3400    | SH | DEFINED | 0 |
| SEACHANGE INTERNATIONAL INC    | COM | 811699107 | 559   | 69640   | SH | DEFINED | 0 |
| SEACHANGE INTERNATIONAL INC    | COM | 811699107 | 43    | 5308    | SH | DEFINED | 0 |
| SEACHANGE INTERNATIONAL INC    | COM | 811699107 | 54    | 6757    | SH | DEFINED | 0 |
| SEACOAST BKG CORP FLA COM      | COM | 811707306 | 1     | 584     | SH | DEFINED | 0 |
| SEACOR HOLDINGS INC            | COM | 811904101 | 3547  | 47146   | SH | DEFINED | 0 |
| SEACOR HOLDINGS INC            | COM | 811904101 | 120   | 1600    | SH | DEFINED | 0 |
| SEABRIDGE GOLD INC ISIN #CA81  | COM | 811916105 | 3     | 100     | SH | DEFINED | 0 |
| SEALED AIR CORP                | COM | 81211K100 | 32539 | 1763584 | SH | DEFINED | 0 |
| SEALED AIR CORP                | COM | 81211K100 | 124   | 6721    | SH | DEFINED | 0 |
| SEALED AIR CORP                | COM | 81211K100 | 18    | 975     | SH | DEFINED | 0 |
| SEALY CORP COM                 | COM | 812139301 | 20    | 10000   | SH | DEFINED | 0 |
| SEARS HOLDINGS CORP            | COM | 812350106 | 2122  | 31904   | SH | DEFINED | 0 |
| SEARS HOLDINGS CORP            | COM | 812350106 | 156   | 2339    | SH | DEFINED | 0 |
| SEARS HOLDINGS CORP            | COM | 812350106 | 64    | 969     | SH | DEFINED | 0 |
| SEATTLE GENETICS INC           | COM | 812578102 | 185   | 19000   | SH | DEFINED | 0 |
| SEATTLE GENETICS INC           | COM | 812578102 | 39    | 4002    | SH | DEFINED | 0 |
| SELECT SECTOR SPDR TR SHS BEN  | COM | 81369Y100 | 863   | 33432   | SH | DEFINED | 0 |
| HEALTH CARE SELECT SECTOR      | COM | 81369Y209 | 422   | 16046   | SH | DEFINED | 0 |
| CONSUMER STAPLES SPDR ETF      | COM | 81369Y308 | 2900  | 126161  | SH | DEFINED | 0 |
| CONSUMER DISCRETIONARY SELECT  | COM | 81369Y407 | 106   | 4594    | SH | DEFINED | 0 |
| SECTOR SPDR TR SHS BEN INT EN  | COM | 81369Y506 | 1199  | 24961   | SH | DEFINED | 0 |
| FINANCIAL SELECT SECTOR SPDR E | COM | 81369Y605 | 4139  | 346327  | SH | DEFINED | 0 |
| SECTOR SPDR TR SHS BEN INT IN  | COM | 81369Y704 | 254   | 11577   | SH | DEFINED | 0 |
| SECTOR SPDR TR SHS BEN INT TE  | COM | 81369Y803 | 1063  | 58365   | SH | DEFINED | 0 |
| UTILITIES SELECT SECTOR SPDR E | COM | 81369Y886 | 355   | 12711   | SH | DEFINED | 0 |
| SECURITY BK CORP               | COM | 814047106 | 7     | 16685   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| SELECT COMFORT CORP            | COM | 81616X103 | 1     | 1065    | SH | DEFINED | 0 |
| SELECTICA INC DEL EXCHANGED    | COM | 816288104 | 2     | 3900    | SH | DEFINED | 0 |
| SELECTIVE INSURANCE GROUP      | COM | 816300107 | 3051  | 238864  | SH | DEFINED | 0 |
| SELECTIVE INSURANCE GROUP      | COM | 816300107 | 9     | 704     | SH | DEFINED | 0 |
| SEMICONDUCTOR HOLDRSTR DEP RCP | COM | 816636203 | 140   | 6525    | SH | DEFINED | 0 |
| SEMICONDUCTOR MANUFACT-ADR     | COM | 81663N206 | 8881  | 3455536 | SH | DEFINED | 0 |
| SEMTECH CORP                   | COM | 816850101 | 3210  | 201786  | SH | DEFINED | 0 |
| SEMPRA ENERGY                  | COM | 816851109 | 63666 | 1282809 | SH | DEFINED | 0 |
| SEMPRA ENERGY                  | COM | 816851109 | 926   | 18665   | SH | DEFINED | 0 |
| SEMPRA ENERGY                  | COM | 816851109 | 1228  | 24735   | SH | DEFINED | 0 |
| SENIOR HOUSING PROP TRUST      | COM | 81721M109 | 8072  | 494609  | SH | DEFINED | 0 |
| SENIOR HOUSING PROP TRUST      | COM | 81721M109 | 49    | 3000    | SH | DEFINED | 0 |
| SENIOR HOUSING PROP TRUST      | COM | 81721M109 | 195   | 11973   | SH | DEFINED | 0 |
| SENORX INC                     | COM | 81724W104 | 16    | 4800    | SH | DEFINED | 0 |
| SENSIENT TECHNOLOGIES CORP     | COM | 81725T100 | 33    | 1449    | SH | DEFINED | 0 |
| SEPRACOR INC                   | COM | 817315104 | 404   | 23300   | SH | DEFINED | 0 |
| SEPRACOR INC                   | COM | 817315104 | 177   | 10227   | SH | DEFINED | 0 |
| SEQUENOM INC COM NEW           | COM | 817337405 | 34    | 8800    | SH | DEFINED | 0 |
| SERVICE CORP INTERNATIONAL     | COM | 817565104 | 73    | 13400   | SH | DEFINED | 0 |
| SERVICE CORP INTERNATIONAL     | COM | 817565104 | 7     | 1306    | SH | DEFINED | 0 |
| SHANDA INTERACTIVE-SPON ADR    | COM | 81941Q203 | 1948  | 37263   | SH | DEFINED | 0 |
| SHANDA INTERACTIVE-SPON ADR    | COM | 81941Q203 | 394   | 7560    | SH | DEFINED | 0 |
| SHANDA INTERACTIVE-SPON ADR    | COM | 81941Q203 | 25    | 469     | SH | DEFINED | 0 |
| SHAW GROUP INC                 | COM | 820280105 | 13077 | 477067  | SH | DEFINED | 0 |
| SHAW GROUP INC                 | COM | 820280105 | 35    | 1261    | SH | DEFINED | 0 |
| SHAW GROUP INC                 | COM | 820280105 | 122   | 4450    | SH | DEFINED | 0 |
| SHAW GROUP INC                 | COM | 820280105 | 1645  | 60000   | SH | DEFINED | 0 |
| SHAW GROUP INC COM             | COM | 820280105 | 1378  | 50256   | SH | DEFINED | 0 |
| SHAW COMMUNICATIONS INC-B      | COM | 82028K200 | 2204  | 130750  | SH | DEFINED | 0 |
| SHAW COMMUNICATIONS INC-B      | COM | 82028K200 | 43    | 2530    | SH | DEFINED | 0 |
| SHENANDOAH TELECOMMUNICATION   | COM | 82312B106 | 999   | 49293   | SH | DEFINED | 0 |
| SHENGDATECH INC                | COM | 823213103 | 88    | 23400   | SH | DEFINED | 0 |
| SHENGDATECH INC                | COM | 823213103 | 31    | 8152    | SH | DEFINED | 0 |
| SHERWIN-WILLIAMS CO/THE        | COM | 824348106 | 28400 | 528369  | SH | DEFINED | 0 |
| SHERWIN-WILLIAMS CO/THE        | COM | 824348106 | 509   | 9466    | SH | DEFINED | 0 |
| SHERWIN-WILLIAMS CO/THE        | COM | 824348106 | 395   | 7340    | SH | DEFINED | 0 |
| SHIRE PLC-ADR                  | COM | 82481R106 | 10993 | 265025  | SH | DEFINED | 0 |
| SHIRE PLC-ADR                  | COM | 82481R106 | 158   | 3798    | SH | DEFINED | 0 |
| SHIRE PLC-ADR                  | COM | 82481R106 | 201   | 4900    | SH | DEFINED | 0 |
| SHIRE PLC-ADR                  | COM | 82481R106 | 43    | 1031    | SH | DEFINED | 0 |
| SHOE CARNIVAL INC              | COM | 824889109 | 65    | 5418    | SH | DEFINED | 0 |
| SHUFFLE MASTER INC             | COM | 825549108 | 416   | 63003   | SH | DEFINED | 0 |
| SHUFFLE MASTER INC             | COM | 825549108 | 62    | 9337    | SH | DEFINED | 0 |
| SHUFFLE MASTER INC             | COM | 825549108 | 1     | 219     | SH | DEFINED | 0 |
| SHUTTERFLY INC                 | COM | 82568P304 | 300   | 21500   | SH | DEFINED | 0 |
| SHUTTERFLY INC                 | COM | 82568P304 | 4     | 300     | SH | DEFINED | 0 |
| SIBENERGYHOLDING JSC           | COM | 825923998 | 0     | 7992    | SH | DEFINED | 0 |
| SIBIRSKIY CEMENT-BRD           | COM | 825930993 | 749   | 59936   | SH | DEFINED | 0 |
| SIEMENS A G SPON ADR I         | COM | 826197501 | 377   | 5451    | SH | DEFINED | 0 |
| SIERRA BANCORP                 | COM | 82620P102 | 27    | 2143    | SH | DEFINED | 0 |
| SIERRA WIRELESS INC            | COM | 826516106 | 27    | 4750    | SH | DEFINED | 0 |
| SIERRA WIRELESS INC            | COM | 826516106 | 9     | 1500    | SH | DEFINED | 0 |
| SIGMA-ALDRICH                  | COM | 826552101 | 5305  | 107053  | SH | DEFINED | 0 |
| SIGMA-ALDRICH                  | COM | 826552101 | 224   | 4523    | SH | DEFINED | 0 |
| SIGMA-ALDRICH                  | COM | 826552101 | 1647  | 33238   | SH | DEFINED | 0 |
| SIGMA DESIGNS INC              | COM | 826565103 | 1937  | 120851  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |          |    |         |   |
|--------------------------------|-----|-----------|-------|----------|----|---------|---|
| SIGNATURE BANK                 | COM | 82669G104 | 2406  | 88707    | SH | DEFINED | 0 |
| SIGNATURE BANK                 | COM | 82669G104 | 145   | 5200     | SH | DEFINED | 0 |
| SIGNATURE BANK                 | COM | 82669G104 | 4     | 135      | SH | DEFINED | 0 |
| SILICON LABORATORIES INC       | COM | 826919102 | 2003  | 52777    | SH | DEFINED | 0 |
| SILICON LABORATORIES INC       | COM | 826919102 | 18    | 485      | SH | DEFINED | 0 |
| SILGAN HOLDINGS INC            | COM | 827048109 | 122   | 2497     | SH | DEFINED | 0 |
| SILGAN HOLDINGS INC            | COM | 827048109 | 25    | 501      | SH | DEFINED | 0 |
| SILICON STORAGE TECHINC        | COM | 827057100 | 151   | 80684    | SH | DEFINED | 0 |
| SILICON IMAGE INC              | COM | 82705T102 | 1141  | 496595   | SH | DEFINED | 0 |
| SILICON IMAGE INC              | COM | 82705T102 | 69    | 29800    | SH | DEFINED | 0 |
| SILICON IMAGE INC              | COM | 82705T102 | 5     | 2200     | SH | DEFINED | 0 |
| SILICON MOTION TECHNOLOGY      | COM | 82706C108 | 10    | 2850     | SH | DEFINED | 0 |
| SILICON GRAPHICS INTERNATION   | COM | 82706L108 | 43    | 9500     | SH | DEFINED | 0 |
| SILICON GRAPHICS INTERNATION   | COM | 82706L108 | 8     | 1870     | SH | DEFINED | 0 |
| SILICONWARE PRECISION          | COM | 827084864 | 15    | 2411     | SH | DEFINED | 0 |
| SILVER STANDARD RESOURCES      | COM | 82823L106 | 3188  | 170030   | SH | DEFINED | 0 |
| SILVER STANDARD RESOURCES      | COM | 82823L106 | 87    | 4627     | SH | DEFINED | 0 |
| SILVER WHEATON CORP COM ISIN # | COM | 828336107 | 344   | 41712    | SH | DEFINED | 0 |
| SILVERCORP METALS INC ISIN #   | COM | 82835P103 | 24    | 6738     | SH | DEFINED | 0 |
| SIMCERE PHARMACEUTICAL GROUP   | COM | 82859P104 | 502   | 57673    | SH | DEFINED | 0 |
| SIMMONS FIRST NATL CORP-CL A   | COM | 828730200 | 418   | 15644    | SH | DEFINED | 0 |
| SIMON PROPERTY GROUP INC       | COM | 828806109 | 23928 | 465259   | SH | DEFINED | 0 |
| SIMON PROPERTY GROUP INC       | COM | 828806109 | 788   | 15323    | SH | DEFINED | 0 |
| SIMON PROPERTY GROUP INC       | COM | 828806109 | 672   | 13077    | SH | DEFINED | 0 |
| SIMON PROPERTY GROUP INC       | COM | 828806109 | 260   | 5058     | SH | DEFINED | 0 |
| SIMON PROPERTY GROUP INC       | PRF | 828806802 | 15    | 315      | SH | DEFINED | 0 |
| SILVER PEAKS SYSTEMS           | COM | 82899H930 | 2102  | 2620545  | SH | DEFINED | 0 |
| MIASOLE                        | COM | 82899Q997 | 1611  | 805620   | SH | DEFINED | 0 |
| SIMPSON MANUFACTURING CO INC   | COM | 829073105 | 8032  | 371552   | SH | DEFINED | 0 |
| SIMPSON MANUFACTURING CO INC   | COM | 829073105 | 3     | 120      | SH | DEFINED | 0 |
| SIMS METAL MANAGEMENT          | COM | 829160100 | 7     | 337      | SH | DEFINED | 0 |
| SINCLAIR BROADCAST GROUP INC   | COM | 829226109 | 10    | 5255     | SH | DEFINED | 0 |
| SINGAPORE FD INC               | COM | 82929L109 | 6     | 600      | SH | DEFINED | 0 |
| SIRONA DENTAL SYSTEMS INC      | COM | 82966C103 | 39    | 1952     | SH | DEFINED | 0 |
| SIRIUS XM RADIO INC            | COM | 82967N108 | 8714  | 20262776 | SH | DEFINED | 0 |
| SIRIUS XM RADIO INC            | COM | 82967N108 | 106   | 247010   | SH | DEFINED | 0 |
| SIRIUS XM RADIO INC            | COM | 82967N108 | 93    | 216513   | SH | DEFINED | 0 |
| SIX FLAGS INC                  | COM | 83001P109 | 0     | 1800     | SH | DEFINED | 0 |
| SKECHERS USA INC-CL A          | COM | 830566105 | 2931  | 299921   | SH | DEFINED | 0 |
| SKECHERS USA INC-CL A          | COM | 830566105 | 2     | 200      | SH | DEFINED | 0 |
| SKILLED HEALTHCARE GROU-CL A   | COM | 83066R107 | 308   | 41048    | SH | DEFINED | 0 |
| SKYLINE CORP                   | COM | 830830105 | 101   | 4653     | SH | DEFINED | 0 |
| SKYWEST INC                    | COM | 830879102 | 3913  | 383639   | SH | DEFINED | 0 |
| SKYWEST INC                    | COM | 830879102 | 39    | 3800     | SH | DEFINED | 0 |
| SKYWEST INC                    | COM | 830879102 | 2     | 200      | SH | DEFINED | 0 |
| SKYWORKS SOLUTIONS INC         | COM | 83088M102 | 3194  | 326711   | SH | DEFINED | 0 |
| SKYWORKS SOLUTIONS INC         | COM | 83088M102 | 182   | 18200    | SH | DEFINED | 0 |
| SKYWORKS SOLUTIONS INC         | COM | 83088M102 | 32    | 3303     | SH | DEFINED | 0 |
| SKILLSOFT PLC - ADR            | COM | 830928107 | 320   | 41052    | SH | DEFINED | 0 |
| SMALL CAP PREM & DIVID INCO    | COM | 83165P101 | 69    | 7463     | SH | DEFINED | 0 |
| SMITH & WESSON HLDG CORP       | COM | 831756101 | 79    | 13955    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| SMITH AND NEPHEW PLC SPONSORED | COM | 83175M205 | 87    | 2341    | SH | DEFINED | 0 |
| SMITH (A.O.) CORP              | COM | 831865209 | 19324 | 593324  | SH | DEFINED | 0 |
| SMITH INTERNATIONAL INC        | COM | 832110100 | 10355 | 402116  | SH | DEFINED | 0 |
| SMITH INTERNATIONAL INC        | COM | 832110100 | 287   | 11148   | SH | DEFINED | 0 |
| SMITH INTERNATIONAL INC        | COM | 832110100 | 272   | 9600    | SH | DEFINED | 0 |
| SMITH INTERNATIONAL INC        | COM | 832110100 | 3     | 120     | SH | DEFINED | 0 |
| SMITH MICRO SOFTWARE INC       | COM | 832154108 | 348   | 35452   | SH | DEFINED | 0 |
| SMITH MICRO SOFTWARE INC       | COM | 832154108 | 6     | 585     | SH | DEFINED | 0 |
| SMITHFIELD FOODS INC           | COM | 832248108 | 10269 | 735000  | SH | DEFINED | 0 |
| SMITHFIELD FOODS INC           | COM | 832248108 | 35    | 2525    | SH | DEFINED | 0 |
| SMITHFIELD FOODS INC           | COM | 832248108 | 1816  | 130000  | SH | DEFINED | 0 |
| SMITHFIELD FOODS INC           | COM | 832248108 | 1071  | 76693   | SH | DEFINED | 0 |
| SMITHTOWN BANCORP INC          | COM | 832449102 | 107   | 8370    | SH | DEFINED | 0 |
| SMITHTOWN BANCORP INC          | COM | 832449102 | 33    | 2600    | SH | DEFINED | 0 |
| JM SMUCKER CO/THE              | COM | 832696405 | 8480  | 174261  | SH | DEFINED | 0 |
| JM SMUCKER CO/THE              | COM | 832696405 | 217   | 4460    | SH | DEFINED | 0 |
| JM SMUCKER CO/THE              | COM | 832696405 | 2993  | 61695   | SH | DEFINED | 0 |
| JM SMUCKER CO/THE              | COM | 832696405 | 154   | 3164    | SH | DEFINED | 0 |
| SMURFIT STONE CONTAINER        | COM | 832727101 | 0     | 2065    | SH | DEFINED | 0 |
| SNAP-ON INC                    | COM | 833034101 | 2847  | 99031   | SH | DEFINED | 0 |
| SNAP-ON INC                    | COM | 833034101 | 141   | 4916    | SH | DEFINED | 0 |
| SNAP-ON INC                    | COM | 833034101 | 104   | 2075    | SH | DEFINED | 0 |
| SNAP-ON INC                    | COM | 833034101 | 10    | 350     | SH | DEFINED | 0 |
| SOAPSTONE NETWORKS INC         | COM | 833570104 | 26    | 6236    | SH | DEFINED | 0 |
| QUIMICA Y MINERA CHIL-SP ADR   | COM | 833635105 | 3815  | 105418  | SH | DEFINED | 0 |
| QUIMICA Y MINERA CHIL-SP ADR   | COM | 833635105 | 12149 | 335696  | SH | DEFINED | 0 |
| QUIMICA Y MINERA CHIL-SP ADR   | COM | 833635105 | 20395 | 563551  | SH | DEFINED | 0 |
| QUIMICA Y MINERA CHIL-SP ADR   | COM | 833635105 | 111   | 3060    | SH | DEFINED | 0 |
| SOHU.COM INC                   | COM | 83408W103 | 88    | 1400    | SH | DEFINED | 0 |
| SOHU.COM INC                   | COM | 83408W103 | 615   | 9790    | SH | DEFINED | 0 |
| SOHU.COM INC                   | COM | 83408W103 | 155   | 2464    | SH | DEFINED | 0 |
| SOLARFUN POWER HOLD-SPON ADR   | COM | 83415U108 | 26    | 4028    | SH | DEFINED | 0 |
| SOLARFUN POWER HOLD-SPON ADR   | COM | 83415U108 | 8     | 1250    | SH | DEFINED | 0 |
| SOLARWINDS INC                 | COM | 83416B109 | 67    | 4070    | SH | DEFINED | 0 |
| SOLERA HOLDINGS INC            | COM | 83421A104 | 4370  | 172050  | SH | DEFINED | 0 |
| SOLERA HOLDINGS INC            | COM | 83421A104 | 2620  | 102950  | SH | DEFINED | 0 |
| SOLERA HOLDINGS INC            | COM | 83421A104 | 10    | 400     | SH | DEFINED | 0 |
| SOLUTIA INC                    | COM | 834376501 | 893   | 155154  | SH | DEFINED | 0 |
| SOLUTIA INC                    | COM | 834376501 | 72    | 12500   | SH | DEFINED | 0 |
| SOMANETICS CORP                | COM | 834445405 | 201   | 12178   | SH | DEFINED | 0 |
| SOMANETICS CORP                | COM | 834445405 | 3     | 200     | SH | DEFINED | 0 |
| SONIC CORP                     | COM | 835451105 | 477   | 47580   | SH | DEFINED | 0 |
| SONIC CORP                     | COM | 835451105 | 64    | 6408    | SH | DEFINED | 0 |
| SONIC CORP                     | COM | 835451105 | 5     | 460     | SH | DEFINED | 0 |
| SONIC AUTOMOTIVE INC-CLASS A   | COM | 83545G102 | 787   | 77471   | SH | DEFINED | 0 |
| SONIC SOLUTIONS                | COM | 835460106 | 89    | 30130   | SH | DEFINED | 0 |
| SONICWALL INC                  | COM | 835470105 | 33503 | 6113751 | SH | DEFINED | 0 |
| SONOCO PRODUCTS CO             | COM | 835495102 | 5962  | 248929  | SH | DEFINED | 0 |
| SONOCO PRODUCTS CO             | COM | 835495102 | 48    | 2018    | SH | DEFINED | 0 |
| SONOSITE INC                   | COM | 83568G104 | 160   | 8000    | SH | DEFINED | 0 |
| SONOSITE INC                   | COM | 83568G104 | 59    | 2966    | SH | DEFINED | 0 |
| SONY CORP ADR NEW              | COM | 835699307 | 118   | 4568    | SH | DEFINED | 0 |
| SOTHEBY'S                      | COM | 835898107 | 10872 | 770470  | SH | DEFINED | 0 |
| SOTHEBY'S                      | COM | 835898107 | 1693  | 120000  | SH | DEFINED | 0 |
| SOTHEBY HLDGS INC CL A         | COM | 835898107 | 710   | 50306   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| SONUS NETWORKS INC             | COM | 835916107 | 7     | 4100    | SH | DEFINED | 0 |
| SOURCE CAP INC                 | COM | 836144105 | 48    | 1387    | SH | DEFINED | 0 |
| SOURCEFIRE INC                 | COM | 83616T108 | 330   | 26655   | SH | DEFINED | 0 |
| SOURCEFORGE INC COM            | COM | 83616W101 | 0     | 10      | SH | DEFINED | 0 |
| SOUTH FINANCIAL GROUP INC      | COM | 837841105 | 132   | 110900  | SH | DEFINED | 0 |
| SOUTH FINANCIAL GROUP INC      | COM | 837841105 | 0     | 158     | SH | DEFINED | 0 |
| SOUTH JERSEY INDUSTRIES        | COM | 838518108 | 6528  | 187126  | SH | DEFINED | 0 |
| SOUTH JERSEY INDUSTRIES        | COM | 838518108 | 71    | 2024    | SH | DEFINED | 0 |
| SOUTH JERSEY INDUSTRIES        | COM | 838518108 | 65    | 1867    | SH | DEFINED | 0 |
| SOUTHERN CO                    | COM | 842587107 | 64490 | 2069700 | SH | DEFINED | 0 |
| SOUTHERN CO                    | COM | 842587107 | 1790  | 57439   | SH | DEFINED | 0 |
| SOUTHERN CO                    | COM | 842587107 | 1728  | 55469   | SH | DEFINED | 0 |
| SOUTHERN COPPER CORP           | COM | 84265V105 | 5298  | 259180  | SH | DEFINED | 0 |
| SOUTHERN COPPER CORP           | COM | 84265V105 | 8808  | 430935  | SH | DEFINED | 0 |
| SOUTHERN COPPER CORP           | COM | 84265V105 | 12334 | 606663  | SH | DEFINED | 0 |
| SOUTHERN COPPER CORP           | COM | 84265V105 | 1028  | 50286   | SH | DEFINED | 0 |
| SOUTHERN UNION CO              | COM | 844030106 | 73    | 3970    | SH | DEFINED | 0 |
| SOUTHERN UNION CO              | COM | 844030106 | 861   | 46813   | SH | DEFINED | 0 |
| SOUTHSIDE BANCSHARES INC       | COM | 84470P109 | 678   | 29647   | SH | DEFINED | 0 |
| SOUTHSIDE BANCSHARES INC       | COM | 84470P109 | 120   | 5246    | SH | DEFINED | 0 |
| SOUTHWEST AIRLINES CO          | COM | 844741108 | 652   | 96877   | SH | DEFINED | 0 |
| SOUTHWEST AIRLINES CO          | COM | 844741108 | 224   | 33299   | SH | DEFINED | 0 |
| SOUTHWEST AIRLINES CO          | COM | 844741108 | 227   | 33711   | SH | DEFINED | 0 |
| SOUTHWEST GAS CORP             | COM | 844895102 | 1772  | 79780   | SH | DEFINED | 0 |
| SOUTHWESTERN ENERGY CO         | COM | 845467109 | 39729 | 1022611 | SH | DEFINED | 0 |
| SOUTHWESTERN ENERGY CO         | COM | 845467109 | 782   | 20112   | SH | DEFINED | 0 |
| SOUTHWESTERN ENERGY CO         | COM | 845467109 | 268   | 8735    | SH | DEFINED | 0 |
| SOUTHWESTERN ENERGY CO         | COM | 845467109 | 430   | 11077   | SH | DEFINED | 0 |
| SOVRAN SELF STORAGE INC        | COM | 84610H108 | 898   | 36515   | SH | DEFINED | 0 |
| SOVRAN SELF STORAGE INC        | COM | 84610H108 | 47    | 1900    | SH | DEFINED | 0 |
| SOVRAN SELF STORAGE INC        | COM | 84610H108 | 30    | 1200    | SH | DEFINED | 0 |
| SPANSION INC COM CL A          | COM | 84649R101 | 0     | 79      | SH | DEFINED | 0 |
| SPARTAN MOTORS INC             | COM | 846819100 | 2478  | 218672  | SH | DEFINED | 0 |
|                                |     |           |       |         |    |         |   |
| SPARTAN MOTORS INC             | COM | 846819100 | 104   | 9200    | SH | DEFINED | 0 |
