

Edgar Filing: BORGWARNER INC - Form 4

BORGWARNER INC
 Form 4
 November 13, 2001

 FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, DC 20549

[] Check box if no longer
 subject to Section 16. Form
 4 or Form 5 obligations may
 continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the
 Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person(1) | | | 2. Issuer Name and Ticker or Trading Symbol | 6. Re |
|--|---------|----------|--|--|
| Robert D. | Welding | | BorgWarner Inc. (BWA) | |
| (Last) | (First) | (Middle) | | |
| 200 S. Michigan Ave. | | | 3. IRS Identification Number of Reporting Person, if an Entity (Voluntary) | 4. Statement for Month/Year October, 2001 |
| (Street) | | | | 5. If Amendment, Date of Original (Month/Year) |
| Chicago | IL | 60604 | | 7. In (C) X F -- F -- R |
| (City) | (State) | (Zip) | | |

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFIT

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | |
|---------------------------------|--------------------------------------|--------------------------------|---|----|--------|---------|
| | | | Code | V | Amount | Price |
| | | | (A) | or | (D) | |
| Common Stock | 10/24/01 | M | | | 1,700 | \$16.56 |
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FORM 4 (CONTINUED) TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | |
|---|--|--------------------------------------|--------------------------------|---|---|-----|--|-----------------|
| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date |
| Employee Stock Option right to buy | \$16.56 | 10/24/01 | M | | | 561 | 12/31/92 | 01/01/01 |
| Employee Stock Option right to buy | \$16.56 | 10/24/01 | M | | | 561 | 12/31/93 | 01/01/01 |
| Employee Stock Option right to buy | \$16.56 | 10/24/01 | M | | | 578 | 12/31/94 | 01/01/01 |
| | | | | | | | | |
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| 9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--|
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Explanation of Responses:

/s/ Laurene

Signature of Re
as attorney-in-
ROBERT D. WELDI

- (1) Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

(Print or Type Responses)