

Edgar Filing: THERMOGENESIS CORP - Form 4

THERMOGENESIS CORP  
 Form 4  
 January 29, 2002

FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

|  |         |          |  |  |                   |
|--|---------|----------|--|--|-------------------|
| 1. Name and Address of Reporting Person* |         |          | 2. Issuer Name and Ticker or Trading Symbol          |  | 6.                |
| CASTORO, JOHN                            |         |          | ITEX CORPORATION ("ITEX")                            |  | --<br>X<br><br>Vi |
| -----                                    |         |          | -----  |  | -----             |
| (Last)                                   | (First) | (Middle) | 3. I.R.S. Identification                             | 4. Statement for (Month/Year)                                |                   |
| c/o ITEX CORPORATION<br>3400 COTTAGE WAY |         |          | Number of Reporting Person, if an Entity (Voluntary) |  |                   |
| -----                                    |         |          | -----  |  |                   |
| (Street)                                 |         |          | --   |  | 7. I              |
| SACRAMENTO                               | CA      | 95825    |  | 5. If Amendment, Date of Original (Month/Year)<br>12/31/2001 | X<br>--           |
| -----                                    |         |          | -----  |  |                   |
| (City)                                   | (State) | (Zip)    |  |  |                   |

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

|                               |                                    |
|-------------------------------|------------------------------------|
| 4. Securities Acquired (A) or | 5. Amount of Securities Beneficial |
|-------------------------------|------------------------------------|

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| 1. Title of Security<br>(Instr. 3) | 2. Transaction Date<br>(Mo./Day/Yr.) | 3. Transaction Code<br>(Instr. 8.)<br>Code V | Disposed of (D)<br>(Instr. 3, 4 and 5)<br>Amount (A) or (D) Price |   |        | Owned at E<br>of Month<br>(Instr. 3, 4) |
|------------------------------------|--------------------------------------|--|---|---|--------|---|
| Common Stock                       | 12/31/01                             | P  | 16,400  | A | \$0.14 | 41,400                                  |
|                                    |                                      |  |   |   |        |   |
|                                    |                                      |  |   |   |        |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Mo./Day/Yr.) | 4. Transaction Code<br>(Instr. 8.)<br>Code V | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, 5)<br>A D | 6. Date Exercisable and Expiration Date<br>(Mo./Day/Yr.)<br>Exer- cisa- ble Date | 7. Title and Amount of Underlying Securities<br>(Instr. 3 and 4)<br>Amount or Number of Shares<br>Title Shares | 8. Priority of Derivative Security<br>(Instr. 5) |
|---|--|--------------------------------------|--|---|--|--|--|
|   |  |                                      |  |   |  |  |  |
|   |  |                                      |  |   |  |  |  |

Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. /s/ See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

|                               |         |
|-------------------------------|---------|
| /s/ JOHN CASTORO              | 1/28/02 |
| -----                         | -----   |
| John Castoro                  | Date    |
| Signature of Reporting Person |         |

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.