| SPARTAN MOTORS INC             | COM | 846819100 | 2     | 200     | SH | DEFINED | 0 |
| SPARTAN STORES INC             | COM | 846822104 | 408   | 32843   | SH | DEFINED | 0 |
| SPARTAN STORES INC             | COM | 846822104 | 2     | 140     | SH | DEFINED | 0 |
| SPARTECH CORP NEW              | COM | 847220209 | 1     | 100     | SH | DEFINED | 0 |
| SPECTRA ENERGY CORP            | COM | 847560109 | 29140 | 1722140 | SH | DEFINED | 0 |
| SPECTRA ENERGY CORP            | COM | 847560109 | 727   | 42999   | SH | DEFINED | 0 |
| SPECTRA ENERGY CORP            | COM | 847560109 | 430   | 25441   | SH | DEFINED | 0 |
| SPECTRANETICS CORP             | COM | 84760C107 | 6     | 1200    | SH | DEFINED | 0 |
| SPECTRUM CONTROL INC           | COM | 847615101 | 100   | 11327   | SH | DEFINED | 0 |
| SPECTRUM PHARMACEUT            | COM | 84763A108 | 22    | 2900    | SH | DEFINED | 0 |
| SPEEDWAY MOTORSPORTS INC       | COM | 847788106 | 246   | 17820   | SH | DEFINED | 0 |
| SPEEDWAY MOTORSPORTS INC       | COM | 847788106 | 78    | 5700    | SH | DEFINED | 0 |
| SPHERION CORPORATION           | COM | 848420105 | 324   | 78498   | SH | DEFINED | 0 |
| SPIRE CORP                     | COM | 848565107 | 4     | 800     | SH | DEFINED | 0 |
| SPIRIT AEROSYSTEMS HOLD-CL A   | COM | 848574109 | 723   | 52664   | SH | DEFINED | 0 |
| SPIRIT AEROSYSTEMS HOLD-CL A   | COM | 848574109 | 15    | 1100    | SH | DEFINED | 0 |
| SPIRIT AEROSYSTEMS HLDGS INCCO | COM | 848574109 | 915   | 66603   | SH | DEFINED | 0 |
| SPORT SUPPLY GROUP INC         | COM | 84916A104 | 16    | 1876    | SH | DEFINED | 0 |
| SPRINT NEXTEL CORP             | COM | 852061100 | 26692 | 5549365 | SH | DEFINED | 0 |
| SPRINT NEXTEL CORP             | COM | 852061100 | 1064  | 221177  | SH | DEFINED | 0 |
| SPRINT NEXTEL CORP             | COM | 852061100 | 277   | 57503   | SH | DEFINED | 0 |
| STAGE STORES INC               | COM | 85254C305 | 6760  | 609024  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |       |         |    |         |   |
|------------------------------|-----|-----------|-------|---------|----|---------|---|
| STAMPS.COM INC               | COM | 852857200 | 75    | 8880    | SH | DEFINED | 0 |
| STANCORP FINANCIAL GROUP     | COM | 852891100 | 3246  | 113200  | SH | DEFINED | 0 |
| STANDARD MICROSYSTEMS CORP   | COM | 853626109 | 681   | 33315   | SH | DEFINED | 0 |
| STANDARD MICROSYSTEMS CORP   | COM | 853626109 | 3     | 125     | SH | DEFINED | 0 |
| STANDARD MOTOR PRODS         | COM | 853666105 | 112   | 13575   | SH | DEFINED | 0 |
| STANDARD PACIFIC CORP        | COM | 85375C101 | 127   | 62402   | SH | DEFINED | 0 |
| STANDARD PACIFIC CORP        | COM | 85375C101 | 8     | 3800    | SH | DEFINED | 0 |
| STANDARD REGISTER CO         | COM | 853887107 | 47    | 14359   | SH | DEFINED | 0 |
| STANDEX INTERNATIONAL CORP   | COM | 854231107 | 324   | 27925   | SH | DEFINED | 0 |
| STANDEX INTERNATIONAL CORP   | COM | 854231107 | 51    | 4400    | SH | DEFINED | 0 |
| STANDEX INTERNATIONAL CORP   | COM | 854231107 | 1     | 100     | SH | DEFINED | 0 |
| STANLEY FURNITURE CO INC     | COM | 854305208 | 122   | 11380   | SH | DEFINED | 0 |
| STANLEY FURNITURE CO INC     | COM | 854305208 | 40    | 3700    | SH | DEFINED | 0 |
| STANLEY INC                  | COM | 854532108 | 967   | 29408   | SH | DEFINED | 0 |
| STANLEY INC                  | COM | 854532108 | 3     | 100     | SH | DEFINED | 0 |
| STANLEY WORKS/THE            | COM | 854616109 | 73966 | 2185780 | SH | DEFINED | 0 |
| STANLEY WORKS/THE            | COM | 854616109 | 114   | 3361    | SH | DEFINED | 0 |
| STANLEY WORKS/THE            | COM | 854616109 | 133   | 3933    | SH | DEFINED | 0 |
| STANTEC INC ISIN #CA85       | COM | 85472N109 | 3     | 135     | SH | DEFINED | 0 |
| STAPLES INC                  | COM | 855030102 | 46438 | 2302340 | SH | DEFINED | 0 |
| STAPLES INC                  | COM | 855030102 | 854   | 42322   | SH | DEFINED | 0 |
| STAPLES INC                  | COM | 855030102 | 1508  | 74721   | SH | DEFINED | 0 |
| STAR GAS PARTNERS L P SBI    | COM | 85512C105 | 1     | 400     | SH | DEFINED | 0 |
| STAR SCIENTIFIC INC          | COM | 85517P101 | 4     | 4000    | SH | DEFINED | 0 |
| STARBUCKS CORP               | COM | 855244109 | 10540 | 758832  | SH | DEFINED | 0 |
| STARBUCKS CORP               | COM | 855244109 | 764   | 55013   | SH | DEFINED | 0 |
| STARBUCKS CORP               | COM | 855244109 | 19709 | 1544293 | SH | DEFINED | 0 |
| STARBUCKS CORP               | COM | 855244109 | 327   | 23568   | SH | DEFINED | 0 |
| STARENT NETWORKS CORP        | COM | 85528P108 | 1553  | 63622   | SH | DEFINED | 0 |
| STARENT NETWORKS CORP        | COM | 85528P108 | 4     | 175     | SH | DEFINED | 0 |
| STARTEK INC                  | COM | 85569C107 | 318   | 39730   | SH | DEFINED | 0 |
| STARTEK INC                  | COM | 85569C107 | 5     | 600     | SH | DEFINED | 0 |
| STARWOOD HOTELS & RESORTS    | COM | 85590A401 | 16450 | 740981  | SH | DEFINED | 0 |
| STARWOOD HOTELS & RESORTS    | COM | 85590A401 | 238   | 10727   | SH | DEFINED | 0 |
| STARWOOD HOTELS & RESORTS    | COM | 85590A401 | 31250 | 1409644 | SH | DEFINED | 0 |
| STARWOOD HOTELS & RESORTS    | COM | 85590A401 | 132   | 5928    | SH | DEFINED | 0 |
| STATE BANK OF INDIA-SPON GDR | COM | 856552989 | 3160  | 44500   | SH | DEFINED | 0 |
| STATE STREET CORP            | COM | 857477103 | 31693 | 671455  | SH | DEFINED | 0 |
| STATE STREET CORP            | COM | 857477103 | 1383  | 29300   | SH | DEFINED | 0 |
| STATE STREET CORP            | COM | 857477103 | 927   | 19634   | SH | DEFINED | 0 |
| STATOIL HYDRO ASA SPON ADR   | COM | 85771P102 | 48    | 2421    | SH | DEFINED | 0 |
| STEAK N SHAKE CO/THE         | COM | 857873103 | 713   | 81660   | SH | DEFINED | 0 |
| STEAK N SHAKE CO/THE         | COM | 857873103 | 79    | 9000    | SH | DEFINED | 0 |
| STEAK N SHAKE CO/THE         | COM | 857873103 | 9     | 1020    | SH | DEFINED | 0 |
| STEEL DYNAMICS INC           | COM | 858119100 | 15517 | 1053599 | SH | DEFINED | 0 |
| STEEL DYNAMICS INC           | COM | 858119100 | 34    | 2308    | SH | DEFINED | 0 |
| STEEL DYNAMICS INC           | COM | 858119100 | 158   | 10652   | SH | DEFINED | 0 |
| STEELCASE INC-CL A           | COM | 858155203 | 2973  | 510939  | SH | DEFINED | 0 |
| STEELCASE INC-CL A           | COM | 858155203 | 3     | 440     | SH | DEFINED | 0 |
| STEIN MART INC               | COM | 858375108 | 255   | 28830   | SH | DEFINED | 0 |
| STEINWAY MUSICAL INSTRUMENTS | COM | 858495104 | 144   | 13520   | SH | DEFINED | 0 |
| STEINWAY MUSICAL INSTRUMENTS | COM | 858495104 | 46    | 4300    | SH | DEFINED | 0 |
| STEMCELLS INC                | COM | 85857R105 | 24    | 14150   | SH | DEFINED | 0 |
| STEPAN CO                    | COM | 858586100 | 462   | 10446   | SH | DEFINED | 0 |
| STERLING BANCSHARES INC/TX   | COM | 858907108 | 685   | 108314  | SH | DEFINED | 0 |
| STERLING BANCSHARES INC/TX   | COM | 858907108 | 32    | 5000    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |        |    |         |   |
|--------------------------------|-----|-----------|-------|--------|----|---------|---|
| STERICYCLE INC                 | COM | 858912108 | 1840  | 35717  | SH | DEFINED | 0 |
| STERICYCLE INC                 | COM | 858912108 | 471   | 9142   | SH | DEFINED | 0 |
| STERICYCLE INC                 | COM | 858912108 | 24581 | 477129 | SH | DEFINED | 0 |
| STERICYCLE INC                 | COM | 858912108 | 1970  | 38221  | SH | DEFINED | 0 |
| STERIS CORP                    | COM | 859152100 | 8864  | 339926 | SH | DEFINED | 0 |
| STERIS CORP                    | COM | 859152100 | 78    | 3000   | SH | DEFINED | 0 |
| STERLING BANCORP-N Y           | COM | 859158107 | 102   | 12171  | SH | DEFINED | 0 |
| STERLING CONSTRUCTION CO       | COM | 859241101 | 1300  | 85210  | SH | DEFINED | 0 |
| STERLING FINL CORP/SPOKANE     | COM | 859319105 | 244   | 83688  | SH | DEFINED | 0 |
| STERLITE INDS INDIA LTD ADS IS | COM | 859737207 | 62    | 4998   | SH | DEFINED | 0 |
| STEWARDSHIP FINL CORP          | COM | 860326107 | 11    | 1193   | SH | DEFINED | 0 |
| STEWART INFORMATION SERVICES   | COM | 860372101 | 4879  | 342451 | SH | DEFINED | 0 |
| STIFEL FINANCIAL CORP          | COM | 860630102 | 7047  | 146560 | SH | DEFINED | 0 |
| STIFEL FINANCIAL CORP          | COM | 860630102 | 48    | 1000   | SH | DEFINED | 0 |
| STIFEL FINANCIAL CORP          | COM | 860630102 | 0     | 9      | SH | DEFINED | 0 |
| STILLWATER MINING CO           | COM | 86074Q102 | 5097  | 892642 | SH | DEFINED | 0 |
| STILLWATER MINING CO           | COM | 86074Q102 | 115   | 20081  | SH | DEFINED | 0 |
| STMICROELECTRONICS NV SHS N Y  | COM | 861012102 | 90    | 11919  | SH | DEFINED | 0 |
| STONE ENERGY CORP              | COM | 861642106 | 3084  | 415577 | SH | DEFINED | 0 |
| STONE ENERGY CORP              | COM | 861642106 | 44    | 5900   | SH | DEFINED | 0 |
| STONE ENERGY CORP              | COM | 861642106 | 0     | 50     | SH | DEFINED | 0 |
| STONERIDGE INC                 | COM | 86183P102 | 120   | 25160  | SH | DEFINED | 0 |
| STONERIDGE INC                 | COM | 86183P102 | 17    | 3600   | SH | DEFINED | 0 |
| STONEMOR PARTNERS LP COM UNI   | COM | 86183Q100 | 3     | 200    | SH | DEFINED | 0 |
| STRATASYS INC                  | COM | 862685104 | 362   | 32945  | SH | DEFINED | 0 |
| STRATASYS INC                  | COM | 862685104 | 36    | 3248   | SH | DEFINED | 0 |
| STRATASYS INC                  | COM | 862685104 | 1     | 95     | SH | DEFINED | 0 |
| STRATEGIC GLOBAL INCOME FD     | COM | 862719101 | 4     | 400    | SH | DEFINED | 0 |
| STRATEGIC HOTEL & RESORT INC   | COM | 86272T106 | 2     | 1500   | SH | DEFINED | 0 |
| STRAYER EDUCATION INC          | COM | 863236105 | 2501  | 11501  | SH | DEFINED | 0 |
| STRAYER EDUCATION INC          | COM | 863236105 | 82    | 378    | SH | DEFINED | 0 |
| STRYKER CORP                   | COM | 863667101 | 2604  | 65536  | SH | DEFINED | 0 |
| STRYKER CORP                   | COM | 863667101 | 513   | 12911  | SH | DEFINED | 0 |
| STRYKER CORP                   | COM | 863667101 | 6858  | 167500 | SH | DEFINED | 0 |
| STRYKER CORP                   | COM | 863667101 | 398   | 10016  | SH | DEFINED | 0 |
| STUDENT LN CORP                | COM | 863902102 | 0     | 9      | SH | DEFINED | 0 |
| STURM RUGER & CO INC           | COM | 864159108 | 440   | 35403  | SH | DEFINED | 0 |
| STURM RUGER & CO INC           | COM | 864159108 | 20    | 1625   | SH | DEFINED | 0 |
| SUBURBAN PROPANE PARTNERS LP   | LP  | 864482104 | 833   | 19760  | SH | DEFINED | 0 |
| SUBURBAN PROPANE PARTNERS LP   | LP  | 864482104 | 160   | 3800   | SH | DEFINED | 0 |
| SUCCESSFACTORS INC             | COM | 864596101 | 62    | 6749   | SH | DEFINED | 0 |
| SUFFOLK BANCORP                | COM | 864739107 | 281   | 10927  | SH | DEFINED | 0 |
| SUFFOLK BANCORP                | COM | 864739107 | 72    | 2818   | SH | DEFINED | 0 |
| SUCAMPO PHARMACEUTICALS-CL A   | COM | 864909106 | 6     | 1023   | SH | DEFINED | 0 |
| SUN BANCORP INC-NJ             | COM | 86663B102 | 54    | 10354  | SH | DEFINED | 0 |
| SUN BANCORP INC-NJ             | COM | 86663B102 | 16    | 3124   | SH | DEFINED | 0 |
| SUN AMERN BANCORP COM NEW      | COM | 86664A202 | 0     | 1005   | SH | DEFINED | 0 |
| SUN LIFE FINANCIAL INC         | COM | 866796105 | 2415  | 89720  | SH | DEFINED | 0 |
| SUN LIFE FINANCIAL INC         | COM | 866796105 | 16    | 608    | SH | DEFINED | 0 |
| SUN MICROSYSTEMS INC           | COM | 866810203 | 930   | 100770 | SH | DEFINED | 0 |
| SUN MICROSYSTEMS INC           | COM | 866810203 | 650   | 70496  | SH | DEFINED | 0 |
| SUN MICROSYSTEMS INC           | COM | 866810203 | 122   | 13224  | SH | DEFINED | 0 |
| SUN HEALTHCARE GROUP INC       | COM | 866933401 | 1140  | 135160 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| SUN HEALTHCARE GROUP INC       | COM | 866933401 | 60    | 7100    | SH | DEFINED | 0 |
| SUN HYDRAULICS CORP            | COM | 866942105 | 806   | 49830   | SH | DEFINED | 0 |
| SUN HYDRAULICS CORP            | COM | 866942105 | 5     | 325     | SH | DEFINED | 0 |
| SUNAMERICA FOCUSED ALPHA GROW  | COM | 867037103 | 11    | 1135    | SH | DEFINED | 0 |
| SUNAMERICA FOCUSED ALPHA LARG  | COM | 867038101 | 25    | 2492    | SH | DEFINED | 0 |
| SUNCOR ENERGY INC              | COM | 867229106 | 5099  | 168074  | SH | DEFINED | 0 |
| SUNCOR ENERGY INC              | COM | 867229106 | 37807 | 1246087 | SH | DEFINED | 0 |
| SUNCOR ENERGY INC              | COM | 867229106 | 1410  | 46485   | SH | DEFINED | 0 |
| SUNOCO LOGISTICS PARTNERS L    | COM | 86764L108 | 14    | 250     | SH | DEFINED | 0 |
| SUNOCO INC                     | COM | 86764P109 | 18502 | 797479  | SH | DEFINED | 0 |
| SUNOCO INC                     | COM | 86764P109 | 299   | 12909   | SH | DEFINED | 0 |
| SUNOCO INC                     | COM | 86764P109 | 90    | 3882    | SH | DEFINED | 0 |
| SUNPOWER CORP-CLASS A          | COM | 867652109 | 4549  | 170762  | SH | DEFINED | 0 |
| SUNPOWER CORP-CLASS A          | COM | 867652109 | 83    | 3089    | SH | DEFINED | 0 |
| SUNPOWER CORP-CLASS A          | COM | 867652109 | 413   | 15528   | SH | DEFINED | 0 |
| SUNPOWER CORP-CLASS B          | COM | 867652307 | 4503  | 188000  | SH | DEFINED | 0 |
| SUNPOWER CORP-CLASS B          | COM | 867652307 | 19    | 798     | SH | DEFINED | 0 |
| SUNPOWER CORP-CLASS B          | COM | 867652307 | 838   | 35000   | SH | DEFINED | 0 |
| SUNPOWER CORP COM CL B         | COM | 867652307 | 486   | 20274   | SH | DEFINED | 0 |
| SUNRISE SENIOR LIVING INC      | COM | 86768K106 | 11    | 6800    | SH | DEFINED | 0 |
| SUNOPTA INC ISIN #CA86         | COM | 8676EP108 | 1     | 400     | SH | DEFINED | 0 |
| SUNSTONE HOTEL INVESTORS INC   | COM | 867892101 | 449   | 83831   | SH | DEFINED | 0 |
| SUNSTONE HOTEL INVESTORS INC   | COM | 867892101 | 72    | 13549   | SH | DEFINED | 0 |
| SUNSTONE HOTEL INVESTORS INC   | COM | 867892101 | 2     | 395     | SH | DEFINED | 0 |
| SUNTRUST BANKS INC             | COM | 867914103 | 10711 | 651143  | SH | DEFINED | 0 |
| SUNTRUST BANKS INC             | COM | 867914103 | 468   | 28473   | SH | DEFINED | 0 |
| SUNTRUST BANKS INC             | COM | 867914103 | 42    | 2580    | SH | DEFINED | 0 |
| SUPERCONDUCTOR TECHNOLOGI      | COM | 867931305 | 0     | 26      | SH | DEFINED | 0 |
| SUNTECH POWER HOLDINGS-ADR     | COM | 86800C104 | 5878  | 329159  | SH | DEFINED | 0 |
| SUNTECH POWER HOLDINGS-ADR     | COM | 86800C104 | 49    | 2765    | SH | DEFINED | 0 |
| SUNTECH POWER HOLDINGS-ADR     | COM | 86800C104 | 360   | 20184   | SH | DEFINED | 0 |
| SUPER MICRO COMPUTER I         | COM | 86800U104 | 17    | 2250    | SH | DEFINED | 0 |
| SUPERGEN INC                   | COM | 868059106 | 1     | 500     | SH | DEFINED | 0 |
| SUPERIOR ENERGY SERVICES I     | COM | 868157108 | 7     | 379     | SH | DEFINED | 0 |
| SUPERIOR INDUSTRIES INTL       | COM | 868168105 | 3191  | 226282  | SH | DEFINED | 0 |
| SUPERIOR WELL SERVICES INC     | COM | 86837X105 | 111   | 18735   | SH | DEFINED | 0 |
| SUPERTEX INC                   | COM | 868532102 | 438   | 17425   | SH | DEFINED | 0 |
| SUPERVALU INC                  | COM | 868536103 | 3077  | 237666  | SH | DEFINED | 0 |
| SUPERVALU INC                  | COM | 868536103 | 283   | 21824   | SH | DEFINED | 0 |
| SUPERVALU INC                  | COM | 868536103 | 66    | 5066    | SH | DEFINED | 0 |
| SUREWEST COMMUNICAT            | COM | 868733106 | 8     | 786     | SH | DEFINED | 0 |
| SURMODICS INC                  | COM | 868873100 | 349   | 15389   | SH | DEFINED | 0 |
| SUSQUEHANNA BANCSHARES INC     | COM | 869099101 | 449   | 91795   | SH | DEFINED | 0 |
| SUSQUEHANNA BANCSHARES INC     | COM | 869099101 | 17    | 3379    | SH | DEFINED | 0 |
| SUSSER HOLDINGS CORP           | COM | 869233106 | 34    | 3057    | SH | DEFINED | 0 |
| SUTOR TECHNOLOGY GROUP LTD     | COM | 869362103 | 105   | 32310   | SH | DEFINED | 0 |
| SUTOR TECHNOLOGY GROUP LTD     | COM | 869362103 | 35    | 10600   | SH | DEFINED | 0 |
| SUTRON CORP                    | COM | 869380105 | 1     | 100     | SH | DEFINED | 0 |
| SWEDISH EXPT CR CORPELEMENTS L | COM | 870297306 | 24    | 4006    | SH | DEFINED | 0 |
| SWEDISH EXPT CR CORPELEMENTS L | COM | 870297405 | 49    | 6731    | SH | DEFINED | 0 |
| SWEDISH EXPT CR CORPELEMENTS L | COM | 870297603 | 64    | 8900    | SH | DEFINED | 0 |
| SWEDISH EXPT CR CORPELEMENTS L | COM | 870297801 | 260   | 37134   | SH | DEFINED | 0 |
| SWIFT ENERGY CO                | COM | 870738101 | 2982  | 179054  | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |        |          |    |         |   |
|------------------------------|-----|-----------|--------|----------|----|---------|---|
| SWISS HELVETIA FUND INC      | COM | 870875101 | 2      | 250      | SH | DEFINED | 0 |
| SYBASE INC                   | COM | 871130100 | 2014   | 64262    | SH | DEFINED | 0 |
| SYBASE INC                   | COM | 871130100 | 432    | 13025    | SH | DEFINED | 0 |
| SYBASE INC                   | COM | 871130100 | 14     | 452      | SH | DEFINED | 0 |
| SYBASE INC SB NT CV 1        | COM | 871130AB6 | 1      | 1000     | SH | DEFINED | 0 |
| SYCAMORE NETWORKS INC        | COM | 871206108 | 3242   | 1035882  | SH | DEFINED | 0 |
| SYCAMORE NETWORKS INC        | COM | 871206108 | 3      | 968      | SH | DEFINED | 0 |
| SYKES ENTERPRISES INC        | COM | 871237103 | 3554   | 196402   | SH | DEFINED | 0 |
| SYKES ENTERPRISES INC        | COM | 871237103 | 4      | 231      | SH | DEFINED | 0 |
| SYMANTEC CORP                | COM | 871503108 | 311341 | 19999529 | SH | DEFINED | 0 |
| SYMANTEC CORP                | COM | 871503108 | 1036   | 66609    | SH | DEFINED | 0 |
| SYMANTEC CORP                | COM | 871503108 | 634    | 40722    | SH | DEFINED | 0 |
| SYMMETRICOM INC              | COM | 871543104 | 193    | 33425    | SH | DEFINED | 0 |
| SYMMETRICOM INC              | COM | 871543104 | 2      | 414      | SH | DEFINED | 0 |
| SYMMETRY MEDICAL INC         | COM | 871546206 | 854    | 91632    | SH | DEFINED | 0 |
| SYMYX TECHNOLOGIES INC       | COM | 87155S108 | 105    | 17900    | SH | DEFINED | 0 |
| SYMYX TECHNOLOGIES INC       | COM | 87155S108 | 12     | 2000     | SH | DEFINED | 0 |
| SYNALLOY CORP DEL            | COM | 871565107 | 0      | 15       | SH | DEFINED | 0 |
| SYNCHRONOSS TECHNOLOGIES INC | COM | 87157B103 | 309    | 25221    | SH | DEFINED | 0 |
| SYNAPTICS INC                | COM | 87157D109 | 2388   | 61784    | SH | DEFINED | 0 |
| SYNAPTICS INC                | COM | 87157D109 | 52     | 1342     | SH | DEFINED | 0 |
| SYNOPSIS INC                 | COM | 871607107 | 362498 | 18580207 | SH | DEFINED | 0 |
| SYNOPSIS INC                 | COM | 871607107 | 25     | 1270     | SH | DEFINED | 0 |
| SYNGENTA AG SPONSORED        | COM | 87160A100 | 48     | 1033     | SH | DEFINED | 0 |
| SYNERGETICS USA INC COM      | COM | 87160G107 | 6      | 5000     | SH | DEFINED | 0 |
| SYNOVUS FINANCIAL CORP       | COM | 87161C105 | 1353   | 452189   | SH | DEFINED | 0 |
| SYNOVUS FINANCIAL CORP       | COM | 87161C105 | 30     | 9920     | SH | DEFINED | 0 |
| SYNOVIS LIFE TECHNOLOGIES IN | COM | 87162G105 | 86     | 4158     | SH | DEFINED | 0 |
| SYNTEL INC                   | COM | 87162H103 | 1310   | 41669    | SH | DEFINED | 0 |
| SYNNEX CORP                  | COM | 87162W100 | 3530   | 141328   | SH | DEFINED | 0 |
| SYNNEX CORP                  | COM | 87162W100 | 125    | 5000     | SH | DEFINED | 0 |
| SYNTROLEUM CORP              | COM | 871630109 | 23     | 10273    | SH | DEFINED | 0 |
| SYNIVERSE HOLDINGS INC       | COM | 87163F106 | 2047   | 127693   | SH | DEFINED | 0 |
| SYNIVERSE HOLDINGS INC       | COM | 87163F106 | 37     | 2300     | SH | DEFINED | 0 |
| SYNIVERSE HOLDINGS INC       | COM | 87163F106 | 6      | 348      | SH | DEFINED | 0 |
|                              |     |           |        |          |    |         |   |
| SYSCO CORP                   | COM | 871829107 | 11617  | 516723   | SH | DEFINED | 0 |
| SYSCO CORP                   | COM | 871829107 | 722    | 32096    | SH | DEFINED | 0 |
