#### Edgar Filing: TRINITY INDUSTRIES INC - Form 3

#### TRINITY INDUSTRIES INC

Form 3

March 15, 2005

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB** 3235-0104

**OMB APPROVAL** 

Number:

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TRINITY INDUSTRIES INC [NYSE/TRN] McWhirter William A (Month/Day/Year) 03/14/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2525 STEMMONS FREEWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person DALLAS, TXÂ 75207 (give title below) (specify below) Form filed by More than One VP & CFO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Ι Common Stock 357 401(k) Plan Common Stock 45.022 D Â Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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currently valid OMB control number.

3. Title and Amount of 1. Title of Derivative Security 2. Date Exercisable and 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Trinity Stock Units	(1)	(1)	Common Stock	4,098	\$ <u>(1)</u>	I	by Trust
Employee Stock Option (right to buy)	(3)	03/12/2008	Common Stock	1,000	\$ 53	D	Â
Employee Stock Option (right to buy)	(3)	12/07/2008	Common Stock	3,000	\$ 39.3125	D	Â
Employee Stock Option (right to buy)	(3)	10/29/2009	Common Stock	8,000	\$ 29.4375	D	Â
Employee Stock Option (right to buy)	(4)	05/09/2012	Common Stock	6,665	\$ 21.71	D	Â
Employee Stock Option (right to buy)	(2)	05/29/2013	Common Stock	14,400	\$ 17	D	Â
Employee Stock Option (right to buy)	(2)	05/10/2014	Common Stock	15,000	\$ 28.41	D	Â
Restricted Stock Units	(5)	(5)	Common Stock	5,000	\$ <u>(5)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
McWhirter William A 2525 STEMMONS FREEWAY DALLAS, TX 75207	Â	Â	VP & CFO	Â		

# **Signatures**

William A.
McWhirter

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Trinity Stock Units were accrued under the Trinity Industries, Inc. Supplemental Profit Sharing Plan and will be settled upon the reporting person's retirement or other termination of service or earlier for in service distributions under limited circumstances as provided by the plan. Stock Units convert at a ratio of 1 to 1.
- (2) Option Term is Ten Years. Options vest over 5 years at twenty percent (20%) per year beginning on the first anniversary of the grant date.
- (3) Option Term is Ten Years. Options vest over 4 years at twenty-five percent (25%) per year beginning on the first anniversary of the grant date.

**(4)** 

Reporting Owners 2

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Option Term is Ten Years. Options vest over 3 years at thirty-three & one-third percent (33 1/3%) per year beginning on the first anniversary of the grant date.

Restricted Stock Units granted pursuant to Trinity Industries, Inc. Stock Option & Incentive Plan. Units vest in varying percentages (5) beginning on the 3rd anniversary date of the grant with 100% vesting by the 5th anniversary date of the grant. Distribution is 1 for 1 in Trinity Stock on each vesting date or later if elected by grantee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.