

MKS INSTRUMENTS INC  
Form 4  
September 01, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EMERSON ELECTRIC CO

2. Issuer Name and Ticker or Trading Symbol  
MKS INSTRUMENTS INC [MKS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
8000 W. FLORISSANT AVE.  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/30/2006

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

ST LOUIS, MO 63136

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price (1) (2)                                 |   |  |   |
| Common Stock                    | 08/30/2006                           |  | S                              | 46,133 D \$ 20.83 (1) (2)   | 3,970,266   | I  | Through a subsidiary                                  |
| Common Stock                    | 08/31/2006                           |  | S                              | 20,846 D \$ 20.8855 (3)   | 3,949,420   | I  | Through a subsidiary                                  |
| Common Stock                    |                                      |  |                                |   | 1,065,182   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control**

SEC 1474 (9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| EMERSON ELECTRIC CO<br>8000 W. FLORISSANT AVE.<br>ST LOUIS, MO 63136 |               |           | X       |       |

## Signatures

/s/ Timothy G. Westman, Assistant Secretary  
Date: 09/01/2006

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The sales were effected in multiple transactions, at varying prices, on August 30, 2006, as follows and as described in Footnote 2 below: 100 shares at \$20.27; 100 at \$20.28; 100 at \$20.33; 600 at \$20.40; 100 at \$20.41; 400 at \$20.43; 200 at \$20.48; 400 at \$20.51; 122 at \$20.55; 200 at \$20.56; 200 at \$20.59; 100 at \$20.62; 100 at \$20.63; 200 at \$20.65; 100 at \$20.66; 600 at \$20.67; 700 at \$20.68; 1,500 at \$20.69; 1,600 at \$20.70; 1,700 at \$20.71; 600 at \$20.72; 1,300 at \$20.73; 903 at \$20.74; 1,400 at \$20.75; 400 at \$20.76; 126 at \$20.77; 900 at \$20.78; and 1,674 at \$20.79.

(2) This footnote sets forth additional detail with respect to the transactions described in Footnote 1, as follows: 1,200 shares at \$20.80; 400 at \$20.81; 600 at \$20.82; 100 at \$20.83; 2,200 at \$20.84; 4,100 at \$20.85; 500 at \$20.87; 400 at \$20.88; 2,799 at \$20.89; 3,109 at \$20.90; 1,400 at \$20.91; 1,800 at \$20.92; 1,400 at \$20.93; 300 at \$20.94; 100 at \$20.95; 500 at \$20.96; 900 at \$20.97; 2,000 at \$20.98; 3,092 at \$20.99; 2,008 at \$21.00; and 800 at \$21.01. The weighted average sales price for these transactions was \$20.83 per share.

(3) The sales were effected in multiple transactions, at varying prices, on August 31, 2006, as follows: 200 shares at \$20.68; 300 at \$20.69; 1,000 at \$20.73; 100 at \$20.74; 500 at \$20.75; 708 at \$20.76; 700 at \$20.77; 700 at \$20.78; 1,000 at \$20.79; 300 at \$20.80; 600 at \$20.81; 200 at \$20.82; 600 at \$20.83; 600 at \$20.84; 400 at \$20.85; 700 at \$20.86; 800 at \$20.87; 1,409 at \$20.88; 386 at \$20.89; 1,000 at \$20.90; 522 at \$20.91; 601 at \$20.92; 300 at \$20.93; 200 at \$20.95; 700 at \$20.96; 900 at \$20.97; 100 at \$20.98; 550 at \$20.99; 2,260 at \$21.00; 500 at \$21.01; 1,310 at \$21.02; 300 at \$21.03; and 400 at \$21.04. The weighted average sales price for these transactions was \$20.8855

## Edgar Filing: MKS INSTRUMENTS INC - Form 4

per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.