| SYSCO CORP                   | COM | 871829107 | 11739  | 425500   | SH | DEFINED | 0 |
| SYSCO CORP                   | COM | 871829107 | 408    | 18154    | SH | DEFINED | 0 |
| TCF FINANCIAL CORP           | COM | 872275102 | 16169  | 1209417  | SH | DEFINED | 0 |
| TCF FINANCIAL CORP           | COM | 872275102 | 247    | 18510    | SH | DEFINED | 0 |
| TCF FINANCIAL CORP           | COM | 872275102 | 38     | 2842     | SH | DEFINED | 0 |
| TDK ELECTRONICS ADR          | COM | 872351408 | 4      | 88       | SH | DEFINED | 0 |
| TD AMERITRADE HOLDING CORP   | COM | 87236Y108 | 7635   | 435276   | SH | DEFINED | 0 |
| TD AMERITRADE HOLDING CORP   | COM | 87236Y108 | 72     | 4090     | SH | DEFINED | 0 |
| TD AMERITRADE HOLDING CORP   | COM | 87236Y108 | 36447  | 2079917  | SH | DEFINED | 0 |
| TD AMERITRADE HOLDING CORP   | COM | 87236Y108 | 141    | 8053     | SH | DEFINED | 0 |
| TECO ENERGY INC              | COM | 872375100 | 80     | 6712     | SH | DEFINED | 0 |
| TECO ENERGY INC              | COM | 872375100 | 217    | 18208    | SH | DEFINED | 0 |
| TECO ENERGY INC              | COM | 872375100 | 34     | 2885     | SH | DEFINED | 0 |
| TEPPCO PARTNERS LIMITED PA   | COM | 872384102 | 395    | 13223    | SH | DEFINED | 0 |
| TFS FINANCIAL CORP           | COM | 87240R107 | 271    | 25502    | SH | DEFINED | 0 |
| TFS FINANCIAL CORP           | COM | 87240R107 | 7      | 650      | SH | DEFINED | 0 |
| THQ INC                      | COM | 872443403 | 421    | 58852    | SH | DEFINED | 0 |
| THQ INC                      | COM | 872443403 | 1281   | 178944   | SH | DEFINED | 0 |
| THQ INC                      | COM | 872443403 | 19     | 2600     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| TJX COMPANIES INC              | COM | 872540109 | 8173   | 259790  | SH | DEFINED | 0 |
| TJX COMPANIES INC              | COM | 872540109 | 732    | 23295   | SH | DEFINED | 0 |
| TJX COMPANIES INC              | COM | 872540109 | 7025   | 272705  | SH | DEFINED | 0 |
| TJX COMPANIES INC              | COM | 872540109 | 1781   | 56599   | SH | DEFINED | 0 |
| TMK OAO-GDR 144A               | COM | 87260R102 | 8329   | 803944  | SH | DEFINED | 0 |
| TRW AUTOMOTIVE HOLDINGS CORP   | COM | 87264S106 | 902    | 79841   | SH | DEFINED | 0 |
| TRW AUTOMOTIVE HOLDINGS CORP   | COM | 87264S106 | 41     | 3600    | SH | DEFINED | 0 |
| TS&W/ CLAYMORE TAX ADVANT      | COM | 87280R108 | 87     | 11000   | SH | DEFINED | 0 |
| TSR INC                        | COM | 872885108 | 1      | 523     | SH | DEFINED | 0 |
| TTM TECHNOLOGIES               | COM | 87305R109 | 1486   | 186607  | SH | DEFINED | 0 |
| TTM TECHNOLOGIES               | COM | 87305R109 | 68     | 8600    | SH | DEFINED | 0 |
| T-3 ENERGY SERVICES INC        | COM | 87306E107 | 161    | 13460   | SH | DEFINED | 0 |
| TW TELECOM INC                 | COM | 87311L104 | 683    | 66510   | SH | DEFINED | 0 |
| TW TELECOM INC                 | COM | 87311L104 | 61     | 5963    | SH | DEFINED | 0 |
| TXCO RES INC                   | COM | 87311M102 | 1      | 2500    | SH | DEFINED | 0 |
| TAIWAN SEMICONDUCTOR-SP ADR    | COM | 874039100 | 78513  | 8343542 | SH | DEFINED | 0 |
| TAIWAN SEMICONDUCTOR-SP ADR    | COM | 874039100 | 178    | 18940   | SH | DEFINED | 0 |
| TAIWAN SEMICONDUCTOR-SP ADR    | COM | 874039100 | 1008   | 107700  | SH | DEFINED | 0 |
| TAIWAN SEMICONDUCTOR-SP ADR    | COM | 874039100 | 110    | 11695   | SH | DEFINED | 0 |
| TAKE-TWO INTERACTIVE SOFTWRE   | COM | 874054109 | 3269   | 345275  | SH | DEFINED | 0 |
| TAKE-TWO INTERACTIVE SOFTWRE   | COM | 874054109 | 4      | 400     | SH | DEFINED | 0 |
| TAL INTERNATIONAL GROUP INC    | COM | 874083108 | 403    | 37000   | SH | DEFINED | 0 |
| TAL INTERNATIONAL GROUP INC    | COM | 874083108 | 2      | 138     | SH | DEFINED | 0 |
| TALBOTS INC                    | COM | 874161102 | 1      | 100     | SH | DEFINED | 0 |
| TALEO CORP-CLASS A             | COM | 87424N104 | 798    | 43650   | SH | DEFINED | 0 |
| TALEO CORP-CLASS A             | COM | 87424N104 | 18     | 1000    | SH | DEFINED | 0 |
| TALISMAN ENERGY INC COM ISIN   | COM | 87425E103 | 115    | 8057    | SH | DEFINED | 0 |
| TAM SA SPONSORED ADR           | COM | 87484D103 | 665    | 63900   | SH | DEFINED | 0 |
| TAMALPAIS BANCORP COM          | COM | 87485H103 | 7      | 1272    | SH | DEFINED | 0 |
| TANDY LEATHER FACTORY IN       | COM | 87538X105 | 2      | 1000    | SH | DEFINED | 0 |
| TANGER FACTORY OUTLET CENTER   | COM | 875465106 | 3078   | 94938   | SH | DEFINED | 0 |
| TANGER FACTORY OUTLET CENTER   | COM | 875465106 | 78     | 2400    | SH | DEFINED | 0 |
| TANGER FACTORY OUTLET CENTER   | COM | 875465106 | 10     | 300     | SH | DEFINED | 0 |
| TARGA RES PARTNERS LP COM UNI  | COM | 87611X105 | 7      | 500     | SH | DEFINED | 0 |
| TARGET CORP                    | COM | 87612E106 | 114646 | 2904627 | SH | DEFINED | 0 |
| TARGET CORP                    | COM | 87612E106 | 1907   | 48318   | SH | DEFINED | 0 |
| TARGET CORP                    | COM | 87612E106 | 957    | 24249   | SH | DEFINED | 0 |
| TARGETED GENETICS CORP COM N   | COM | 87612M306 | 0      | 200     | SH | DEFINED | 0 |
| TASEKO MINES LTD ISIN #CA87    | COM | 876511106 | 9      | 5275    | SH | DEFINED | 0 |
| TASER INTERNATIONAL INC        | COM | 87651B104 | 25     | 5536    | SH | DEFINED | 0 |
| TATA COMMUNICATIONS LTD SPONSO | COM | 876564105 | 2      | 100     | SH | DEFINED | 0 |
| TATA MTRS LTD SPONSORED        | COM | 876568502 | 476    | 55877   | SH | DEFINED | 0 |
| TAUBMAN CENTERS INC            | COM | 876664103 | 4150   | 154507  | SH | DEFINED | 0 |
| TAUBMAN CENTERS INC            | COM | 876664103 | 2      | 56      | SH | DEFINED | 0 |
| TAYLOR DEVICES INC             | COM | 877163105 | 1      | 290     | SH | DEFINED | 0 |
| TEAM INC                       | COM | 878155100 | 115    | 5475    | SH | DEFINED | 0 |
| TEAMSTAFF INC COM PAR \$.001   | COM | 87815U303 | 0      | 125     | SH | DEFINED | 0 |
| TECH DATA CORP                 | COM | 878237106 | 1209   | 36947   | SH | DEFINED | 0 |
| TECH DATA CORP                 | COM | 878237106 | 62     | 1900    | SH | DEFINED | 0 |
| TECHNE CORP                    | COM | 878377100 | 912    | 14300   | SH | DEFINED | 0 |
| TECHNE CORP                    | COM | 878377100 | 61     | 961     | SH | DEFINED | 0 |
| TECHNE CORP                    | COM | 878377100 | 35     | 575     | SH | DEFINED | 0 |
| TECHNE CORP                    | COM | 878377100 | 11     | 180     | SH | DEFINED | 0 |
| TECHNITROL INC                 | COM | 878555101 | 301    | 46506   | SH | DEFINED | 0 |
| TECHNITROL INC                 | COM | 878555101 | 13     | 2000    | SH | DEFINED | 0 |
| TECK.. RESOURCES LTD-CLS B     | COM | 878742204 | 590    | 37000   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| TECK.. RESOURCES LTD-CLS B     | COM | 878742204 | 16142 | 1013911 | SH | DEFINED | 0 |
| TECK.. RESOURCES LTD-CLS B     | COM | 878742204 | 57    | 3605    | SH | DEFINED | 0 |
| TECHWELL INC                   | COM | 87874D101 | 13961 | 1642524 | SH | DEFINED | 0 |
| TECUMSEH PRODS CO CL B         | COM | 878895101 | 11    | 1000    | SH | DEFINED | 0 |
| TECUMSEH PRODUCTS CO-CLASS A   | COM | 878895200 | 265   | 27310   | SH | DEFINED | 0 |
| TECUMSEH PRODUCTS CO-CLASS A   | COM | 878895200 | 62    | 6400    | SH | DEFINED | 0 |
| TECHIES.COM INC. CS PRIV.      | COM | 8789909P7 | 0     | 244296  | SH | DEFINED | 0 |
| TECHIES.COM INC                | COM | 878995919 | 0     | 235294  | SH | DEFINED | 0 |
| TEKELEC                        | COM | 879101103 | 2854  | 169544  | SH | DEFINED | 0 |
| TEKELEC                        | COM | 879101103 | 40    | 2359    | SH | DEFINED | 0 |
| TELE NORTE LESTE PARTICIPAC    | COM | 879246106 | 43    | 2863    | SH | DEFINED | 0 |
| TELECOM CORP OF NEW ZEALAND A  | COM | 879278208 | 152   | 17447   | SH | DEFINED | 0 |
| TELECOM HOLDERS TR DEPOSIT     | COM | 87927P200 | 18    | 800     | SH | DEFINED | 0 |
| TELECOM ITALIA S P ANEW SPON A | COM | 87927Y201 | 2     | 209     | SH | DEFINED | 0 |
| TELECOMUNICACOES BRASILEIRA    | COM | 879287308 | 1     | 50      | SH | DEFINED | 0 |
| TELECOMUNICACOES DE SAO PAULO  | COM | 87929A102 | 36    | 1600    | SH | DEFINED | 0 |
| TELECOMMUNICATION SYSTEMS-A    | COM | 87929J103 | 836   | 117588  | SH | DEFINED | 0 |
| TELECOMMUNICATION SYSTEMS-A    | COM | 87929J103 | 38    | 5350    | SH | DEFINED | 0 |
| TELEDYNE TECHNOLOGIES INC      | COM | 879360105 | 1438  | 43905   | SH | DEFINED | 0 |
| TELEDYNE TECHNOLOGIES INC      | COM | 879360105 | 2     | 65      | SH | DEFINED | 0 |
| TELEFLEX INC                   | COM | 879369106 | 1268  | 28266   | SH | DEFINED | 0 |
| TELEFLEX INC                   | COM | 879369106 | 14    | 314     | SH | DEFINED | 0 |
| TELEFONICA DE ARGENTINA        | COM | 879378404 | 3     | 300     | SH | DEFINED | 0 |
| TELEFONICA S A ADR REPRSTG TH  | COM | 879382208 | 268   | 3949    | SH | DEFINED | 0 |
| TELEFONOS DE MEXICO S A B DE C | COM | 879403707 | 25    | 1580    | SH | DEFINED | 0 |
| TELEFONOS DE MEXICO-SP ADR L   | COM | 879403780 | 6878  | 424305  | SH | DEFINED | 0 |
| TELEFONOS DE MEXICO-SP ADR L   | COM | 879403780 | 112   | 6939    | SH | DEFINED | 0 |
| TELEPHONE & DATA SYSINC DELAW  | COM | 879433100 | 14    | 499     | SH | DEFINED | 0 |
| TELEPHONE & DATA SYSINC SPL CO | COM | 879433860 | 13    | 499     | SH | DEFINED | 0 |
| TELEMIG CELULAR PARTICIPAC     | COM | 87944E105 | 11    | 212     | SH | DEFINED | 0 |
| TELIK INC                      | COM | 87959M109 | 0     | 487     | SH | DEFINED | 0 |
| TELKOM SA LTD SPON ADR         | COM | 879603108 | 20    | 1000    | SH | DEFINED | 0 |
| TELKONET INC                   | COM | 879604106 | 1     | 8000    | SH | DEFINED | 0 |
| TELLABS INC                    | COM | 879664100 | 9183  | 1602513 | SH | DEFINED | 0 |
| TELLABS INC                    | COM | 879664100 | 299   | 52180   | SH | DEFINED | 0 |
| TELLABS INC                    | COM | 879664100 | 17    | 3036    | SH | DEFINED | 0 |
| TELMEX INTERNACIONAL-ADR       | COM | 879690105 | 5367  | 424305  | SH | DEFINED | 0 |
| TELMEX INTERNACIONAL-ADR       | COM | 879690105 | 85    | 6727    | SH | DEFINED | 0 |
| TELMEX INTERNACIONALS A B DE C | COM | 879690204 | 18    | 1480    | SH | DEFINED | 0 |
| TELULAR CORP                   | COM | 87970T208 | 0     | 125     | SH | DEFINED | 0 |
| TEMPLE-INLAND INC              | COM | 879868107 | 5452  | 415530  | SH | DEFINED | 0 |
| TEMPLE-INLAND INC              | COM | 879868107 | 75    | 5721    | SH | DEFINED | 0 |
| TELETECH HOLDINGS INC          | COM | 879939106 | 1543  | 101855  | SH | DEFINED | 0 |
| TEMPLETON DRAGON FUND INC      | COM | 88018T101 | 209   | 8961    | SH | DEFINED | 0 |
| TEMPLETON EMERGING MKTS FD IN  | COM | 880191101 | 36    | 2538    | SH | DEFINED | 0 |
| TEMPLETON EMERGING MARKETS IN  | COM | 880192109 | 868   | 75474   | SH | DEFINED | 0 |
| TEMPLETON GLOBAL INCOME FD     | COM | 880198106 | 224   | 26061   | SH | DEFINED | 0 |
| TEMPLETON RUSSIAN AND EAST E   | COM | 88022F105 | 8     | 486     | SH | DEFINED | 0 |
| TEMPUR-PEDIC INTERNATIONAL     | COM | 88023U101 | 215   | 16520   | SH | DEFINED | 0 |
| TEMPUR-PEDIC INTERNATIONAL     | COM | 88023U101 | 44    | 3400    | SH | DEFINED | 0 |
| TENARIS SA-ADR                 | COM | 88031M109 | 21828 | 807223  | SH | DEFINED | 0 |
| TENARIS SA-ADR                 | COM | 88031M109 | 5236  | 193984  | SH | DEFINED | 0 |
| TENARIS SA-ADR                 | COM | 88031M109 | 43    | 1608    | SH | DEFINED | 0 |
| TENET HEALTHCARE CORP          | COM | 88033G100 | 229   | 81210   | SH | DEFINED | 0 |
| TENET HEALTHCARE CORP          | COM | 88033G100 | 94    | 33176   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| TENET HEALTHCARE CORP          | COM | 88033G100 | 14    | 4900    | SH | DEFINED | 0 |
| TENGASCO INC NEW               | COM | 88033R205 | 0     | 390     | SH | DEFINED | 0 |
| TENNECO INC                    | COM | 880349105 | 203   | 19116   | SH | DEFINED | 0 |
| TENNECO INC                    | COM | 880349105 | 0     | 47      | SH | DEFINED | 0 |
| TERADATA CORP                  | COM | 88076W103 | 3196  | 136438  | SH | DEFINED | 0 |
| TERADATA CORP                  | COM | 88076W103 | 148   | 6313    | SH | DEFINED | 0 |
| TERADATA CORP                  | COM | 88076W103 | 26    | 1099    | SH | DEFINED | 0 |
| TERADYNE INC                   | COM | 880770102 | 3642  | 530844  | SH | DEFINED | 0 |
| TERADYNE INC                   | COM | 880770102 | 176   | 25634   | SH | DEFINED | 0 |
| TERADYNE INC                   | COM | 880770102 | 13    | 1900    | SH | DEFINED | 0 |
| TEREX CORP                     | COM | 880779103 | 24220 | 2006696 | SH | DEFINED | 0 |
| TEREX CORP                     | COM | 880779103 | 106   | 8757    | SH | DEFINED | 0 |
| TEREX CORP                     | COM | 880779103 | 107   | 8888    | SH | DEFINED | 0 |
| TERNIUM SA-ADR                 | COM | 880890108 | 5485  | 318504  | SH | DEFINED | 0 |
| TERRA INDUSTRIES INC           | COM | 880915103 | 648   | 26759   | SH | DEFINED | 0 |
| TERRA INDUSTRIES INC           | COM | 880915103 | 10    | 432     | SH | DEFINED | 0 |
| TERRA NITROGEN CO L P COM UN   | COM | 881005201 | 98    | 968     | SH | DEFINED | 0 |
| TESCO CORP.                    | COM | 88157K101 | 131   | 16500   | SH | DEFINED | 0 |
| TESCO CORP.                    | COM | 88157K101 | 3     | 375     | SH | DEFINED | 0 |
| TESORO CORP                    | COM | 881609101 | 12192 | 957623  | SH | DEFINED | 0 |
| TESORO CORP                    | COM | 881609101 | 363   | 28529   | SH | DEFINED | 0 |
| TESORO CORP                    | COM | 881609101 | 1980  | 155770  | SH | DEFINED | 0 |
| TESORO CORP                    | COM | 881609101 | 94    | 7387    | SH | DEFINED | 0 |
| TEVA PHARMACEUTICAL-SP ADR     | COM | 881624209 | 50315 | 1019721 | SH | DEFINED | 0 |
| TEVA PHARMACEUTICAL-SP ADR     | COM | 881624209 | 33145 | 671765  | SH | DEFINED | 0 |
| TEVA PHARMACEUTICAL-SP ADR     | COM | 881624209 | 2609  | 53561   | SH | DEFINED | 0 |
| TEVA PHARMACEUTICAL-SP ADR     | COM | 881624209 | 1828  | 37053   | SH | DEFINED | 0 |
| TETRA TECHNOLOGIES INC         | COM | 88162F105 | 10877 | 1366570 | SH | DEFINED | 0 |
| TETRA TECHNOLOGIES INC         | COM | 88162F105 | 41    | 5141    | SH | DEFINED | 0 |
| TETRA TECHNOLOGIES INC         | COM | 88162F105 | 41    | 5090    | SH | DEFINED | 0 |
| TETRA TECHNOLOGIES INC         | COM | 88162F105 | 1672  | 210000  | SH | DEFINED | 0 |
| TETRA TECHNOLOGIES INC DEL COM | COM | 88162F105 | 641   | 80482   | SH | DEFINED | 0 |
| TETRA TECH INC                 | COM | 88162G103 | 5141  | 179401  | SH | DEFINED | 0 |
| TETRA TECH INC                 | COM | 88162G103 | 40    | 1406    | SH | DEFINED | 0 |
| TESSERA TECHNOLOGIES INC       | COM | 88164L100 | 655   | 25900   | SH | DEFINED | 0 |
| TESSERA TECHNOLOGIES INC       | COM | 88164L100 | 66    | 2607    | SH | DEFINED | 0 |
| TESSERA TECHNOLOGIES INC       | COM | 88164L100 | 13    | 500     | SH | DEFINED | 0 |
| TEVA PHARMACEUTICAL FIN II LLC | COM | 88164RAA5 | 4     | 3000    | SH | DEFINED | 0 |
| TEXAS INDUSTRIES INC           | COM | 882491103 | 980   | 31237   | SH | DEFINED | 0 |
| TEXAS INDUSTRIES INC           | COM | 882491103 | 3     | 100     | SH | DEFINED | 0 |
| TEXAS INSTRUMENTS INC          | COM | 882508104 | 34249 | 1607982 | SH | DEFINED | 0 |
| TEXAS INSTRUMENTS INC          | COM | 882508104 | 1987  | 93286   | SH | DEFINED | 0 |
| TEXAS INSTRUMENTS INC          | COM | 882508104 | 671   | 31486   | SH | DEFINED | 0 |
| TEXAS ROADHOUSE INC-CLASS A    | COM | 882681109 | 12588 | 1153859 | SH | DEFINED | 0 |
| TEXAS ROADHOUSE INC-CLASS A    | COM | 882681109 | 16    | 1450    | SH | DEFINED | 0 |
| TEXAS ROADHOUSE INC-CLASS A    | COM | 882681109 | 2182  | 200000  | SH | DEFINED | 0 |
| TEXAS ROADHOUSE INC CL A       | COM | 882681109 | 1124  | 103010  | SH | DEFINED | 0 |
| TEXTRON INC                    | COM | 883203101 | 7269  | 752440  | SH | DEFINED | 0 |
| TEXTRON INC                    | COM | 883203101 | 307   | 31807   | SH | DEFINED | 0 |
| TEXTRON INC                    | COM | 883203101 | 90    | 9295    | SH | DEFINED | 0 |
| THE BANK HOLDINGS              | COM | 88331E104 | 1     | 1050    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| THERAGENICS CORP              | COM | 883375107 | 49    | 37712   | SH | DEFINED | 0 |
| THERAGENICS CORP              | COM | 883375107 | 0     | 200     | SH | DEFINED | 0 |
| THE9 LTD ADR                  | COM | 88337K104 | 1     | 100     | SH | DEFINED | 0 |
| THERMADYNE HOLDINGS CORP      | COM | 883435307 | 148   | 42110   | SH | DEFINED | 0 |
| THERMADYNE HOLDINGS CORP      | COM | 883435307 | 18    | 5200    | SH | DEFINED | 0 |
| THERMO FISHER SCIENTIFIC INC  | COM | 883556102 | 80475 | 1973867 | SH | DEFINED | 0 |
| THERMO FISHER SCIENTIFIC INC  | COM | 883556102 | 4583  | 112413  | SH | DEFINED | 0 |
| THERMO FISHER SCIENTIFIC INC  | COM | 883556102 | 1097  | 26891   | SH | DEFINED | 0 |
| THERMO FISHER SCIENTIFIC INC  | COM | 883556102 | 89799 | 2202517 | SH | DEFINED | 0 |
| THERMO FISHER SCIENTIFIC INC  | COM | 883556102 | 457   | 11218   | SH | DEFINED | 0 |
| THOMAS & BETTS CORP           | COM | 884315102 | 8850  | 306661  | SH | DEFINED | 0 |
| THOMAS & BETTS CORP           | COM | 884315102 | 1342  | 46500   | SH | DEFINED | 0 |
| THOMAS & BETTS CORP COM       | COM | 884315102 | 823   | 28508   | SH | DEFINED | 0 |
| THOMAS PROPERTIES GROUP       | COM | 884453101 | 48    | 30500   | SH | DEFINED | 0 |
| THOMAS PROPERTIES GROUP       | COM | 884453101 | 123   | 78360   | SH | DEFINED | 0 |
| THOMAS WEISEL PARTNERS GROUP  | COM | 884481102 | 194   | 32300   | SH | DEFINED | 0 |
| THOMPSON CREEK METALS CO INC  | COM | 884768102 | 2443  | 239070  | SH | DEFINED | 0 |
| THOMSON REUTERS PLC SPONSORED | COM | 885141101 | 3     | 20      | SH | DEFINED | 0 |
| THOR INDUSTRIES INC           | COM | 885160101 | 1104  | 60075   | SH | DEFINED | 0 |
| THOR INDUSTRIES INC           | COM | 885160101 | 4     | 200     | SH | DEFINED | 0 |
| THORATEC CORP                 | COM | 885175307 | 8518  | 318050  | SH | DEFINED | 0 |
| THORATEC CORP                 | COM | 885175307 | 69    | 2595    | SH | DEFINED | 0 |
| THORATEC CORP                 | COM | 885175307 | 19    | 700     | SH | DEFINED | 0 |
| 3COM CORP                     | COM | 885535104 | 12044 | 2557207 | SH | DEFINED | 0 |
| 3COM CORP                     | COM | 885535104 | 26    | 5534    | SH | DEFINED | 0 |
| 3M CO                         | COM | 88579Y101 | 54518 | 907113  | SH | DEFINED | 0 |
| 3M CO                         | COM | 88579Y101 | 2600  | 43253   | SH | DEFINED | 0 |
| 3M CO                         | COM | 88579Y101 | 965   | 16100   | SH | DEFINED | 0 |
| 3M CO                         | COM | 88579Y101 | 3987  | 66346   | SH | DEFINED | 0 |
| THRESHOLD PHARMACEUT          | COM | 885807206 | 4     | 3000    | SH | DEFINED | 0 |
| 3PAR INC                      | COM | 88580F109 | 147   | 11820   | SH | DEFINED | 0 |
| 3PAR INC                      | COM | 88580F109 | 35    | 2808    | SH | DEFINED | 0 |
| TIBCO SOFTWARE INC            | COM | 88632Q103 | 26416 | 3684262 | SH | DEFINED | 0 |
| TIBCO SOFTWARE INC            | COM | 88632Q103 | 365   | 50964   | SH | DEFINED | 0 |
| TICKETMASTER ENTERTAINMENT    | COM | 88633P302 | 656   | 102343  | SH | DEFINED | 0 |
| TICKETMASTER ENTERTAINMENT    | COM | 88633P302 | 62    | 9700    | SH | DEFINED | 0 |
| TICKETMASTER ENTERTAINMENT    | COM | 88633P302 | 1     | 127     | SH | DEFINED | 0 |
| TIDEWATER INC                 | COM | 886423102 | 3054  | 71228   | SH | DEFINED | 0 |
| TIDEWATER INC                 | COM | 886423102 | 16    | 365     | SH | DEFINED | 0 |
| TIERONE CORP ISIN #US88       | COM | 88650R108 | 117   | 56700   | SH | DEFINED | 0 |
| TIFFANY & CO                  | COM | 886547108 | 6301  | 248478  | SH | DEFINED | 0 |
| TIFFANY & CO                  | COM | 886547108 | 239   | 9418    | SH | DEFINED | 0 |
| TIFFANY & CO                  | COM | 886547108 | 7085  | 279378  | SH | DEFINED | 0 |
| TIFFANY & CO                  | COM | 886547108 | 3     | 100     | SH | DEFINED | 0 |
| TIM HORTONS INC               | COM | 88706M103 | 387   | 15780   | SH | DEFINED | 0 |
| TIM HORTONS INC               | COM | 88706M103 | 45    | 1818    | SH | DEFINED | 0 |
| TIM PARTICIPACOES A SPONS AD  | COM | 88706P106 | 27    | 1561    | SH | DEFINED | 0 |
| TIMBERLAND CO-CL A            | COM | 887100105 | 617   | 46520   | SH | DEFINED | 0 |
| TIMBERLAND CO-CL A            | COM | 887100105 | 109   | 8200    | SH | DEFINED | 0 |
| TIMBERLAND CO-CL A            | COM | 887100105 | 13    | 1006    | SH | DEFINED | 0 |
| TIME WARNER INC               | COM | 887317303 | 2334  | 92629   | SH | DEFINED | 0 |
| TIME WARNER INC               | COM | 887317303 | 2196  | 87189   | SH | DEFINED | 0 |
| TIME WARNER CABLE INC         | COM | 88732J207 | 12976 | 409765  | SH | DEFINED | 0 |
| TIME WARNER CABLE INC         | COM | 88732J207 | 807   | 25492   | SH | DEFINED | 0 |
| TIME WARNER CABLE INC         | COM | 88732J207 | 98    | 3106    | SH | DEFINED | 0 |
| TIMKEN CO                     | COM | 887389104 | 911   | 53284   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |        |    |         |   |
|--------------------------------|-----|-----------|-------|--------|----|---------|---|
| TIMKEN CO                      | COM | 887389104 | 6     | 375    | SH | DEFINED | 0 |
| TITAN INTERNATIONAL INC        | COM | 88830M102 | 125   | 16800  | SH | DEFINED | 0 |
| TITAN MACHINERY INC            | COM | 88830R101 | 201   | 15800  | SH | DEFINED | 0 |
| TITAN PHARM INC                | COM | 888314101 | 1     | 1150   | SH | DEFINED | 0 |
| TITANIUM ASSET MANAGEMENT CO   | COM | 888331774 | 380   | 95000  | SH | DEFINED | 0 |
| TITANIUM ASSET MGMT WARRANT    | WT  | 888332558 | 0     | 95000  | SH | DEFINED | 0 |
| TITANIUM METALS CORP           | COM | 888339207 | 25    | 2695   | SH | DEFINED | 0 |
| TITANIUM METALS CORP           | COM | 888339207 | 246   | 26770  | SH | DEFINED | 0 |
| TIVO INC                       | COM | 888706108 | 325   | 31010  | SH | DEFINED | 0 |
| TIVO INC                       | COM | 888706108 | 11    | 1050   | SH | DEFINED | 0 |
| TODD SHIPYARDS CORP            | COM | 889039103 | 33    | 2000   | SH | DEFINED | 0 |
| TOLL BROTHERS INC              | COM | 889478103 | 627   | 36903  | SH | DEFINED | 0 |
| TOLL BROTHERS INC              | COM | 889478103 | 98    | 5751   | SH | DEFINED | 0 |
| TOLLGRADE COMMUNICATIONS INC   | COM | 889542106 | 82    | 15645  | SH | DEFINED | 0 |
| TOLLGRADE COMMUNICATIONS INC   | COM | 889542106 | 1     | 100    | SH | DEFINED | 0 |
| TOMKINS PLC SPONSORED          | COM | 890030208 | 2     | 219    | SH | DEFINED | 0 |
| TOMPKINS FINANCIAL CORP        | COM | 890110109 | 557   | 11622  | SH | DEFINED | 0 |
| TOMPKINS FINANCIAL CORP        | COM | 890110109 | 69    | 1445   | SH | DEFINED | 0 |
| TOR MINERALS INTERNATIO        | COM | 890878101 | 0     | 333    | SH | DEFINED | 0 |
| TORCH ENERGY ROYALTYTRUST      | COM | 891013104 | 1     | 333    | SH | DEFINED | 0 |
| TORCHMARK CORP                 | COM | 891027104 | 15636 | 422135 | SH | DEFINED | 0 |
| TORCHMARK CORP                 | COM | 891027104 | 273   | 7360   | SH | DEFINED | 0 |
| TORCHMARK CORP                 | COM | 891027104 | 265   | 7152   | SH | DEFINED | 0 |
| TORO CO                        | COM | 891092108 | 3732  | 124802 | SH | DEFINED | 0 |
| TORO CO                        | COM | 891092108 | 7     | 243    | SH | DEFINED | 0 |
| TORONTO DOMINION BK ONT COM NE | COM | 891160509 | 337   | 6512   | SH | DEFINED | 0 |
| TORTOISE ENERGY INFRASTRUC     | COM | 89147L100 | 140   | 5481   | SH | DEFINED | 0 |
| TORTOISE CAP RES CORP          | COM | 89147N304 | 15    | 3550   | SH | DEFINED | 0 |
| TORTOISE ENERGY CAP CORP COM   | COM | 89147U100 | 1     | 45     | SH | DEFINED | 0 |
| TOTAL SA-SPON ADR              | COM | 89151E109 | 33730 | 621977 | SH | DEFINED | 0 |
| TOTAL SA-SPON ADR              | COM | 89151E109 | 450   | 8305   | SH | DEFINED | 0 |
| TOWER GROUP INC                | COM | 891777104 | 2848  | 114947 | SH | DEFINED | 0 |
| TOWER GROUP INC                | COM | 891777104 | 50    | 2000   | SH | DEFINED | 0 |
| TOWER GROUP INC                | COM | 891777104 | 104   | 3225   | SH | DEFINED | 0 |
| TOWER GROUP INC                | COM | 891777104 | 3     | 125    | SH | DEFINED | 0 |
| TOTAL SYSTEM SERVICES INC      | COM | 891906109 | 1649  | 123334 | SH | DEFINED | 0 |
| TOTAL SYSTEM SERVICES INC      | COM | 891906109 | 117   | 8716   | SH | DEFINED | 0 |
| TOTAL SYSTEM SERVICES INC      | COM | 891906109 | 66    | 4948   | SH | DEFINED | 0 |
| TOWNE BANK                     | COM | 89214P109 | 738   | 52745  | SH | DEFINED | 0 |
| TOYOTA MTRS CORP SPON ADR      | COM | 892331307 | 699   | 9251   | SH | DEFINED | 0 |
| TRACTOR SUPPLY COMPANY         | COM | 892356106 | 1546  | 37417  | SH | DEFINED | 0 |
| TRACTOR SUPPLY COMPANY         | COM | 892356106 | 76    | 1835   | SH | DEFINED | 0 |
| TRACTOR SUPPLY COMPANY         | COM | 892356106 | 11    | 265    | SH | DEFINED | 0 |
| TPTX INC COM                   | COM | 89235K105 | 1     | 8160   | SH | DEFINED | 0 |
| TRADESTATION GROUP INC         | COM | 89267P105 | 1266  | 149717 | SH | DEFINED | 0 |
| TRADESTATION GROUP INC         | COM | 89267P105 | 42    | 4950   | SH | DEFINED | 0 |
| TRAILER BRIDGE INC             | COM | 892782103 | 0     | 96     | SH | DEFINED | 0 |
| TRANSACT TECH INC              | COM | 892918103 | 25    | 5000   | SH | DEFINED | 0 |
| TRANSATLANTIC HOLDINGS INC     | COM | 893521104 | 32828 | 757608 | SH | DEFINED | 0 |
| TRANSATLANTIC HOLDINGS INC     | COM | 893521104 | 1     | 21     | SH | DEFINED | 0 |
| TRANSCANADA CORP               | COM | 89353D107 | 7307  | 271537 | SH | DEFINED | 0 |
| TRANSCANADA CORP               | COM | 89353D107 | 140   | 5210   | SH | DEFINED | 0 |
| TRANSDIGM GROUP INC            | COM | 893641100 | 2801  | 77380  | SH | DEFINED | 0 |
| TRANSDIGM GROUP INC            | COM | 893641100 | 3     | 81     | SH | DEFINED | 0 |
| TRANSOCEAN INC SR NOTES S      | COM | 893830AW9 | 4     | 4000   | SH | DEFINED | 0 |
| TRANSCEND SERVICES INC         | COM | 893929208 | 389   | 24536  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| TRAVELCENTERS AMER LLC         | COM | 894174101 | 0      | 215     | SH | DEFINED | 0 |
| TRAVELERS COS INC/THE          | COM | 89417E109 | 155572 | 3790736 | SH | DEFINED | 0 |
| TRAVELERS COS INC/THE          | COM | 89417E109 | 4370   | 106491  | SH | DEFINED | 0 |
| TRAVELERS COS INC/THE          | COM | 89417E109 | 1956   | 47652   | SH | DEFINED | 0 |
| TRAVELERS COS INC/THE          | COM | 89417E109 | 127281 | 3101359 | SH | DEFINED | 0 |
| TRAVELERS COS INC/THE          | COM | 89417E109 | 419    | 10200   | SH | DEFINED | 0 |
| TRAVELERS COMPANIES INC        | COM | 89417E109 | 7470   | 182025  | SH | DEFINED | 0 |
| TREDEGAR CORP                  | COM | 894650100 | 624    | 46867   | SH | DEFINED | 0 |
| TREE COM INC COM               | COM | 894675107 | 0      | 13      | SH | DEFINED | 0 |
| TREEHOUSE FOODS INC            | COM | 89469A104 | 3377   | 117419  | SH | DEFINED | 0 |
| TREEHOUSE FOODS INC            | COM | 89469A104 | 49     | 1700    | SH | DEFINED | 0 |
| TREEHOUSE FOODS INC            | COM | 89469A104 | 3      | 88      | SH | DEFINED | 0 |
| TREX COMPANY INC               | COM | 89531P105 | 56     | 4159    | SH | DEFINED | 0 |
| TREX COMPANY INC               | COM | 89531P105 | 4      | 307     | SH | DEFINED | 0 |
| TRI CONTINENTAL CORPCOM        | COM | 895436103 | 42     | 4599    | SH | DEFINED | 0 |
| TRI VY CORP                    | COM | 895735108 | 3      | 2650    | SH | DEFINED | 0 |
| TRIDENT MICROSYSTEMS INC       | COM | 895919108 | 206    | 117764  | SH | DEFINED | 0 |
| TRIDENT MICROSYSTEMS INC       | COM | 895919108 | 38     | 22100   | SH | DEFINED | 0 |
| TRIDENT MICROSYSTEMS INC       | COM | 895919108 | 2      | 1370    | SH | DEFINED | 0 |
| TRICO BANCSHARES               | COM | 896095106 | 383    | 24698   | SH | DEFINED | 0 |
| TRICO BANCSHARES               | COM | 896095106 | 31     | 2000    | SH | DEFINED | 0 |
| TRICO BANCSHARES               | COM | 896095106 | 3      | 171     | SH | DEFINED | 0 |
| TRIMBLE NAVIGATION LTD         | COM | 896239100 | 122    | 6200    | SH | DEFINED | 0 |
| TRIMBLE NAVIGATION LTD         | COM | 896239100 | 972    | 49634   | SH | DEFINED | 0 |
| TRIMBLE NAVIGATION LTD         | COM | 896239100 | 57     | 2887    | SH | DEFINED | 0 |
| TRINA SOLAR LTD SPONSORED      | COM | 89628E104 | 21     | 800     | SH | DEFINED | 0 |
| TRINITY BIOTECH PLC SPON ADR N | COM | 896438306 | 0      | 5       | SH | DEFINED | 0 |
| TRINITY INDUSTRIES INC         | COM | 896522109 | 3008   | 220816  | SH | DEFINED | 0 |
| TRINITY INDUSTRIES INC         | COM | 896522109 | 50     | 3700    | SH | DEFINED | 0 |
| TRINITY INDUSTRIES INC         | COM | 896522109 | 164    | 12005   | SH | DEFINED | 0 |
| TRINITY INDS INC SUB NT CV     | COM | 896522AF6 | 2      | 3000    | SH | DEFINED | 0 |
| TRIPLE-S MANAGEMENT CORP-B     | COM | 896749108 | 999    | 64109   | SH | DEFINED | 0 |
| TRIQUINT SEMICONDUCTOR INC     | COM | 89674K103 | 1115   | 210101  | SH | DEFINED | 0 |
| TRIQUINT SEMICONDUCTOR INC     | COM | 89674K103 | 67     | 12649   | SH | DEFINED | 0 |
| TRIUMPH GROUP INC              | COM | 896818101 | 3511   | 87750   | SH | DEFINED | 0 |
| TRUE RELIGION APPAREL INC      | COM | 89784N104 | 1408   | 63143   | SH | DEFINED | 0 |
| TRUE RELIGION APPAREL INC      | COM | 89784N104 | 2      | 111     | SH | DEFINED | 0 |
| TRUEBLUE INC                   | COM | 89785X101 | 4195   | 499561  | SH | DEFINED | 0 |
| TRUEBLUE INC                   | COM | 89785X101 | 133    | 15829   | SH | DEFINED | 0 |
| TRUSTCO BANK CORP NY           | COM | 898349105 | 766    | 129546  | SH | DEFINED | 0 |
| TRUSTCO BANK CORP NY           | COM | 898349105 | 52     | 8800    | SH | DEFINED | 0 |
| TRUSTCO BANK CORP NY           | COM | 898349105 | 9      | 1600    | SH | DEFINED | 0 |
| TRUSTMARK CORP                 | COM | 898402102 | 2403   | 124396  | SH | DEFINED | 0 |
| TRUSTMARK CORP                 | COM | 898402102 | 62     | 3200    | SH | DEFINED | 0 |
| TUCOWS INC                     | COM | 898697107 | 0      | 300     | SH | DEFINED | 0 |
| TUESDAY MORNING CORP           | COM | 899035505 | 226    | 67005   | SH | DEFINED | 0 |
| TUFECO TECHNOLOGIES INC        | COM | 899040109 | 1      | 400     | SH | DEFINED | 0 |
| TUPPERWARE BRANDS CORP         | COM | 899896104 | 17998  | 691719  | SH | DEFINED | 0 |
| TUPPERWARE BRANDS CORP         | COM | 899896104 | 46     | 1150    | SH | DEFINED | 0 |
| TUPPERWARE BRANDS CORP         | COM | 899896104 | 95     | 3648    | SH | DEFINED | 0 |
| TURKCELL ILETISIM HIZMET-ADR   | COM | 900111204 | 153    | 11057   | SH | DEFINED | 0 |
| TURKCELL ILETISIM HIZMET-ADR   | COM | 900111204 | 153    | 11057   | SH | DEFINED | 0 |
| TURKCELL ILETISIM HIZMET-ADR   | COM | 900111204 | 54     | 3924    | SH | DEFINED | 0 |
| TUTOR PERINI CORP              | COM | 901109108 | 4372   | 251876  | SH | DEFINED | 0 |
| TUTOR PERINI CORP              | COM | 901109108 | 69     | 4000    | SH | DEFINED | 0 |
| TWEEN BRANDS INC               | COM | 901166108 | 311    | 46622   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| TWEEN BRANDS INC               | COM | 901166108 | 0     | 11      | SH | DEFINED | 0 |
| 21ST CENTURY HLDG CO           | COM | 90136Q100 | 5     | 1427    | SH | DEFINED | 0 |
| II-VI INC                      | COM | 902104108 | 488   | 22001   | SH | DEFINED | 0 |
| II-VI INC                      | COM | 902104108 | 2     | 110     | SH | DEFINED | 0 |
| TYLER TECHNOLOGIES INC         | COM | 902252105 | 499   | 31897   | SH | DEFINED | 0 |
| TYLER TECHNOLOGIES INC         | COM | 902252105 | 3     | 200     | SH | DEFINED | 0 |
| TYSON FOODS INC-CL A           | COM | 902494103 | 6273  | 497519  | SH | DEFINED | 0 |
| TYSON FOODS INC-CL A           | COM | 902494103 | 333   | 26431   | SH | DEFINED | 0 |
| TYSON FOODS INC-CL A           | COM | 902494103 | 45    | 3563    | SH | DEFINED | 0 |
| TYSON FOODS INC CL A           | COM | 902494103 | 7610  | 603511  | SH | DEFINED | 0 |
| UAL CORP                       | COM | 902549807 | 14314 | 4486663 | SH | DEFINED | 0 |
| UAL CORP                       | COM | 902549807 | 56    | 17773   | SH | DEFINED | 0 |
| UAL CORP                       | COM | 902549807 | 323   | 101196  | SH | DEFINED | 0 |
| UCBH HOLDINGS INC              | COM | 90262T308 | 209   | 166124  | SH | DEFINED | 0 |
| UCBH HOLDINGS INC              | COM | 90262T308 | 5     | 3800    | SH | DEFINED | 0 |
| UBS AG JERSEY BRH E TRACS LKD  | COM | 902641786 | 40    | 2805    | SH | DEFINED | 0 |
| UDR INC                        | COM | 902653104 | 59    | 5672    | SH | DEFINED | 0 |
| UDR INC                        | COM | 902653104 | 165   | 15936   | SH | DEFINED | 0 |
| UGI CORP                       | COM | 902681105 | 414   | 16230   | SH | DEFINED | 0 |
| UGI CORP                       | COM | 902681105 | 66    | 2608    | SH | DEFINED | 0 |
| UIL HOLDINGS CORP              | COM | 902748102 | 6953  | 309707  | SH | DEFINED | 0 |
| UIL HOLDINGS CORP              | COM | 902748102 | 24    | 1079    | SH | DEFINED | 0 |
| UMB FINANCIAL CORP             | COM | 902788108 | 6556  | 172467  | SH | DEFINED | 0 |
| US GLOBAL INVESTORS INC CL A   | COM | 902952100 | 14    | 1545    | SH | DEFINED | 0 |
| US BANCORP                     | COM | 902973304 | 31506 | 1758070 | SH | DEFINED | 0 |
| US BANCORP                     | COM | 902973304 | 2143  | 119550  | SH | DEFINED | 0 |
| US BANCORP                     | COM | 902973304 | 134   | 7500    | SH | DEFINED | 0 |
| US BANCORP                     | COM | 902973304 | 1781  | 99361   | SH | DEFINED | 0 |
| US BANCORP DEL NEW NEW         | COM | 902973304 | 5269  | 294020  | SH | DEFINED | 0 |
| UQM TECHNOLOGIES INC           | COM | 903213106 | 0     | 162     | SH | DEFINED | 0 |
| URS CORP                       | COM | 903236107 | 2769  | 55925   | SH | DEFINED | 0 |
| URS CORP                       | COM | 903236107 | 2492  | 51020   | SH | DEFINED | 0 |
| URS CORP                       | COM | 903236107 | 45    | 910     | SH | DEFINED | 0 |
| USA TECHNOLOGIES INCCOM NO PAR | COM | 90328S500 | 0     | 40      | SH | DEFINED | 0 |
| USG CORP                       | COM | 903293405 | 99    | 9860    | SH | DEFINED | 0 |
| USEC INC                       | COM | 90333E108 | 12112 | 2276436 | SH | DEFINED | 0 |
| USEC INC                       | COM | 90333E108 | 11    | 2085    | SH | DEFINED | 0 |
| U S CONCRETE INC               | COM | 90333L102 | 2     | 1000    | SH | DEFINED | 0 |
| U S PHYSICAL THERAPY IN        | COM | 90337L108 | 2     | 166     | SH | DEFINED | 0 |
| U S GEOTHERMAL INC ISIN #US90  | COM | 90338S102 | 1     | 1000    | SH | DEFINED | 0 |
| USA MOBILITY INC               | COM | 90341G103 | 2344  | 183596  | SH | DEFINED | 0 |
| USA MOBILITY INC               | COM | 90341G103 | 133   | 10400   | SH | DEFINED | 0 |
| US AIRWAYS GROUP INC           | COM | 90341W108 | 18486 | 7607238 | SH | DEFINED | 0 |
| US AIRWAYS GROUP INC           | COM | 90341W108 | 42    | 17372   | SH | DEFINED | 0 |
| US AIRWAYS GROUP INC           | COM | 90341W108 | 0     | 182     | SH | DEFINED | 0 |
| ULTA SALON COSMETICS & FRAGR   | COM | 90384S303 | 208   | 18741   | SH | DEFINED | 0 |
| ULTIMATE SOFTWARE GROUP INC    | COM | 90385D107 | 582   | 24011   | SH | DEFINED | 0 |
| ULTIMATE SOFTWARE GROUP INC    | COM | 90385D107 | 171   | 7050    | SH | DEFINED | 0 |
| ULTRA CLEAN HOLDINGS           | COM | 90385V107 | 18    | 7472    | SH | DEFINED | 0 |
| ULTRA PETROLEUM CORP           | COM | 903914109 | 24376 | 625054  | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |        |         |    |         |   |
|------------------------------|-----|-----------|--------|---------|----|---------|---|
| ULTRA PETROLEUM CORP         | COM | 903914109 | 2959   | 75876   | SH | DEFINED | 0 |
| ULTRA PETROLEUM CORP         | COM | 903914109 | 46     | 1183    | SH | DEFINED | 0 |
| ULTRA PETROLEUM CORP         | COM | 903914109 | 49044  | 1262096 | SH | DEFINED | 0 |
| ULTRA PETROLEUM CORP         | COM | 903914109 | 166    | 4250    | SH | DEFINED | 0 |
| ULTRAPAR PARTICIPAC          | COM | 90400P101 | 150    | 4756    | SH | DEFINED | 0 |
| ULTRATECH INC                | COM | 904034105 | 506    | 41128   | SH | DEFINED | 0 |
| UMPQUA HOLDINGS CORP         | COM | 904214103 | 431    | 55588   | SH | DEFINED | 0 |
| UMPQUA HOLDINGS CORP         | COM | 904214103 | 114    | 14747   | SH | DEFINED | 0 |
| UNDER ARMOUR INC CL A        | COM | 904311107 | 140    | 6247    | SH | DEFINED | 0 |
| UNIFI INC                    | COM | 904677101 | 17     | 11840   | SH | DEFINED | 0 |
| UNIFIRST CORP/MA             | COM | 904708104 | 5096   | 137090  | SH | DEFINED | 0 |
| UNIFIRST CORP/MA             | COM | 904708104 | 19     | 505     | SH | DEFINED | 0 |
| UNILEVER PLC NEW SPONS ADR   | COM | 904767704 | 395    | 16823   | SH | DEFINED | 0 |
| UNILEVER N V ISIN #US90      | COM | 904784709 | 464    | 19176   | SH | DEFINED | 0 |
| UNION PACIFIC CORP           | COM | 907818108 | 72112  | 1385179 | SH | DEFINED | 0 |
| UNION PACIFIC CORP           | COM | 907818108 | 1552   | 29820   | SH | DEFINED | 0 |
| UNION PACIFIC CORP           | COM | 907818108 | 87703  | 1683968 | SH | DEFINED | 0 |
| UNION PACIFIC CORP           | COM | 907818108 | 1155   | 22181   | SH | DEFINED | 0 |
| UNION PAC CORP               | COM | 907818108 | 5097   | 97911   | SH | DEFINED | 0 |
| UNISOURCE ENERGY CORP CO     | COM | 909205106 | 1790   | 67417   | SH | DEFINED | 0 |
| UNISOURCE ENERGY CORP CO     | COM | 909205106 | 1      | 40      | SH | DEFINED | 0 |
| UNISYS CORP                  | COM | 909214108 | 758    | 502000  | SH | DEFINED | 0 |
| UNISYS CORP                  | COM | 909214108 | 6      | 3814    | SH | DEFINED | 0 |
| UNIT CORP                    | COM | 909218109 | 1202   | 43681   | SH | DEFINED | 0 |
| UNIT CORP                    | COM | 909218109 | 3      | 100     | SH | DEFINED | 0 |
| UNITED COMMUNITY BANKS/GA    | COM | 90984P105 | 377    | 62988   | SH | DEFINED | 0 |
| UNITED COMMUNITY BANKS/GA    | COM | 90984P105 | 0      | 3       | SH | DEFINED | 0 |
| UNITED BANKSHARES INC        | COM | 909907107 | 1187   | 60704   | SH | DEFINED | 0 |
| UNITED BANKSHARES INC        | COM | 909907107 | 90     | 4600    | SH | DEFINED | 0 |
| UNITED FINANCIAL BANCORP INC | COM | 91030T109 | 509    | 36794   | SH | DEFINED | 0 |
| UNITED FINANCIAL BANCORP INC | COM | 91030T109 | 41     | 3000    | SH | DEFINED | 0 |
| UNITED FIRE & CASUALTY CO    | COM | 910331107 | 447    | 26049   | SH | DEFINED | 0 |
| UNITED FIRE & CASUALTY CO    | COM | 910331107 | 7      | 400     | SH | DEFINED | 0 |
| UNITED MICROELECTRON-SP ADR  | COM | 910873405 | 4      | 1610    | SH | DEFINED | 0 |
| UNITED NATURAL FOODS INC     | COM | 911163103 | 4037   | 153782  | SH | DEFINED | 0 |
| UNITED NATURAL FOODS INC     | COM | 911163103 | 5      | 197     | SH | DEFINED | 0 |
| UNITED ONLINE INC            | COM | 911268100 | 1372   | 210838  | SH | DEFINED | 0 |
| UNITED ONLINE INC            | COM | 911268100 | 43     | 6558    | SH | DEFINED | 0 |
| UNITED ONLINE INC            | COM | 911268100 | 33     | 5042    | SH | DEFINED | 0 |
| UNITED PARCEL SERVICE-CL B   | COM | 911312106 | 106711 | 2134652 | SH | DEFINED | 0 |
| UNITED PARCEL SERVICE-CL B   | COM | 911312106 | 3772   | 75446   | SH | DEFINED | 0 |
| UNITED PARCEL SERVICE-CL B   | COM | 911312106 | 2876   | 57532   | SH | DEFINED | 0 |
| UNITED RENTALS INC           | COM | 911363109 | 5340   | 822861  | SH | DEFINED | 0 |
| UNITED RENTALS INC           | COM | 911363109 | 42     | 6445    | SH | DEFINED | 0 |
| UNITED RENTALS INC           | COM | 911363109 | 6      | 1000    | SH | DEFINED | 0 |
| UNITED STATES CELLULAR C     | COM | 911684108 | 79     | 2062    | SH | DEFINED | 0 |
| UNITED STS GASOLINE FD LP    | COM | 91201T102 | 258    | 7870    | SH | DEFINED | 0 |
| U S GOLD CORP                | COM | 912023207 | 0      | 10      | SH | DEFINED | 0 |
| UNITED STS HEATING OIL FD LP | COM | 91204P107 | 5      | 185     | SH | DEFINED | 0 |
| UNITED STS OIL FD LPUNITS    | COM | 91232N108 | 472    | 12434   | SH | DEFINED | 0 |
| UNITED STATES STEEL CORP     | COM | 912909108 | 109478 | 3063146 | SH | DEFINED | 0 |
| UNITED STATES STEEL CORP     | COM | 912909108 | 612    | 17129   | SH | DEFINED | 0 |
| UNITED STATES STEEL CORP     | COM | 912909108 | 3186   | 89106   | SH | DEFINED | 0 |
| UNITED STATES STEEL CORP     | COM | 912909108 | 470    | 13152   | SH | DEFINED | 0 |
| UNITED STATIONERS INC        | COM | 913004107 | 4559   | 130664  | SH | DEFINED | 0 |
| UNITED TECHNOLOGIES CORP     | COM | 913017109 | 46140  | 887956  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                 |     |           |        |         |    |         |   |
|---------------------------------|-----|-----------|--------|---------|----|---------|---|
| UNITED TECHNOLOGIES CORP        | COM | 913017109 | 3423   | 65885   | SH | DEFINED | 0 |
| UNITED TECHNOLOGIES CORP        | COM | 913017109 | 6305   | 111800  | SH | DEFINED | 0 |
| UNITED TECHNOLOGIES CORP        | COM | 913017109 | 2178   | 41908   | SH | DEFINED | 0 |
| UNITED TECHNOLOGIES CORP        | COM | 913017109 | 9210   | 177243  | SH | DEFINED | 0 |
| UNITED THERAPEUTICS CORP        | COM | 91307C102 | 2308   | 27700   | SH | DEFINED | 0 |
| UNITED THERAPEUTICS CORP        | COM | 91307C102 | 6      | 73      | SH | DEFINED | 0 |
| UNITEDHEALTH GROUP INC          | COM | 91324P102 | 102831 | 4116534 | SH | DEFINED | 0 |
| UNITEDHEALTH GROUP INC          | COM | 91324P102 | 2269   | 90846   | SH | DEFINED | 0 |
| UNITEDHEALTH GROUP INC          | COM | 91324P102 | 1080   | 43233   | SH | DEFINED | 0 |
| UNITEDHEALTH GROUP INC          | COM | 91324P102 | 597    | 23906   | SH | DEFINED | 0 |
| UNITIL CORP                     | COM | 913259107 | 444    | 21520   | SH | DEFINED | 0 |
| UNITIL CORP                     | COM | 913259107 | 64     | 3100    | SH | DEFINED | 0 |
| UNITRIN INC                     | COM | 913275103 | 1053   | 87568   | SH | DEFINED | 0 |
| UNIVERSAL AMERICAN CORP         | COM | 913377107 | 2743   | 314708  | SH | DEFINED | 0 |
| UNIVERSAL AMERICAN CORP         | COM | 913377107 | 64     | 7300    | SH | DEFINED | 0 |
| UNIVERSAL CORP/VA               | COM | 913456109 | 1018   | 30720   | SH | DEFINED | 0 |
| UNIVERSAL CORP/VA               | COM | 913456109 | 100    | 3027    | SH | DEFINED | 0 |
| UNIVERSAL DISPLAY CORP          | COM | 91347P105 | 186    | 18969   | SH | DEFINED | 0 |
| UNIVERSAL DISPLAY CORP          | COM | 91347P105 | 3      | 300     | SH | DEFINED | 0 |
| UNIVERSAL ELECTRONICS INC       | COM | 913483103 | 812    | 40282   | SH | DEFINED | 0 |
| UNIVERSAL FOREST PRODUCTS       | COM | 913543104 | 1914   | 57839   | SH | DEFINED | 0 |
| UNIVERSAL FOREST PRODUCTS       | COM | 913543104 | 5      | 160     | SH | DEFINED | 0 |
| UNIVERSAL HEALTH RLTY INCOME    | COM | 91359E105 | 148    | 4683    | SH | DEFINED | 0 |
| UNIVERSAL STAINLESS & ALLOY     | COM | 913837100 | 366    | 22500   | SH | DEFINED | 0 |
| UNIVERSAL HEALTH SERVICES-B     | COM | 913903100 | 11134  | 227912  | SH | DEFINED | 0 |
| UNIVERSAL HEALTH SERVICES-B     | COM | 913903100 | 2      | 33      | SH | DEFINED | 0 |
| UNIVERSAL TECHNICAL INSTITUT    | COM | 913915104 | 1400   | 93673   | SH | DEFINED | 0 |
| UNIVERSITY BANCORP INC          | COM | 914090105 | 1      | 1000    | SH | DEFINED | 0 |
| UNUM GROUP                      | COM | 91529Y106 | 14161  | 892859  | SH | DEFINED | 0 |
| UNUM GROUP                      | COM | 91529Y106 | 245    | 15461   | SH | DEFINED | 0 |
| UNUM GROUP                      | COM | 91529Y106 | 29     | 1838    | SH | DEFINED | 0 |
| UNUMPROVIDENT CORP              | COM | 91529Y106 | 10143  | 639551  | SH | DEFINED | 0 |
| URANIUM RES INC COM PAR \$0.001 | COM | 916901507 | 16     | 12400   | SH | DEFINED | 0 |
| URBAN OUTFITTERS INC            | COM | 917047102 | 11016  | 527851  | SH | DEFINED | 0 |
| URBAN OUTFITTERS INC            | COM | 917047102 | 116    | 5577    | SH | DEFINED | 0 |
| URBAN OUTFITTERS INC            | COM | 917047102 | 56     | 2660    | SH | DEFINED | 0 |
| URSTADT BIDDLE PPTYS            | COM | 917286106 | 2      | 130     | SH | DEFINED | 0 |
| URSTADT BIDDLE - CLASS A        | COM | 917286205 | 448    | 31808   | SH | DEFINED | 0 |
| URSTADT BIDDLE - CLASS A        | COM | 917286205 | 64     | 4550    | SH | DEFINED | 0 |
| UTSTARCOM INC                   | COM | 918076100 | 1575   | 966419  | SH | DEFINED | 0 |
| UTSTARCOM INC                   | COM | 918076100 | 42     | 26070   | SH | DEFINED | 0 |
| UTSTARCOM INC                   | COM | 918076100 | 408    | 250434  | SH | DEFINED | 0 |
| UTSTARCOM INC                   | COM | 918076100 | 3      | 1600    | SH | DEFINED | 0 |
| VCA ANTECH INC                  | COM | 918194101 | 1108   | 41500   | SH | DEFINED | 0 |
| VCA ANTECH INC                  | COM | 918194101 | 41     | 1522    | SH | DEFINED | 0 |
| VCA ANTECH INC                  | COM | 918194101 | 27     | 1000    | SH | DEFINED | 0 |
| VF CORP                         | COM | 918204108 | 36835  | 665500  | SH | DEFINED | 0 |
| VF CORP                         | COM | 918204108 | 260    | 4703    | SH | DEFINED | 0 |
| VF CORP                         | COM | 918204108 | 30     | 500     | SH | DEFINED | 0 |
| VF CORP                         | COM | 918204108 | 45     | 808     | SH | DEFINED | 0 |
| VSE CORP                        | COM | 918284100 | 388    | 14797   | SH | DEFINED | 0 |
| VSE CORP                        | COM | 918284100 | 65     | 2500    | SH | DEFINED | 0 |
| VAALCO ENERGY INC               | COM | 91851C201 | 241    | 57088   | SH | DEFINED | 0 |
| VAALCO ENERGY INC               | COM | 91851C201 | 60     | 14200   | SH | DEFINED | 0 |
| VAALCO ENERGY INC               | COM | 91851C201 | 4      | 1000    | SH | DEFINED | 0 |
| VALASSIS COMMUNICATIONS INC     | COM | 918866104 | 7497   | 1226837 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| VALASSIS COMMUNICATIONS INC    | COM | 918866104 | 5      | 800     | SH | DEFINED | 0 |
| VALHI INC NEW                  | COM | 918905100 | 1      | 104     | SH | DEFINED | 0 |
| VALENCE TECHNOLOGY INC         | COM | 918914102 | 1      | 510     | SH | DEFINED | 0 |
| VALEANT PHARMACEUTICALS INTE   | COM | 91911X104 | 4745   | 184489  | SH | DEFINED | 0 |
| VALEANT PHARMACEUTICALS INTE   | COM | 91911X104 | 1031   | 40086   | SH | DEFINED | 0 |
| VALE SA - SP ADR               | COM | 91912E105 | 12216  | 692871  | SH | DEFINED | 0 |
| VALE SA - SP ADR               | COM | 91912E105 | 42111  | 2388608 | SH | DEFINED | 0 |
| VALE SA - SP ADR               | COM | 91912E105 | 19     | 1056    | SH | DEFINED | 0 |
| VALE SA - SP ADR               | COM | 91912E105 | 3543   | 201214  | SH | DEFINED | 0 |
| VALE SA - SP PREF ADR          | COM | 91912E204 | 216    | 14057   | SH | DEFINED | 0 |
| VALE SA - SP PREF ADR          | COM | 91912E204 | 2449   | 159531  | SH | DEFINED | 0 |
| VALE SA - SP PREF ADR          | COM | 91912E204 | 102063 | 6664126 | SH | DEFINED | 0 |
| VALERO ENERGY CORP             | COM | 91913Y100 | 25746  | 1524389 | SH | DEFINED | 0 |
| VALERO ENERGY CORP             | COM | 91913Y100 | 3304   | 195597  | SH | DEFINED | 0 |
| VALERO ENERGY CORP             | COM | 91913Y100 | 851    | 50384   | SH | DEFINED | 0 |
| VALERO ENERGY CORP             | COM | 91913Y100 | 19576  | 1161778 | SH | DEFINED | 0 |
| VALERO ENERGY CORP             | COM | 91913Y100 | 1142   | 67621   | SH | DEFINED | 0 |
| VALERO ENERGY CORP             | COM | 91913Y100 | 3769   | 223159  | SH | DEFINED | 0 |
| VALLEY NATIONAL BANCORP        | COM | 919794107 | 445    | 38057   | SH | DEFINED | 0 |
| VALLEY NATIONAL BANCORP        | COM | 919794107 | 32     | 2730    | SH | DEFINED | 0 |
| VALMONT INDUSTRIES             | COM | 920253101 | 89     | 825     | SH | DEFINED | 0 |
| VALMONT INDUSTRIES             | COM | 920253101 | 25     | 345     | SH | DEFINED | 0 |
| VALSPAR CORP                   | COM | 920355104 | 363    | 16091   | SH | DEFINED | 0 |
| VALSPAR CORP                   | COM | 920355104 | 1036   | 46200   | SH | DEFINED | 0 |
| VALSPAR CORP                   | COM | 920355104 | 101    | 4475    | SH | DEFINED | 0 |
| VALUECLICK INC                 | COM | 92046N102 | 1201   | 114180  | SH | DEFINED | 0 |
| VALUECLICK INC                 | COM | 92046N102 | 1      | 131     | SH | DEFINED | 0 |
| VAN KAMPEN AMERICAN CAPITAL MU | COM | 920919107 | 112    | 9622    | SH | DEFINED | 0 |
| VAN KAMPEN AMERICAN CAPITAL OH | COM | 920923109 | 322    | 25000   | SH | DEFINED | 0 |
| VAN KAMPEN MERRIT TR INSD MU   | COM | 920928108 | 11     | 1000    | SH | DEFINED | 0 |
| VAN KAMPEN AMERICAN CAPITAL TR | COM | 920929106 | 31     | 2670    | SH | DEFINED | 0 |
| VAN KAMPEN AMERICAN CAPITAL TR | COM | 920931102 | 38     | 3200    | SH | DEFINED | 0 |
| VAN KAMPEN MUN OPPORTUNIT      | COM | 920935103 | 93     | 7877    | SH | DEFINED | 0 |
| VAN KAMPEN BOND FUNDFMRLY VAN  | COM | 920955101 | 3      | 200     | SH | DEFINED | 0 |
| VAN KAMPEN AMERICAN CAPITAL AD | COM | 92112K107 | 38     | 3863    | SH | DEFINED | 0 |
| VAN KAMPEN AMERICAN CAPITAL SE | COM | 92112M103 | 97     | 10095   | SH | DEFINED | 0 |
| VAN KAMPEN DYNAMIC CR OPPORTU  | COM | 921166104 | 42     | 4535    | SH | DEFINED | 0 |
| VANCEINFO TECHNOLOGIES-ADR     | COM | 921564100 | 1010   | 68443   | SH | DEFINED | 0 |
| VANDA PHARMACEUT               | COM | 921659108 | 82     | 7000    | SH | DEFINED | 0 |
| VANGUARD SPECIALIZEDPORTFOLIOS | COM | 921908844 | 1285   | 32416   | SH | DEFINED | 0 |
| VANGUARD WORLD FD MEGA CAP 3   | COM | 921910840 | 16     | 535     | SH | DEFINED | 0 |
| VANGUARD BD INDEX FD INC LON   | COM | 921937793 | 474    | 6332    | SH | DEFINED | 0 |
| VANGUARD BD INDEX FDINC INTERM | COM | 921937819 | 1013   | 13116   | SH | DEFINED | 0 |
| VANGUARD BD INDEX FDINC SHORT  | COM | 921937827 | 2335   | 29531   | SH | DEFINED | 0 |
| VANGUARD TOTAL BD MARKET       | COM | 921937835 | 16063  | 206750  | SH | DEFINED | 0 |
| VANGUARD TOTAL BD MARKET       | COM | 921937835 | 3047   | 39226   | SH | DEFINED | 0 |
| VANGUARD EUROPE PACIFIC ETF    | COM | 921943858 | 6891   | 240075  | SH | DEFINED | 0 |
| VANGUARD EUROPE PACIFIC ETF    | COM | 921943858 | 915    | 32030   | SH | DEFINED | 0 |
| VANGUARD WHITEHALL FDS HIGH D  | COM | 921946406 | 169    | 5350    | SH | DEFINED | 0 |
| VANGUARD INTL EQUITYINDEX FDS  | COM | 922042742 | 262    | 7438    | SH | DEFINED | 0 |
| VANGUARD INTL EQUITYINDEX FD I | COM | 922042775 | 806    | 22446   | SH | DEFINED | 0 |
| VANGUARD EMERGING MKT ETF      | COM | 922042858 | 4516   | 141900  | SH | DEFINED | 0 |
| VANGUARD EMERGING MKT ETF      | COM | 922042858 | 6290   | 197668  | SH | DEFINED | 0 |
| VANGUARD INTL EQUITYINDEX FD I | COM | 922042866 | 240    | 5197    | SH | DEFINED | 0 |
| VANGUARD INTL EQUITYINDEX FD I | COM | 922042874 | 275    | 6818    | SH | DEFINED | 0 |
| VANGUARD SECTOR INDEX FDS      | COM | 92204A108 | 1      | 36      | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| VANGUARD SECTOR INDEX FDS      | COM | 92204A207 | 277   | 4803    | SH | DEFINED | 0 |
| VANGUARD SECTOR INDEX FDS      | COM | 92204A306 | 626   | 8946    | SH | DEFINED | 0 |
| VANGUARD SECTOR INDEX FDS      | COM | 92204A405 | 77    | 3189    | SH | DEFINED | 0 |
| VANGUARD SECTOR INDEX FDS      | COM | 92204A504 | 531   | 11404   | SH | DEFINED | 0 |
| VANGUARD SECTOR INDEX FDS      | COM | 92204A603 | 28    | 677     | SH | DEFINED | 0 |
| VANGUARD SECTOR INDEX FDS      | COM | 92204A702 | 1748  | 41053   | SH | DEFINED | 0 |
| VANGUARD SECTOR INDEX FDS      | COM | 92204A801 | 36    | 701     | SH | DEFINED | 0 |
| VANGUARD SECTOR INDEX FDS      | COM | 92204A876 | 150   | 2569    | SH | DEFINED | 0 |
| VANGUARD NAT RES LLCCOM UNIT R | COM | 92205F106 | 64    | 4700    | SH | DEFINED | 0 |
| VARDE INV PARTNER LP           | LP  | 922155999 | 2129  | 1176213 | SH | DEFINED | 0 |
| VARIAN INC                     | COM | 922206107 | 1215  | 30813   | SH | DEFINED | 0 |
| VARIAN INC                     | COM | 922206107 | 39    | 978     | SH | DEFINED | 0 |
| VARIAN SEMICONDUCTOR EQUIP     | COM | 922207105 | 15021 | 626169  | SH | DEFINED | 0 |
| VARIAN SEMICONDUCTOR EQUIP     | COM | 922207105 | 61    | 2539    | SH | DEFINED | 0 |
| VARIAN SEMICONDUCTOR EQUIP     | COM | 922207105 | 1462  | 60950   | SH | DEFINED | 0 |
| VARIAN SEMICONDUCTOR EQUIPTMCO | COM | 922207105 | 445   | 18530   | SH | DEFINED | 0 |
| VARIAN MEDICAL SYSTEMS INC     | COM | 92220P105 | 57002 | 1622180 | SH | DEFINED | 0 |
| VARIAN MEDICAL SYSTEMS INC     | COM | 92220P105 | 287   | 8176    | SH | DEFINED | 0 |
| VARIAN MEDICAL SYSTEMS INC     | COM | 92220P105 | 7110  | 160200  | SH | DEFINED | 0 |
| VARIAN MEDICAL SYSTEMS INC     | COM | 92220P105 | 147   | 4190    | SH | DEFINED | 0 |
| VASCO DATA SECURITY INTL       | COM | 92230Y104 | 5     | 700     | SH | DEFINED | 0 |
| VASOGEN INC COM NEW ISIN #     | COM | 92232F202 | 0     | 100     | SH | DEFINED | 0 |
| VECTREN CORPORATION            | COM | 92240G101 | 132   | 5645    | SH | DEFINED | 0 |
| VECTREN CORPORATION            | COM | 92240G101 | 522   | 22289   | SH | DEFINED | 0 |
| VECTOR GROUP LTD               | COM | 92240M108 | 47    | 3300    | SH | DEFINED | 0 |
| VECTOR GROUP LTD               | COM | 92240M108 | 23    | 1588    | SH | DEFINED | 0 |
| VEECO INSTRUMENTS INC          | COM | 922417100 | 791   | 68186   | SH | DEFINED | 0 |
| VEECO INSTRUMENTS INC          | COM | 922417100 | 63    | 5400    | SH | DEFINED | 0 |
| VEECO INSTRUMENTS INC          | COM | 922417100 | 24    | 2100    | SH | DEFINED | 0 |
| VELOCITY EXPRESS CORP COM P    | COM | 92257T707 | 0     | 6       | SH | DEFINED | 0 |
| VENOCO INC COM                 | COM | 92275P307 | 3     | 400     | SH | DEFINED | 0 |
| VENTAS INC                     | COM | 92276F100 | 22238 | 744727  | SH | DEFINED | 0 |
| VENTAS INC                     | COM | 92276F100 | 175   | 5854    | SH | DEFINED | 0 |
| VENTAS INC                     | COM | 92276F100 | 63    | 2123    | SH | DEFINED | 0 |
| VANGUARD INDEX FDS MID-CAP VA  | COM | 922908512 | 277   | 8120    | SH | DEFINED | 0 |
| VANGUARD INDEX FDS MID-CAP GR  | COM | 922908538 | 280   | 7284    | SH | DEFINED | 0 |
| VANGUARD REIT ETF              | COM | 922908553 | 1034  | 33330   | SH | DEFINED | 0 |
| VANGUARD INDEX FDS VANGUARD S  | COM | 922908595 | 456   | 9490    | SH | DEFINED | 0 |
| VANGUARD INDEX FDS VANGUARD S  | COM | 922908611 | 735   | 17043   | SH | DEFINED | 0 |
| VANGUARD INDEX FDS VANGUARD M  | COM | 922908629 | 1103  | 23571   | SH | DEFINED | 0 |
| VANGUARD INDEX FDS VANGUARD L  | COM | 922908637 | 13353 | 320064  | SH | DEFINED | 0 |
| VANGUARD INDEX TR VANGUARD E   | COM | 922908652 | 93    | 2710    | SH | DEFINED | 0 |
| VANGUARD INDEX FDS VANGUARD G  | COM | 922908736 | 4688  | 108119  | SH | DEFINED | 0 |
| VANGUARD INDEX FDS VANGUARD V  | COM | 922908744 | 4001  | 101174  | SH | DEFINED | 0 |
| VANGUARD INDEX FDS VANGUARD S  | COM | 922908751 | 1588  | 34711   | SH | DEFINED | 0 |
| VANGUARD INDEX FDS VANGUARD T  | COM | 922908769 | 42959 | 928432  | SH | DEFINED | 0 |
| VEOLIA ENVIRONNEMENT-ADR       | COM | 92334N103 | 1157  | 39171   | SH | DEFINED | 0 |
| VEOLIA ENVIRONNEMENT-ADR       | COM | 92334N103 | 224   | 7594    | SH | DEFINED | 0 |
| VERIFONE HOLDINGS INC          | COM | 92342Y109 | 2549  | 339475  | SH | DEFINED | 0 |
| VERIFONE HOLDINGS INC          | COM | 92342Y109 | 23    | 3075    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |        |         |    |         |   |
|------------------------------|-----|-----------|--------|---------|----|---------|---|
| VERISIGN INC                 | COM | 92343E102 | 1419   | 76771   | SH | DEFINED | 0 |
| VERISIGN INC                 | COM | 92343E102 | 167    | 9059    | SH | DEFINED | 0 |
| VERISIGN INC                 | COM | 92343E102 | 4      | 226     | SH | DEFINED | 0 |
| VERIZON COMMUNICATIONS INC   | COM | 92343V104 | 282289 | 9186089 | SH | DEFINED | 0 |
| VERIZON COMMUNICATIONS INC   | COM | 92343V104 | 6422   | 208987  | SH | DEFINED | 0 |
| VERIZON COMMUNICATIONS INC   | COM | 92343V104 | 5987   | 194837  | SH | DEFINED | 0 |
| VERTEX PHARMACEUTICALS INC   | COM | 92532F100 | 14600  | 409713  | SH | DEFINED | 0 |
| VERTEX PHARMACEUTICALS INC   | COM | 92532F100 | 76     | 2139    | SH | DEFINED | 0 |
| VERTEX PHARMACEUTICALS INC   | COM | 92532F100 | 31     | 855     | SH | DEFINED | 0 |
| VIAD CORP                    | COM | 92552R406 | 3450   | 200311  | SH | DEFINED | 0 |
| VIAD CORP                    | COM | 92552R406 | 48     | 2800    | SH | DEFINED | 0 |
| VIAD CORP                    | COM | 92552R406 | 1      | 50      | SH | DEFINED | 0 |
| VIASAT INC                   | COM | 92552V100 | 789    | 30782   | SH | DEFINED | 0 |
| VIASAT INC                   | COM | 92552V100 | 27     | 1054    | SH | DEFINED | 0 |
| VIACOM INC NEW CL A          | COM | 92553P102 | 4      | 157     | SH | DEFINED | 0 |
| VIACOM INC-CLASS B           | COM | 92553P201 | 10906  | 480426  | SH | DEFINED | 0 |
| VIACOM INC-CLASS B           | COM | 92553P201 | 1014   | 44686   | SH | DEFINED | 0 |
| VIACOM INC-CLASS B           | COM | 92553P201 | 155    | 6806    | SH | DEFINED | 0 |
| VIA PHARMACEUTICALS INC      | COM | 92554T103 | 0      | 114     | SH | DEFINED | 0 |
| VICOR CORP                   | COM | 925815102 | 160    | 22218   | SH | DEFINED | 0 |
| VIGNETTE CORP COM USD0.01    | COM | 926734401 | 1660   | 126252  | SH | DEFINED | 0 |
| VIGNETTE CORP COM USD0.01    | COM | 926734401 | 9      | 658     | SH | DEFINED | 0 |
| VILLAGE SUPER MARKET-CLASS A | COM | 927107409 | 6008   | 201940  | SH | DEFINED | 0 |
| VILLAGE SUPER MARKET-CLASS A | COM | 927107409 | 6      | 200     | SH | DEFINED | 0 |
| VINEYARD NATL BANCRP         | COM | 927426106 | 0      | 262     | SH | DEFINED | 0 |
| VIRAGE LOGIC CORPORATION     | COM | 92763R104 | 3412   | 758169  | SH | DEFINED | 0 |
| VIRGIN MEDIA INC             | COM | 92769L101 | 25079  | 2682241 | SH | DEFINED | 0 |
| VIRGIN MEDIA INC             | COM | 92769L101 | 0      | 31      | SH | DEFINED | 0 |
| VIRGIN MEDIA INC             | COM | 92769L101 | 6      | 606     | SH | DEFINED | 0 |
| VISION-SCIENCES INC          | COM | 927912105 | 16     | 17885   | SH | DEFINED | 0 |
| VISION-SCIENCES INC          | COM | 927912105 | 159    | 172500  | SH | DEFINED | 0 |
| VIRNETX HLDG CORP COM        | COM | 92823T108 | 23     | 15000   | SH | DEFINED | 0 |
| VIROPHARMA INC               | COM | 928241108 | 2457   | 414528  | SH | DEFINED | 0 |
| VIROPHARMA INC               | COM | 928241108 | 67     | 11236   | SH | DEFINED | 0 |
| VIROPHARMA INC               | COM | 928241108 | 9      | 1450    | SH | DEFINED | 0 |
| VIRTUALSCOPICS INC COM       | COM | 928269109 | 6      | 6473    | SH | DEFINED | 0 |
| VIRTUAL RADIOLOGIC CORP      | COM | 92826B104 | 14     | 1521    | SH | DEFINED | 0 |
| VISA INC-CLASS A SHARES      | COM | 92826C839 | 4445   | 71411   | SH | DEFINED | 0 |
| VISA INC-CLASS A SHARES      | COM | 92826C839 | 984    | 15810   | SH | DEFINED | 0 |
| VIRTUSA CORP                 | COM | 92827P102 | 51     | 6400    | SH | DEFINED | 0 |
| VIRTUS INVT PARTNERSINC COM  | COM | 92828Q109 | 0      | 33      | SH | DEFINED | 0 |
|                              |     |           |        |         |    |         |   |
| VISHAY INTERTECHNOLOGY INC   | COM | 928298108 | 16379  | 2412293 | SH | DEFINED | 0 |
| VISHAY INTERTECHNOLOGY INC   | COM | 928298108 | 87     | 12846   | SH | DEFINED | 0 |
| VISIONCHINA MEDIA INC-ADR    | COM | 92833U103 | 287    | 47000   | SH | DEFINED | 0 |
| VISIONCHINA MEDIA INC-ADR    | COM | 92833U103 | 2      | 300     | SH | DEFINED | 0 |
| VISTEON CORP COM             | COM | 92839U107 | 3      | 18907   | SH | DEFINED | 0 |
| VITAL IMAGES INC             | COM | 92846N104 | 76     | 6700    | SH | DEFINED | 0 |
| VITRO SOCIEDAD ANONIMA SP    | COM | 928502301 | 0      | 50      | SH | DEFINED | 0 |
| VIVUS INC                    | COM | 928551100 | 93     | 15300   | SH | DEFINED | 0 |
| VIVUS INC                    | COM | 928551100 | 13     | 2100    | SH | DEFINED | 0 |
| VIVO PARTICIPACOES SA-ADR    | COM | 92855S200 | 11031  | 582707  | SH | DEFINED | 0 |
| VIVO PARTICIPACOES SA-ADR    | COM | 92855S200 | 1      | 67      | SH | DEFINED | 0 |
| VMWARE INC-CLASS A           | COM | 928563402 | 7252   | 265933  | SH | DEFINED | 0 |
| VMWARE INC-CLASS A           | COM | 928563402 | 105    | 3843    | SH | DEFINED | 0 |
| VMWARE INC-CLASS A           | COM | 928563402 | 132    | 4842    | SH | DEFINED | 0 |
| VODAFONE GROUP PLC-SP ADR    | COM | 92857W209 | 5086   | 260918  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                              |     |           |        |         |    |         |   |
|------------------------------|-----|-----------|--------|---------|----|---------|---|
| VODAFONE GROUP PLC-SP ADR    | COM | 92857W209 | 820    | 42067   | SH | DEFINED | 0 |
| VOCUS INC                    | COM | 92858J108 | 218    | 11050   | SH | DEFINED | 0 |
| VOLCANO CORP                 | COM | 928645100 | 1050   | 75077   | SH | DEFINED | 0 |
| VOLCANO CORP                 | COM | 928645100 | 3      | 220     | SH | DEFINED | 0 |
| VOLCOM INC                   | COM | 92864N101 | 1696   | 135700  | SH | DEFINED | 0 |
| VOLCOM INC                   | COM | 92864N101 | 4      | 300     | SH | DEFINED | 0 |
| VOLT INFO SCIENCES INC       | COM | 928703107 | 1052   | 167747  | SH | DEFINED | 0 |
| VOLTERRA SEMICONDUCTOR CORP  | COM | 928708106 | 90     | 6869    | SH | DEFINED | 0 |
| VORNADO REALTY TRUST         | COM | 929042109 | 8390   | 186329  | SH | DEFINED | 0 |
| VORNADO REALTY TRUST         | COM | 929042109 | 293    | 6503    | SH | DEFINED | 0 |
| VORNADO REALTY TRUST         | COM | 929042109 | 360    | 7992    | SH | DEFINED | 0 |
| VORNADO REALTY TRUST         | COM | 929042109 | 94     | 2083    | SH | DEFINED | 0 |
| VORNADO RLTY L P SR DB CV 3  | COM | 929043AE7 | 2      | 2000    | SH | DEFINED | 0 |
| VOTORANTIM CELULOSE-SPON ADR | COM | 92906P106 | 33     | 2800    | SH | DEFINED | 0 |
| VULCAN MATERIALS CO          | COM | 929160109 | 20437  | 474154  | SH | DEFINED | 0 |
| VULCAN MATERIALS CO          | COM | 929160109 | 518    | 12031   | SH | DEFINED | 0 |
| VULCAN MATERIALS CO          | COM | 929160109 | 451    | 10463   | SH | DEFINED | 0 |
| W&T OFFSHORE INC             | COM | 92922P106 | 394    | 40500   | SH | DEFINED | 0 |
| WD-40 CO                     | COM | 929236107 | 470    | 16191   | SH | DEFINED | 0 |
| WD-40 CO                     | COM | 929236107 | 2      | 65      | SH | DEFINED | 0 |
| WGL HOLDINGS INC             | COM | 92924F106 | 373    | 11661   | SH | DEFINED | 0 |
| WGL HOLDINGS INC             | COM | 92924F106 | 67     | 2100    | SH | DEFINED | 0 |
| WGL HOLDINGS INC             | COM | 92924F106 | 80     | 2501    | SH | DEFINED | 0 |
| W HOLDING COMPANY INC        | COM | 929251874 | 20     | 1439    | SH | DEFINED | 0 |
| WCA WASTE CORP               | COM | 92926K103 | 1410   | 373138  | SH | DEFINED | 0 |
| WABCO HOLDINGS INC           | COM | 92927K102 | 429    | 24219   | SH | DEFINED | 0 |
| WABCO HOLDINGS INC           | COM | 92927K102 | 41     | 2300    | SH | DEFINED | 0 |
| WABCO HOLDINGS INC           | COM | 92927K102 | 1      | 78      | SH | DEFINED | 0 |
| WMS INDUSTRIES INC           | COM | 929297109 | 4157   | 131926  | SH | DEFINED | 0 |
|                              |     |           |        |         |    |         |   |
| WMS INDUSTRIES INC           | COM | 929297109 | 25     | 809     | SH | DEFINED | 0 |
| W P CAREY & CO LLC           | COM | 92930Y107 | 14     | 542     | SH | DEFINED | 0 |
| WPCS INTL INC COM NEW        | COM | 92931L203 | 6      | 2000    | SH | DEFINED | 0 |
| WSFS FINANCIAL CORP          | COM | 929328102 | 147    | 5400    | SH | DEFINED | 0 |
| WPP PLC ADR                  | COM | 92933H101 | 9      | 270     | SH | DEFINED | 0 |
| WUXI PHARMATECH INC-ADR      | COM | 929352102 | 319    | 33800   | SH | DEFINED | 0 |
| WABASH NATIONAL CORP         | COM | 929566107 | 24     | 34823   | SH | DEFINED | 0 |
| WABASH NATIONAL CORP         | COM | 929566107 | 0      | 107     | SH | DEFINED | 0 |
| WABTEC CORP                  | COM | 929740108 | 1836   | 57029   | SH | DEFINED | 0 |
| WABTEC CORP                  | COM | 929740108 | 492    | 15297   | SH | DEFINED | 0 |
| WACOAL HLDGS CORP ADR        | COM | 930004205 | 2      | 28      | SH | DEFINED | 0 |
| WADDELL & REED FINANCIAL-A   | COM | 930059100 | 2854   | 108223  | SH | DEFINED | 0 |
| WADDELL & REED FINANCIAL-A   | COM | 930059100 | 768    | 29119   | SH | DEFINED | 0 |
| WAL-MART STORES INC          | COM | 931142103 | 388060 | 8011137 | SH | DEFINED | 0 |
| WAL-MART STORES INC          | COM | 931142103 | 2520   | 52026   | SH | DEFINED | 0 |
| WAL-MART STORES INC          | COM | 931142103 | 7616   | 157225  | SH | DEFINED | 0 |
| WAL-MART STORES INC          | COM | 931142103 | 66632  | 1362969 | SH | DEFINED | 0 |
| WAL-MART STORES INC          | COM | 931142103 | 6697   | 138255  | SH | DEFINED | 0 |
| WAL MART STORES INC          | COM | 931142103 | 3844   | 79361   | SH | DEFINED | 0 |
| WALGREEN CO                  | COM | 931422109 | 23956  | 814803  | SH | DEFINED | 0 |
| WALGREEN CO                  | COM | 931422109 | 4833   | 164399  | SH | DEFINED | 0 |
| WALGREEN CO                  | COM | 931422109 | 1921   | 65339   | SH | DEFINED | 0 |
| WALGREEN CO                  | COM | 931422109 | 98765  | 3310498 | SH | DEFINED | 0 |
| WALGREEN CO                  | COM | 931422109 | 1145   | 38936   | SH | DEFINED | 0 |
| WALTER ENERGY INC            | COM | 93317Q105 | 888    | 24500   | SH | DEFINED | 0 |
| WALTER ENERGY INC            | COM | 93317Q105 | 10     | 268     | SH | DEFINED | 0 |
| WARNACO GROUP INC/THE        | COM | 934390402 | 4738   | 146232  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |       |         |    |         |   |
|-------------------------------|-----|-----------|-------|---------|----|---------|---|
| WARNACO GROUP INC/THE         | COM | 934390402 | 3     | 91      | SH | DEFINED | 0 |
| WARNER MUSIC GROUP CORP       | COM | 934550104 | 65    | 11100   | SH | DEFINED | 0 |
| WARREN RES INC                | COM | 93564A100 | 55    | 22379   | SH | DEFINED | 0 |
| WASHINGTON FEDERAL INC        | COM | 938824109 | 7     | 562     | SH | DEFINED | 0 |
| WASHINGTON MUTUAL INC         | COM | 939322103 | 0     | 23      | SH | DEFINED | 0 |
| WASHINGTON POST -CL B         | COM | 939640108 | 70    | 199     | SH | DEFINED | 0 |
| WASHINGTON POST -CL B         | COM | 939640108 | 127   | 360     | SH | DEFINED | 0 |
| WASHINGTON REAL ESTATE INV    | COM | 939653101 | 1012  | 45238   | SH | DEFINED | 0 |
| WASHINGTON REAL ESTATE INV    | COM | 939653101 | 87    | 3900    | SH | DEFINED | 0 |
| WASHINGTON REAL ESTATE INV    | COM | 939653101 | 9     | 400     | SH | DEFINED | 0 |
| WASTE CONNECTIONS INC         | COM | 941053100 | 7910  | 305300  | SH | DEFINED | 0 |
| WASTE CONNECTIONS INC         | COM | 941053100 | 33    | 1288    | SH | DEFINED | 0 |
| WASTE CONNECTIONS INC         | COM | 941053100 | 2073  | 80000   | SH | DEFINED | 0 |
| WASTE CONNECTIONS INC         | COM | 941053100 | 1072  | 41358   | SH | DEFINED | 0 |
| WASTE CONNECTIONS INC 3.7500  | COM | 941053AG5 | 2     | 2000    | SH | DEFINED | 0 |
| WASTE MANAGEMENT INC          | COM | 94106L109 | 58926 | 2092479 | SH | DEFINED | 0 |
| WASTE MANAGEMENT INC          | COM | 94106L109 | 897   | 31822   | SH | DEFINED | 0 |
|                               |     |           |       |         |    |         |   |
| WASTE MANAGEMENT INC          | COM | 94106L109 | 479   | 17000   | SH | DEFINED | 0 |
| WASTE MANAGEMENT INC          | COM | 94106L109 | 463   | 16450   | SH | DEFINED | 0 |
| WASTE SVCS INC DEL COM NEW    | COM | 941075202 | 3     | 500     | SH | DEFINED | 0 |
| WATERS CORP                   | COM | 941848103 | 2182  | 42415   | SH | DEFINED | 0 |
| WATERS CORP                   | COM | 941848103 | 213   | 4135    | SH | DEFINED | 0 |
| WATERS CORP                   | COM | 941848103 | 30253 | 588681  | SH | DEFINED | 0 |
| WATERS CORP                   | COM | 941848103 | 58    | 1127    | SH | DEFINED | 0 |
| WATSCO INC                    | COM | 942622200 | 3771  | 77088   | SH | DEFINED | 0 |
| WATSCO INC                    | COM | 942622200 | 15672 | 320946  | SH | DEFINED | 0 |
| WATSCO INC                    | COM | 942622200 | 5     | 100     | SH | DEFINED | 0 |
| WATSON PHARMACEUTICALS INC    | COM | 942683103 | 4162  | 123501  | SH | DEFINED | 0 |
| WATSON PHARMACEUTICALS INC    | COM | 942683103 | 302   | 8950    | SH | DEFINED | 0 |
| WATSON PHARMACEUTICALS INC    | COM | 942683103 | 49    | 1449    | SH | DEFINED | 0 |
| WATSON PHARMACEUT             | COM | 942683AC7 | 2     | 2000    | SH | DEFINED | 0 |
| WATSON WYATT WORLDWIDE INC-A  | COM | 942712100 | 107   | 2842    | SH | DEFINED | 0 |
| WATSON WYATT WORLDWIDE INC-A  | COM | 942712100 | 221   | 5887    | SH | DEFINED | 0 |
| WATTS WATER TECHNOLOGIES-A    | COM | 942749102 | 1411  | 65526   | SH | DEFINED | 0 |
| WATTS WATER TECHNOLOGIES-A    | COM | 942749102 | 4     | 200     | SH | DEFINED | 0 |
| WAUSAU PAPER CORP             | COM | 943315101 | 1160  | 172586  | SH | DEFINED | 0 |
| WAVE SYS CORP CL A NEW        | COM | 943526301 | 4     | 4000    | SH | DEFINED | 0 |
| WAYNE SVGS BANCSHARES         | COM | 94624Q101 | 1     | 100     | SH | DEFINED | 0 |
| WEB COM GROUP INC COM         | COM | 94733A104 | 0     | 68      | SH | DEFINED | 0 |
| WEBSENSE INC                  | COM | 947684106 | 911   | 51050   | SH | DEFINED | 0 |
| WEBMD HEALTH CORP-CLASS A     | COM | 94770V102 | 453   | 15148   | SH | DEFINED | 0 |
| WEBSTER FINANCIAL CORP        | COM | 947890109 | 289   | 36014   | SH | DEFINED | 0 |
| WEBSTER FINANCIAL CORP        | COM | 947890109 | 30    | 3700    | SH | DEFINED | 0 |
| WEBSTER FINANCIAL CORP        | COM | 947890109 | 52    | 6514    | SH | DEFINED | 0 |
| WEIGHT WATCHERS INTLINC NEW   | COM | 948626106 | 3     | 100     | SH | DEFINED | 0 |
| WEINGARTEN REALTY INVESTORS   | COM | 948741103 | 55    | 3770    | SH | DEFINED | 0 |
| WEINGARTEN REALTY INVESTORS   | COM | 948741103 | 48    | 3291    | SH | DEFINED | 0 |
| WEIS MARKETS INC              | COM | 948849104 | 1773  | 52882   | SH | DEFINED | 0 |
| WEIS MARKETS INC              | COM | 948849104 | 137   | 4100    | SH | DEFINED | 0 |
| WELLCARE HEALTH PLANS INC     | COM | 94946T106 | 11095 | 600034  | SH | DEFINED | 0 |
| WELLCARE HEALTH PLANS INC     | COM | 94946T106 | 13    | 700     | SH | DEFINED | 0 |
| WELLCARE HEALTH PLANS INC     | COM | 94946T106 | 2034  | 110000  | SH | DEFINED | 0 |
| WELLCARE HEALTH PLANS INC COM | COM | 94946T106 | 1218  | 65881   | SH | DEFINED | 0 |
| WELLPOINT INC                 | COM | 94973V107 | 39764 | 781387  | SH | DEFINED | 0 |
| WELLPOINT INC                 | COM | 94973V107 | 1827  | 35900   | SH | DEFINED | 0 |
| WELLPOINT INC                 | COM | 94973V107 | 153   | 3000    | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| WELLPOINT INC                  | COM | 94973V107 | 527    | 10347   | SH | DEFINED | 0 |
| WELLS FARGO & CO               | COM | 949746101 | 108244 | 4461820 | SH | DEFINED | 0 |
| WELLS FARGO & CO               | COM | 949746101 | 7436   | 306501  | SH | DEFINED | 0 |
| WELLS FARGO & CO               | COM | 949746101 | 312    | 12890   | SH | DEFINED | 0 |
| WELLS FARGO & CO               | COM | 949746101 | 3898   | 160666  | SH | DEFINED | 0 |
| WENDY'S/ARBY'S GROUP INC-A     | COM | 950587105 | 32     | 8000    | SH | DEFINED | 0 |
| WENDY'S/ARBY'S GROUP INC-A     | COM | 950587105 | 31     | 7744    | SH | DEFINED | 0 |
| WERNER ENTERPRISES INC         | COM | 950755108 | 4877   | 269118  | SH | DEFINED | 0 |
| WERNER ENTERPRISES INC         | COM | 950755108 | 51     | 2800    | SH | DEFINED | 0 |
| WERNER ENTERPRISES INC         | COM | 950755108 | 15     | 832     | SH | DEFINED | 0 |
| WESCO FINL CORP                | COM | 950817106 | 19     | 64      | SH | DEFINED | 0 |
| WESCO INTERNATIONAL INC        | COM | 95082P105 | 1101   | 43970   | SH | DEFINED | 0 |
| WESCO INTERNATIONAL INC        | COM | 95082P105 | 1      | 50      | SH | DEFINED | 0 |
| WEST BANCORPORATION            | COM | 95123P106 | 75     | 15030   | SH | DEFINED | 0 |
| WEST BANCORPORATION            | COM | 95123P106 | 24     | 4900    | SH | DEFINED | 0 |
| WEST PHARMACEUTICAL SERVICES   | COM | 955306105 | 3179   | 91196   | SH | DEFINED | 0 |
| WEST PHARMACEUTICAL SERVICES   | COM | 955306105 | 108    | 2275    | SH | DEFINED | 0 |
| WEST PHARMACEUTICAL SERVICES   | COM | 955306105 | 77     | 2220    | SH | DEFINED | 0 |
| WESTAMERICA BANCORPORATION     | COM | 957090103 | 5037   | 101519  | SH | DEFINED | 0 |
| WESTAMERICA BANCORPORATION     | COM | 957090103 | 129    | 2600    | SH | DEFINED | 0 |
| WESTAMERICA BANCORPORATION     | COM | 957090103 | 99     | 2000    | SH | DEFINED | 0 |
| WESTAR ENERGY INC              | COM | 95709T100 | 375    | 20000   | SH | DEFINED | 0 |
| WESTAR ENERGY INC              | COM | 95709T100 | 90     | 4776    | SH | DEFINED | 0 |
| WESTERN ALLIANCE BANCORP       | COM | 957638109 | 145    | 21230   | SH | DEFINED | 0 |
| WESTERN ALLIANCE BANCORP       | COM | 957638109 | 14     | 2000    | SH | DEFINED | 0 |
| WESTERN ASSET PREMIER BD       | COM | 957664105 | 105    | 9182    | SH | DEFINED | 0 |
| WESTERN ASSET EMERGING M       | COM | 95766A101 | 29     | 1950    | SH | DEFINED | 0 |
| WESTERN ASSET GLOBAL HIGH INCO | COM | 95766B109 | 6      | 668     | SH | DEFINED | 0 |
| WESTERN ASSET EMRGNGMKTS INCOM | COM | 95766E103 | 30     | 2928    | SH | DEFINED | 0 |
| WESTERN ASSET HIGH INCOME FD   | COM | 95766H106 | 26     | 3400    | SH | DEFINED | 0 |
| WESTERN ASSET HIGH INCOME FD   | COM | 95766J102 | 4      | 510     | SH | DEFINED | 0 |
| WESTERN ASSET HIGH INCOME OPP  | COM | 95766K109 | 160    | 31089   | SH | DEFINED | 0 |
| WESTERN ASSET MANAGED HI       | COM | 95766L107 | 41     | 8060    | SH | DEFINED | 0 |
| WESTERN ASSET MANAGED MU       | COM | 95766M105 | 59     | 5327    | SH | DEFINED | 0 |
| WESTERN ASSET MUN PARTNERS F   | COM | 95766P108 | 0      | 14      | SH | DEFINED | 0 |
| WESTERN ASSET CLAYMORE I       | COM | 95766Q106 | 31     | 2592    | SH | DEFINED | 0 |
| WESTERN ASSET CLAYMORE I       | COM | 95766R104 | 12     | 1000    | SH | DEFINED | 0 |
| WESTERN DIGITAL CORP           | COM | 958102105 | 7472   | 281934  | SH | DEFINED | 0 |
| WESTERN DIGITAL CORP           | COM | 958102105 | 322    | 12143   | SH | DEFINED | 0 |
| WESTERN GAS PARTNERSLP COM UNI | COM | 958254104 | 26     | 1700    | SH | DEFINED | 0 |
| WESTERN REFINING INC           | COM | 959319104 | 4875   | 690224  | SH | DEFINED | 0 |
| WESTERN REFINING INC           | COM | 959319104 | 106    | 14990   | SH | DEFINED | 0 |
| WESTERN REFINING INC           | COM | 959319104 | 289    | 41000   | SH | DEFINED | 0 |
| WESTERN UNION CO               | COM | 959802109 | 2153   | 131277  | SH | DEFINED | 0 |
| WESTERN UNION CO               | COM | 959802109 | 782    | 47665   | SH | DEFINED | 0 |
| WESTERN UNION CO               | COM | 959802109 | 13861  | 845700  | SH | DEFINED | 0 |
| WESTERN UNION CO               | COM | 959802109 | 1871   | 114099  | SH | DEFINED | 0 |
| WESTFIELD FINANCIAL INC        | COM | 96008P104 | 43     | 4794    | SH | DEFINED | 0 |
| WESTLAKE CHEMICAL CORP         | COM | 960413102 | 754    | 36970   | SH | DEFINED | 0 |
| WESTMORELAND COAL CO           | COM | 960878106 | 32     | 4000    | SH | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| WESTPAC BKG LTD SPONSORED      | COM | 961214301 | 1     | 18      | SH | DEFINED | 0 |
| WESTWOOD HOLDINGS GROUP INC    | COM | 961765104 | 121   | 2887    | SH | DEFINED | 0 |
| WESTWOOD HOLDINGS GROUP INC    | COM | 961765104 | 1     | 30      | SH | DEFINED | 0 |
| WET SEAL INC/THE-CLASS A       | COM | 961840105 | 1556  | 506632  | SH | DEFINED | 0 |
| WET SEAL INC/THE-CLASS A       | COM | 961840105 | 69    | 22600   | SH | DEFINED | 0 |
| WET SEAL INC/THE-CLASS A       | COM | 961840105 | 0     | 75      | SH | DEFINED | 0 |
| WEYCO GROUP INC                | COM | 962149100 | 56    | 2429    | SH | DEFINED | 0 |
| WEYERHAEUSER CO                | COM | 962166104 | 84493 | 2776622 | SH | DEFINED | 0 |
| WEYERHAEUSER CO                | COM | 962166104 | 406   | 13327   | SH | DEFINED | 0 |
| WEYERHAEUSER CO                | COM | 962166104 | 314   | 10300   | SH | DEFINED | 0 |
| WEYERHAEUSER CO                | COM | 962166104 | 73    | 2394    | SH | DEFINED | 0 |
| WHIRLPOOL CORP                 | COM | 963320106 | 14276 | 335439  | SH | DEFINED | 0 |
| WHIRLPOOL CORP                 | COM | 963320106 | 158   | 3703    | SH | DEFINED | 0 |
| WHIRLPOOL CORP                 | COM | 963320106 | 55    | 1290    | SH | DEFINED | 0 |
| WHITING PETROLEUM CORP         | COM | 966387102 | 3472  | 98741   | SH | DEFINED | 0 |
| WHITING PETROLEUM CORP         | COM | 966387102 | 19    | 527     | SH | DEFINED | 0 |
| WHITNEY HOLDING CORP           | COM | 966612103 | 1556  | 169786  | SH | DEFINED | 0 |
| WHOLE FOODS MARKET INC         | COM | 966837106 | 2106  | 110921  | SH | DEFINED | 0 |
| WHOLE FOODS MARKET INC         | COM | 966837106 | 370   | 19517   | SH | DEFINED | 0 |
| WHOLE FOODS MARKET INC         | COM | 966837106 | 512   | 26998   | SH | DEFINED | 0 |
| WILEY (JOHN) & SONS -CL A      | COM | 968223206 | 931   | 28000   | SH | DEFINED | 0 |
| WILEY (JOHN) & SONS -CL A      | COM | 968223206 | 45    | 1366    | SH | DEFINED | 0 |
| WILLAMETTE VALLEY VINEYARDS    | COM | 969136100 | 3     | 1000    | SH | DEFINED | 0 |
| WILLBROS GROUP INC             | COM | 969203108 | 435   | 34753   | SH | DEFINED | 0 |
| WILLIAMS COAL SEAM GAS RTY TR  | COM | 969450105 | 31    | 6082    | SH | DEFINED | 0 |
| WILLIAMS COS INC               | COM | 969457100 | 22044 | 1412166 | SH | DEFINED | 0 |
| WILLIAMS COS INC               | COM | 969457100 | 576   | 36885   | SH | DEFINED | 0 |
| WILLIAMS COS INC               | COM | 969457100 | 594   | 38072   | SH | DEFINED | 0 |
| WILLIAMS COS INC COM           | COM | 969457100 | 5049  | 323427  | SH | DEFINED | 0 |
| CLAYTON WILLIAMS ENERGY INC    | COM | 969490101 | 133   | 7054    | SH | DEFINED | 0 |
| CLAYTON WILLIAMS ENERGY INC    | COM | 969490101 | 38    | 2024    | SH | DEFINED | 0 |
| WILLIAMS PARTNERS LPCOM UNIT L | COM | 96950F104 | 43    | 2400    | SH | DEFINED | 0 |
| WILLIAMS PIPELINE PARTNERS L   | COM | 96950K103 | 49    | 2711    | SH | DEFINED | 0 |
| WILLIAMS-SONOMA INC            | COM | 969904101 | 417   | 35102   | SH | DEFINED | 0 |
| WILLIAMS-SONOMA INC            | COM | 969904101 | 113   | 9480    | SH | DEFINED | 0 |
| WILMINGTON TRUST CORP          | COM | 971807102 | 306   | 22423   | SH | DEFINED | 0 |
| WILMINGTON TRUST CORP          | COM | 971807102 | 9     | 672     | SH | DEFINED | 0 |
| WILSHIRE BANCORP INC           | COM | 97186T108 | 386   | 66918   | SH | DEFINED | 0 |
| WILSHIRE BANCORP INC           | COM | 97186T108 | 43    | 7500    | SH | DEFINED | 0 |
| WILSHIRE BANCORP INC           | COM | 97186T108 | 1     | 200     | SH | DEFINED | 0 |
| WILSHIRE WI ADVISOR - WARRANTS | WT  | 97187@117 | 49    | 12      | SH | DEFINED | 0 |
| WILSHIRE LA ADVISORS           | WT  | 97188#AA4 | 46    | 12      | SH | DEFINED | 0 |
| WILSHIRE PARTNER LLC - WARRANT | WT  | 97189#113 | 4     | 4       | SH | DEFINED | 0 |
| WILSHIRE PARTNER LLC - WARRANT | WT  | 97189#113 | 4     | 4       | SH | DEFINED | 0 |
| WILSHIRE NY ADVISORS           | WT  | 971993118 | 34    | 30      | SH | DEFINED | 0 |
| WILSHIRE NY ADVISORS           | WT  | 971993118 | 34    | 30      | SH | DEFINED | 0 |
| WIMM-BILL-DANN FOODS-ADR       | COM | 97263M109 | 1070  | 19505   | SH | DEFINED | 0 |
| WIMM-BILL-DANN FOODS-ADR       | COM | 97263M109 | 9840  | 179058  | SH | DEFINED | 0 |
| WIMM-BILL-DANN FOODS-ADR       | COM | 97263M109 | 822   | 14996   | SH | DEFINED | 0 |
| WINDSTREAM CORP                | COM | 97381W104 | 21787 | 2606181 | SH | DEFINED | 0 |
| WINDSTREAM CORP                | COM | 97381W104 | 157   | 18794   | SH | DEFINED | 0 |
| WINDSTREAM CORP                | COM | 97381W104 | 110   | 13176   | SH | DEFINED | 0 |
| WINN-DIXIE STORES INC          | COM | 974280307 | 2237  | 178327  | SH | DEFINED | 0 |
| WINN-DIXIE STORES INC          | COM | 974280307 | 88    | 7000    | SH | DEFINED | 0 |
| WINN-DIXIE STORES INC          | COM | 974280307 | 3     | 265     | SH | DEFINED | 0 |
| WINNEBAGO INDUSTRIES           | COM | 974637100 | 245   | 32976   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                 |     |           |       |        |    |         |   |
|---------------------------------|-----|-----------|-------|--------|----|---------|---|
| WINNEBAGO INDUSTRIES            | COM | 974637100 | 1     | 100    | SH | DEFINED | 0 |
| WINTRUST FINANCIAL CORP         | COM | 97650W108 | 2524  | 156974 | SH | DEFINED | 0 |
| WIPRO LTD SPON ADR REPSTG 1 S   | COM | 97651M109 | 23    | 1915   | SH | DEFINED | 0 |
| WIRELESS TELECOM GROUP INC      | COM | 976524108 | 0     | 300    | SH | DEFINED | 0 |
| WIRELESS HOLDERS TR             | COM | 97653L208 | 32    | 700    | SH | DEFINED | 0 |
| WISCONSIN ENERGY CORP           | COM | 976657106 | 33616 | 825761 | SH | DEFINED | 0 |
| WISCONSIN ENERGY CORP           | COM | 976657106 | 176   | 4330   | SH | DEFINED | 0 |
| WISCONSIN ENERGY CORP           | COM | 976657106 | 11747 | 288540 | SH | DEFINED | 0 |
| WISCONSIN ENERGY CORP           | COM | 976657106 | 157   | 3857   | SH | DEFINED | 0 |
| WISDOMTREE TR TOTAL DIVID FD    | COM | 97717W109 | 102   | 3010   | SH | DEFINED | 0 |
| WISDOMTREE EQUITY INCOME FUN    | COM | 97717W208 | 156   | 5651   | SH | DEFINED | 0 |
| WISDOMTREE TR BRAZILIAN         | COM | 97717W240 | 25    | 1100   | SH | DEFINED | 0 |
| WISDOMTREE TR EMERGING M        | COM | 97717W281 | 24    | 734    | SH | DEFINED | 0 |
| WISDOMTREE TR LARGE CAP D       | COM | 97717W307 | 386   | 11222  | SH | DEFINED | 0 |
| WISDOMTREE EMERG MKTS EQUIT     | COM | 97717W315 | 8776  | 225486 | SH | DEFINED | 0 |
| WISDOMTREE TR INTL REAL ESTAT   | COM | 97717W331 | 93    | 4046   | SH | DEFINED | 0 |
| WISDOMTREE TR INDIA EARN        | COM | 97717W422 | 44    | 2564   | SH | DEFINED | 0 |
| WISDOMTREE TR MIDCAP DIV        | COM | 97717W505 | 35    | 1116   | SH | DEFINED | 0 |
| WISDOMTREE LARGE CAP V          | COM | 97717W547 | 28    | 951    | SH | DEFINED | 0 |
| WISDOMTREE TR TOTAL EARN        | COM | 97717W596 | 67    | 2052   | SH | DEFINED | 0 |
| WISDOMTREE TR SMALLCAP D        | COM | 97717W604 | 189   | 6282   | SH | DEFINED | 0 |
| WISDOMTREE TR INTL UTILITIES    | COM | 97717W653 | 133   | 6598   | SH | DEFINED | 0 |
| WISDOMTREE TR INTL TECHN        | COM | 97717W661 | 2     | 150    | SH | DEFINED | 0 |
| WISDOMTREE TR INTL HEALT        | COM | 97717W687 | 80    | 4000   | SH | DEFINED | 0 |
| WISDOMTREE TR DEFA FD           | COM | 97717W703 | 145   | 3701   | SH | DEFINED | 0 |
| WISDOMTREE TR INTL ENERGY SEC   | COM | 97717W711 | 85    | 3852   | SH | DEFINED | 0 |
| WISDOMTREE TR INTL CONSUMER N   | COM | 97717W729 | 46    | 2306   | SH | DEFINED | 0 |
| WISDOMTREE TR INTL COMMUNICAT   | COM | 97717W745 | 12    | 568    | SH | DEFINED | 0 |
| WISDOMTREE TR INTL BASIC MATE   | COM | 97717W752 | 76    | 3648   | SH | DEFINED | 0 |
| WISDOMTREE INTL S/C DVD ETF     | COM | 97717W760 | 4541  | 123525 | SH | DEFINED | 0 |
| WISDOMTREE INTL S/C DVD ETF     | COM | 97717W760 | 564   | 15340  | SH | DEFINED | 0 |
|                                 |     |           |       |        |    |         |   |
| WISDOMTREE TR INTL MIDCA        | COM | 97717W778 | 22    | 557    | SH | DEFINED | 0 |
| WISDOMTREE TR INTL DIVID EX F   | COM | 97717W786 | 163   | 4650   | SH | DEFINED | 0 |
| WISDOMTREE TR INTL LARGE CAP D  | COM | 97717W794 | 5     | 126    | SH | DEFINED | 0 |
| WISDOMTREE DEFA EQUITY INC      | COM | 97717W802 | 59    | 1675   | SH | DEFINED | 0 |
| WISDOMTREE PACIFIC EX-JAPAN E   | COM | 97717W810 | 13    | 331    | SH | DEFINED | 0 |
| WISDOMTREE TR PACIFIC EX        | COM | 97717W828 | 21    | 448    | SH | DEFINED | 0 |
| WISDOMTREE TR JAPAN SMALLCAP D  | COM | 97717W836 | 91    | 2403   | SH | DEFINED | 0 |
| WISDOMTREE TR EUROPE SMALLCAP D | COM | 97717W869 | 11    | 379    | SH | DEFINED | 0 |
| WISDOMTREE GLOBAL EQUITY INC    | COM | 97717W877 | 0     | 4      | SH | DEFINED | 0 |
| WISDOMTREE TR EUROPE TOTAL DIVI | COM | 97717W885 | 15    | 427    | SH | DEFINED | 0 |
| WISDOMTREE TR MIDDLEEAST DIVID  | COM | 97717X305 | 13    | 918    | SH | DEFINED | 0 |
| WOLVERINE WORLD WIDE INC        | COM | 978097103 | 1362  | 61727  | SH | DEFINED | 0 |
| WOLVERINE WORLD WIDE INC        | COM | 978097103 | 63    | 2175   | SH | DEFINED | 0 |
| WOLVERINE WORLD WIDE INC        | COM | 978097103 | 4     | 190    | SH | DEFINED | 0 |
| WONDER AUTO TECHNOLOGY INC      | COM | 978166106 | 470   | 46414  | SH | DEFINED | 0 |
| WOODWARD GOVERNOR CO            | COM | 980745103 | 313   | 15800  | SH | DEFINED | 0 |
| WORKSTREAM INC                  | COM | 981402993 | 1     | 2601   | SH | DEFINED | 0 |
| WORLD ACCEPTANCE CORP           | COM | 981419104 | 1186  | 59565  | SH | DEFINED | 0 |
| WORLD ACCEPTANCE CORP           | COM | 981419104 | 24    | 1200   | SH | DEFINED | 0 |
| WORLD ACCEPTANCE CORP           | COM | 981419104 | 1     | 72     | SH | DEFINED | 0 |
| WORLD FUEL SERVICES CORP        | COM | 981475106 | 6265  | 151899 | SH | DEFINED | 0 |
| WORLD FUEL SERVICES CORP        | COM | 981475106 | 103   | 2500   | SH | DEFINED | 0 |
| WORLD FUEL SERVICES CORP        | COM | 981475106 | 21    | 500    | SH | DEFINED | 0 |
| WORLD WRESTLING ENTERTAINM      | COM | 98156Q108 | 15    | 1232   | SH | DEFINED | 0 |
| WORLDSpace INC-CLASS A          | COM | 981579105 | 2     | 427129 | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |         |    |         |   |
|--------------------------------|-----|-----------|--------|---------|----|---------|---|
| WORTHINGTON INDUSTRIES         | COM | 981811102 | 4549   | 355611  | SH | DEFINED | 0 |
| WORTHINGTON INDUSTRIES         | COM | 981811102 | 3      | 200     | SH | DEFINED | 0 |
| WORLDCOM INC ESCROW            | COM | 981ESCCZ7 | 0      | 5000000 | SH | DEFINED | 0 |
| WRIGHT EXPRESS CORP            | COM | 98233Q105 | 2167   | 85075   | SH | DEFINED | 0 |
| WRIGHT EXPRESS CORP            | COM | 98233Q105 | 4      | 140     | SH | DEFINED | 0 |
| WRIGHT MEDICAL GROUP INC       | COM | 98235T107 | 775    | 47648   | SH | DEFINED | 0 |
| WYETH                          | COM | 983024100 | 115497 | 2544558 | SH | DEFINED | 0 |
| WYETH                          | COM | 983024100 | 4427   | 97542   | SH | DEFINED | 0 |
| WYETH                          | COM | 983024100 | 464    | 10204   | SH | DEFINED | 0 |
| WYETH                          | COM | 983024100 | 837    | 18435   | SH | DEFINED | 0 |
| WYNDHAM WORLDWIDE CORP         | COM | 98310W108 | 4423   | 365048  | SH | DEFINED | 0 |
| WYNDHAM WORLDWIDE CORP         | COM | 98310W108 | 286    | 23599   | SH | DEFINED | 0 |
| WYNDHAM WORLDWIDE CORP         | COM | 98310W108 | 5      | 409     | SH | DEFINED | 0 |
| WYNN RESORTS LTD               | COM | 983134107 | 1929   | 54635   | SH | DEFINED | 0 |
| WYNN RESORTS LTD               | COM | 983134107 | 101    | 2850    | SH | DEFINED | 0 |
| WYNN RESORTS LTD               | COM | 983134107 | 196    | 5553    | SH | DEFINED | 0 |
| X RITE INC                     | COM | 983857103 | 0      | 300     | SH | DEFINED | 0 |
| XTO ENERGY INC                 | COM | 98385X106 | 4206   | 110255  | SH | DEFINED | 0 |
| XTO ENERGY INC                 | COM | 98385X106 | 1326   | 34790   | SH | DEFINED | 0 |
| XTO ENERGY INC                 | COM | 98385X106 | 1148   | 30095   | SH | DEFINED | 0 |
| X 5 RETAIL GROUP NV-144A GDR   | COM | 98387E106 | 17066  | 1113476 | SH | DEFINED | 0 |
| XCEL ENERGY INC                | COM | 98389B100 | 13609  | 739195  | SH | DEFINED | 0 |
| XCEL ENERGY INC                | COM | 98389B100 | 462    | 25081   | SH | DEFINED | 0 |
| XCEL ENERGY INC                | COM | 98389B100 | 405    | 22021   | SH | DEFINED | 0 |
| XILINX INC                     | COM | 983919101 | 18829  | 920341  | SH | DEFINED | 0 |
| XILINX INC                     | COM | 983919101 | 210    | 10264   | SH | DEFINED | 0 |
| XILINX INC                     | COM | 983919101 | 11     | 520     | SH | DEFINED | 0 |
| XENOPORT INC                   | COM | 98411C100 | 148    | 6370    | SH | DEFINED | 0 |
| XEROX CORP                     | COM | 984121103 | 4640   | 716026  | SH | DEFINED | 0 |
| XEROX CORP                     | COM | 984121103 | 548    | 84511   | SH | DEFINED | 0 |
| XEROX CORP                     | COM | 984121103 | 67     | 10351   | SH | DEFINED | 0 |
| XINYUAN REAL ESTATE CO LTD SPO | COM | 98417P105 | 2      | 300     | SH | DEFINED | 0 |
| YPF SOCIEDAD ANONIMA SPONS ADR | COM | 984245100 | 3      | 100     | SH | DEFINED | 0 |
| YRC WORLDWIDE INC COM          | COM | 984249102 | 2      | 1258    | SH | DEFINED | 0 |
| YADKIN VALLEY FINANCIAL CORP   | COM | 984314104 | 69     | 10049   | SH | DEFINED | 0 |
| YAHOO! INC                     | COM | 984332106 | 125277 | 7999823 | SH | DEFINED | 0 |
| YAHOO! INC                     | COM | 984332106 | 1586   | 101283  | SH | DEFINED | 0 |
| YAHOO! INC                     | COM | 984332106 | 1889   | 120618  | SH | DEFINED | 0 |
| YAMANA GOLD INC                | COM | 98462Y100 | 9085   | 1027686 | SH | DEFINED | 0 |
| YAMANA GOLD INC                | COM | 98462Y100 | 36     | 4088    | SH | DEFINED | 0 |
| YAMANA GOLD INC                | COM | 98462Y100 | 852    | 96348   | SH | DEFINED | 0 |
| YAMANA GOLD INC                | COM | 98462Y100 | 136    | 15347   | SH | DEFINED | 0 |
| YANZHOU COAL MINING SP ADR     | COM | 984846105 | 14     | 1000    | SH | DEFINED | 0 |
| YINGLI GREEN ENERGY HOLD-ADR   | COM | 98584B103 | 3869   | 285602  | SH | DEFINED | 0 |
| YINGLI GREEN ENERGY HOLD-ADR   | COM | 98584B103 | 87     | 6398    | SH | DEFINED | 0 |
| YINGLI GREEN ENERGY HOLD-ADR   | COM | 98584B103 | 48     | 3550    | SH | DEFINED | 0 |
| YORK WATER CO COM              | COM | 987184108 | 76     | 4931    | SH | DEFINED | 0 |
| YUM! BRANDS INC                | COM | 988498101 | 3409   | 102219  | SH | DEFINED | 0 |
| YUM! BRANDS INC                | COM | 988498101 | 833    | 24978   | SH | DEFINED | 0 |
| YUM! BRANDS INC                | COM | 988498101 | 2250   | 67495   | SH | DEFINED | 0 |
| ZALE CORP                      | COM | 988858106 | 74     | 21524   | SH | DEFINED | 0 |
| ZALE CORP                      | COM | 988858106 | 2      | 600     | SH | DEFINED | 0 |
| ZAPATA CORP                    | COM | 989070602 | 2952   | 433510  | SH | DEFINED | 0 |
| ZEBRA TECHNOLOGIES CORP-CL A   | COM | 989207105 | 1035   | 43756   | SH | DEFINED | 0 |
| ZEBRA TECHNOLOGIES CORP-CL A   | COM | 989207105 | 20     | 839     | SH | DEFINED | 0 |
| ZENITH NATIONAL INSURANCE CP   | COM | 989390109 | 4237   | 194903  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| ZEP INC                        | COM | 98944B108 | 224    | 18592    | SH | DEFINED | 0 |
| ZHONGPIN INC                   | COM | 98952K107 | 20     | 1943     | SH | DEFINED | 0 |
| ZHONGPIN INC                   | COM | 98952K107 | 28     | 2701     | SH | DEFINED | 0 |
| ZIMMER HOLDINGS INC            | COM | 98956P102 | 2103   | 49353    | SH | DEFINED | 0 |
| ZIMMER HOLDINGS INC            | COM | 98956P102 | 628    | 14752    | SH | DEFINED | 0 |
| ZIMMER HOLDINGS INC            | COM | 98956P102 | 367    | 8619     | SH | DEFINED | 0 |
| ZIONS BANCORPORATION           | COM | 989701107 | 3621   | 313318   | SH | DEFINED | 0 |
| ZIONS BANCORPORATION           | COM | 989701107 | 131    | 11339    | SH | DEFINED | 0 |
| ZIONS BANCORPORATION           | COM | 989701107 | 159    | 13789    | SH | DEFINED | 0 |
| ZIX CORP                       | COM | 98974P100 | 0      | 300      | SH | DEFINED | 0 |
| ZORAN CORP                     | COM | 98975F101 | 1726   | 158361   | SH | DEFINED | 0 |
| ZORAN CORP                     | COM | 98975F101 | 40     | 3700     | SH | DEFINED | 0 |
| ZOLTEK COMPANIES INC           | COM | 98975W104 | 17     | 1747     | SH | DEFINED | 0 |
| ZOLTEK COMPANIES INC           | COM | 98975W104 | 23     | 2400     | SH | DEFINED | 0 |
| ZUMIEZ INC                     | COM | 989817101 | 260    | 32459    | SH | DEFINED | 0 |
| ZUMIEZ INC                     | COM | 989817101 | 31     | 3875     | SH | DEFINED | 0 |
| ZWEIG FD INC                   | COM | 989834106 | 120    | 42272    | SH | DEFINED | 0 |
| ZWEIG TOTAL RETURN FD INC      | COM | 989837109 | 140    | 41644    | SH | DEFINED | 0 |
| ZYMOGENETICS INC               | COM | 98985T109 | 70     | 15200    | SH | DEFINED | 0 |
| ZYMOGENETICS INC               | COM | 98985T109 | 1      | 200      | SH | DEFINED | 0 |
| ZOLL MEDICAL CORP              | COM | 989922109 | 462    | 23890    | SH | DEFINED | 0 |
| ZOLL MEDICAL CORP              | COM | 989922109 | 2      | 120      | SH | DEFINED | 0 |
| WCAS CAPITAL PARTNERS II LP    | LP  | 990003KE4 | 1352   | 4292803  | SH | DEFINED | 0 |
| WILSHIRE LA ADVISERS           | WT  | BOA0DHM93 | 44     | 6        | SH | DEFINED | 0 |
| WILSHIRE LA ADVISERS           | WT  | BOA0DHM93 | 104    | 14       | SH | DEFINED | 0 |
| ENBRIDGE ENERGY. MANAGEMENT FR | COM | CKC50X106 | 0      | 57217    | SH | DEFINED | 0 |
| DAIMLER AG ORD                 | COM | D1668R123 | 17     | 471      | SH | DEFINED | 0 |
| DEUTSCHE BANK AG NAMEN AKT     | COM | D18190898 | 88     | 1441     | SH | DEFINED | 0 |
| FIRST HORIZON NATIONAL FRACTIO | COM | DCA517101 | 0      | 119708   | SH | DEFINED | 0 |
| FIRST HORIZON NATIONAL FRACTIO | COM | DCA517101 | 0      | 85921    | SH | DEFINED | 0 |
| FIVE STAR QUAL FRACTIONS       | COM | DDJ32D101 | 0      | 23000    | SH | DEFINED | 0 |
| TELVENT GIT SA                 | COM | E90215109 | 220    | 10133    | SH | DEFINED | 0 |
| TELVENT GIT SA                 | COM | E90215109 | 67     | 3075     | SH | DEFINED | 0 |
| KINDER MORGAN FRACTIONS        | COM | EKE55U103 | 0      | 106754   | SH | DEFINED | 0 |
| ALLIED WORLD ASSURANCE CO      | COM | G0219G203 | 2150   | 52668    | SH | DEFINED | 0 |
| ALLIED WORLD ASSURANCE CO      | COM | G0219G203 | 41     | 1000     | SH | DEFINED | 0 |
| ALLIED WORLD ASSURANCE CO      | COM | G0219G203 | 4      | 88       | SH | DEFINED | 0 |
| AMDOCS LTD                     | COM | G02602103 | 279904 | 13049096 | SH | DEFINED | 0 |
| AMDOCS LTD                     | COM | G02602103 | 65     | 3030     | SH | DEFINED | 0 |
| AMERICAN SAFETY INS HOLDINGS   | COM | G02995101 | 175    | 12824    | SH | DEFINED | 0 |
| AMERICAN SAFETY INS HOLDINGS   | COM | G02995101 | 56     | 4100     | SH | DEFINED | 0 |
| APEX SILVER MINES LIMITED IS   | COM | G04074103 | 0      | 2800     | SH | DEFINED | 0 |
| A-POWER ENERGY GENERATION      | COM | G04136100 | 145    | 18223    | SH | DEFINED | 0 |
| A-POWER ENERGY GENERATION      | COM | G04136100 | 2      | 200      | SH | DEFINED | 0 |
| ARCH CAPITAL GROUP LTD         | COM | G0450A105 | 13236  | 225952   | SH | DEFINED | 0 |
| ARCH CAPITAL GROUP LTD         | COM | G0450A105 | 2058   | 35137    | SH | DEFINED | 0 |
| ARCH CAPITAL GROUP LTD         | COM | G0450A105 | 3      | 52       | SH | DEFINED | 0 |
| ARGO GROUP INTERNATIONAL       | COM | G0464B107 | 973    | 34465    | SH | DEFINED | 0 |
| ARGO GROUP INTERNATIONAL       | COM | G0464B107 | 6      | 205      | SH | DEFINED | 0 |
| ARIES MARITIME TRANSPORT LTD   | COM | G0474B105 | 287    | 415529   | SH | DEFINED | 0 |
| ASPEN INSURANCE HOLDINGS LTD   | COM | G05384105 | 15463  | 692238   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |       |         |    |         |   |
|--------------------------------|-----|-----------|-------|---------|----|---------|---|
| ASPEN INSURANCE HOLDINGS LTD   | COM | G05384105 | 121   | 5424    | SH | DEFINED | 0 |
| ASPEN INSURANCE HOLDINGS LTD   | COM | G05384105 | 320   | 14320   | SH | DEFINED | 0 |
| ASPEN INSURANCE HOLDINGS LTD   | COM | G05384105 | 2681  | 120000  | SH | DEFINED | 0 |
| ASPEN INSURANCE HOLDINGS LTDSH | COM | G05384105 | 1760  | 78777   | SH | DEFINED | 0 |
| ASSURED GUARANTY LTD           | COM | G0585R106 | 4189  | 338460  | SH | DEFINED | 0 |
| ASSURED GUARANTY LTD           | COM | G0585R106 | 142   | 11479   | SH | DEFINED | 0 |
| ASSURED GUARANTY LTD           | COM | G0585R106 | 277   | 22400   | SH | DEFINED | 0 |
| AXIS CAPITAL HOLDINGS LTD      | COM | G0692U109 | 59092 | 2257129 | SH | DEFINED | 0 |
| AXIS CAPITAL HOLDINGS LTD      | COM | G0692U109 | 134   | 4000    | SH | DEFINED | 0 |
| AXIS CAPITAL HOLDINGS LTD      | COM | G0692U109 | 5     | 176     | SH | DEFINED | 0 |
| ENERGY XXI BERMUDA LIMITED CO  | COM | G10082108 | 3     | 6000    | SH | DEFINED | 0 |
| ACCENTURE LTD-CL A             | COM | G1150G111 | 141   | 4207    | SH | DEFINED | 0 |
| ACCENTURE LTD-CL A             | COM | G1150G111 | 3663  | 109462  | SH | DEFINED | 0 |
| ACCENTURE LTD-CL A             | COM | G1150G111 | 98063 | 2930777 | SH | DEFINED | 0 |
| ACCENTURE LTD-CL A             | COM | G1150G111 | 427   | 12762   | SH | DEFINED | 0 |
| BROOKFIELD INFRASTRUC          | COM | G16252101 | 9     | 717     | SH | DEFINED | 0 |
| BUNGE LTD                      | COM | G16962105 | 2243  | 37231   | SH | DEFINED | 0 |
| BUNGE LTD                      | COM | G16962105 | 68    | 1134    | SH | DEFINED | 0 |
| CENTRAL EUROPEAN MEDIA ENT-A   | COM | G20045202 | 1112  | 56500   | SH | DEFINED | 0 |
| CDC CORPORATION SHS A ISIN #KY | COM | G2022L106 | 1     | 550     | SH | DEFINED | 0 |
| CHIPMOS TECHNOLOGIESBERMUDA LT | COM | G2110R106 | 3     | 4500    | SH | DEFINED | 0 |
| CONSOLIDATED WATER CO LTD SHS  | COM | G23773107 | 44    | 2800    | SH | DEFINED | 0 |
| COOPER INDUSTRIES LTD-CL A     | COM | G24182100 | 50629 | 1630597 | SH | DEFINED | 0 |
| COOPER INDUSTRIES LTD-CL A     | COM | G24182100 | 328   | 10555   | SH | DEFINED | 0 |
| COOPER INDUSTRIES LTD-CL A     | COM | G24182100 | 38    | 1210    | SH | DEFINED | 0 |
| CREDICORP LTD                  | COM | G2519Y108 | 5030  | 86662   | SH | DEFINED | 0 |
| CREDICORP LTD                  | COM | G2519Y108 | 13    | 219     | SH | DEFINED | 0 |
| COSAN LTD-CLASS A SHARES       | COM | G25343107 | 146   | 28100   | SH | DEFINED | 0 |
| COVIDIEN PLC                   | COM | G2554F105 | 47358 | 1264892 | SH | DEFINED | 0 |
| COVIDIEN PLC                   | COM | G2554F105 | 103   | 2744    | SH | DEFINED | 0 |
| COVIDIEN PLC                   | COM | G2554F105 | 0     | 7       | SH | DEFINED | 0 |
| ENDURANCE SPECIALTY HOLDINGS   | COM | G30397106 | 54011 | 1843378 | SH | DEFINED | 0 |
| ENDURANCE SPECIALTY HOLDINGS   | COM | G30397106 | 2354  | 80345   | SH | DEFINED | 0 |
| ENDURANCE SPECIALTY HOLDINGS   | COM | G30397106 | 2     | 75      | SH | DEFINED | 0 |
| ENDURANCE SPECIALTY HOLDINGS   | COM | G30397106 | 2051  | 70000   | SH | DEFINED | 0 |
| ENDURANCE SPECIALITY HLDGS LSH | COM | G30397106 | 1193  | 40720   | SH | DEFINED | 0 |
| ENSTAR GROUP INC               | COM | G3075P101 | 16    | 270     | SH | DEFINED | 0 |
| ASA LIMITED                    | COM | G3156P103 | 32    | 500     | SH | DEFINED | 0 |
| EVEREST RE GROUP LTD           | COM | G3223R108 | 94900 | 1325966 | SH | DEFINED | 0 |
| EVEREST RE GROUP LTD           | COM | G3223R108 | 295   | 4123    | SH | DEFINED | 0 |
| EVEREST RE GROUP LTD           | COM | G3223R108 | 72263 | 1011374 | SH | DEFINED | 0 |
| FGX INTERNATIONAL HOLDINGS     | COM | G3396L102 | 175   | 15357   | SH | DEFINED | 0 |
| FRESH DEL MONTE PRODUCE INC    | COM | G36738105 | 1308  | 80439   | SH | DEFINED | 0 |
| FRESH DEL MONTE PRODUCE INC    | COM | G36738105 | 3     | 200     | SH | DEFINED | 0 |
| FRONTLINE LTD                  | COM | G3682E127 | 242   | 9936    | SH | DEFINED | 0 |
| FRONTLINE LTD                  | COM | G3682E127 | 128   | 5254    | SH | DEFINED | 0 |
| GARMIN LTD                     | COM | G37260109 | 4649  | 195201  | SH | DEFINED | 0 |
| GARMIN LTD                     | COM | G37260109 | 469   | 19687   | SH | DEFINED | 0 |
| GLOBAL CROSSING LTD            | COM | G3921A175 | 1     | 64      | SH | DEFINED | 0 |
| GENPACT LTD SHS ISIN #BMG3     | COM | G3922B107 | 5     | 400     | SH | DEFINED | 0 |
| GLOBAL SOURCES LIMITED         | COM | G39300101 | 368   | 51147   | SH | DEFINED | 0 |
| GLOBAL SOURCES LIMITED         | COM | G39300101 | 90    | 12424   | SH | DEFINED | 0 |
| GLOBAL SOURCES LIMITED         | COM | G39300101 | 0     | 10      | SH | DEFINED | 0 |
| HELEN OF TROY LTD              | COM | G4388N106 | 1195  | 71154   | SH | DEFINED | 0 |
| HERBALIFE LTD                  | COM | G4412G101 | 12443 | 394500  | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                               |     |           |        |         |    |         |   |
|-------------------------------|-----|-----------|--------|---------|----|---------|---|
| HERBALIFE LTD                 | COM | G4412G101 | 4      | 125     | SH | DEFINED | 0 |
| HERBALIFE LTD                 | COM | G4412G101 | 2839   | 90000   | SH | DEFINED | 0 |
| HERBALIFE LTD COM USD SHS     | COM | G4412G101 | 948    | 30060   | SH | DEFINED | 0 |
| INGERSOLL-RAND PLC            | COM | G47791101 | 113184 | 5415642 | SH | DEFINED | 0 |
| INGERSOLL-RAND PLC            | COM | G47791101 | 67     | 3189    | SH | DEFINED | 0 |
| INVESCO LTD                   | COM | G491BT108 | 30046  | 1686091 | SH | DEFINED | 0 |
| INVESCO LTD                   | COM | G491BT108 | 307    | 17231   | SH | DEFINED | 0 |
| INVESCO LTD                   | COM | G491BT108 | 16     | 899     | SH | DEFINED | 0 |
| IPC HOLDINGS LTD              | COM | G4933P101 | 21241  | 776944  | SH | DEFINED | 0 |
| IPC HOLDINGS LTD              | COM | G4933P101 | 5      | 194     | SH | DEFINED | 0 |
| KNIGHTSBRIDGE TANKERS LTD     | COM | G5299G106 | 140    | 10267   | SH | DEFINED | 0 |
| KNIGHTSBRIDGE TANKERS LTD     | COM | G5299G106 | 53     | 3900    | SH | DEFINED | 0 |
| LAZARD LTD-CL A               | LP  | G54050102 | 1187   | 44098   | SH | DEFINED | 0 |
| LAZARD LTD-CL A               | LP  | G54050102 | 1119   | 41567   | SH | DEFINED | 0 |
| LAZARD LTD-CL A               | LP  | G54050102 | 64     | 2382    | SH | DEFINED | 0 |
| MAIDEN HOLDINGS LTD           | COM | G5753U112 | 165    | 25230   | SH | DEFINED | 0 |
| MAIDEN HOLDINGS LTD           | COM | G5753U112 | 54     | 8200    | SH | DEFINED | 0 |
| MARVELL TECHNOLOGY GROUP LTD  | COM | G5876H105 | 43765  | 3759868 | SH | DEFINED | 0 |
| MARVELL TECHNOLOGY GROUP LTD  | COM | G5876H105 | 69     | 5800    | SH | DEFINED | 0 |
| MARVELL TECHNOLOGY GROUP LTD  | COM | G5876H105 | 114    | 9751    | SH | DEFINED | 0 |
| MAX CAPITAL GROUP LTD         | COM | G6052F103 | 8241   | 446380  | SH | DEFINED | 0 |
| MAX CAPITAL GROUP LTD         | COM | G6052F103 | 59     | 3206    | SH | DEFINED | 0 |
| MAX CAPITAL GROUP LTD         | COM | G6052F103 | 2      | 100     | SH | DEFINED | 0 |
| MF GLOBAL LTD SHS ISIN #BMG6  | COM | G60642108 | 2      | 400     | SH | DEFINED | 0 |
| MONTPELIER RE HOLDINGS LTD    | COM | G62185106 | 33705  | 2536142 | SH | DEFINED | 0 |
| MONTPELIER RE HOLDINGS LTD    | COM | G62185106 | 37     | 2800    | SH | DEFINED | 0 |
| MONTPELIER RE HOLDINGS LTD    | COM | G62185106 | 35     | 2649    | SH | DEFINED | 0 |
| NABORS INDUSTRIES LTD         | COM | G6359F103 | 10347  | 664161  | SH | DEFINED | 0 |
| NABORS INDUSTRIES LTD         | COM | G6359F103 | 379    | 24354   | SH | DEFINED | 0 |
| NABORS INDUSTRIES LTD         | COM | G6359F103 | 2250   | 144701  | SH | DEFINED | 0 |
| NABORS INDUSTRIES LTD         | COM | G6359F103 | 124    | 7962    | SH | DEFINED | 0 |
| NORDIC AMER TANKER SHIPPING   | COM | G65773106 | 1270   | 39936   | SH | DEFINED | 0 |
| NORDIC AMER TANKER SHIPPING   | COM | G65773106 | 349    | 10954   | SH | DEFINED | 0 |
| OPENTV CORP CL A ISIN         | COM | G67543101 | 31     | 23163   | SH | DEFINED | 0 |
| ONEBEACON INSURANCE GROUP LT  | COM | G67742109 | 236    | 20216   | SH | DEFINED | 0 |
| ORIENT EXPRESS HOTELS LTD -A  | COM | G67743107 | 212    | 24950   | SH | DEFINED | 0 |
| ORIENT EXPRESS HOTELS LTD -A  | COM | G67743107 | 2511   | 295687  | SH | DEFINED | 0 |
| PARTNERRE LTD                 | COM | G6852T105 | 78124  | 1202847 | SH | DEFINED | 0 |
| PARTNERRE LTD                 | COM | G6852T105 | 3001   | 46211   | SH | DEFINED | 0 |
| PARTNERRE LTD                 | COM | G6852T105 | 14630  | 225644  | SH | DEFINED | 0 |
| PARTNERRE LTD                 | COM | G6852T105 | 575    | 8853    | SH | DEFINED | 0 |
| PLATINUM UNDERWRITERS HLDGS   | COM | G7127P100 | 18423  | 644319  | SH | DEFINED | 0 |
| PLATINUM UNDERWRITERS HLDGS   | COM | G7127P100 | 97     | 3400    | SH | DEFINED | 0 |
| QIAO XING UNIVERSAL TELEPHONE | COM | G7303A109 | 0      | 100     | SH | DEFINED | 0 |
| RENAISSANCERE HOLDINGS LTD    | COM | G7496G103 | 39217  | 842646  | SH | DEFINED | 0 |
| RENAISSANCERE HOLDINGS LTD    | COM | G7496G103 | 18487  | 397214  | SH | DEFINED | 0 |
| SEAGATE TECHNOLOGY            | COM | G7945J104 | 19302  | 1845224 | SH | DEFINED | 0 |
| SEAGATE TECHNOLOGY            | COM | G7945J104 | 53     | 5021    | SH | DEFINED | 0 |
| SEAGATE TECHNOLOGY            | COM | G7945J104 | 174    | 18900   | SH | DEFINED | 0 |
| SEAGATE TECHNOLOGY            | COM | G7945J104 | 29     | 2744    | SH | DEFINED | 0 |
| SHIP FINANCE INTL LTD         | COM | G81075106 | 1824   | 165376  | SH | DEFINED | 0 |
| SHIP FINANCE INTL LTD         | COM | G81075106 | 35     | 3154    | SH | DEFINED | 0 |
| SIGNET JEWELERS LTD           | COM | G81276100 | 424    | 20357   | SH | DEFINED | 0 |
| SIGNET JEWELERS LTD           | COM | G81276100 | 0      | 1       | SH | DEFINED | 0 |
| SINA CORP REG SHS ISIN #KYG8  | COM | G81477104 | 24     | 821     | SH | DEFINED | 0 |
| SMART MODULAR TECHNOLOGIES    | COM | G82245104 | 217    | 95607   | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |     |           |        |          |    |         |   |
|--------------------------------|-----|-----------|--------|----------|----|---------|---|
| SMART MODULAR TECHNOLOGIES     | COM | G82245104 | 43     | 18800    | SH | DEFINED | 0 |
| STEWART W P & CO LTDSHS ISIN # | COM | G84922114 | 1      | 202      | SH | DEFINED | 0 |
| TBS INTERNATIONAL LTD-A        | COM | G86975151 | 23     | 3000     | SH | DEFINED | 0 |
| UTI WORLDWIDE INC              | COM | G87210103 | 356    | 31200    | SH | DEFINED | 0 |
| TEXTAINER GROUP HOLDINGS LTD   | COM | G8766E109 | 652    | 56800    | SH | DEFINED | 0 |
| TEXTAINER GROUP HOLDINGS LTD   | COM | G8766E109 | 72     | 6300     | SH | DEFINED | 0 |
| THUNDERBIRD RESORTS INC        | COM | G88576106 | 39     | 71100    | SH | DEFINED | 0 |
| TSAKOS ENERGY NAVIGATION       | COM | G9108L108 | 75     | 4644     | SH | DEFINED | 0 |
| VALIDUS HOLDINGS LTD           | COM | G9319H102 | 4020   | 182938   | SH | DEFINED | 0 |
| VALIDUS HOLDINGS LTD           | COM | G9319H102 | 73     | 3300     | SH | DEFINED | 0 |
| VISTAPRINT LTD                 | COM | G93762204 | 12815  | 300451   | SH | DEFINED | 0 |
| VISTAPRINT LTD                 | COM | G93762204 | 204    | 4780     | SH | DEFINED | 0 |
| VISTAPRINT LTD                 | COM | G93762204 | 67102  | 1573347  | SH | DEFINED | 0 |
| VISTAPRINT LTD                 | COM | G93762204 | 18     | 430      | SH | DEFINED | 0 |
| WARNER CHILCOTT LTD ISIN #BMG9 | COM | G9435N108 | 2      | 184      | SH | DEFINED | 0 |
| GOLAR LNG LTD SHS ISIN         | COM | G9456A100 | 3      | 300      | SH | DEFINED | 0 |
| WHITE MOUNTAINS INSURANCE GP   | COM | G9618E107 | 1522   | 6650     | SH | DEFINED | 0 |
| WHITE MOUNTAINS INSURANCE GP   | COM | G9618E107 | 128    | 560      | SH | DEFINED | 0 |
| WILLIS GROUP HOLDINGS LTD      | COM | G96655108 | 20797  | 808271   | SH | DEFINED | 0 |
| WILLIS GROUP HOLDINGS LTD      | COM | G96655108 | 105    | 4068     | SH | DEFINED | 0 |
| XL CAPITAL LTD -CLASS A        | COM | G98255105 | 301845 | 26339120 | SH | DEFINED | 0 |
| XL CAPITAL LTD -CLASS A        | COM | G98255105 | 836    | 72991    | SH | DEFINED | 0 |
| XL CAPITAL LTD -CLASS A        | COM | G98255105 | 5      | 434      | SH | DEFINED | 0 |
| XL CAPITAL LTD Y 10.75% PFD    | PRF | G98255600 | 5553   | 284765   | SH | DEFINED | 0 |
| XOMA LTD ISIN #BMG9            | COM | G9825R107 | 11     | 13448    | SH | DEFINED | 0 |
| YUCHENG TECHNOLOGIESLIMITED CO | COM | G98777108 | 17     | 2000     | SH | DEFINED | 0 |
| ACE LTD                        | COM | H0023R105 | 122428 | 2767973  | SH | DEFINED | 0 |
| ACE LTD                        | COM | H0023R105 | 282    | 6380     | SH | DEFINED | 0 |
| ACE LTD                        | COM | H0023R105 | 127    | 2861     | SH | DEFINED | 0 |
| ALCON INC                      | COM | H01301102 | 27400  | 235960   | SH | DEFINED | 0 |
| ALCON INC                      | COM | H01301102 | 336    | 2894     | SH | DEFINED | 0 |
| WEATHERFOR.D INTL LTD          | COM | H27013103 | 69966  | 3577001  | SH | DEFINED | 0 |
| WEATHERFOR.D INTL LTD          | COM | H27013103 | 165    | 8419     | SH | DEFINED | 0 |
| WEATHERFOR.D INTL LTD          | COM | H27013103 | 774    | 39568    | SH | DEFINED | 0 |
| FOSTER WHEELER LTD             | COM | H27178104 | 17461  | 735261   | SH | DEFINED | 0 |
| FOSTER WHEELER LTD             | COM | H27178104 | 107    | 4468     | SH | DEFINED | 0 |
| FOSTER WHEELER LTD             | COM | H27178104 | 127    | 5357     | SH | DEFINED | 0 |
| LOGITECH INTL SA APPLES NAM    | COM | H50430232 | 8      | 600      | SH | DEFINED | 0 |
| NOBLE CORP                     | COM | H5833N103 | 21701  | 717469   | SH | DEFINED | 0 |
| NOBLE CORP                     | COM | H5833N103 | 37     | 1225     | SH | DEFINED | 0 |
| TRANSOCEAN LTD                 | COM | H8817H100 | 183792 | 2473937  | SH | DEFINED | 0 |
| TRANSOCEAN LTD                 | COM | H8817H100 | 502    | 6758     | SH | DEFINED | 0 |
| TRANSOCEAN LTD                 | COM | H8817H100 | 1537   | 20689    | SH | DEFINED | 0 |
| TYCO INTERNATIONAL LTD         | COM | H89128104 | 95325  | 3669160  | SH | DEFINED | 0 |
| TYCO INTERNATIONAL LTD         | COM | H89128104 | 152    | 5841     | SH | DEFINED | 0 |
| TYCO INTERNATIONAL LTD         | COM | H89128104 | 0      | 7        | SH | DEFINED | 0 |
| TYCO ELECTRONICS LTD           | COM | H8912P106 | 39758  | 2138569  | SH | DEFINED | 0 |
| TYCO ELECTRONICS LTD           | COM | H8912P106 | 44     | 2390     | SH | DEFINED | 0 |
| TYCO ELECTRONICS LTD           | COM | H8912P106 | 0      | 7        | SH | DEFINED | 0 |
| UBS AG-REG                     | COM | H89231338 | 2335   | 191200   | SH | DEFINED | 0 |
| UBS AG-REG                     | COM | H89231338 | 132    | 10816    | SH | DEFINED | 0 |
| MILLICOM INTL CELLULAR S.A.    | COM | L6388F110 | 6349   | 112842   | SH | DEFINED | 0 |
| MILLICOM INTL CELLULAR S.A.    | COM | L6388F110 | 4801   | 85339    | SH | DEFINED | 0 |
| MILLICOM INTL CELLULAR S.A.    | COM | L6388F110 | 20     | 350      | SH | DEFINED | 0 |
| ALVARION LTD                   | COM | M0861T100 | 40     | 9050     | SH | DEFINED | 0 |
| BLUEPHOENIX SOLUTIONS          | COM | M20157109 | 2      | 1000     | SH | DEFINED | 0 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |           |           |        |          |     |         |   |
|--------------------------------|-----------|-----------|--------|----------|-----|---------|---|
| CELLCOM ISRAEL LTD SHS ISIN #  | COM       | M2196U109 | 24     | 907      | SH  | DEFINED | 0 |
| CHECK POINT SOFTWARE TECH      | COM       | M22465104 | 321899 | 13715283 | SH  | DEFINED | 0 |
| CHECK POINT SOFTWARE TECH      | COM       | M22465104 | 115    | 4896     | SH  | DEFINED | 0 |
| CLICKSOFTWARE TECHNOLOGIES     | COM       | M25082104 | 210    | 31746    | SH  | DEFINED | 0 |
| ELBIT IMAGING LTD SHS ISIN #   | COM       | M37605108 | 2      | 115      | SH  | DEFINED | 0 |
| ELBIT SYSTEMS LTD ISIN #IL00   | COM       | M3760D101 | 6      | 100      | SH  | DEFINED | 0 |
| MELLANOX TECHNOLOGIES LTD      | COM       | M51363113 | 22     | 1831     | SH  | DEFINED | 0 |
| GILAT SATELLITE NETWORKS L     | COM       | M51474118 | 0      | 13       | SH  | DEFINED | 0 |
| GIVEN IMAGING SHS ISIN #IL00   | COM       | M52020100 | 9      | 900      | SH  | DEFINED | 0 |
| ITURAN LOCATION AND CONTROL    | COM       | M6158M104 | 1875   | 227214   | SH  | DEFINED | 0 |
| ITURAN LOCATION AND CONTROL    | COM       | M6158M104 | 1      | 100      | SH  | DEFINED | 0 |
| METALINK LTD                   | COM       | M69897102 | 0      | 500      | SH  | DEFINED | 0 |
| ORBOTECH LTD                   | COM       | M75253100 | 1      | 100      | SH  | DEFINED | 0 |
| RRSAT GLOBAL COMMUNICAT        | COM       | M8183P102 | 9      | 700      | SH  | DEFINED | 0 |
| RADVISION LTD                  | COM       | M81869105 | 224    | 28610    | SH  | DEFINED | 0 |
| RADWARE LTD                    | COM       | M81873107 | 4      | 500      | SH  | DEFINED | 0 |
| RETALIX LTD                    | COM       | M8215W109 | 267    | 29419    | SH  | DEFINED | 0 |
| SYNERON MEDICAL LTD ORD SHS    | COM       | M87245102 | 45     | 6295     | SH  | DEFINED | 0 |
| TOWER SEMI CONDUCTORLTD ISIN # | COM       | M87915100 | 1      | 2500     | SH  | DEFINED | 0 |
| ASM INTERNATIONAL N.V.         | COM       | N07045102 | 119    | 8095     | SH  | DEFINED | 0 |
| ASML HOLDING NV-NY REG SHS     | COM       | N07059186 | 24527  | 1132835  | SH  | DEFINED | 0 |
| ASML HOLDING NV-NY REG SHS     | COM       | N07059186 | 69     | 3173     | SH  | DEFINED | 0 |
| ASML HOLDING NV-NY REG SHS     | COM       | N07059186 | 10     | 473      | SH  | DEFINED | 0 |
| CNH GLOBAL N.V.                | COM       | N20935206 | 289    | 20610    | SH  | DEFINED | 0 |
| CORE LABORATORIES N.V.         | COM       | N22717107 | 250    | 2870     | SH  | DEFINED | 0 |
| CORE LABORATORIES N.V.         | COM       | N22717107 | 61     | 701      | SH  | DEFINED | 0 |
| ORTHOFIX INTERNATIONAL NV      | COM       | N6748L102 | 88     | 3502     | SH  | DEFINED | 0 |
| QIAGEN N V REG SHS ISIN #NL00  | COM       | N72482107 | 142    | 7692     | SH  | DEFINED | 0 |
| CADENCE DESIGN SYSTEMS INC     | CONV B ND | 127387AD0 | 999    | 1216000  | PRN | DEFINED | 0 |
| FIVE STAR QUALITY              | CONV B ND | 33832DAB2 | 3408   | 5531000  | PRN | DEFINED | 0 |
| HOLOGIC INC                    | CONV B ND | 436440AA9 | 8588   | 12095000 | PRN | DEFINED | 0 |
| HOLOGIC INC                    | CONV B ND | 436440AA9 | 1      | 1000     | PRN | DEFINED | 0 |
| KINETIC CONCEPTS INC           | CONV B ND | 49460WAF6 | 2608   | 3349360  | PRN | DEFINED | 0 |
| LEHMAN BROTHERS HOLDINGS       | CONV B ND | 524935AA1 | 1761   | 165000   | PRN | DEFINED | 0 |
| LEHMAN BROTHERS HOLDINGS       | CONV B ND | 524935AM5 | 2181   | 165000   | PRN | DEFINED | 0 |
| MENTOR GRAPHICS                | CONV B ND | 587200AF3 | 563    | 718000   | PRN | DEFINED | 0 |
| MS PERQS RIG 7.30% 144A        | CONV B ND | 61757J402 | 48851  | 650400   | PRN | DEFINED | 0 |
| NOVA BIOSOURCE FUELS INC       | CONV B ND | 65488WAA1 | 28     | 948707   | PRN | DEFINED | 0 |
| QWEST COMMS INTL               | CONV B ND | 749121BY4 | 45777  | 46558000 | PRN | DEFINED | 0 |
| QWEST COMMS INTL               | CONV B ND | 749121BY4 | 244    | 248000   | PRN | DEFINED | 0 |
| SINO FOREST CORP               | CONV B ND | 82934HAB7 | 2171   | 2561000  | PRN | DEFINED | 0 |
| BANCO LATINOAMERICANO EXP-E    | COM       | P16994132 | 277    | 22260    | SH  | DEFINED | 0 |
| BANCO LATINOAMERICANO EXP-E    | COM       | P16994132 | 85     | 6800     | SH  | DEFINED | 0 |
| COPA HOLDINGS SA-CLASS A       | COM       | P31076105 | 2037   | 49870    | SH  | DEFINED | 0 |
| COPA HOLDINGS SA-CLASS A       | COM       | P31076105 | 18001  | 441406   | SH  | DEFINED | 0 |
| CRESUD SA COMERCIAL INDUSTRIAL | WT        | P3311R192 | 0      | 840      | SH  | DEFINED | 0 |
| STEINER LEISURE LTD            | COM       | P8744Y102 | 758    | 24820    | SH  | DEFINED | 0 |
| STEINER LEISURE LTD            | COM       | P8744Y102 | 2      | 81       | SH  | DEFINED | 0 |
| ULTRAPETROL (BAHAMAS) LTD      | COM       | P94398107 | 189    | 42830    | SH  | DEFINED | 0 |
| ULTRAPETROL (BAHAMAS) LTD      | COM       | P94398107 | 61     | 13700    | SH  | DEFINED | 0 |
| CALLAWAY GOLF CO               | CONV P RF | 131193302 | 329    | 3600     | SH  | DEFINED | 0 |
| COMCAST CORPORATION            | CONV P RF | 200300606 | 2838   | 95000    | SH  | DEFINED | 0 |
| EAST WEST BANC CONV PFD 8%     | CONV P RF | 27579R203 | 953    | 1640     | SH  | DEFINED | 0 |



Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

|                                |           |           |       |         |    |         |   |
|--------------------------------|-----------|-----------|-------|---------|----|---------|---|
| JOHNSON CONTROLS 11.5% MAND CP | CONV P RF | 478366602 | 17314 | 160000  | SH | DEFINED | 0 |
| LEGG MASON 7% CONV PFD         | CONV P RF | 524901303 | 982   | 38957   | SH | DEFINED | 0 |
| LUCENT TECHNOLOGIES CAPITAL TR | CONV P RF | 549462307 | 3891  | 6378    | SH | DEFINED | 0 |
| SCHERING-PLOUGH CORP           | CONV P RF | 806605705 | 32466 | 143300  | SH | DEFINED | 0 |
| EXCEL MARITIME CARRIERS LTD    | COM       | V3267N107 | 710   | 105530  | SH | DEFINED | 0 |
| EXCEL MARITIME CARRIERS LTD    | COM       | V3267N107 | 66    | 9742    | SH | DEFINED | 0 |
| ROYAL CARIBBEAN CRUISES LTD    | COM       | V7780T103 | 50905 | 3759617 | SH | DEFINED | 0 |
| ROYAL CARIBBEAN CRUISES LTD    | COM       | V7780T103 | 173   | 12800   | SH | DEFINED | 0 |
| CIA BRASILEIRA DE MEIOS DE PAG | COM       | VNET3.BZ  | 111   | 6600    | SH | DEFINED | 0 |
| AEGEAN MARINE PETROLEUM NETW   | COM       | Y0017S102 | 1914  | 126790  | SH | DEFINED | 0 |
| DANAOS CORPORATION SHS ISIN #  | COM       | Y1968P105 | 2     | 500     | SH | DEFINED | 0 |
| DHT MARITIME INC SHS           | COM       | Y2065G105 | 7     | 1400    | SH | DEFINED | 0 |
| DIANA SHIPPING INC             | COM       | Y2066G104 | 747   | 56113   | SH | DEFINED | 0 |
| DIANA SHIPPING INC             | COM       | Y2066G104 | 105   | 7892    | SH | DEFINED | 0 |
| DRYSHIPS INC                   | COM       | Y2109Q101 | 5658  | 978974  | SH | DEFINED | 0 |
| DRYSHIPS INC                   | COM       | Y2109Q101 | 62    | 10774   | SH | DEFINED | 0 |
| DRYSHIPS INC                   | COM       | Y2109Q101 | 150   | 25920   | SH | DEFINED | 0 |
| EAGLE BULK SHIPPING INC ISIN # | COM       | Y2187A101 | 3     | 732     | SH | DEFINED | 0 |
| EUROSEAS LTD SHS NEWISIN #MHY2 | COM       | Y23592200 | 3     | 607     | SH | DEFINED | 0 |
| FLEXTRONICS INTL LTD           | COM       | Y2573F102 | 7147  | 1738973 | SH | DEFINED | 0 |
| FLEXTRONICS INTL LTD           | COM       | Y2573F102 | 18    | 4348    | SH | DEFINED | 0 |
| GENCO SHIPPING & TRADING LTD   | COM       | Y2685T107 | 6044  | 278214  | SH | DEFINED | 0 |
| GENCO SHIPPING & TRADING LTD   | COM       | Y2685T107 | 154   | 7079    | SH | DEFINED | 0 |
| GENCO SHIPPING & TRADING LTD   | COM       | Y2685T107 | 88    | 4060    | SH | DEFINED | 0 |
| GENERAL MARITIME CORP          | COM       | Y2693R101 | 1221  | 123486  | SH | DEFINED | 0 |
| GENERAL MARITIME CORP          | COM       | Y2693R101 | 8     | 805     | SH | DEFINED | 0 |
| GIGAMEDIA LTD                  | COM       | Y2711Y104 | 17    | 2900    | SH | DEFINED | 0 |
| NAVIOS MARITIME HOLDINGS I     | COM       | Y62196103 | 4     | 850     | SH | DEFINED | 0 |
| NAVIOS MARITIME PARTNERS L     | COM       | Y62267102 | 3     | 300     | SH | DEFINED | 0 |
| OCEANFREIGHT INC ISIN #MHY6    | COM       | Y64202107 | 1     | 400     | SH | DEFINED | 0 |
| SEASPAN CORP SHS ISIN #MHY7    | COM       | Y75638109 | 30    | 4845    | SH | DEFINED | 0 |
| STAR BULK CARRIERS CORP SHS    | COM       | Y8162K105 | 1     | 269     | SH | DEFINED | 0 |
| TEEKAY LNG PARTNERS LP PARTNER | COM       | Y8564M105 | 4     | 200     | SH | DEFINED | 0 |
| TEEKAY CORP                    | COM       | Y8564W103 | 55    | 2600    | SH | DEFINED | 0 |
| TEEKAY CORP                    | COM       | Y8564W103 | 5     | 254     | SH | DEFINED | 0 |
| TEEKAY TANKERS LTD-CLASS A     | COM       | Y8565N102 | 48    | 5200    | SH | DEFINED | 0 |
| TEEKAY TANKERS LTD-CLASS A     | COM       | Y8565N102 | 2     | 200     | SH | DEFINED | 0 |
| VERIGY LTD                     | COM       | Y93691106 | 923   | 75840   | SH | DEFINED | 0 |
| VERIGY LTD                     | COM       | Y93691106 | 13    | 1092    | SH | DEFINED | 0 |